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Profinite completion and double-dual : isomorphisms and counter-examples

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Abstract – We define, for any group G , finite approximations ; with this tool, we give a new presentation of the profinite completion $\hat{\pi} : G \rightarrow \hat{G}$ of an abstract group G . We then prove the following theorem : if k is a finite prime field and if V is a k -vector space, then, there is a natural isomorphism between \hat{V} (for the underlying additive group structure) and the additive group of the double-dual V^{**} . This theorem gives counter-examples concerning the iterated profinite completions of a group. These phenomena don't occur in the topological case.

(1) Introduction.

In this paper, we study the profinite completion of a certain class of groups, namely, the additive groups of vector spaces over \mathbf{F}_p . The principal result is that, in this case, the profinite completion equals the double-dual. This study is based on a “dual” definition of the profinite completion of a group.

(2) Brief survey of the classical point of view for profinite completion.

As explained in [Ser02] or [RZ00], one usually defines the profinite completion of a group¹ G as follows. The profinite completion \hat{G} of G is the projective limit (ie the inverse limit) of the finite quotients of G :

$$\hat{G} = \varprojlim_{\substack{N \triangleleft G \\ [G:N] < \infty}} G/N.$$

There is a more explicit form for this definition. Indeed, if N, M are two normal subgroups of G with $N \subset M$, we have a natural factorisation $\varphi_{N \subset M}$ of the canonical projection π_M :

$$\begin{array}{ccc} & & G/N \\ & \nearrow \pi_N & \downarrow \varphi_{N \subset M} \\ G & & G/M \\ & \searrow \pi_M & \end{array}$$

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¹If we set in the category of *topological* groups, we should precise : “... of a *discrete* group G ”.

One can then write :

$$\widehat{G} = \left\{ (x_N) \in \prod_{\substack{N \triangleleft G \\ [G:N] < \infty}} G/N \mid \forall N \subset M, \varphi_{N \subset M}(x_N) = x_M \right\}.$$

(3) Finite approximations and profinite completion.

In this paper, we will use a “dual” (but equivalent) point of view for the profinite completion of a group. To begin with, we introduce the notion of “finite approximation”, which will lead naturally to the concept of profinite completion.

(3.1) Definition. *If G is a group, we call finite approximation of G every couple $\mathbf{v} = (F, \varphi)$ where F is a finite group and $\varphi : G \rightarrow F$ a morphism. We denote $F = F_{\mathbf{v}}$ and $\varphi = \varphi_{\mathbf{v}}$. We say that $f : \mathbf{v} \rightarrow \mathbf{v}'$ is a morphism between \mathbf{v} and \mathbf{v}' if it is an arrow that makes the following diagram commute :*

$$\begin{array}{ccc} & & F_{\mathbf{v}} \\ & \nearrow \varphi_{\mathbf{v}} & \downarrow f \\ G & & F_{\mathbf{v}'} \\ & \searrow \varphi_{\mathbf{v}'} & \end{array}$$

We denote $\mathbf{App}_f(G)$ the category of finite approximations of G .

Intuitively, a finite approximation of G allows the mathematician to get some information about G by only dealing with finite objects. Here are some examples, from various aeras of mathematics, of finite approximations :

- a) $\mathbf{R}^* \longrightarrow \mathbf{Z}/2\mathbf{Z}$
 $x \longmapsto \text{sgn}(x)$ the sign of a real number.
- b) The reduction modulo n , $\mathbf{Z} \rightarrow \mathbf{Z}/n\mathbf{Z}$ and all the derived morphisms and generalizations, such that $\mathbf{Z}_{(p)} \rightarrow \mathbf{Z}/p\mathbf{Z}$, such that $GL_m(\mathbf{Z}) \rightarrow GL_m(\mathbf{Z}/n\mathbf{Z})$ or such that $\mathcal{O}_K \rightarrow \mathcal{O}_K/\mathfrak{P}$ if K is a number field ;
- c) If X a topological space with a finite number of connected components, we can consider the “trace” on $\pi_0(X)$ of an automorphism : $\text{Aut}(X) \longrightarrow \mathfrak{S}_{\pi_0(X)}$
 $\phi \longmapsto \pi_0(\phi)$.
- d) If we denote $\mathfrak{S}_{(\mathbf{N})} = \varinjlim_n \mathfrak{S}_n$ the group of permutation of \mathbf{N} with finite support, we can still define a signature $\mathfrak{S}_{(\mathbf{N})} \rightarrow \mathbf{Z}/2\mathbf{Z}$.
- e) Finally, if K/\mathbf{Q} is a Galois extension, then $\text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \longrightarrow \text{Gal}(K/\mathbf{Q})$
 $\sigma \longmapsto \sigma|_K$ is a finite approximation.

