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1 **Monitoring groundwater storage changes in the highly**
2 **seasonal humid tropics: validation of GRACE measurements**
3 **in the Bengal Basin**

4
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6

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13

14 **Abstract**

15 [1] Satellite monitoring of changes in terrestrial water storage provides invaluable
16 information regarding the basin-scale dynamics of hydrological systems where ground-based
17 records are limited. In the Bengal Basin of Bangladesh, we test the ability of satellite
18 measurements under the Gravity Recovery and Climate Experiment (GRACE) to trace both
19 the seasonality and trend in groundwater storage associated with intensive groundwater
20 abstraction for dry-season irrigation and wet-season (monsoonal) recharge. We show that
21 GRACE (CSR, GRGS) datasets of recent (2003 to 2007) groundwater storage changes
22 (ΔGWS) correlate well ($r=0.77$ to 0.93 , p -value <0.0001) with *in situ* borehole records from a
23 network of 236 monitoring stations and account for 44% of the total variation in terrestrial
24 water storage (ΔTWS); highest correlation ($r=0.93$, p -value <0.0001) and lowest root mean
25 square error (<4 cm) are realized using a spherical harmonic product of CSR. Changes in
26 surface water storage estimated from a network of 298 river gauging stations and soil-
27 moisture derived from Land Surface Models explain 22% and 33% of ΔTWS respectively.

28 Groundwater depletion estimated from borehole hydrographs ($-0.52 \pm 0.30 \text{ km}^3/\text{yr}$) is within
29 the range of satellite-derived estimates (-0.44 to $-2.04 \text{ km}^3/\text{yr}$) that result from uncertainty
30 associated with the simulation of soil moisture (CLM, NOAH, VIC) and GRACE signal-
31 processing techniques. Recent (2003 to 2007) estimates of groundwater depletion are
32 substantially greater than long-term (1985 to 2007) mean ($-0.21 \pm 0.03 \text{ km}^3/\text{yr}$) and are
33 explained primarily by substantial increases in groundwater abstraction for the dry-season
34 irrigation and public water supplies over the last two decades.

35

36 **1. Introduction**

37 [2] Groundwater is the world's largest distributed store of freshwater [*Shiklomanov and*
38 *Rodda, 2003*]. Quantification of changes in groundwater storage (ΔGWS) is consequently
39 critical to understanding terrestrial freshwater dynamics and assessing the impacts of
40 groundwater withdrawals as well as climate variability and change [*Yeh and Famiglietti,*
41 *2009*]. Reductions in groundwater storage, referred to as “groundwater depletion”, have
42 recently been detected in arid and semi-arid areas where intensive groundwater abstraction
43 sustains irrigated agriculture [*Konikow and Kendy, 2005; McGuire, 2007; Leblanc et al.,*
44 *2009; Rodell et al., 2009; Famiglietti et al., 2011*]. The magnitude of groundwater depletion
45 is such that it is estimated to account for up to 25% of recently observed rises in global sea
46 levels [*Wada et al., 2010*]. There is, however, no global reporting of *in situ* groundwater
47 observations to monitor ΔGWS [*Rodell and Famiglietti, 2001; Taylor et al., 2010*].

48 [3] The Gravity Recovery and Climate Experiment (GRACE) [*Tapley et al., 2004*] offers
49 the opportunity to monitor monthly changes in total terrestrial water storage (ΔTWS) via
50 satellite observations at regional scales starting from April 2002 [*Cazenave and Chen, 2010*].
51 ΔGWS is estimated from GRACE-derived ΔTWS after deducting the contribution of changes

52 in remaining terrestrial water stores including soil moisture (ΔSMS), surface water (ΔSWS),
53 and ice and snow (ΔISS) over a particular time period (t) (equation 1).

$$54 \quad \Delta GWS_t = \Delta TWS_t - \Delta SMS_t - \Delta SWS_t - \Delta ISS_t \quad (1)$$

55 [4] Accurate disaggregation of GRACE ΔTWS into different water stores is therefore
56 critical to quantifying ΔGWS . Recent studies in humid environments [*Frappart et al.*, 2008;
57 *Han et al.*, 2009; *Kim et al.*, 2009; *Frappart et al.*, 2011] highlight the substantial
58 contribution (>25%) of ΔSWS to ΔTWS . Robust estimates of ΔGWS have been resolved from
59 GRACE ΔTWS in the USA where these satellite data are validated using ground-based (*in*
60 *situ*) hydrological datasets [*Swenson et al.*, 2006; *Yeh et al.*, 2006; *Rodell et al.*, 2007;
61 *Strassberg et al.*, 2007]. Several studies have sought to quantify changes in terrestrial water
62 stores in the humid tropics [*Crowley et al.*, 2006; *Winsemius et al.*, 2006; *Tiwari et al.*, 2009]
63 but none of these is well constrained by ground-based observations.

64 [5] GRACE measurements record large-scale variations in ΔTWS . The application of
65 GRACE measurements to space-limited areas (e.g. river basin) is associated with both bias
66 (i.e. amplitude damping from mass inside the basin) and leakage (i.e. sensitivity to masses
67 outside the basin) [*Chambers*, 2006; *Swenson and Wahr*, 2006; *Klees et al.*, 2007;
68 *Longuevergne et al.*, 2010]. Multiplicative [*Swenson and Wahr*, 2006] and additive [*Klees et*
69 *al.*, 2007] approaches to account for bias and leakage have been developed using a priori
70 information on terrestrial distributions in water stores derived from Land-Surface Models
71 (LSMs). Such data-processing methods for GRACE data are critical when the basin area
72 (Bengal Basin $\sim 138,000 \text{ km}^2$) marginally exceeds the limits in the resolution ($\sim 100,000 \text{ km}^2$)
73 of GRACE observations [*Longuevergne et al.*, 2010].

74 [6] The Bengal Basin of Bangladesh and West Bengal (India) (Figure 1), the largest river
75 delta in the world [*Shamsudduha and Uddin*, 2007], is an ideal location to test the robustness
76 of GRACE-derived estimates of ΔGWS in the humid tropics for four reasons. First, the basin

77 features dense networks of ground-based, surface-water and groundwater level monitoring
78 stations with which to resolve and test estimates of ΔGWS from ΔTWS [Shamsudduha *et al.*,
79 2009; Steckler *et al.*, 2010]. Second, a basin-wide database of storage coefficients, derived
80 from 279 pumping-test records [BWDB, 1994; Shamsudduha *et al.*, 2011], enables
81 conversion of groundwater-level observations to ΔGWS . Third, substantial intra-annual
82 (seasonal) and inter-annual changes in groundwater storage (see supplementary Figures S1
83 and S2 for dry and wet-season groundwater levels in Bangladesh) occur as a result of
84 intensive groundwater abstraction for dry-season irrigation and wet-season (monsoonal)
85 recharge [Shamsudduha *et al.*, 2011]. Fourth, the basin's area in Bangladesh ($\sim 138,000$ km²)
86 is around the limit in the resolution of GRACE observations. In addition, the Bengal Basin
87 provides a representative case study for other Asian Mega-Deltas for which detailed ground-
88 based monitoring records are unavailable.

89 [7] Here, we test the ability of GRACE satellite measurements to trace intra-annual
90 (seasonal) and inter-annual ΔGWS in a highly seasonal, tropical humid hydrological system,
91 the Bengal Basin, over the period of January 2003 to December 2007 using *in situ* (ground-
92 based) observations of groundwater levels [Shamsudduha *et al.*, 2009] and distributed
93 specific yield estimates [Shamsudduha *et al.*, 2011]. Critically, we resolve contributions of
94 ΔSWS and ΔSMS to ΔTWS using *in situ* observations of ΔSWS from a network of 298 river-
95 level monitoring stations across Bangladesh [Steckler *et al.*, 2010] and simulations of ΔSMS
96 from three Land Surface Models (LSMs) (CLM, NOAH, VIC) provided by the Global Land
97 Data Assimilation System (GLDAS) [Rodell *et al.*, 2004]. Further, we evaluate the robustness
98 of different GRACE data-processing methods for resolving ΔGWS in a highly seasonal,
99 tropical humid basin where variations in the dry and wet-season groundwater levels are
100 substantial (mean annual amplitude 5.4 ± 2.6 m). Finally, we place estimates of recent (2003 to

101 2007) trends in ΔGWS in the context of long-term trends (1985 to 2007) derived from long-
102 term *in situ* observations.

