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1 **Insular Lateralization in Tinnitus Distress.**

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7

8

**Abstract**

9 Tinnitus affects 15% of the population. Of these 1–2% are severely disabled by it. The role of  
10 the autonomic system in tinnitus is hardly being investigated. The aim of this study is to  
11 investigate the relationship between tinnitus distress and lateralization of the anterior insula,  
12 known to be involved in interoceptive awareness and (para)sympathetic changes. For this,  
13 Tinnitus Questionnaire scores are correlated to Heart Rate Variability markers, and related to  
14 neural activity in left and right anterior insula. Our results show that tinnitus distress  
15 is related to sympathetic activation, in part mediated via the right anterior insula.  
16

17 **Introduction**

18 Tinnitus is a symptom that affects 15% of the population (Axelsson and Ringdahl, 1989). Most  
19 people who have tinnitus can effectively cope with it, however a small percentage of tinnitus  
20 sufferers demonstrate maladaptive coping (Budd and Pugh, 1996; Scott et al., 1990; Tyler et  
21 al., 2006): 1-2% of tinnitus sufferers are severely disabled by their tinnitus (Axelsson and  
22 Ringdahl, 1989). This maladaptive coping group suffers significantly more from associated  
23 somatic complaints such as headaches, neck and shoulder pain, low back pain, muscle  
24 tension, sleep and concentration problems (Hiller et al., 1997; Scott and Lindberg, 2000) and  
25 demonstrates cognitive inefficiency (Hallam et al. 2004), poor stress coping (Scott and  
26 Lindberg 2000) and depression (Dobie, 2003; Folmer and Shi, 2004; Harrop-Griffiths et al.,  
27 1987; Scott and Lindberg, 2000; Sullivan et al., 1988).

28 The amount of distress people experience related to tinnitus can be evaluated by the use of  
29 validated tinnitus questionnaires. Tinnitus distress is associated to a higher orthosympathetic  
30 (OS) tone (Datzov et al., 1999) and tinnitus suppression induces an increased  
31 parasympathetic (PS) tone (Matsushima et al., 1996). Previous functional imaging studies  
32 show that specific frontal cortical areas closely relate to emotion perception and interoception.  
33 The right anterior insula seems to be specifically involved in the representation of subjective  
34 feelings (Craig, 2003; Critchley et al., 2004). Based on human lesion and electrical stimulation  
35 studies it has also been suggested that the right insula controls cardiac OS activity whereas  
36 the left insula is predominantly associated to PS activity (Oppenheimer, 1993, 2006;  
37 Oppenheimer et al., 1992; Oppenheimer et al., 1996). Functional Magnetic Resonance  
38 Imaging (fMRI) studies of sympathetic skin conductance response seem to confirm this  
39 lateralization by revealing right insula activation (Critchley et al., 2000). Furthermore, when  
40 correlating dichotic visual stimuli with Heart Rate Variability (HRV) the same lateralization  
41 effect is found (Wittling et al., 1998a; Wittling et al., 1998b).

42 Heart Rate Variability (HRV) is a simple and non-invasive quantitative marker of autonomic  
43 function. As a result of continuous variations of the balance between OS and PS neural  
44 activity influencing heart rate, intervals between consecutive heartbeats (RR intervals) show  
45 spontaneously occurring oscillations. For HRV spectral analysis three main underlying  
46 frequencies have been used in literature: the very-low-frequency range (VLF  $\leq$  0.04 Hz), the  
47 low-frequency range (LF: 0.04 – 0.15 Hz) and the high frequency range (HF: 0.15 – 0.4 Hz).  
48 The high frequency component of HRV is believed to be influenced by vagal activity and is  
49 also related to the frequency of respiration (Yasuma and Hayano, 2004). Low-frequency (LF)  
50 power is modulated by baroreceptor activities and fluctuations in heart rate in the LF range  
51 reflect OS as well as PS influences. Low-frequency power, therefore, cannot be considered to  
52 reflect pure OS activity. However if normalized units of LF and HF are considered, the OS and  
53 PS influences respectively are emphasized (Electrophysiology, 1996). In HRV frequency  
54 domain, normalized units (n.u.) of LF and HF components therefore reflect OS and PS  
55 influences respectively.

