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# Humus Index as an indicator of forest stand and soil properties

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## Abstract

The Humus Index, based on the visual assessment of topsoil horizons and a classification of humus forms, is a numerical score which can be used as a correlate of stand and soil properties. In oak stands from the Montargis forest (Loiret, France) we observed a good linear relationship of the Humus Index with most parameters describing stand development (age, basal area, height and diameter at breast height of dominants) and soil type (depth of clay horizon). The relationship with parameters describing nutrient availability (exchangeable bases, base saturation) was similarly good but non-linear. In the studied forest the Humus Index was affected first by stand age and second by soil type. When corrected for age and soil type, data (96 pooled estimates) indicated a slight decrease in the Humus Index (shift towards more active humus forms) in stands converted from old coppices-with-standards when compared with even-aged high forest.

*Keywords:* Humus forms; Stand development; Management practices; Soil types; Oak stands; Coppices-with-standards; Even-aged high forests

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1

## 2 **1. Introduction**

3

4       There is a growing need for synthetic indicators to be used for the wide scale  
5 monitoring of terrestrial ecosystems, in particular when threatened by pollution, climate  
6 change and human practices (Moore and DeRuiter, 1993; Lindenmayer et al., 2000; Duelli  
7 and Obrist, 2003). This goal can be achieved through field measurements if (i) they can be  
8 done by untrained people and (ii) field data are correlated with a lot of ecosystem parameters  
9 indicative of woodland well-being.

10

11       Humus forms (Mull, Moder, Mor) indicate the rate at which nutrients are circulating  
12 within terrestrial ecosystems (Ovington, 1965; Chapin et al., 1986; Ponge, 2003). They vary  
13 according to climate and parent rock (Vitousek et al., 1994; Ponge and Delhayé, 1995;  
14 Sadaka and Ponge, 2003), but also to canopy and understory vegetation (Beniamino et al.,  
15 1991; Muys et al., 1992; Aubert et al., 2004), stand age (Emmer and Sevink, 1994; Sagot et  
16 al., 1999; Aubert et al., 2004), management (Aber et al., 1978; Terlinden and André, 1988;  
17 Covington, 1981), fertilization (Toutain et al., 1988; Deleporte and Tillier, 1999), irrigation  
18 (Vavoulidou-Theodorou and Babel, 1987) and pollution (Coughtrey et al., 1979; Kuperman,  
19 1996; Gillet and Ponge, 2002). In turn, humus forms, by their focus position within  
20 biogeochemical cycles, influence many ecosystem compartments and processes such as  
21 ground flora (Le Tacon and Timbal, 1973; Klinka et al., 1990; Bartoli et al., 2000),  
22 regeneration of forest canopy species (Bernier and Ponge, 1994; Bernier, 1996; Ponge et al.,  
23 1998), forest productivity (Delecour, 1978) and litter quality (Davies et al., 1964; Toutain and  
24 Duchaufour, 1970). They are considered, together with ground vegetation, as an indicator of  
25 the soil nutrient regime (Wilson et al., 2001) and we expect them to be the best predictor of  
26 stability domains within ecosystems (Odum, 1969; Ulrich, 1987; Ponge, 2003). However, the  
27 wider use of humus forms as a site factor is limited by subjectivity in the identification of

1 forest floor and topsoil horizons (Federer, 1982) and by the existence of small-scale variation  
2 (Riha et al., 1986; Carter and Lowe, 1986; Torgersen et al., 1995).

3

4 The Humus Index has been designed for the transformation of a scale of discrete  
5 humus forms in a numerical parameter, which could be manipulated statistically (Ponge et  
6 al., 2002; Ponge et al., 2003; Fédoroff et al., 2005). In the abovementioned studies the  
7 Humus Index proved to be significantly correlated with some important ecological parameters  
8 of forest ecosystems such as topsoil physical and chemical properties and plant and soil  
9 animal communities.

10

11 In forests, stand and soil properties are of paramount importance for the management  
12 and choice of target tree species (Carmean, 1975; Miller, 1981; Muys and Lust, 1992) and  
13 for the assessment of health and productivity of the ecosystem (Christie and Lines, 1979;  
14 Ulrich 1994; Ponge et al., 1997). The present study was intended to correlate the Humus  
15 Index with parameters of stand and soil development under varying management regimes of  
16 the same canopy species.

17

## 18 **2. Study sites**

19

20 The Montargis forest (Loiret, France) is a state forest (4090 ha) located in the  
21 northern half of France (Fig; 1), in the rainwater basin of river Seine. The general aspect is  
22 fairly level, with a slight westward declivity, the altitude varying between 95 and 132 m. The  
23 climate is oceanic, with a weak continental influence. The mean annual precipitation,  
24 calculated over the last thirty years, is 650 mm, 50% of which falling as rain during the  
25 growing season, from early April to late September. The mean temperature, calculated over  
26 the same thirty-year period, is 10.9°C, with a minimum monthly mean of 3.7°C in January  
27 and a maximum monthly mean of 19.0°C in July. The parent rock is Senonian chalk (late  
28 Cretaceous), covered with postglacial (Holocene) deposits of variegated textural properties,

1 sand being dominant in the western part and silt in the eastern part. This is at the origin of a  
2 variety of soil types, weakly acidic to acidic, with a depth of 40 to 70 cm, generally well-  
3 drained year-round or at worst with weak temporary water-logging during Winter. Most  
4 variation occurs through changes in the vertical distribution of particle size, in particular the  
5 depth at which clay becomes dominant varies to a great extent, ranging from 30 to 80 cm in  
6 our data set.

7

8 The Montargis forest exhibits a compact shape, extending around the Paucourt  
9 village (Fig. 1), without any change in surface area and tree composition since the 12e  
10 century (Garnier, 1965). Coppice-with-standards, with sessile oak [*Quercus petraea* (Mattus.)  
11 Liebl.] standards and hornbeam (*Carpinus betulus* L.) coppices, was the dominant  
12 management type from 1670 on. The cutting period for coppices was first fixed to 70 years  
13 then to 25, 40 or 50 years (according to site conditions) from 1783 on. Since 1857, coppices-  
14 with-standards were partly converted to oak-dominated stands, with some admixture of  
15 beech (*Fagus sylvatica* L.) and hornbeam according to the sites. The conversion was total  
16 from 1872 on. Even-aged oak stands were issued from seed from the original mixed stands,  
17 oldest ones being 99 years-old, without any agricultural past nor plantation.