103

104 **2. Datasets and Methods**

105 **2.1. GRACE datasets**

106 [8] In this study, we use both post-processed gridded GRACE datasets and spherical
107 harmonic (SH) products, provided by the Centre for Space Research (CSR) and Groupe de
108 Recherche en Géodesie Spatiale (GRGS), to derive ΔGWS in the Bengal Basin. Gridded files
109 include: (i) a monthly, $1^\circ \times 1^\circ$ CSR GRACE time-series dataset masked over the Bengal Basin
110 in Bangladesh (land grid version “ss201008”; <http://grace.jpl.nasa.gov/data/>; hereafter
111 referred to as CSR GRID) [Swenson and Wahr, 2006] wherein bias and leakage are
112 compensated using a scaling factor to restore GRACE TWS signal amplitude for each grid;
113 and (ii) a 10-day, $1^\circ \times 1^\circ$ GRGS GRACE time-series data (version RL02; [http://grgs.omp.obs-](http://grgs.omp.obs-mip.fr)
114 [mip.fr](http://grgs.omp.obs-mip.fr); hereafter referred to as GRGS GRID) [Lemoine et al., 2007; Bruinsma et al., 2010];
115 no scaling factor is applied for the GRGS GRID data. The scaling coefficients provided for
116 each 1° bin of the CSR GRID data are intended to restore much of the energy removed by
117 destriping, filtering, and truncation processes [Swenson and Wahr, 2006]. Unlike CSR GRID
118 monthly data, GRGS GRID products do not require additional filtering [Biancale et al., 2006;
119 Lemoine et al., 2007; Ramillien et al., 2008; Tregoning et al., 2008; Bruinsma et al., 2010].
120 SH-based products (hereafter referred to as CSR SH for CSR and GRGS SH for GRGS
121 products) are processed based on methods described by Longuevergne et al. [2010]. Bias and
122 leakage are calculated using the additive hypothesis of Klees et al. [2007]. In the Bengal
123 Basin, GRACE error amounts to 5 cm and is estimated by computing variability in the oceans
124 at the same latitude and by propagating LSM error into leakage corrections according to
125 Longuevergne et al. [2010]. The estimated error might be slightly overestimated as variability

126 in the oceans may still contain geophysical signals. We convert the 10-day GRGS GRID
127 solutions to a monthly time series by taking the average values in order to directly compare
128 them with other GRACE solutions used in this study. Missing GRACE TWS data in CSR
129 (June 2003) and GRGS (January, February, and June 2003) time-series products were
130 imputed (i.e. infilling of missing values) using linear interpolation and monthly mean values.
131

132 **2.2. Borehole hydrograph and groundwater storage**

133 [9] We use weekly time-series records of borehole hydrographs from a subset of 236
134 shallow (mean well depth of 30 m below ground level, bgl) monitoring wells (see
135 supplementary Figure S3 for borehole location) to assess changes in the groundwater storage
136 over two periods (January 2003 – December 2007; January 1985 – December 2007). The first
137 period represents recent changes in groundwater storage that are directly comparable to
138 satellite observations under GRACE. The second period represents the longest period of
139 groundwater storage changes for which observational records of high quality (mean missing
140 record <4.3%) and density are available.

141 [10] The annual range (annual maxima – annual minima) in observed groundwater levels
142 or hydraulic heads (Δh) in the regionally unconfined shallow aquifer (<100 m below ground
143 level, bgl) in the Bengal Basin is translated into an equivalent groundwater depth (GWD) to
144 derive *in situ* ΔGWS . Groundwater levels in shallow aquifers in Bangladesh reach the peak
145 around September following rain-fed recharge through the monsoon season after their
146 deepest levels observed towards the end of dry-season irrigation [Shamsudduha *et al.*, 2011].
147 Estimates of *in situ* ΔGWS are compared with GRACE-derived estimates according to
148 equation 2 wherein $S_{gw}(t)$ is the trend in GWD and A is area of the same grid cells ($n=27$)
149 within the Bengal Basin of Bangladesh over which time-series measurements of GRACE
150 ΔTWS and ΔSMS data were collated.

151
$$\Delta GWS_t = \sum_{i=1}^n (S_{gw}(t) \times A_i) \quad (2)$$

152 [11] S_{gw} is calculated at each monitoring location using specific yield value (S_y) and range
 153 in annual groundwater levels according to equation 3.

154
$$S_{gw} = \Delta h \times S_y \quad (3)$$

155 [12] Similar to GRACE-derived ΔGWS estimates we apply both linear (August to
 156 October) and multiple linear trends to estimate *in situ* ΔGWS over the entire Bangladesh.
 157 Spatially distributed S_y values derive from 279 pumping test records [Shamsudduha *et al.*,
 158 2011] are applied across Bangladesh (see supplementary Figure S4 for the location of
 159 pumping test and spatial distribution of S_y). The mean value of the estimated S_y in
 160 Bangladesh is 0.06 (range 0.01 to 0.2) with a standard deviation of 0.04. In light of
 161 uncertainty in values of S_y in Bangladesh [Michael and Voss, 2009], we compare this
 162 estimates derived from distributed S_y values with an upper-limit uniform value of 0.10; such
 163 a high S_y value (0.12) has similarly been applied regionally [Rodell *et al.*, 2009] where *in situ*
 164 derived values are absent.

165

166 **2.3. Surface water storage and soil moisture**

167 [13] ΔSWS used in our analysis refers primarily to flood-water loads and river storage as
 168 there are no irrigation dams or reservoirs in Bangladesh [WARPO, 2000]. The Bengal Basin
 169 in Bangladesh is, however, flood prone. Areas of up to one-third of the country (~48,000
 170 km²) are inundated by flood water each year and two-thirds of the country may be under
 171 water during extensive flood years [Steckler *et al.*, 2010]. We generate monthly time-series
 172 data of ΔSWS of a spatial resolution of 1°×1° using daily river-stage observations from 298
 173 monitoring stations throughout Bangladesh (supplementary Figure S5 for seasonal variations

174 in the in-situ ΔSWS). This procedure involves: (i) conversion of daily river-stage records to
175 mean monthly time series; (ii) interpolation (applying the Inverse Distance Weighting
176 method using the GSTAT package in R programming language) of mean monthly river-level
177 records (point data) over the entire Bangladesh on a regular grid size of $0.05^\circ \times 0.05^\circ$; (iii)
178 subtracting gridded surface-water level data from a resampled 300-m digital elevation model
179 data on a regular grid size of $0.05^\circ \times 0.05^\circ$, and (iv) aggregating interpolated values over a
180 larger grid size of $1^\circ \times 1^\circ$ ($n=27$) over a period of January 2003 to December 2007 to generate
181 mean monthly time-series of ΔSWS .

182 [14] Soil moisture is often the dominant contributor to ΔTWS variability in warm and
183 temperate regions [Rodell *et al.*, 2009]. We apply monthly time-series soil moisture records
184 from three simulations of the Global Land Data Assimilation System (GLDAS) [Rodell *et al.*,
185 2004]. Time series records of ΔSMS of a spatial resolution of $1^\circ \times 1^\circ$ derived from three LSMs
186 such as CLM (v. 2) [Dai *et al.*, 2003], NOAH [Ek *et al.*, 2003], and VIC [Liang *et al.*, 2003].
187 The total depth of ΔSMS in CLM (10 layers), NOAH (4 layers), and VIC (3 layers) models
188 are 3.4 m, 2.0 m, and 1.9 m respectively. In the absence of *in situ* ΔSMS data we use the
189 ensemble mean of 3 LSMs-derived time-series data to represent ΔSMS in the Bengal Basin; a
190 similar approach was used to estimate ΔGWS in northwestern India by Rodell *et al.* [2009]
191 and central valley of California by Famiglietti *et al.* [2011]. None of these LSMs, however,
192 includes groundwater storage [Dai *et al.*, 2003; Rodell *et al.*, 2004] or a specific module for
193 surface water routing.