56 The aim of this study is to investigate the relation between tinnitus distress and lateralisation  
57 of the anterior insula, known to be involved in interoceptive awareness and OS as well as PS  
58 changes. For this, tinnitus questionnaire (TQ) scores (Goebel and Hiller, 1994) are correlated  
59 to HRV markers, and related to neural activity in left and right anterior insula.

## 60 **Methods**

61 Ten patients with strictly right-sided unilateral tinnitus are analyzed. EEG and ECG signals  
62 are recorded simultaneously over 5 min in supine position using a 32 channel digital EEG  
63 (Neuroscan, Compumedics, Houston, TX) in a dimly illuminated and soundproof room  
64 (sampling rate = 500Hz, band passed 0.15-100Hz). Electrodes are referenced near the vertex  
65 and impedances checked to remain below 5 k $\Omega$ . To minimize respiratory influences on HRV,  
66 respiration is controlled at 12 beats per minute using auditory cues. All patients complete a  
67 validated Dutch version of the TQ (Meeus et al., 2007), which reflects the amount of tinnitus  
68 related distress perceived by the patient (Goebel and Hiller, 1994).

69

### 70 ECG analyses

71 ECG signals are processed by time and frequency domain methods as recommended by the  
72 Task force (Electrophysiology, 1996): QRS complexes are recognized from the short-term  
73 artifact-free ECG recordings from which peaks (R-waves) are detected and from which  
74 intervals between two consecutive peaks (RR intervals) are calculated. Once HRV time series  
75 are extracted they are analyzed in the time and frequency domain using HRV Analysis  
76 Software 1.1 for windows developed by The Biomedical Signal Analysis Group, Department  
77 of Applied Physics, University of Kuopio, Finland. Pearson correlations between OS (LF n.u.)  
78 and PS (HF n.u.) markers of HRV and TQ-scores are performed.

79

### 80 EEG analyses

81 EEG segments contaminated by artifacts are rejected offline by visual inspection. The  
82 remaining data are analyzed in the frequency domain by means of Fast Fourier Transform  
83 (FFT) analysis. Two regions of interest (ROI) corresponding to the right and left anterior insula  
84 are selected in the MNI atlas (Fig. 1). A spatial filter approach known as beamforming  
85 (Congedo, 2006) targeting these two ROIs is applied in order to obtain current density  
86 estimations within these ROIs by the eLORETA method (Pascual-Marqui 2007). The log-  
87 current density is correlated with the TQ-scores, in all 1Hz spaced discrete Fourier  
88 frequencies in the range 1Hz-60Hz. Significant trends are formulated with a  $p < 0.05$ .

89 Fig. 1: about here.

## 90 **Results**

91 TQ-scores ( $M = 40.2$ ;  $SD = 13.7$ ) correlate positively with the OS marker, the Low Frequency  
92 normalized units ( $r = 0.58$ ), and negatively with the PS marker, the High Frequency  
93 normalized units ( $r = -0.58$ ).

94 In addition, current density analyses show that increased cortical activity in the left anterior  
95 insula at 11Hz ( $r = 0.56$ ; alpha band) and decreased activity at 4Hz ( $r = -0.63$ ; theta band) and  
96 in the high gamma band frequencies (54Hz,  $r = -0.58$ ; 59Hz,  $r = -0.74$ ) relates to increased  
97 TQ-scores. In the right anterior insula increasing TQ-scores were found with increased activity  
98 in delta band frequencies (2Hz,  $r = 0.67$ ) and gamma band frequencies (32Hz,  $r = 0.74$ ; 39Hz,  
99  $r = 0.56$ ) no significant decreases are noted in this area.