18

19 Ninety-six stands were selected, in order to embrace the variety of oak stands  
20 growing on medium acidic, well-drained sandy loam with level aspect, with one sampling  
21 area in each stand. All soils are luvisols according to FAO classification, varying according to  
22 the depth of the argillic horizon. The sampling area was selected in homogeneous vegetation  
23 and stand structure, beyond 50 m of stand limit. The choice of a restricted array of site  
24 conditions was aimed at testing the influence of stand properties. The sampling design was  
25 balanced according to 8 forest types, either even-aged high forest or conversion from  
26 previous coppice-with-standards:

27

- 28 • FG15: even-aged high forest 15 years-old (12 stands)

- 1 • FG35: even-aged high forest 35 years-old (12 stands)
- 2 • FG50: even-aged high forest 50 years-old (12 stands)
- 3 • FG90: even-aged high forest 90 years-old (12 stands)
- 4 • CS1: coppice-with-standards converted to medium-diameter regular stand (19
- 5 stands)
- 6 • CS2: coppice-with-standards converted to large-diameter regular stand (14 stands)
- 7 • CS3: coppice-with-standards converted to irregular stand (15 stands)

8

### 9 **3. Methods**

10

11 In each sampling area the Humus Index was visually assessed in triplicate at four  
12 plots, located at 14 m from the central post in the four main directions. At each plot three  
13 replicated estimates of the Humus Index were made at angles of a one-meter side equilateral  
14 triangle. The twelve measurements were averaged, giving a composite value for the  
15 sampling area, which could smooth out two scales of the local variation not directly related to  
16 stand properties (Riha et al., 1986; Ponge et al., 2002). The Humus Index was based on the  
17 classification of humus forms by Brêthes et al. (1995), modified by Jabiol et al. (2000):  
18 Eumull = 1, Mesomull = 2, Oligomull = 3, Dysmull = 4, Hemimoder = 5, Eumoder = 6,  
19 Dysmoder = 7.

20

21 At each of the four plots within the same sampling area, a probe was used to  
22 measure the depth at which clay enrichment was found for the first time and the depth at  
23 which clay was dominant. The four values were averaged for each sampling area. At each of  
24 the four plots a soil sample was taken at 15-20 cm depth, then the four samples were pooled  
25 then air-dried for laboratory analyses on the fraction less than 2 mm: particle size distribution  
26 (clay, silt, sand),  $\text{pH}_{\text{water}}$ ,  $\text{pH}_{\text{KCl}}$ , cation exchange capacity, main exchangeable bases  
27 (extracted at soil pH using cobaltihexamine), and base saturation. Analytical methods  
28 followed ISO standards (Anonymous, 1999).

1

2 At each sampling area we noted the time elapsed from the last thinning operation  
3 (except for clear-cuts), the age of the stand (only for even-aged high forest), we measured  
4 the height and diameter at breast height of three dominant trees distant from less than 14 m  
5 from the central post, and we estimated the wood standing crop, using production tables by  
6 Dagnélie et al. (1999). At each plot we measured the basal area (BA), the percent basal area  
7 occupied by beech, the percent basal area occupied by hornbeam. These four values were  
8 averaged for each sampling area.

9

10 The statistical treatment of the data involved regression analysis, using the Humus  
11 Index as a predicted (dependent) variable and several stand and soil properties as  
12 explanatory (independent) variables (Sokal and Rohlf, 1995). The analyses were performed  
13 with the StatBox® software. Residuals were tested for normality previous to analysis.

14

#### 15 **4. Results**

16

17 The Humus Index exhibited a significant ( $P < 0.05$ ) to highly significant ( $P < 0.001$ )  
18 correlation with 17 out of 21 stand and soil properties in oak stands of the Montargis forest  
19 (Table 1). Other stand and soil parameters did not reach such a high level of indication, as  
20 measured by the number of significant coefficients. For instance  $\text{pH}_{\text{water}}$  was significantly  
21 correlated with only 4 out of 8 stand measurements (against 7 out of 8 for Humus Index) and  
22 with only 8 out of 12 soil measurements (against 10 out of 13 for Humus Index). The best  
23 predicted variable was the age of even-aged high forest ( $r = 0.73$ ,  $P = 5.10^{-9}$ ). Among soil  
24 parameters, Humus Index predicted the best base saturation ( $r = -0.61$ ,  $P = 6.10^{-11}$ ).

25

26 The Humus Index increased in value with the age of stands, indicating a shift from  
27 Mull to Moder in the course of time (Fig. 2), but it was not seemingly influenced by thinning  
28 operations ( $r = 0.10$ ,  $P = 0.18$ , Table 1). It should be noted that  $\text{pH}_{\text{water}}$ , on the contrary, was

1 negatively correlated with the time elapsed from the last thinning operation ( $r=-0.27$ ,  
2  $P=0.006$ ). In short, after each thinning operation, soil acidity decreased then increased again  
3 but without any concomitant change in the humus form.

4

5 These global trends were depicted by the whole set of sampling areas, without any  
6 account to possible effects of management practices. If we separate even-aged high forest  
7 stands from stands converted from old coppices-with-standards, a more variegated  
8 landscape appears (Fig. 3).

9

10 The positive correlation between Humus Index and dominant height was better  
11 depicted by even-aged high forest (Fig. 3b) than by the whole set of oak stands (Fig. 3a).  
12 Coppices-with-standards did not exhibit any such trend, all of them falling within the range of  
13 even-aged high forest stands with tallest trees as dominants. A comparison by paired t-test  
14 between actual and calculated values of Humus Index for coppices-with-standards (using  
15 equation 1) did not reveal any departure from the trend exhibited by even-aged high forest,  
16 provided trees are of the same height ( $t=0.89$ ,  $P=0.19$ ). Thus the relationship between  
17 Humus Index and dominant height was not affected by management practices.