194

195 **2.4. Disaggregation of GRACE ΔTWS**

196 [15] Disaggregation of GRACE ΔTWS into GRACE-derived ΔGWS is carried out
197 differently for GRID and SH products. For CSR GRID and GRGS GRID, we derive temporal
198 changes in groundwater storage, ΔGWS , over the basin area ($\sim 138,000 \text{ km}^2$) in Bangladesh

199 by (i) extracting GRACE ΔTWS , ΔSMS , and ΔSWS time-series (January 2003 to December
200 2007) records for each $1^\circ \times 1^\circ$ grid cell ($n=27$; see supplementary Figure S6 for location), and
201 (ii) averaging these time-series signals from all grids and applying the equation 1. Note that
202 ΔSMS represents changes in soil moisture storage in all soil horizons and ΔSWS includes
203 river and flood water storage. Changes in freshwater storage derived from ice and snow
204 (ΔISS) are negligible in Bangladesh and not considered in this study. For CSR SH and GRGS
205 SH, ΔGWS is resolved differently to reduce the propagation of uncertainties from bias and
206 leakage variations on surface water and soil moisture. Equation (1) is applied to GRACE SH
207 solutions to derive ΔGWS estimates. Bias (due to signal loss in internal water mass) and
208 leakage (due to contribution from water mass outside of basin area) corrections are applied to
209 GRACE-derived estimates of ΔGWS following the method described in *Longuevergne et al.*,
210 [2010]. This method, however, requires information on ΔSMS and ΔSWS mass distribution in
211 inside and outside of the basin area. The same filtering used for GRACE solutions (truncation
212 at degree 60 and a 300 km Gaussian smoothing for CSR SH, truncation at degree 50 for
213 GRGS SH) is applied to ΔSMS and ΔSWS before subtracting from the raw GRACE data
214 (uncorrected for bias and leakage). Both spatial extent and mass variations in ΔSWS are
215 known for the Bengal Basin. To account for temporal and spatial mass variability of ΔSWS
216 outside of the Bengal Basin we use a global-scale model of surface water extent [*Papa et al.*,
217 2010].

218 [16] Linear and multiple linear trends were estimated from the basin-averaged GRACE
219 derived ΔGWS . Linear trends (i.e. simple linear regression) in ΔGWS were calculated using
220 data from the latter part of the wet season (August to October) of each year as these represent
221 net changes in groundwater storage after the dry-season irrigation for high-yielding rice
222 (“Boro”) (Figure 1) cultivation and monsoon recharge have taken place [*Shamsudduha et al.*,
223 2011]. Estimates of linear trend in observed ΔGWS can be biased by the strong seasonality

224 (dry and wet season variations) present in the time-series records. To capture the highly
225 seasonal structure in the ΔGWS signal, multiple linear trends (i.e. multiple linear regression)
226 were calculated through the annual means of time series where, in addition to time (t), both
227 sine ($\sin(2\pi / T)$) and cosine ($\cos(2\pi / T)$) functions of time are included as covariates;
228 where T is the total number ($T = 12$) of time unit (month) in the complete seasonal cycle of
229 the time series. Other approaches to separate seasonality from trend and residual components
230 in the time series (e.g., seasonal-trend decomposition based on filtering procedure) can be
231 applied but accurate, bias-free (due to seasonality) decomposition will require longer time
232 scales [Cleveland *et al.*, 1990; Shamsudduha *et al.*, 2009].

233

234 **3. Results**

235 [17] Figure 2 shows monthly time-series anomalies in all GRACE derived ΔTWS ,
236 simulated ΔSMS from 3 LSMs and their average, observed groundwater levels and river-stage
237 levels, and average monthly rainfall in Bangladesh for the period of January 2003 to
238 December 2007. ΔTWS signals derived from basin-averaged gridded GRACE products (CSR
239 GRID, GRGS GRID) compare favorably ($r > 0.94$, p -value > 0.0001) with ΔTWS derived from
240 GRACE SH data applying a basin function (CSR SH and GRGS SH) over the Bengal Basin
241 in Bangladesh. Mean annual amplitudes in ΔTWS between 2003 and 2007 are 51 cm (CSR
242 GRID), 52 cm (GRGS GRID), 49 cm (CSR SH) and 58 cm (GRGS SH). Although GRACE
243 ΔTWS solutions are highly correlated, the amplitude is less well constrained and can vary by
244 up to 15%. Variability among GRACE ΔTWS solutions (3.5 cm) is, however, within the
245 estimated GRACE error (5 cm). The leakage correction error for the defined basin area is
246 large and accounts for 3.5 cm of the estimated GRACE error.

247 [18] Substantial variations in magnitude are observed between ΔSMS signals derived from
248 three LSMs (Figure 2c) and introduce considerable uncertainty in recovering ΔGWS from

249 ΔTWS . The mean seasonal amplitude in ΔSMS varies among the LSMs: 8 cm (CLM), 26 cm
250 (NOAH) and 20 cm (VIC). At the outset of the monsoon season, ΔSWS rises quickly whereas
251 ΔGWS responds more slowly with a lag of ~ 1 month to ΔSMS (Figure 2d). Overall, variations
252 in individual water stores compare well with observed variability in monthly rainfall (Figure
253 2e). Figure 3 shows that the strong seasonality associated with the unimodal (monsoonal)
254 distribution in annual rainfall is reflected in mean monthly time-series records of GRACE-
255 derived ΔTWS , modeled ΔSMS , and *in situ* ΔSMS and ΔGWS .

256 [19] Estimates of ΔGWS over the period of 2003 to 2007 from observed borehole
257 hydrographs, and all GRACE datasets are plotted in Fig 4. Changes in groundwater storage
258 over the period of 2003 to 2007, estimated from GRACE data sets and borehole (*in situ*)
259 hydrographs, are strongly correlated (Figure 4; Table 1). The highest Pearson correlation
260 ($r=0.93$, p -value <0.0001) is observed between *in situ* ΔGWS and CSR SH derived ΔGWS
261 time series. Time-series records of ΔGWS derived from GRGS SH are also strongly
262 correlated ($r=0.89$, p -value <0.0001) to *in situ* ΔGWS in Bangladesh. Pearson correlations
263 between *in situ* ΔGWS and GRGS (GRID and SH) derived ΔGWS are slightly lower than
264 CSR datasets but cross-correlation analysis reveals that improved correlations (Table 1) are
265 achieved by employing a time lag of 1 month in the time series (Table 1). The 1-month phase
266 lag in time series of ΔGWS between GRGS-derived estimates and observed records in the
267 highly-seasonal hydrological system of Bengal Basin can be attributed to the leakage
268 correction in GRACE processing methodologies. Phases of ΔSMS and ΔSWS time series are
269 in advance with respect to ΔGWS and a slight error in leakage correction can introduce such a
270 time lag. Calculated uncertainty in GRACE-derived ΔGWS , represented in Figure 4, results
271 from 16 possible estimates (4 GRACE solutions \times 4 ΔSMS estimates derived from 3 LSMs
272 and the mean of these).

273 [20] Linear trends and their standard errors in estimates of GRACE-derived and *in situ*
274 ΔGWS averaged over the Bengal Basin in Bangladesh are summarized in Tables 2 and 3.
275 Standard error in the simple linear regression is a measure of error (uncertainty) of an
276 estimated coefficient (slope of trend line). Linear trends in wet-season (August – October)
277 groundwater levels represent changes in ΔGWS as wet-season groundwater levels reflect
278 groundwater storage after monsoonal recharge has taken place. The trend (January 2003 to
279 December 2007) in ΔGWS based on wet-season groundwater levels is $-0.52 \pm 0.30 \text{ km}^3/\text{yr}$ (\pm
280 standard error of linear trend estimate) using distributed S_y values; this rate of groundwater
281 depletion increases to $-1.06 \pm 0.59 \text{ km}^3/\text{yr}$ if a uniform S_y value of 0.1 is applied. Multiple
282 linear trends in annual means represent net changes in ΔGWS that can be influenced by
283 declining groundwater levels or increased seasonality over time associated with increased
284 groundwater-fed irrigation during the dry season. These *in situ* ΔGWS estimates therefore
285 produce slightly higher rates of groundwater depletion (-0.85 ± 0.17 to $-1.61 \pm 0.32 \text{ km}^3/\text{yr}$).
286 GRACE-derived estimates of ΔGWS losses using a simulated mean ΔSMS range from
287 -0.44 ± 1.24 to $-2.04 \pm 0.79 \text{ km}^3/\text{yr}$ for wet-season trends and -0.52 ± 0.50 to -2.83 ± 0.42
288 km^3/yr based on trends in annual means.