(3.2) Profinite completion. Then, one can define very naturally the profinite completion of G as the projective limit of all the finite approximations of G . More precisely, (and without dealing with any problem of set theory)

$$\widehat{G} = \left\{ (g_{\mathbf{v}})_{\mathbf{v} \in \mathbf{App}_f(G)} \in \prod_{\mathbf{v}} F_{\mathbf{v}} \mid \forall \psi : \mathbf{v} \rightarrow \mathbf{w}, \psi(g_{\mathbf{v}}) = g_{\mathbf{w}} \right\}$$

which comes with the *profinite projection*

$$\widehat{\pi} : \begin{array}{l} G \longrightarrow \widehat{G} \\ g \longmapsto (\varphi_{\mathbf{v}}(g))_{\mathbf{v} \in \mathbf{App}_f(G)} \end{array} .$$

Intuitively, this object is what remains from G when one can only deal with information of finite type ; some elements will be identified but, at the same time, some new elements will appear. Formally, in general, $\widehat{\pi}$ is not surjective or injective.

(3.3) Surjective finite approximations. Among the finite approximations, some are surjective ; they form a full subcategory $\mathbf{App}_f^s(G)$ of $\mathbf{App}_f(G)$. In the same way that we have defined the profinite completion, we can then define the “surjective” profinite completion

$$\widehat{G}^s = \varprojlim_{\mathbf{v} \in \mathbf{App}_f^s(G)} F_{\mathbf{v}} .$$

The important fact about this object is that we have the following fact, whose proof is not difficult.

(3.4) Proposition. *The natural morphism $\widehat{G} \rightarrow \widehat{G}^s$ is an isomorphism.*

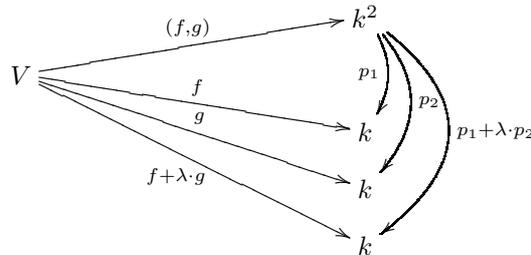
(4) Profinite completion of the additive group of a vector space over \mathbf{F}_p .

(4.1) Profinite completion and double-dual. Before looking at what happens in the situation where the base field is \mathbf{F}_p , let us remark that, in the general case, there is a morphism of comparison between the profinite completion of an “additive group” and its double-dual. Let k be a finite field and V a vector space over k . We still denote by V the underlying additive group.

Let f and g be two linear forms of V and let $\lambda \in k$. The forms f , g and $f + \lambda \cdot g$ are, in particular, finite approximations of V (in the additive group of k) and we denote by \mathbf{v}_f , \mathbf{v}_g and $\mathbf{v}_{f+\lambda \cdot g}$ the corresponding approximations. Now, let $\mathbf{x} = (x_{\mathbf{v}})_{\mathbf{v}} \in \widehat{V}$ be a “profinite” element.

(4.2) Fact. $x_{\mathbf{v}_{f+\lambda \cdot g}} = x_{\mathbf{v}_f} + \lambda \cdot x_{\mathbf{v}_g}$.

Proof : Indeed, we have the following diagram of morphisms of finite approximations



Then, if we denote by w the approximation $V \xrightarrow{(f,g)} k^2$, the definition of the profinite completion imposes that $x_{v_f} = p_1(x_w)$ and $x_{v_g} = p_2(x_w)$ and $x_{v_{f+\lambda \cdot g}} = (p_1 + \lambda \cdot p_2)(x_w)$, that is

$$x_{v_{f+\lambda \cdot g}} = x_{v_f} + \lambda \cdot x_{v_g}.$$

■

Using this fact, one can define the morphism of comparison :

$$\Psi : \widehat{V} \longrightarrow V^{**}$$

$$(x_v)_v \longmapsto \left(\begin{array}{c} V^* \longrightarrow k \\ f \longmapsto x_{v_f} \end{array} \right)$$

(4.3) The case where $k = \mathbf{F}_p$. From now on, p is a prime number and $k = \mathbf{F}_p$. The interesting case is when V is of infinite dimension. A good way to understand what happens is to consider $V = (\mathbf{Z}/2\mathbf{Z})^{\mathbf{N}}$.