18

19 A quite different picture was exhibited by dominant diameter. Similar to dominant  
20 height, the correlation between Humus Index and dominant diameter was positive, better  
21 depicted by even-aged high forest than by the whole set of stands, and null for coppices-  
22 with-standards (Figs. 3c and 3d). However, Humus Indices measured in coppices-with-  
23 standards differed by more than one unit from values calculated using equation 1 derived for  
24 even-aged high forest ( $t=7.48$ ,  $P=2.10^{-9}$ ). Thus, provided they had the same dominant  
25 diameter, coppices-with-standards seemed to exhibit more active humus forms than even-  
26 aged high forest. However, when comparing Figures 2b and 2d it appears that coppices-with-  
27 standards, the dominants of which have the same height than tallest trees of even-aged high  
28 forest (90 years-old, see Fig. 2), exhibit larger diameters at breast height, which flaws any

1 comparison based on diameter. Coppices-with-standards, the mean diameter of dominant  
2 trees is 45 cm, should compare with even-aged high forest stands with a mean diameter of  
3 35 cm for dominants.

4

5 Wood standing crop was estimated using both diameter and height of trees. If we  
6 consider wood volume and Humus Index, most coppices-with-standards fell within the range  
7 of tallest even-aged high forest stands (also oldest,  $r=0.98$ ,  $P=2.10^{-32}$ ), but some coppices-  
8 with-standards exhibited higher volumes of wood and lower Humus Indices than expected on  
9 the base of even-aged high forest (Figs. 3e and 3f). However, a comparison between  
10 observed and calculated values of the Humus Index for coppices-with-standards did not  
11 reveal any significant shift ( $t=1.17$ ,  $P=0.12$ ). Here too, there was no effect of management  
12 practices.

13

14 Basal area did not vary to a great extent among oak stands, although this parameter  
15 displayed a positive correlation with the Humus Index (Fig. 3g). Most coppices-with-  
16 standards fell within the range of variation of even-aged high forest stands (Fig. 3h) but they  
17 exhibited a higher Humus Index than expected from their basal area ( $t=-4.96$ ,  $P=5.10^{-6}$ ).  
18 Beech and hornbeam (in percent of the total basal area) were positively correlated with the  
19 Humus Index, but at a lower level of significance than age, height, diameter and basal area  
20 (Table 1).

21

22 There was a positive relationship between the Humus Index and the depth at which  
23 clay becomes dominant (Fig. 4a): the shallower was the clay horizon, the lower was the  
24 Humus Index (Mull). Both even-aged high forest and coppices-with-standards exhibited the  
25 same relationship (Fig. 4b), with a similar slope of the regression line ( $t=1.52$ ,  $P=0.13$ ). This  
26 figure may also help to verify that even-aged high forest and coppices-with-standards grew  
27 on the same range of soil conditions, thus comparisons between management practices were  
28 not biased by a possible influence of the soil type. When the combined effect of stand age

1 and soil type (expressed by depth of clay horizon) on the Humus Index of full-grown stands  
2 was analysed by multiple regression, the mixed model explained 70.1% of the total variation,  
3 shared between 52.9% for age and 17.2% for soil type.

4

5 For the same depth of clay horizon, coppices-with-standards exhibited a higher  
6 Humus Index than the even-aged high forest ( $t=7.13$ ,  $P=5.10^{-9}$ ), the difference being ca. 1  
7 unit. However, since the group of even-aged high forest stands included young stands with  
8 more active humus forms (lower Humus Index), a possible bias due to aging was questioned.  
9 If a Humus Index could be extrapolated for young stands supposed at the age of 90-years,  
10 then more valid comparisons between coppices-with-standards and even-aged high forest  
11 would be made. We used the equation shown in Figure 2 to extrapolate Humus Indices at 90  
12 years ( $HI_{90}$ ) from actual values of young stands ( $HI$ ), according to the formula  
13  $HI_{90}=HI+0.03(90-age)$ , with the age of the stand expressed in years. The Humus Index thus  
14 calculated for theoretical 90-years-old even-aged high forest remained significantly (and  
15 positively) explained by the depth of clay horizon ( $R^2=0.37$ ,  $P=10^{-4}$ ). When even-aged high  
16 forest stands were thus corrected for aging, coppices-with-standards exhibited a lower  
17 Humus Index (ca. 0.5 unit less) than even-aged high forest ( $t=3.8$ ,  $P=2.10^{-4}$ ), for the same  
18 soil conditions (expressed by depth of clay horizon).

19

20 The Humus Index showed a negative relationship with exchangeable Ca (fig. 4c), but,  
21 contrary to above mentioned parameters, a better fitness was obtained with logarithmic  
22 values of calcium concentrations ( $R^2=0.53$  against 0.26 for linear regression). Even-aged  
23 high forest and coppices-with-standards exhibited the same relationship (Fig. 3d), and for the  
24 same concentration of exchangeable Ca the Humus Index did not differ between them  
25 ( $t=0.06$ ,  $P=0.48$ ).

26

1 Similarly, the relationship between Humus Index and base saturation was non-linear  
2 (Fig. 4e) and was depicted both by even-aged high forest and coppices-with-standards (Fig.  
3 4f), which did not differ between them at a given level of base saturation ( $t=0.017$ ,  $P=0.49$ ).  
4

## 5 **5. Discussion**

6

7 First, it should be highlighted that our Humus Index differs to a great extent from the  
8 same notation recently used by other authors to describe humus quality (Godefroid et al.,  
9 2005). The Humus Index they used was based on floristic composition, by averaging scores  
10 of different plant species pertaining to the same plant community. The scores were  
11 calculated on the model of Ellenberg (1974) indices, by noting the presence of plant species  
12 along a scale of humus forms, which were given a number as in our own method. In the  
13 present study, as in previously published papers (Ponge et al., 2002; Ponge et al., 2003;  
14 Fédoroff et al., 2005), the Humus Index was directly derived from the observation of humus  
15 forms, not of flora. Several authors noted that Ellenberg indices should be used with caution,  
16 given the existence of regional and temporal changes in ecological requirements of plant  
17 species (Parrish and Bazzaz, 1985; Hill et al., 1999; Diekmann and Lawesson, 1999). We  
18 suggest that the identification of the humus form (Green et al., 1993; Brêthes et al., 1995),  
19 which can be used directly on the field for building a Humus Index, should be preferred to a  
20 list of plant species.  
21