289 [21] Short-term changes in ΔGWS , estimated over the period for which GRACE data are
290 available, are highly sensitive to the length of the time series. For example, trends in ΔGWS
291 estimated for a shorter (2003 to 2006) period are nearly twice that calculated for the period of
292 2003 to 2007 (Tables 2 and 3). Long-term (1985 to 2007) trends derived from *in situ* ΔGWS
293 rates are considerably lower (-0.21 ± 0.03 to $-0.23 \pm 0.02 \text{ km}^3/\text{yr}$) than those calculated over
294 the period of GRACE observations. The estimation of *in situ* ΔGWS from borehole
295 hydrographs enables the identification of areas of rising and falling groundwater storage over
296 both short (2003 to 2007) and long (1985 to 2007) periods of observation (Figure 5). Over
297 both periods, there are decreasing trends in ΔGWS in central and northwestern parts of

298 Bangladesh and rising trends in southwestern and coastal regions. Relative to long term
299 terms, trends in recent *in situ* ΔGWS have reversed in northern areas and intensified in central
300 and northwestern regions.

301

302 **4. Discussion**

303 [22] Intra-annual (seasonal) variations and inter-annual trends in ΔGWS derived from both
304 gridded GRACE and GRACE SH datasets in the tropical, humid Bengal Basin compare very
305 well with estimates of *in situ* ΔGWS derived from borehole observations (Table 1, Figure 4).
306 Similarity in the signals of *in situ* and GRACE time-series records of ΔGWS is characterized
307 using their correlation coefficients, centered root mean square (RMS) difference and
308 amplitude of variations (represented by standard deviations) and represented graphically in
309 Figure 6 [Taylor, 2001]. High correlation coefficients ($r > 0.85$, p -value < 0.0001) and low
310 RMS error (< 5 cm) suggest that all CSR GRACE datasets (both gridded and SH) closely
311 match *in situ* observations among the GRACE-derived ΔGWS estimates. There are, however,
312 a number of sources of uncertainty and underlying assumptions that are inherent to both
313 techniques. Estimation of *in situ* ΔGWS assumes: (1) trends in groundwater levels do not
314 result from inhomogeneities in observation records; and (2) values of S_y used to convert
315 groundwater levels to ΔGWS , are representative of the monitored aquifer. Estimation of
316 GRACE-derived ΔGWS assumes: (1) an accurate estimate of ΔSMS contribution from LSMs
317 and ΔSWS from observations to recover ΔGWS ; and (2) water storage is well described by
318 LSMs inside the area of interest and in the surrounding area to estimate bias and leakage
319 effects. The second point is not obvious in a highly seasonal basin featuring large spatial and
320 temporal variability in (water) mass. For example, variability among LSMs is not
321 substantially reduced following the application of filters to GRACE data; variability
322 expressed as a standard deviation that is 6.5 cm for raw LSM data, becomes 4.0 cm and 5.1

323 cm under CSR-like and GRGS-like filters respectively. GRACE solutions consequently
324 suffer from the propagation of uncertain storage variability (different for CSR and GRGS
325 solutions) surrounding their region of interest. Indeed, this problem may explain the noted
326 differences in seasonal amplitudes and leads to larger RMS error in ΔGWS recovery (6 cm)
327 relative to the amplitude of seasonal variations (20 cm).

328 [23] Another difficulty in trend estimation in this region relates to leakage of glacier melt
329 from the Himalayas [Matsuo and Heki, 2010]. Forward modeling indicates that leakage of
330 glacial mass changes (+2%) from the Himalayas into the Bengal Basin region for the CSR
331 solution whereas for the GRGS solution it is the reverse (-1%). The difference in sign may be
332 explained by the hard truncation for the GRGS solution. Although the value is small, a large
333 glacier mass loss of ~ 50 cm/yr [Matsuo and Heki, 2010; Bolch et al., 2011] induces mass
334 changes of $+1.38$ km³/yr for CSR GRACE data and -0.69 km³/yr for GRGS GRACE data
335 into the Bengal Basin. This explains why estimated trends in ΔGWS derived from GRGS (SH
336 and GRID) data are systematically smaller than those for CSR (SH and GRID) data.

337 [24] Uncertainty in simulated ΔSMS associated with the choice of LSM (GLDAS) for
338 GRACE disaggregation also contributes substantially (standard deviations from CLM,
339 NOAH and VIC models are 3, 11 and 8 cm respectively) to overall calculated uncertainty in
340 ΔGWS . Seasonal variability in simulated ΔSMS is observed in LSMs derived time-series
341 datasets (supplementary Figure S7). NOAH model derived ΔSMS represents the greatest
342 seasonal variability (i.e. annual amplitude) whereas CLM-derived ΔSMS shows the least
343 seasonal variation. Our estimated ΔSMS ($\Delta TWS - \Delta GWS - \Delta SWS$) shows strong correlations
344 ($r=0.83$, p -value <0.0001 for CSR GRID; $r=0.89$, p -value <0.0001 for CSR SH) with the
345 average ΔSMS derived from 3 LSMs. Individually, VIC model derived ΔSMS compare well
346 with the estimated ΔSMS time-series data. It is also unclear whether LSMs capture large
347 inter-annual variability in the Asian monsoon associated with climatic teleconnections such

348 as ENSO and IOD. Other uncertainties in GRACE-derived estimate of ΔGWS associated with
349 the use of simulated (GLDAS LSMs) ΔSMS can arise from (1) under-representation of ΔSMS
350 in areas of thick unsaturated zone, and (2) over-representation of ΔSMS in areas of very
351 shallow groundwater table and substantial surface water storage. In the latter case, simulated
352 ΔSMS may include parts of shallow groundwater and surface water storage due to poor
353 compartmentalization of individual terrestrial water stores [Gulden *et al.*, 2007]. In the
354 Bengal Basin, areas featuring a deep unsaturated soil zone are minimal (present only in thick
355 clay-covered Pleistocene terrace areas) as groundwater levels in Bangladesh predominantly
356 occur at very shallow depths (see supplementary Figures S1 and S2). In this study, the use of
357 an average value of simulated ΔSMS from 3 LSMs, however, minimizes the uncertainty in
358 estimation of ΔGWS using GRACE satellite measurements.

359 [25] We demonstrate that resolving trends in ΔGWS is problematic over short (e.g. 4 to 5
360 year) periods in a highly seasonal basin where seasonality in water storage is greater than the
361 trend. Seasonality (i.e. annual amplitude) in ΔTWS in the Bengal Basin generally results from
362 monsoonal flooding during the wet season and intensive groundwater abstraction during the
363 dry-season. The trend in estimated ΔGWS for a 5-year period (2003 to 2007) is approximately
364 half of that estimated from 2003 to 2006. Additionally, estimation of trend in ΔGWS in the
365 Bengal Basin can be problematic due to the presence of strong seasonality in the dataset. We
366 demonstrate that the strong seasonality in ΔGWS can however be captured well in multiple
367 linear regression by using additional covariates (e.g. sine and cosine function of time) and
368 error in trend estimates can be minimized (Tables 2 and 3).

369 [26] Critical to our estimation of ΔGWS from GRACE data in the Bengal Basin is the
370 robust resolution of ΔSWS from *in situ* observations as ΔSWS accounts for 22% of the total
371 variability in GRACE-derived ΔTWS . This contribution although very critical in humid
372 tropics [Frappart *et al.*, 2011] is often ignored in flood-prone regions around the world

373 [Swenson *et al.*, 2006; Rodell *et al.*, 2007; Tiwari *et al.*, 2009] as flood water is mostly
374 unregulated or its effect on ΔTWS is assumed to be negligible relative to ΔSMS .