The first thing to do is to see that if $\varphi : V \rightarrow F$ is a finite *surjective* approximation, then F is isomorphic to (the additive group of) $(\mathbf{F}_p)^n$ for some n . Indeed, first of all, since F is the homomorphic image of V , F is abelian. Moreover, all the elements of F satisfy $x^p = e$. Thus, the classification of the abelian finite groups gives the conclusion.

We can now prove :

(4.4) Theorem *Let V be a vector space over \mathbf{F}_p . Then, $\Psi : \widehat{V} \rightarrow V^{**}$ is an isomorphism.*

Proof : We first prove that Ψ is injective : let $x = (x_v)_v \in \widehat{V}$ such that for all linear form $f : V \rightarrow k$, $x_{v_f} = 0$. Let v be a finite surjective approximation of V ; we can suppose that $v = (k^n, \varphi)$, where $\varphi : V \rightarrow k^n$ is any morphism. By composing φ with the n projections p_i to the factors k , one obtain n morphisms. If we prove that the n corresponding elements are equal to 0, then, it will follow that x_v is equal to 0 and, thus, that Ψ is injective. But, and it is the (easy) key point, a morphism $V \rightarrow k$ of groups is actually a linear form, since we can rewrite the condition $\varphi(\lambda \cdot \vec{v}) = \lambda \cdot \varphi(\vec{v})$ as $\varphi(\vec{v} + \dots + \vec{v}) = \varphi(\vec{v}) + \dots + \varphi(\vec{v})$, for our base field is \mathbf{F}_p . And, by assumption, all the $x_{v_f} = 0$.

For the surjectivity, let $\Theta \in V^{**}$ be a double-dual element. We would like to find a profinite element $x = (x_v)_v \in \widehat{V}$ such that, for all linear form f of V , one have $x_{v_f} = \Theta(f)$. So, let $v = (k^n, \varphi)$ (as we can suppose it) be a finite approximation of V . Let denote p_1, \dots, p_n the n projections of k^n to the factors k . Naturally, we define x_v by reconstructing it from the linear forms $p_i \circ \varphi$:

$$x_v := (\Theta(p_1 \circ \varphi), \Theta(p_2 \circ \varphi), \dots, \Theta(p_n \circ \varphi)) \in k^n.$$

Now, we just have to check that the family (x_v) is “compatible“. So let $v = (k^n, \varphi)$ and $w = (k^m, \psi)$ be two finite approximations and g a morphism between them :

$$\begin{array}{ccc} & & k^n \\ & \nearrow \varphi & \downarrow g \\ V & & k^m \\ & \searrow \psi & \end{array}$$

We want to prove that $g(x_v) = x_w$. By composing with the m projections q_i of k^m , it suffices to prove it in the case where $m = 1$:

$$\begin{array}{ccc} & & k^n \\ & \nearrow \varphi & \downarrow g \\ V & & k^m \\ & \searrow \psi & \nearrow q_1 \\ & & k \\ & & \vdots \\ & & q_m \\ & & k \end{array}$$

So, we are brought to this situation

$$\begin{array}{ccc} & & k^n \\ & \nearrow \varphi & \downarrow g \\ V & & k \\ & \searrow \psi & \end{array}$$

where we know that g can be written as $g = \lambda_1 \cdot p_1 + \dots + \lambda_n \cdot p_n$, with $\lambda_i \in k$. The fact that the previous diagram commutes tells us that $\psi = \sum_i \lambda_i \cdot (p_i \circ \varphi)$; and, now :

$$\begin{aligned} g(x_{\mathbf{v}}) &= g((\Theta(p_1 \circ \varphi), \Theta(p_2 \circ \varphi), \dots, \Theta(p_n \circ \varphi))) \\ &= \sum_i \lambda_i \cdot \Theta(p_i \circ \varphi) \\ &= \Theta\left(\sum_i \lambda_i \cdot (p_i \circ \varphi)\right) = \Theta(\psi) \\ &= x_{\mathbf{w}}, \end{aligned}$$

which concludes the proof. ■

(4.5) $\hat{\pi}$ and the canonical injection $i : V \rightarrow V^{}$.** We denote $i : V \rightarrow V^{**}$ the canonical injection defined by $i(\vec{v})(f) = f(\vec{v})$. One can improve a bit the theorem 4.4 : the isomorphism Ψ between \hat{V} and V^{**} through Ψ identifies $\hat{\pi}$ with i . The proof is easy.