22 The positive correlation between the Humus Index and the age of oak stands (Fig. 2)  
23 can be attributed to changes in humus forms and associated parameters (soil acidification,  
24 organic matter accumulation) which have been repeatedly observed to occur during crop  
25 rotation (Adam, 1999; Aubert et al., 2004; Godefroid et al., 2005). The passage from Mull  
26 (Humus Index 1-4) to Moder (Humus Index 5-7) accompanies the growth of trees and their  
27 increasing influence on the soil, more especially when their litter is poor in nutrients and rich  
28 in secondary metabolites (Nicolai, 1988; Ponge et al., 1997; Ponge et al., 1998). Studies on

1 old-growth forests reveal that soil acidification under the influence of tree growth is temporary  
2 and may reverse if environmental conditions and spatial configurations of habitats are proper  
3 for the re-establishment of adapted decomposer communities (Ulrich, 1987; Bernier and  
4 Ponge, 1994; Aubert et al., 2004). This occurs when nutrient requirements of the  
5 aboveground compartment of the forest ecosystem decrease after cessation of stem  
6 elongation (Nilsson et al., 1982; Miller, 1984a; Chapin et al., 1986). Here we did not show  
7 such reversal of the Humus Index in ageing stands, because our stands were probably too  
8 young and occupied too large surfaces, in an otherwise intensively managed forest. The fact  
9 that the relationship between age and Humus Index was linear (Fig. 2) indicates that the  
10 humus form changed steadily during stand development, at least during the first 90 years of  
11 crop rotation. The linear relationship between the Humus Index and the age of trees  
12 contradicts the hypothesis of stability domains within soil communities (Bengtsson, 2002;  
13 Graefe, 2003; Ponge, 2003). According to this hypothesis, changes in soil communities  
14 would occur by jumping from a species distribution to another, better adapted distribution,  
15 when the original community has been disrupted by an environmental stressor, such as for  
16 instance changes in environmental conditions and resource availability which occur during  
17 stand development. This should result in a discrete response of the Humus Index to tree  
18 growth, which was not depicted by our series of even-aged high forest stands.

19

20         The negative influence of beech upon soil biological activity was reflected in the  
21 increase in Humus Index when the percent basal area occupied by beech increased (Table  
22 1). Muys (1989) observed an increase in humus quality (expressed by an increase in  
23 earthworm biomass) and a decrease in soil compaction when beech was replaced by oak in  
24 a Belgian forest. Similar results, using herb species as indicators of humus quality, were  
25 obtained by Godefroid et al. (2005) in the same country. This phenomenon could be  
26 explained by a higher increment in wood standing crop and basal area and a lower  
27 decomposition rate of litter in beech compared to oak (Lemée and Bichaut, 1973; Monserud

1 and Sterba, 1996), with concomitant soil impoverishment (Nilsson et al., 1982; Chapin et al.,  
2 1986).

3  
4 The relationship between Humus Index and parameters of stand development  
5 (height, diameter at breast height, wood standing crop) can be mostly explained by stand  
6 age, as these parameters increase steadily during stand development (Miller, 1984b; Chapin  
7 et al., 1986; Ulrich, 1994). Stands resulting from the conversion of old coppices-with-  
8 standards compare well with 90-years-old even-aged high forest stands, the dominants of  
9 which are of the same height (25 m, see Fig. 3b), except that they reached a larger diameter  
10 at breast height (Fig. 3d), due to higher annual increments (Guilley et al., 2004) and probably  
11 older age. Both stand types exhibit a Humus Index averaging 4 (Dysmull). However,  
12 coppices-with-standards show a high degree of variation in their stand characteristics, which  
13 are not correlated with the Humus Index (Figs. 3b, 3d, 3f, 3h). A more clear picture appears  
14 when soil types are taken into account. They explain most of the variation which remained  
15 unexplained by stand characteristics: both coppices-with-standards and even-aged high  
16 forest show a positive relationship between Humus Index and depth of clay horizon (Fig. 4b).  
17 When even-aged high forest trees are corrected for stand age, this relationship remains  
18 positive and significant, thus is not age-dependent, and differences between both forest  
19 types can be clearly perceived. For a given soil type, coppices-with-standards have a lower  
20 Humus Index (minus 0.5 unit) than oldest even-aged high forest stands. This means that  
21 coppices-with-standards exhibit less litter accumulation even though they have a higher  
22 standing crop and the same basal area than even-aged high forest stands (Figs. 3f and 3h).  
23 Given our knowledge of the relationships between humus forms (and closely related  
24 processes such as litter decomposition) and functional biodiversity of forest soils (Ponge et  
25 al., 1997; Ponge, 2003; Heemsbergen et al., 2004), we hypothesize that stands issuing from  
26 coppices-with-standards exhibit slightly more diversified animal and microbial communities  
27 than even-aged high forest. At first sight, this improvement of soil condition in converted  
28 coppices-with-standards could be explained by a higher diversity of woody vegetation, in

1 particular to the presence of hornbeam in mixture with sessile oak, especially in the  
2 understory (Aubert et al., 2004). However, in our study site we did not register any positive  
3 influence of hornbeam upon the humus form (Table 1). Similarly, Bonneau and Ranger  
4 (1984) observed a shift from Mull or Mull-Moder to Moder and a decrease in exchangeable  
5 cations when even-aged high forest stands were compared to coppices-with-standards in the  
6 Marchenoir forest, which is located not far from our study site. They attributed this shift to  
7 increased nutrient uptake and immobilization in the woody biomass of even-aged high forest,  
8 which impoverished the soil. Awaiting further studies, this interpretation could be questioned,  
9 because we observed that stands converted from coppices-with-standards neither exhibited  
10 a smaller basal area nor a smaller standing crop than even-aged high forest (Fig. 3). An  
11 alternative hypothesis could be that even-aged high forest trees were still too young to depict  
12 the improvement in soil biological activity (and thus the decrease in Humus Index) which is  
13 typically observed under older trees in natural forests (Page, 1974; Ponge et al., 1998).  
14 Other comparisons with literature data, especially when climate conditions and tree  
15 composition are different, should be made with caution. For instance, Hölscher et al. (2001)  
16 concluded that soils from oak coppices exhibited less acidity and higher mineral pools than  
17 those from even-aged high forests, but the former group was made of oak while the latter  
18 was made of beech, which flawed the comparison.