375 [27] Estimated rates of groundwater depletion in the Bengal Basin (-0.52 ± 0.30 to
376 -1.61 ± 0.32 km³/yr equivalent to -0.34 to -1.14 cm/yr) are substantially lower than those
377 recently estimated elsewhere on the Indian sub-continent by Rodell *et al.* [2009] in semi-arid,
378 northwestern India (-4.0 cm/yr), and Tiwari *et al.* [2009] for Bangladesh, Nepal and West
379 Bengal (India), their “zone D” (-2.5 cm/yr). More recently, another study [Llovel *et al.*,
380 2010] has reported trends in ΔTWS (August 2002 to July 2009) of -1.1 cm/yr and -1.5 cm/yr
381 over the River Ganges and Brahmaputra Basins respectively. Each of these studies attributes
382 groundwater depletion to intensive groundwater-fed irrigation. In the Bengal Basin, more
383 rapid groundwater storage depletion estimated for the period 2003 to 2007, relative to 1985 to
384 2007, is linked to substantial increases in groundwater abstraction for irrigation and urban
385 water supplies [Hoque *et al.*, 2007; Shamsudduha *et al.*, 2009; Shamsudduha *et al.*, 2011]. *In*
386 *situ* measurements show further that groundwater depletion primarily occurs in central
387 (Dhaka city) and northwestern Barind Tract areas of Bangladesh where a low-permeability
388 surficial deposit (Madhupur Clay Formation; see supplementary Figure S4 and S8 for
389 hydraulic properties of the shallow aquifer in Bangladesh) of variable thickness (6 to 40 m)
390 inhibits direct rainfall-fed recharge [Shamsudduha *et al.*, 2011].

391 [28] A curious finding is the more favorable comparison that is observed between wet-
392 season trends in ΔGWS derived from GRACE (-0.44 ± 1.24 to -2.04 ± 0.79 km³/yr) and *in situ*
393 observations using a high, uniform estimate (0.1) of S_y (-1.06 ± 0.59 to -1.61 ± 0.32 km³/yr)
394 rather than a spatially distributed value (mean: 0.06 ± 0.04) of S_y (-0.52 ± 0.30 to -0.85 ± 0.17
395 km³/yr) derived from pumping tests. In the large Mississippi Basin, Rodell *et al.* [2007] stress
396 the importance of applying representative, distributed storage coefficients but, as recognized
397 by a recent study [Sun *et al.*, 2010], the determination of S_y is challenging. S_y values derived

398 from pumping tests can be biased toward low values in two ways. First, elastic storage often
399 dominates short pumping tests where confined or semi-confined exist locally and water-table
400 drainage has insufficient time to respond. Second, *in situ* estimates of S_y , that sample an area
401 of $<0.5 \text{ km}^2$ but are scaled up to a $1^\circ \times 1^\circ$ grid cell (used in our analysis of *in situ* ΔGWS), do
402 not represent the considerable variability in S_y that naturally exists in alluvial aquifers. The
403 influence of low S_y values may be exaggerated at regional scales as abstraction and resultant
404 groundwater depletion are biased to areas of higher S_y . Our deductions highlight the current
405 but under-explored uncertainty associated with the selection of storage coefficients to
406 reconcile ΔGWS from GRACE, as an equivalent groundwater depth, with *in situ* monitoring
407 observations from borehole hydrographs.

408

409 **5. Conclusions**

410 [29] In a highly seasonal hydrological system in the humid tropics, the Bengal Basin, we
411 show that GRACE satellite measurements closely trace recent (2003 to 2007) intra-annual
412 (seasonal) and inter-annual variations in groundwater storage (ΔGWS) indicated by *in situ*,
413 ground-based observations (borehole hydrographs). Critical to this analysis is the resolution
414 of ΔGWS from total water storage (ΔTWS) derived from GRACE using (1) changes in
415 observed surface water storage (ΔSWS) derived from river stage records monitored at 298
416 gauging stations; and (2) changes in simulated soil moisture storage (ΔSMS) using 3 Land
417 Surface Models (LSMs) (CLM, NOAH, and VIC). GRACE-derived ΔTWS in the Bengal
418 Basin from 2003 to 2007 is explained well by changes in surface water storage (ΔSWS)
419 (22%), changes in soil moisture storage (ΔSMS) (33%), and ΔGWS (44%). Groundwater
420 depletion in the Bengal Basin estimated from *in situ* observations using a distributed specific
421 yield (S_y) ranges from $-0.52 \pm 0.30 \text{ km}^3/\text{yr}$ (wet season trends) to $-0.85 \pm 0.17 \text{ km}^3/\text{yr}$ (trend in

422 annual means). These estimates are highly comparable (within error) to the range in
423 estimates, -0.44 ± 1.24 to -2.04 ± 0.79 km^3/yr (wet-season trends) and -0.52 ± 0.50 to
424 -2.83 ± 0.42 km^3/yr (trends in annual means), derived from different GRACE datasets
425 (gridded and spherical harmonic (SH) products of CSR and GRGS). Of the 4 GRACE
426 solutions, CSR SH derived ΔGWS shows the highest correlation ($r=0.93$, p -value >0.0001)
427 and the lowest (<4.0 cm) RMS error with *in situ* ΔGWS estimates with distributed specific
428 yield. It remains unclear whether the small discrepancy between *in situ* and GRACE satellite
429 estimates derives from uncertainties in resolving GRACE ΔGWS from ΔTWS or the
430 representivity of storage coefficients derived from *in situ* pumping tests. Estimates of the
431 linear trend in ΔGWS are highly dependent upon the length of the time series (e.g. 2003-2006
432 vs. 2003-2007). Calculated trends are also strongly influenced by the annual variability in the
433 amplitude; errors can arise from residual inter-annual variations once the seasonal component
434 is removed from the time series. Long-term (1985 to 2007) trends in observed ΔGWS
435 (-0.21 ± 0.03 to -0.23 ± 0.02 km^3/yr) are considerably lower than recent (2003 to 2007) trends
436 and indicate higher rates of groundwater depletion as a result of increased groundwater
437 abstraction for irrigation and urban water supplies.

438

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597

598 **Figure Captions:**

599 **Figure 1.** Map shows areas of dry-season Boro rice cultivation in 2007–2008 in Bangladesh
600 (data from Bangladesh Space Research and Remote Sensing Organization) and percentage of
601 land (graduated circles) in each of the country’s 64 districts irrigated with groundwater using
602 shallow and deep tubewells. Map also shows digital elevation (gray shades), river channels
603 (blue polylines), district level boundaries (thin gray lines), and the international boundary
604 (solid black line).

605
606 **Figure 2.** Monthly time series anomaly of water stores for the period of January 2003 to
607 December 2007: (a) averaged gridded GRACE products (CSR GRID and GRGS GRID); (b)
608 spherical harmonics GRACE products with measurement error (CSR SH and GRGS SH)
609 extracted over the Bengal Basin of Bangladesh using a basin function; (c) 3 simulated soil
610 moistures (CLM, NOAH, and VIC) and their average value (AvgSMS) derived from GLDAS
611 Land Surface Models (LSMs); (d) monthly anomalies in groundwater storage averaged from
612 a total of 236 monitoring locations and surface water storage averaged from a total of 298
613 gauging stations; and (e) mean monthly rainfall averaged from a total of 250 BWDB stations
614 (2003 to 2006) and a total of 15 weather stations managed by Bangladesh Meteorology
615 Department. Total annual rainfall (mm) for each year from 2003 to 2007 is provided.

616
617 **Figure 3.** Mean (2003-2007) monthly GRACE TWS (both gridded and spherical harmonics
618 GRACE products), average LSM-derived soil moisture storage (ΔSMS), observed surface
619 water storage (ΔSWS), borehole-derived groundwater storage (ΔGWS), and rainfall in
620 Bangladesh. Strong seasonality with variable magnitudes in terrestrial water stores in the
621 Bengal Basin (soil moisture, surface water, and groundwater storage) results from seasonal
622 (monsoonal) rainfall. Peak level of ΔGWS lags the peak level of ΔSWS by approximately 1
623 month where correlation is the highest ($r=0.93$, p -value <0.0001); the peak level of ΔGWS
624 occurs almost at the same time as the ΔSMS ($r=0.91$, p -value <0.0001).

625
626 **Figure 4.** Comparison of monthly time-series anomaly (cm) of groundwater storage (ΔGWS)
627 in Bangladesh derived from borehole hydrograph with GRACE derived ΔGWS estimates for
628 the period of January 2003 to December 2007. Time series of ΔGWS derived from borehole
629 hydrograph with distributed specific yield (GWS S_y = distributed; blue line) and a uniform

630 value of 0.10 (GWS $S_y = 0.10$; red line) and an average time series (Mean GRACE-GWS;
631 black line) from various GRACE solutions (CSR GRID, GRGS GRID, CSR SH and GRGS
632 SH). Average soil moisture from 3 GLDAS LSMs and their average value, and monthly time-
633 series records of surface water storage (ΔSWS) were used for these GRACE ΔGWS estimates.
634 Variability in GRACE-derived ΔGWS is observed in time series records of a total of 16
635 estimates.