(4.6) Theorem. *Let V be a vector space over \mathbf{F}_p . Then, $\Psi : \hat{V} \rightarrow V^{**}$ is an isomorphism and the diagram*

$$\begin{array}{ccc} & & \hat{V} \\ & \nearrow \hat{\pi} & \downarrow \Psi \\ V & & V^{**} \\ & \searrow i & \end{array}$$

commutes.

(4.7) Remark. One can prove the theorem 4.4 with more abstracted arguments. To begin with, we know (cf. for example [Par70, §2.7, theorem 2]) that, in a general category \mathcal{C} , if the limits exist, we always have the natural isomorphism

$$\text{Hom}\left(\lim_{\rightarrow i} X_i, X\right) \cong \lim_{\leftarrow i} \text{Hom}(X_i, X).$$

Moreover, in the case of k -vector spaces, this isomorphism is linear ; thus, for a system of k -vector space V_i , we have :

$$\left(\lim_{\rightarrow i} V_i\right)^* \cong \lim_{\leftarrow i} (V_i^*).$$

Let k , from now on, be a field and V a k -vector space. If we denote by $(Y_i)_i$ the system of finite-dimensional subvector spaces of V^* , we have $V^* = \lim_{\rightarrow i} Y_i$ and thus, thanks the previous isomorphism :

$$V^{**} \cong \lim_{\leftarrow i} (Y_i^*).$$

Moreover, there is a natural bijection between the finite-dimensional subspaces of V^* and the finite-codimensional subspaces of V , via the application

$$Y \mapsto Y^\perp := \{v \in V \mid \forall \varphi \in Y, \varphi(v) = 0\}.$$

Besides, if Y is a finite-dimensional subspace of V^* then the dual Y^* is naturally isomorphic to V/Y^\perp . Consequently, if we denote by $(Z_j)_j$ the system of finite-codimensional subspaces of V , we have :

$$V^{**} \cong \varprojlim_j (V/Z_j).$$

But, if $k = \mathbf{F}_p$ for a prime number p , one can identify the k -vector space V with its underlying additive group² $\omega(V)$, its dual V^* with $\text{Hom}_{\mathbf{Gr}}(\omega(V), \omega(\mathbf{F}_p))$, and its finite dimensional quotients with the finite quotient of $\omega(V)$. We thus finally get the expected alternative proof of the theorem 4.4.

(5) A family of counter-examples.

One would like to know if, given a group G , one have $\widehat{\widehat{G}} \simeq \widehat{G}$. This fact is known to be false (cf. example 4.2.13 of [RZ00]), but as we will see, it is still false, in general, after taking i times the profinite completion.

(5.1) The sequence of i -th profinite completions. We introduce the following notation. If G is a group, we denote $\widehat{G}^{[1]} = \widehat{G}$ and $\widehat{G}^{[i+1]} = \widehat{\widehat{G}^{[i]}}$. These groups come with projections, as follows :

$$G \xrightarrow{\widehat{\pi}^{[1]}} \widehat{G}^{[1]} \xrightarrow{\widehat{\pi}^{[2]}} \widehat{G}^{[2]} \longrightarrow \cdots \longrightarrow \widehat{G}^{[i]} \xrightarrow{\widehat{\pi}^{[i+1]}} \widehat{G}^{[i+1]} \longrightarrow \cdots.$$

We will prove that, in general, none of the $\widehat{\pi}^{[i]}$ is an isomorphism.

(5.2) Proposition. *Let p be a prime number and $k = \mathbf{F}_p$. Let V be (the additive group of) a k -vector space of infinite dimension. Then, in the following sequence*

$$V \xrightarrow{\widehat{\pi}^{[1]}} \widehat{V}^{[1]} \xrightarrow{\widehat{\pi}^{[2]}} \widehat{V}^{[2]} \longrightarrow \cdots \longrightarrow \widehat{V}^{[i]} \xrightarrow{\widehat{\pi}^{[i+1]}} \widehat{V}^{[i+1]} \longrightarrow \cdots$$

all the $\widehat{\pi}^{[i]}$ are injective but non-surjective morphisms.