## 100 **Discussion**

101 Our results show a positive relation between OS load and tinnitus distress as measured by  
102 the TQ (Goebel and Hiller, 1994). In addition the right anterior insula, an area related to OS  
103 influence, shows increased delta and gamma activity related to increased tinnitus distress. On  
104 the other hand decreased theta and gamma activity are found in the left anterior insula, an  
105 area related to PS influence.

106 At a resting state the sensory cortices are characterized by alpha activity, which has been  
107 proposed to be an idling rhythm or a rhythm reflecting active inhibitory mechanisms (Klimesh  
108 et al., 2007). Gamma band activity is noted focally and waxes and wanes as it arises as a  
109 response to external stimuli, both in the visual (Crick & Koch, 1990), auditory (Joliot et al.,  
110 1994) and somatosensory (Gross et al., 2007) system and thus reflects the activation of a  
111 cortical area. We suggest this mechanism can be extended to the autonomic nervous system.  
112 Gamma frequencies in this study increase or decrease together with low frequencies in the  
113 right or left anterior insula respectively, suggesting some type of nesting or coupling of high  
114 frequencies on low frequencies. Low frequencies (delta and theta) are widely distributed and  
115 activate larger networks (Gollo et al., 2010) and the nesting of gamma on theta or delta allows  
116 synchronization of widely distributed focal gamma activations, providing a mechanism for  
117 effective communication between these distributed areas (Canolty et al., 2006).

118 Increasing distress, as measured by the TQ, is associated with an increase of alpha in the left  
119 insula and a decrease in theta and gamma, suggesting the left insula is actively inhibited by  
120 increasing distress, by the same alpha oscillation based mechanism encountered in other  
121 (sensory) cortices (Weisz et al., 2011). The delta and gamma activity in the right insula  
122 suggests this area is activated and associated with increasing distress.

123 The right insula has been related to interoception (Craig, 2003; Critchley et al., 2004; Taylor  
124 et al., 2008) and OS control (Oppenheimer, 1993, 2006; Oppenheimer et al., 1992). Damage  
125 to the left insula in humans can shift cardiovascular balance towards increased basal OS tone  
126 (Oppenheimer et al., 1996) and stimulation of the human right insula increases OS  
127 cardiovascular tone, whereas left insula stimulation increases parasympathetic (PS) tone  
128 (Oppenheimer, 1993). The right insula could therefore very well generate the subjective  
129 feelings of distress, i.e. the anxiety, associated with autonomic activity.

130 Many patients mention that tinnitus has developed in a stressful life episode and that it is  
131 worsened by stressful situations (Budd and Pugh, 1996; Hebert and Lupien, 2007). Tinnitus  
132 shares common pathophysiological, clinical and treatment characteristics with pain (De

133 Ridder et al., 2007; Moller, 2000; Tonndorf, 1987) and the same observation is made in  
134 patients suffering from pain (Price, 2000).

135 In patients suffering posttraumatic stress disorder (PTSD) the prevalence of tinnitus is 50%  
136 (Hinton et al., 2006) and in soldiers presenting tinnitus 34% also suffer from PTSD (Fagelson,  
137 2007). This prevalence is much higher than in the normal population, where it is 10-15%  
138 (Axelsson and Ringdahl, 1989) suggesting a relation between tinnitus and some types of  
139 stress related disorders.

140 Former studies have also shown that stellate ganglion blocks can sometimes improve tinnitus  
141 transiently (Adlington and Warrick, 1971; Matoba et al., 1984; Warrick, 1969). The stellate  
142 ganglion is a sympathetic ganglion, thus suggesting the OS system could be a possible target  
143 for tinnitus treatment. Exploring potential central mechanisms of sympathetically mediated  
144 modulation of tinnitus therefore seems mandated.