19

20         The non-linear relationship between Humus Index and base availability (Fig. 4c-f)  
21 possibly indicates a trend towards a saturation of the ecosystem in exchangeable bases, in  
22 particular in the below-ground compartment which is chiefly responsible for the building of  
23 humus forms (Chapin et al., 1986). Some studies have shown that an increase in nutrient  
24 availability maybe ineffective in changing humus quality, if adapted decomposer communities  
25 and efficient foodwebs are not present or cannot build-up rapidly (Törne, 1978; Graefe, 1990;  
26 Muys and Lust, 1992).

27

1           The observed stability of the Humus Index against thinning operations (Table 1),  
2 despite a significant change in soil pH (see Results), can be ascribed to a redundancy  
3 phenomenon within the humus profile, which has been explained in detail by Belotti and  
4 Babel (1993). Each time a function (for instance the building of a horizon) is ensured by a  
5 variety of organisms, no pronounced change appears until the least sensitive species  
6 disappears (Heemsbergen et al., 2004). We hypothesize that the time from selection cutting  
7 to crown recovery is too short for destabilizing humus profiles, because of biological inertia,  
8 but also of the time required for building or disappearance of a horizon (Ulrich, 1987). A  
9 previous study on a spruce chronosequence showed that the increase in earthworm  
10 population size which accompanied thinning operations was only temporary and did not  
11 reverse the observed shift from Mull to Moder (Bernier and Ponge, 1994).

12

13           We are aware that, although exhibiting a number of significant trends when correlated  
14 with stand and soil variables, the Humus Index does not explain the whole variation of these  
15 conditions. Roughly, the Humus Index explains at best half the total variation of stand and  
16 soil parameters (Figs. 2 to 4). This could be explained by (i) the wide range of stand and soil  
17 types covered by our study, (ii) the existence of other, not accounted for, factors which may  
18 have influenced the building of humus forms. Among these factors, the past history of the  
19 stands is probably responsible for a significant part of the unexplained variation. Fire places  
20 for charcoal production, agricultural past, human settlements, among others, are known to  
21 affect the distribution of plant species, which is probably true of soil organisms, too (Koerner  
22 et al., 1997). Even though we can discard agricultural past in the case of the studied forest,  
23 other human influences should not be neglected.

24

## 25 **6. Conclusion**

26

27           We showed that the Humus Index can be correlated with several important  
28 parameters of stand development and soil type, pointing on its possible use in the

1 assessment of site quality and the long-term survey of ecosystems. Awaiting further  
2 theoretical and experimental developments, the Humus Index should be considered as a  
3 synthetic measurement of the complexity of soil communities (Ponge, 2003), which could be  
4 used as an early tool to predict changes at the ecosystem level, due to tree growth,  
5 management practices, climate change and pollution. Practicability of the method cannot be  
6 questioned, since it does not need any other measurement than the estimate by eye of  
7 horizons and structures. The only point which deserves further elaboration is a possible shift  
8 from person to person in the estimate of horizon thickness, which has been highlighted by  
9 Federer (1982). A standardization of the method would alleviate such possible biases.  
10 Further studies should also take into account between-forest variation and the time required  
11 for reaching an equilibrium in the humus type (Wilson et al., 2001), before reaching firm  
12 conclusions about the use of the Humus Index for ecological site classification (Ray, 2001).

13

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15

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19

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- 20

1 **Figure captions**

2

3 **Fig. 1.** Location map of the Montargis forest (France)

4

5 **Fig. 2.** Relationship between Humus Index and age of even-aged high forest stands. \*\*\* =  
6 significant at 0.001 level (F test)

7

8 **Fig. 3.** Relationship between Humus Index and four stand measurements. N.S. = not  
9 significant; \*\* = significant at 0.01 level; \*\*\* = significant at 0.001 level (F test)

10

11 **Fig. 4.** Relationship between Humus Index and three soil measurements. \*\* = significant at  
12 0.01 level; \*\*\* = significant at 0.001 level (F test)

13

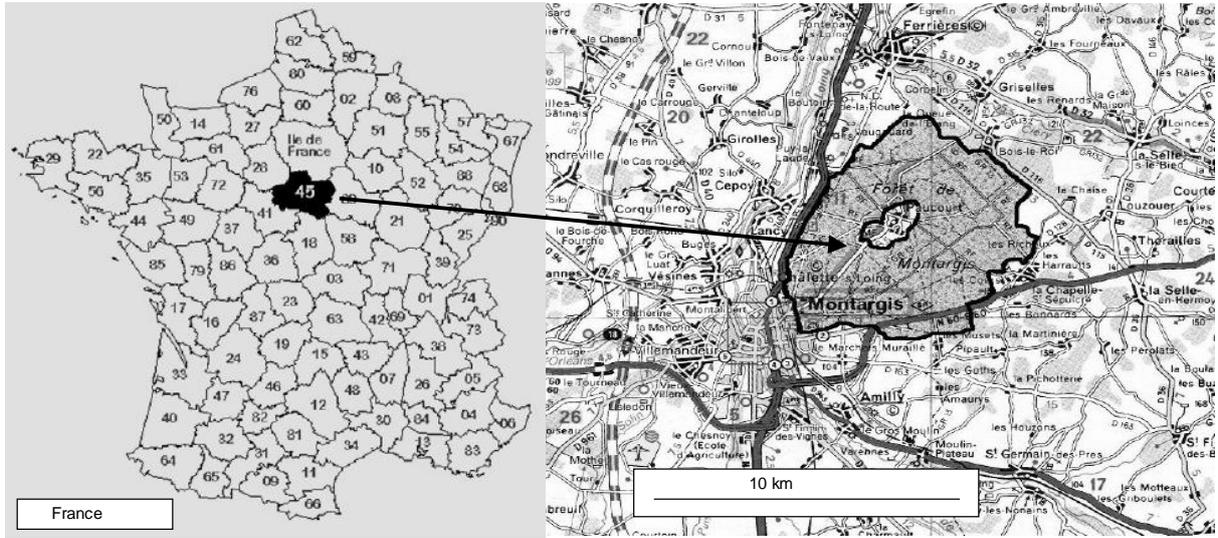
**Table 1.** Main features of the 96 selected stands. The percent presence of the three main tree species refers to the total basal area. The time since the last thinning operation is expressed in years