Proof : This follows from the identification of the arrows $\widehat{\pi}^{[i]}$ with the canonical injections of a vector space in its double-dual, and from the fact that these injections are injective but non-surjective when the vector spaces are of infinite dimension, cf. Théorème 6, §7, n°5 of [Bou62].

■

²We denote $\omega : k - \mathbf{Vs} \rightarrow \mathbf{Gr}$ the forgetful functor from the category of k -vector spaces to the category of groups.

(6) Conclusion : abstract setting vs. topological setting.

This study has been given for groups but a similar point of view can be applied to *topological* groups. In this case, we start with a topological group \mathcal{G} and we consider the category $\mathbf{App}_{discr}(G)$ of finite and *discrete* approximations : they are couples $v = (F, \varphi)$, where F is a discrete and finite topological group and $\varphi : \mathcal{G} \rightarrow F$ a continuous morphism of groups.

One obtain the (topological) profinite completion of \mathcal{G} , wich is, as well-known, a topological group, compact and totally disconnected (cf. [Ser02]), and one obtain a profinite projection, which is a continuous morphism :

$$\widehat{\pi}^{top} : \mathcal{G} \rightarrow \widehat{\mathcal{G}}^{top}.$$

More generally, as previously done, one can define the sequence of iterated (topological) profinite completions :

$$\mathcal{G} \xrightarrow{\widehat{\pi}^{[1],top}} \widehat{\mathcal{G}}^{[1],top} \longrightarrow \dots \longrightarrow \widehat{\mathcal{G}}^{[i],top} \xrightarrow{\widehat{\pi}^{[i+1],top}} \widehat{\mathcal{G}}^{[i+1],top} \longrightarrow \dots .$$

The situation is then totally different than before. Indeed, we have :

(6.1) Proposition. *Let \mathcal{G} be a topological group. Then, for all $i \geq 2$, the arrows $\widehat{\pi}^{[i],top}$ are isomorphisms of topological groups.*

(6.2) Profinite groups : abstract setting and topological setting. There is a synthetical way to see the fundamental difference between the propositions 4.2 and 6.1. For this sake, we introduce two notions of profinite groups. We will say that a group G is *profinite* if it is the projective limit of a system of finite groups ; we will say that a topological group \mathcal{G} is *topologically profinite* if it is the projective limit of a system of finite and discrete groups. We then have :

(6.3) Theorem *Let \mathcal{G} be a topological group. Then :*

$$G \text{ is topologically profinite} \iff \widehat{\pi}^{top} : \mathcal{G} \rightarrow \widehat{\mathcal{G}}^{top} \text{ is an isomorphism.}$$

(6.4) Proposition *Let G be a group. Then :*

$$G \text{ is profinite} \iff \widehat{\pi} : G \rightarrow \widehat{G} \text{ is an isomorphism}$$

$$G \text{ is profinite} \not\Rightarrow \widehat{\pi} : G \rightarrow \widehat{G} \text{ is an isomorphism.}$$

(6.5) A positive answer. One could legitimately be disapointed by the non-equivalence of G being profinite and of $\widehat{\pi}$ being an isomorphism. Indeed, on the one hand, there is the very classical definition of a profinite group and, on the other hand, there is the deep property for a group to have its profinite projection $\widehat{\pi}$ to be an isomorphism (such a group, in a way, is separated — for $\widehat{\pi}$ is injective — and complete — for $\widehat{\pi}$ is surjective). One would have expected these two to coincide...

Fortunately, there is a positive result in this direction. It is a difficult result, which has been published in 2007 by Nikolay Nikolov and Dan Segal, cf. [NS07a] and [NS07b], and whose proof uses the classification of finite simple groups. In order to state their result, let us remark that if G is an (abstract) profinite group, if we write $G = \varprojlim_i F_i$, where the F_i 's are finite, and if we endow each of the F_i 's with the discrete topology, then we can view G as a topological group.

(6.6) Theorem *Let G be an (abstract) profinite group, which is topologically of finite type for the associated topology. Then, $\widehat{\pi} : G \rightarrow \widehat{G}$ is an isomorphism.*

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