636

637 **Figure 5.** Groundwater storage changes (ΔGWS) in the Bengal Basin of Bangladesh
638 expressed as trends (cm/year) in equivalent groundwater depth (GWD) derived from borehole
639 hydrographs. Panels (a) and (b) show trend estimates in GWD from linear (through wet-
640 season values) and multiple linear (through entire time series) respectively for the period of
641 2007 to 2007; panels (c) and (d) show linear and multiple linear trends in GWD for a longer
642 period (1985 to 2007). Areas of recent declines in ΔGWS are highlighted in top two panels.

643

644 **Figure 6.** A Taylor diagram [Taylor, 2001] displaying pattern statistics between *in situ*
645 ΔGWS with distributed specific yield ($S_y = \text{dist}$) and 6 models of GRACE-derived ΔGWS and
646 2 *in situ* ΔGWS models with $S_y = 0.1$ and the national mean (0.06) value. The radial distance
647 (dashed blue lines) from the origin is proportional to the standard deviation of ΔGWS
648 estimates. The centered root mean square (RMS) difference between the modeled (colored
649 circles) and observed field (black square) is proportional to their distance apart (in the same
650 units as the standard deviation). The correlation between the two datasets is given by the
651 position of the modeled observation (dashed black lines). In the legend, CSRSRSH GWS (corr)
652 and GRGSSH GWS (corr) denote estimates are corrected for leakage and bias using methods
653 described in Longuevergne *et al.* [2010]. CSRSRSH GWS (std) and GRGSSH GWS (std) denote
654 estimates derived using basin-averaged time series data without bias/leakage corrections.
655 Based on the diagram it is evident that CSR GRACE datasets compare well *in situ* ΔGWS
656 estimate whereas all estimated values range between *in situ* ΔGWS estimates with $S_y = 0.1$
657 and 0.06 values.

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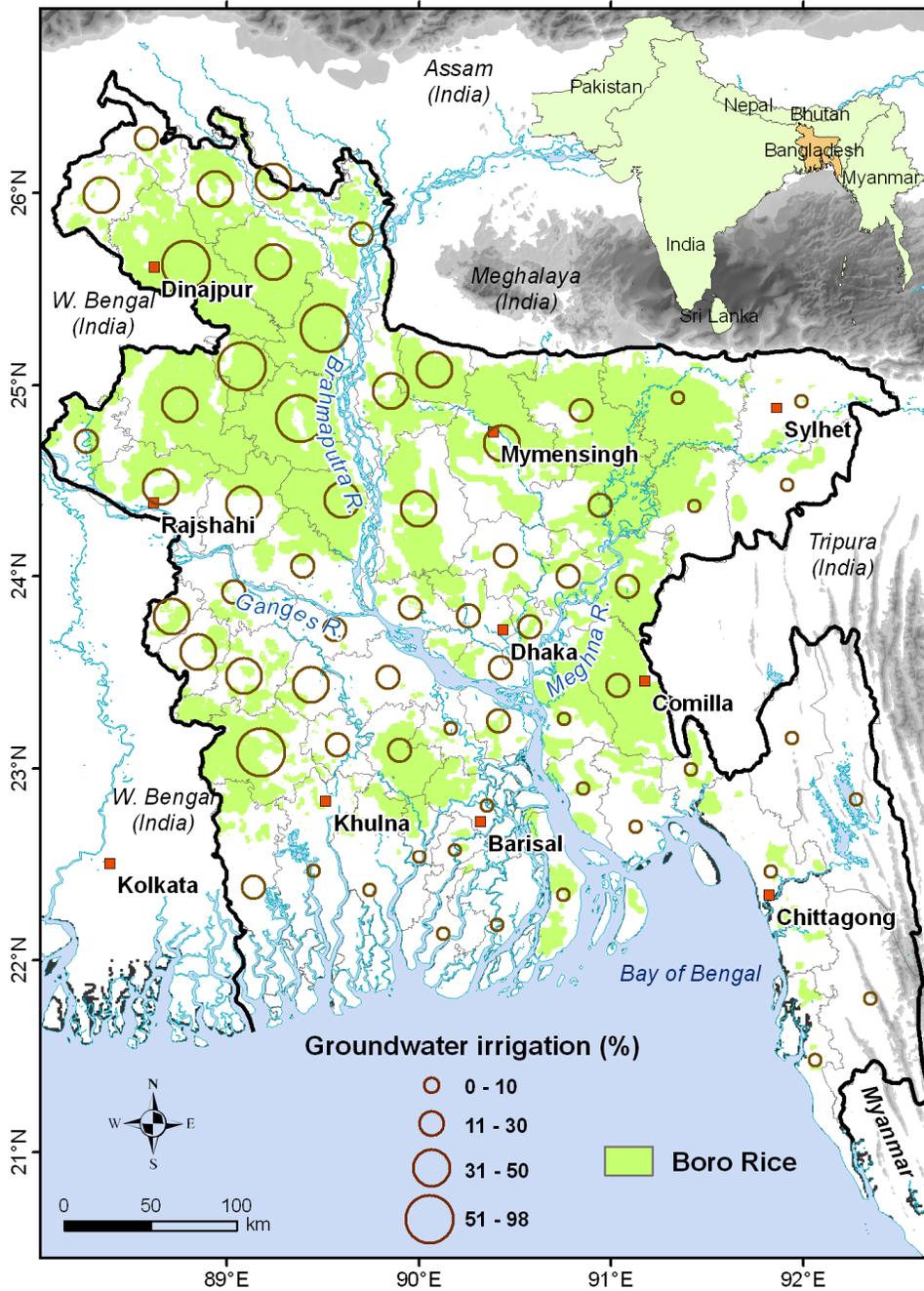


Figure 1. Map shows areas of dry-season Boro rice cultivation in Bangladesh (data from Bangladesh Space Research and Remote Sensing Organization) and percentage of land (graduated circles) in each of the country's 64 districts irrigated with groundwater using shallow and deep tubewells. Map also shows digital elevation (gray shades), river channels (blue polylines), district level boundaries (thin gray lines), and the international boundary (solid black line).