Code number	Forest type	% Quercus	% Fagus	% Carpinus	Mean humus form	Last time thinning
1	even-aged high forest	67	25	8	Dysmull	3
2	even-aged high forest	88	7	5	Dysmull	3
3	even-aged high forest	69	20	12	Eumoder	3
10	even-aged high forest	98	2	0	Oligomull	6
12	even-aged high forest	84	5	11	Mesomull	6
13	even-aged high forest	99	0	1	Mesomull	6
17	even-aged high forest	91	6	3	Oligomull	1
18	even-aged high forest	82	2	16	Mesomull	6
19	even-aged high forest	96	0	4	Oligomull	2
20	even-aged high forest	99	0	1	Mesomull	2
21	even-aged high forest	100	0	0	Mesomull	2
23	even-aged high forest	82	0	17	Oligomull	3
25	even-aged high forest	99	0	1	Oligomull	3
26	even-aged high forest	98	0	2	Oligomull	8
27	even-aged high forest	90	0	9	Oligomull	8
28	even-aged high forest	51	12	37	Hemimoder	8
29	even-aged high forest	81	0	19	Hemimoder	8
34	even-aged high forest	90	4	6	Dysmull	2
36	even-aged high forest	97	0	3	Oligomull	2
37	even-aged high forest	82	1	17	Oligomull	3
38	even-aged high forest	90	0	10	Oligomull	3
39	even-aged high forest	85	15	0	Oligomull	5.5
40	even-aged high forest	88	11	0	Dysmull	5.5
41	even-aged high forest	80	15	5	Eumoder	7
42	even-aged high forest	81	15	4	Eumoder	7
43	even-aged high forest	78	20	2	Dysmull	6
44	even-aged high forest	70	6	25	Dysmull	6
47	even-aged high forest	73	23	5	Eumoder	6
48	even-aged high forest	80	11	10	Eumoder	6
49	even-aged high forest	68	17	15	Eumoder	6
50	even-aged high forest	69	17	14	Eumoder	6
51	even-aged high forest	77	22	1	Oligomull	3.5
54	converted coppice-with-standards	69	4	27	Dysmull	3
55	converted coppice-with-standards	83	9	7	Hemimoder	2
57	converted coppice-with-standards	82	6	12	Eumoder	2
58	converted coppice-with-standards	78	7	15	Hemimoder	2
59	converted coppice-with-standards	80	2	18	Hemimoder	12
60	converted coppice-with-standards	34	2	64	Oligomull	12
62	converted coppice-with-standards	77	10	13	Dysmull	7
63	converted coppice-with-standards	85	3	13	Hemimoder	7
64	converted coppice-with-standards	82	0	18	Oligomull	7
65	converted coppice-with-standards	58	4	38	Dysmull	1
66	converted coppice-with-standards	51	0	49	Hemimoder	7
68	converted coppice-with-standards	80	9	11	Hemimoder	7
69	converted coppice-with-standards	38	0	62	Dysmull	7
75	converted coppice-with-standards	79	11	10	Dysmull	13
76	converted coppice-with-standards	70	26	4	Oligomull	13
77	converted coppice-with-standards	65	16	19	Oligomull	12
79	converted coppice-with-standards	72	21	7	Dysmull	12
83	converted coppice-with-standards	69	28	3	Oligomull	12
84	converted coppice-with-standards	86	9	6	Dysmull	12
86	converted coppice-with-standards	65	3	32	Oligomull	12
87	converted coppice-with-standards	62	10	28	Oligomull	12
90	converted coppice-with-standards	88	6	7	Oligomull	3
91	converted coppice-with-standards	68	23	9	Dysmull	2
92	converted coppice-with-standards	71	1	28	Hemimoder	12
93	converted coppice-with-standards	50	1	48	Eumoder	12
96	converted coppice-with-standards	71	8	21	Dysmull	6
98	converted coppice-with-standards	52	8	41	Hemimoder	6
99	converted coppice-with-standards	22	11	67	Dysmull	6
100	converted coppice-with-standards	45	3	53	Dysmull	6
101	converted coppice-with-standards	76	0	24	Hemimoder	1
102	converted coppice-with-standards	65	1	34	Hemimoder	2
103	converted coppice-with-standards	63	7	30	Hemimoder	1.5
105	converted coppice-with-standards	71	11	18	Dysmull	12
106	converted coppice-with-standards	54	4	42	Eumoder	8
109	converted coppice-with-standards	39	2	59	Hemimoder	8
114	converted coppice-with-standards	41	20	39	Dysmull	3
115	converted coppice-with-standards	56	23	21	Dysmull	2
116	converted coppice-with-standards	63	30	7	Dysmull	3
117	converted coppice-with-standards	61	5	34	Hemimoder	7
119	converted coppice-with-standards	76	6	18	Hemimoder	8
128	converted coppice-with-standards	55	26	18	Mesomull	6
129	converted coppice-with-standards	65	35	0	Eumoder	7
130	converted coppice-with-standards	59	4	37	Hemimoder	2
131	converted coppice-with-standards	32	0	68	Dysmull	12
132	even-aged high forest	100	0	0	Mesomull	99
133	even-aged high forest	100	0	0	Mesomull	99
135	even-aged high forest	98	0	2	Hemimoder	99
136	even-aged high forest	100	0	0	Mesomull	99
138	even-aged high forest	94	0	6	Mesomull	99
140	even-aged high forest	89	1	11	Mesomull	99
141	even-aged high forest	62	0	38	Mesomull	99
143	even-aged high forest	20	0	80	Mesomull	99
144	even-aged high forest	94	0	6	Mesomull	99
145	even-aged high forest	95	0	4	Oligomull	99
147	even-aged high forest	99	0	1	Oligomull	99
148	even-aged high forest	99	1	0	Mesomull	99
152	even-aged high forest	76	0	24	Dysmull	8
153	even-aged high forest	100	0	0	Oligomull	5
155	even-aged high forest	91	3	6	Oligomull	5
156	even-aged high forest	89	0	11	Dysmull	8
158	converted coppice-with-standards	76	8	16	Eumoder	12
159	converted coppice-with-standards	55	23	22	Mesomull	10
160	converted coppice-with-standards	40	6	53	Hemimoder	10
167	converted coppice-with-standards	76	3	21	Dysmull	2