145 At a cortical level, a Magnetoencephalographic (MEG) study demonstrated that tinnitus  
146 related distress is associated with a right sided connectivity increase between the anterior  
147 cingulate and the frontal cortex and parietal cortex (Schlee et al., 2008). However due to the  
148 technique used in this study it cannot be discerned which area of the frontal cortex is  
149 involved. On the other hand, a recent EEG study also showed that tinnitus distress involves a  
150 network which encompasses the amygdala, anterior cingulate, insula and parahippocampal  
151 area (Vanneste et al., 2010) although no lateralization effect was investigated.

152 In conclusion, this study suggests that tinnitus distress is related to OS activation, in part  
153 mediated via the right anterior insula, via spontaneous gamma and delta band activity as well  
154 as PS influence. Left insular alpha activity, suggesting PS inactivation, is correlated with  
155 associated decreased theta and gamma activity. These data extend the concept that tinnitus  
156 distress is related to autonomic changes in the sympathetico-vagal balance, mediated at least  
157 in part by right sided anterior insular activity. The coupled low-high frequency changes  
158 suggest that the left insular gamma decrease and right insular gamma increase might be part  
159 of a larger theta based central autonomic nervous system network. This is also consistent  
160 with previous MEG and EEG studies investigating the neural correlates of tinnitus distress.

161

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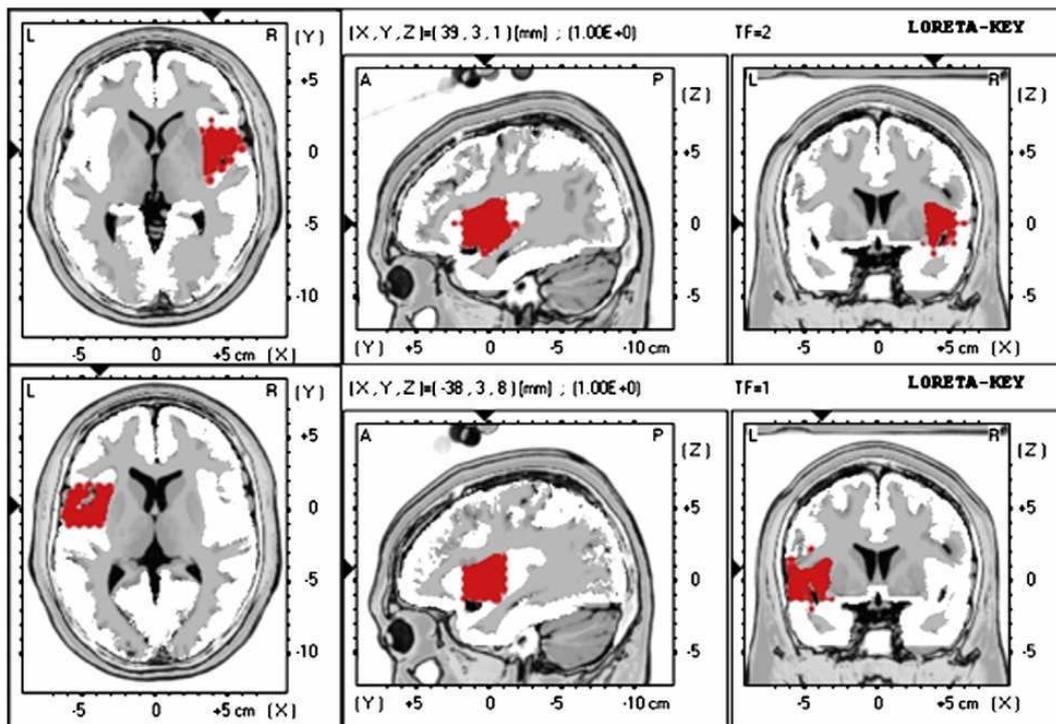
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276

277 Fig. 1: Regions of interest: Right anterior insula (upper panel) and left anterior insula  
278 (lower panel). Displayed sections are the axial (left), sagittal (middle), and coronal  
279 (right) sections.

280