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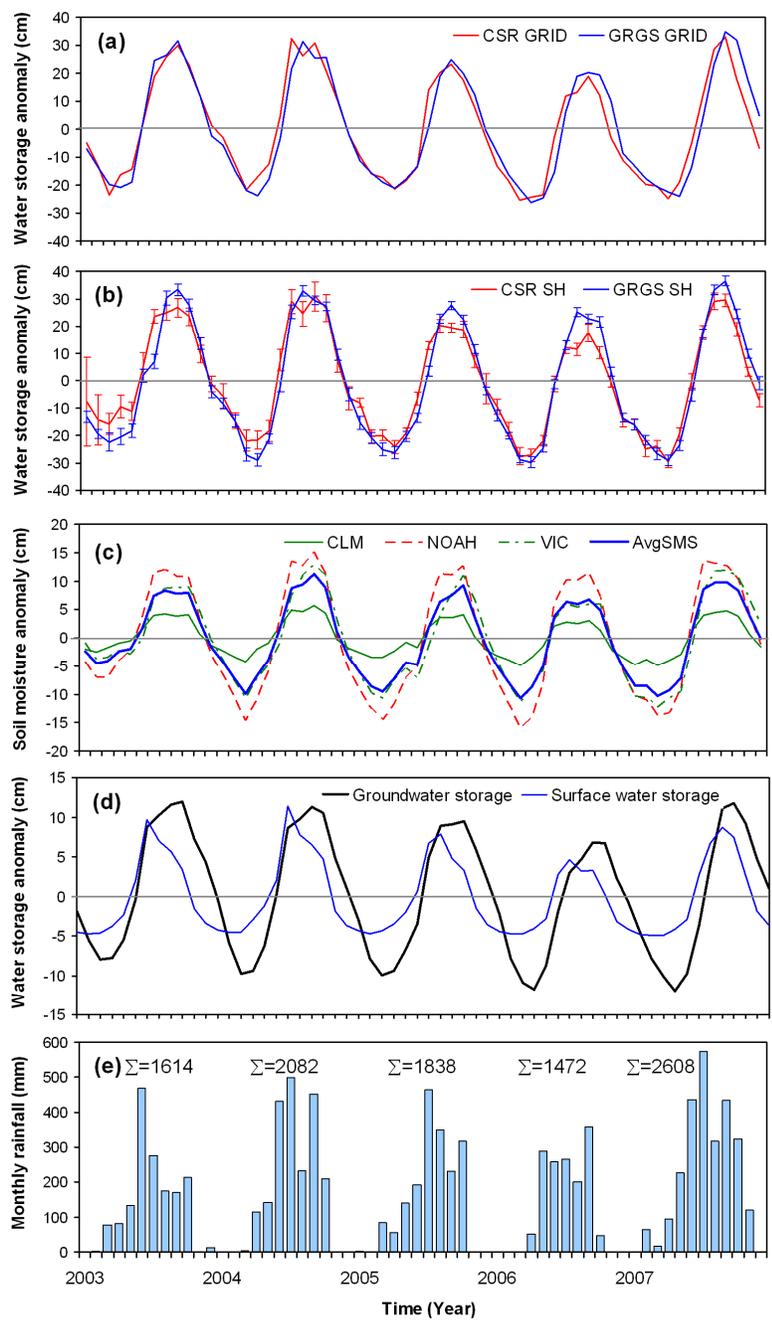
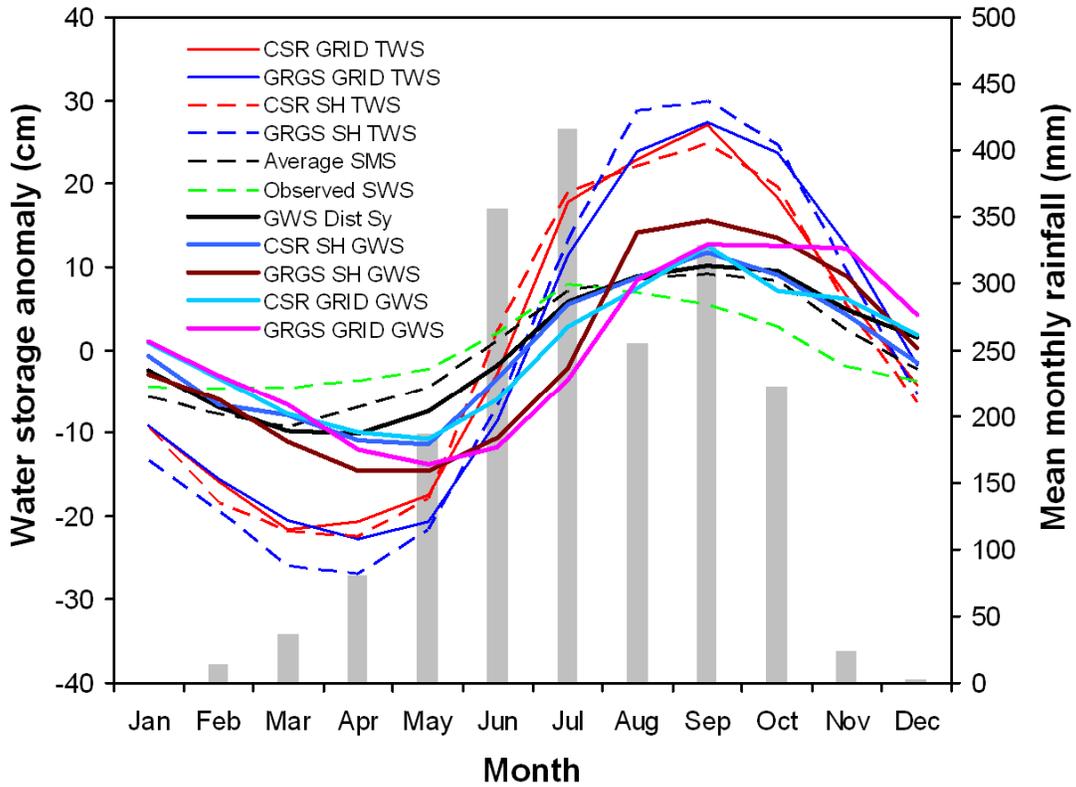


Figure 2. Monthly time series anomaly of water stores for the period of January 2003 to December 2007: (a) averaged gridded GRACE products (CSR GRID and GRGS GRID); (b) spherical harmonics GRACE products with measurement error (CSR SH and GRGS SH) extracted over the Bengal Basin of Bangladesh using a basin function; (c) 3 simulated soil moistures (CLM, NOAH, and VIC) and their average value (AvgSMS) derived from GLDAS Land Surface Models (LSMs); (d) monthly anomalies in groundwater storage averaged from a total of 236 monitoring locations and surface water storage averaged from a total of 298 gauging stations; and (e) mean monthly rainfall averaged from a total of 250 BWDB stations (2003 to 2006) and a total of 15 weather stations managed by Bangladesh Meteorology Department. Total annual rainfall (mm) for each year from 2003 to 2007 is provided.

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153 **Figure 3.** Mean (2003-2007) monthly GRACE TWS (both gridded and spherical harmonics
 154 GRACE products), average LSM-derived soil moisture storage (ΔSMS), observed surface
 155 water storage (ΔSWS), borehole-derived groundwater storage (ΔGWS), and rainfall in
 156 Bangladesh. Strong seasonality with variable magnitudes in terrestrial water stores in the
 157 Bengal Basin (soil moisture, surface water, and groundwater storage) results from seasonal
 158 (monsoonal) rainfall. Peak level of ΔGWS lags the peak level of ΔSWS by approximately 1
 159 month where correlation is the highest ($r=0.93$, p -value <0.0001); the peak level of ΔGWS
 160 occurs almost at the same time as the ΔSMS ($r=0.91$, p -value <0.0001).

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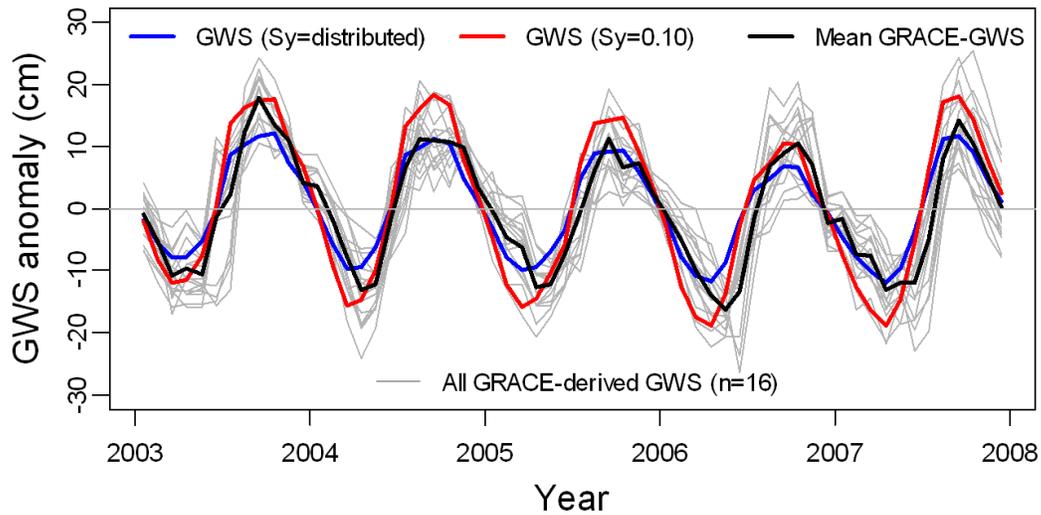


Figure 4. Comparison of monthly time-series anomaly (cm) of groundwater storage (ΔGWS) in Bangladesh derived from borehole hydrograph with GRACE derived ΔGWS estimates for the period of January 2003 to December 2007. Time series of ΔGWS derived from borehole hydrograph with distributed specific yield ($GWS S_y = \text{distributed}$; blue line) and a uniform value of 0.10 ($GWS S_y = 0.10$; red line) and an average time series (Mean GRACE-GWS; black line) from various GRACE solutions (CSR GRID, GRGS GRID, CSR SH and GRGS SH). Average soil moisture from 3 GLDAS LSMs and their average value, and monthly time-series records of surface water storage (ΔSWS) were used for these GRACE ΔGWS estimates. Variability in GRACE-derived ΔGWS is observed in time series records of a total of 16 estimates.