**Table 2.** Product-moment correlation coefficients between Humus Index,  $\text{pH}_{\text{water}}$  and main parameters describing stand and soil condition. Correlation coefficients were tested by t test. Significance levels are: \* = 0.05, \*\* = 0.01, \*\*\* = 0.001. Degrees of freedom = 94, except for stand age (46) and time from last thinning operation (82)

	Humus Index	$\text{pH}_{\text{water}}$
Time from last thinning operation	0.10 NS	-0.27**
Age of the stand	0.73***	0.05 NS
Height of the three dominant trees	0.61***	-0.35***
Diameter at breast height of the three dominant trees	0.64***	-0.46***
Basal area	0.41***	-0.13 NS
% basal area occupied by beech	0.29**	-0.20 NS
% basal area occupied by hornbeam	0.21*	-0.16 NS
Wood standing crop	0.59***	-0.34***
Depth of the first enrichment in clay	0.43***	0.04 NS
Depth of the first clay-dominated horizon	0.47***	-0.05 NS
% clay	-0.21*	-0.31**
% silt	-0.19 NS	-0.40***
% sand	0.20 NS	0.38***
$\text{pH}_{\text{water}}$	-0.39***	
$\text{pH}_{\text{KCl}}$	0.12 NS	0.58***
Cation exchange capacity	-0.25*	-0.27**
Exchangeable Ca	-0.51***	0.45***
Exchangeable Mg	-0.38***	0.13 NS
Exchangeable K	-0.29**	-0.09 NS
Total exchangeable bases	-0.50***	0.39***
1 Base saturation	-0.61***	0.68***

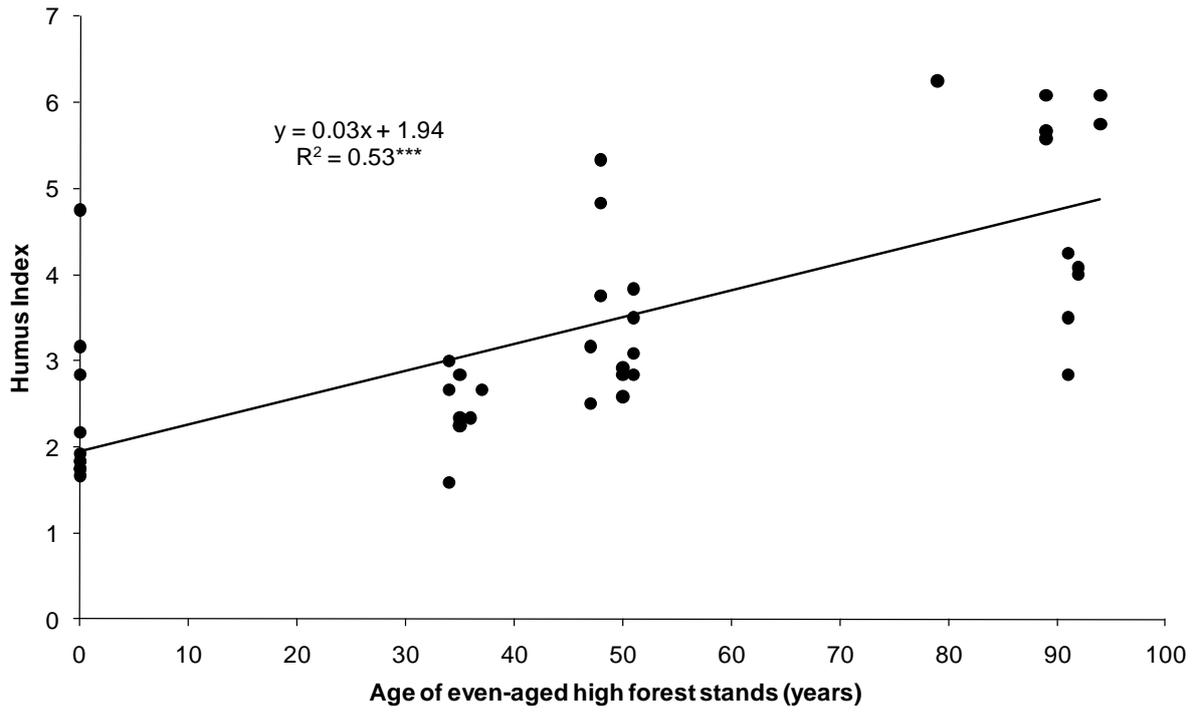
2



1

2 Fig. 1

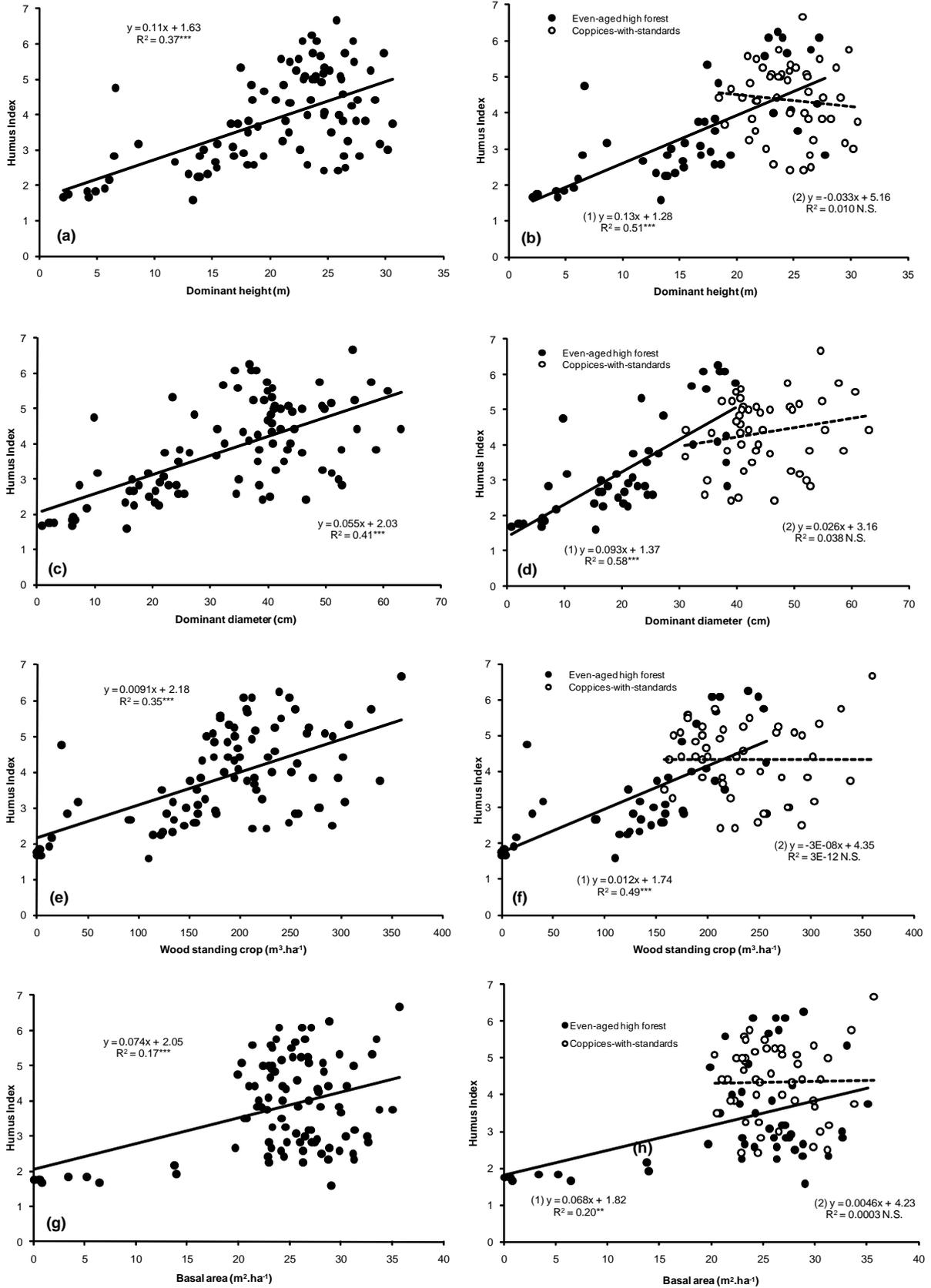
3



1

2 Fig. 2

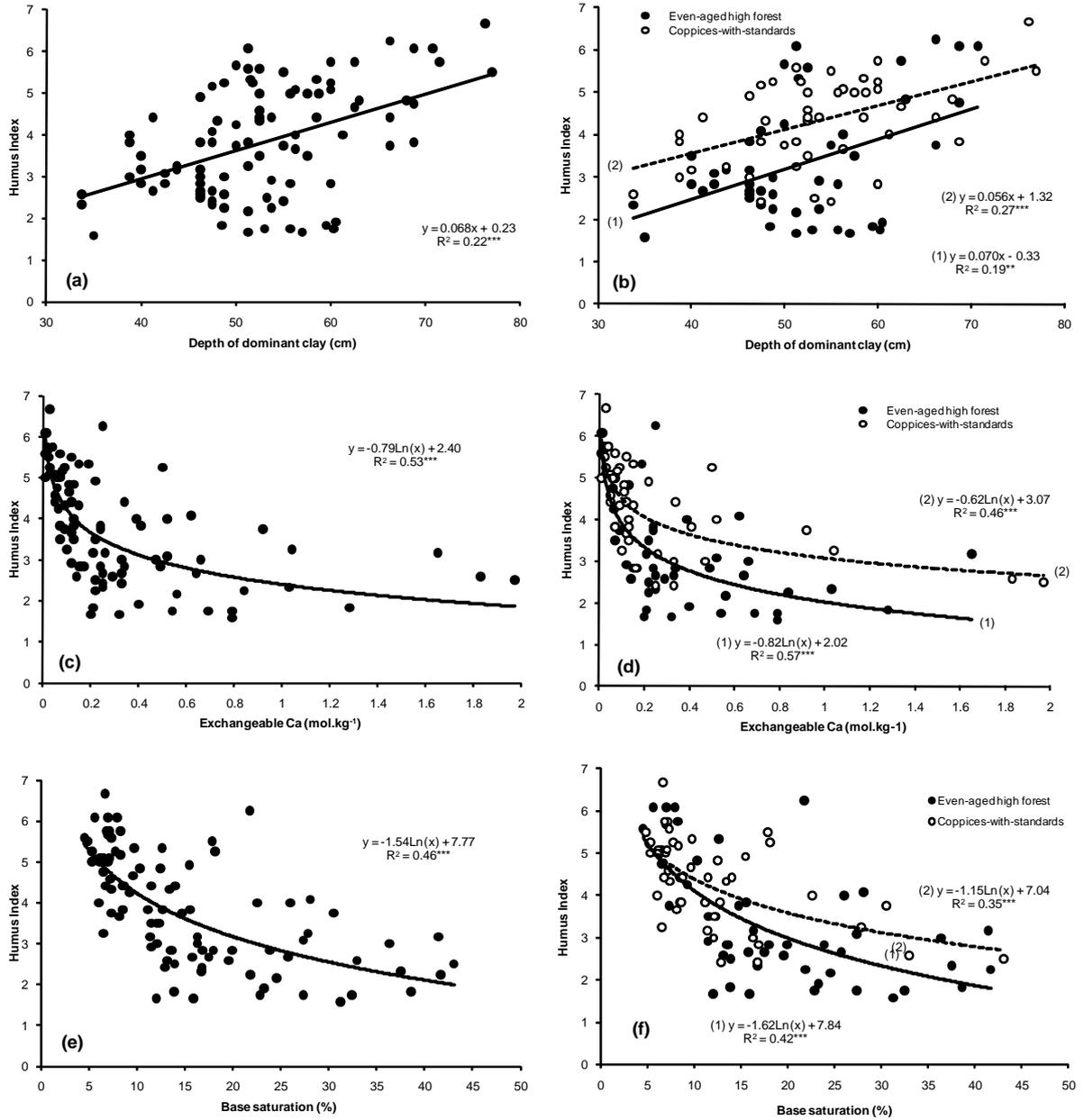
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2 Fig. 3

3



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2 Fig. 4