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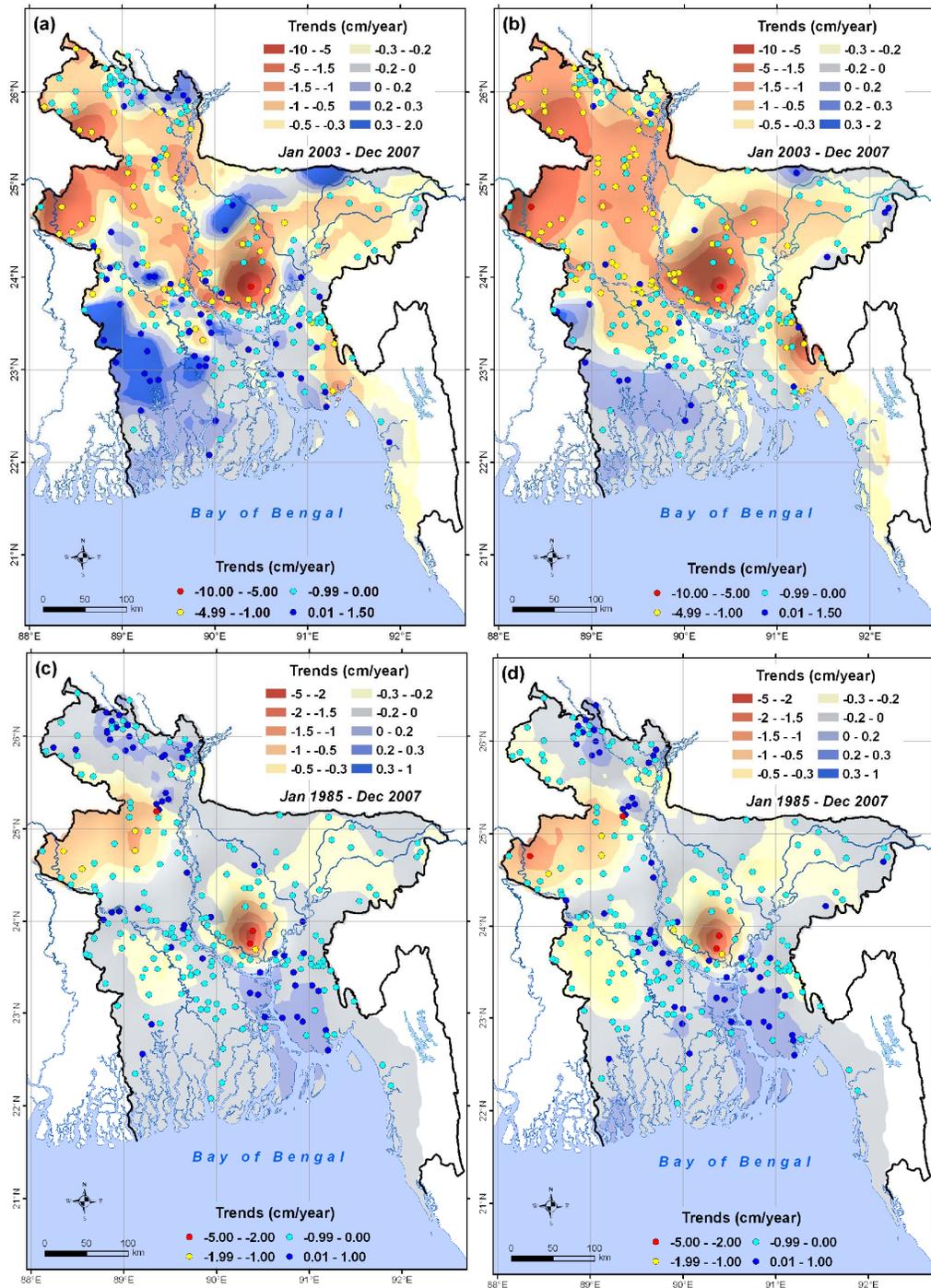


Figure 5. Groundwater storage changes (ΔGWS) in the Bengal Basin of Bangladesh expressed as trends (cm/year) in equivalent groundwater depth (GWD) derived from borehole hydrographs. Panels (a) and (b) show trend estimates in GWD from linear (through wet-season values) and multiple linear (through entire time series) respectively for the period of 2007 to 2007; panels (c) and (d) show linear and multiple linear trends in GWD for a longer period (1985 to 2007). Areas of recent declines in ΔGWS are highlighted in top two panels.

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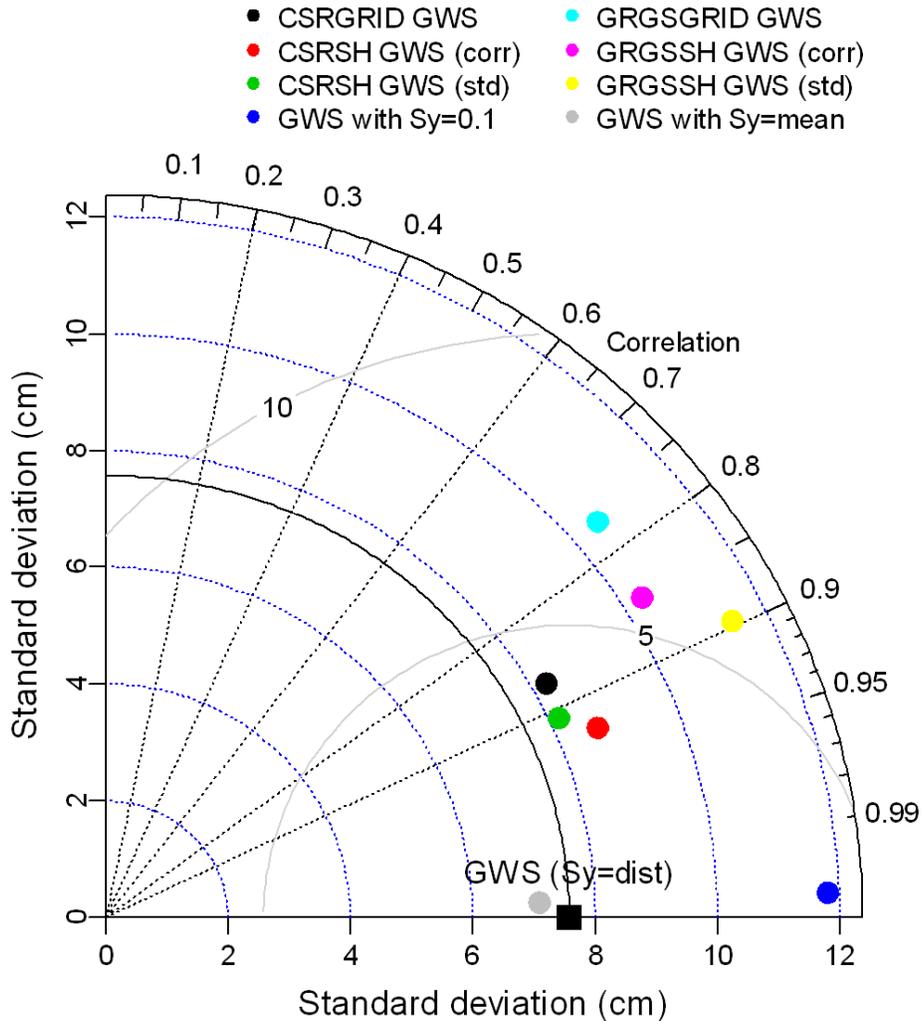


Figure 6. A Taylor diagram [Taylor, 2001] displaying pattern statistics between *in situ* ΔGWS with distributed specific yield ($S_y = \text{dist}$) and 6 models of GRACE-derived ΔGWS and 2 *in situ* ΔGWS models with $S_y = 0.1$ and the national mean (0.06) value. The radial distance (dashed blue lines) from the origin is proportional to the standard deviation of ΔGWS estimates. The centered root mean square (RMS) difference between the modeled (colored circles) and observed field (black square) is proportional to their distance apart (in the same units as the standard deviation). The correlation between the two datasets is given by the position of the modeled observation (dashed black lines). In the legend, CSRSH GWS (corr) and GRGSSH GWS (corr) denote estimates are corrected for leakage and bias using methods described in Longuevergne *et al.* [2010]. CSRSH GWS (std) and GRGSSH GWS (std) denote estimates derived using basin-averaged time series data without bias/leakage corrections. Based on the diagram it is evident that CSR GRACE datasets compare well *in situ* ΔGWS estimate whereas all estimated values range between *in situ* ΔGWS estimates with $S_y = 0.1$ and 0.06 values.