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Pinpointing a highly specific pathological functional connection that turns phantom sound into distress

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Short title: A connection that turns tinnitus into distress

Key Words: Independent component analysis, distress, loudness, auditory phantom percept, tinnitus

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Abstract

It has been suggested that an auditory phantom percept is the result of multiple, parallel but overlapping networks. One of those networks encodes tinnitus loudness and is electrophysiologically separable from a non-specific distress network. The present study investigates how these networks anatomically overlap, what networks are involved and how and when these networks interact. The EEG data of 317 tinnitus patients and 256 healthy subjects were analyzed, using independent component analysis. Results demonstrate that tinnitus is characterized by at least two major brain networks, each consisting of multiple independent components. One network reflects tinnitus distress, while another network reflects the loudness of the tinnitus. The component coherence analysis shows that the independent components that make up the distress and loudness networks communicate within their respective network at several discrete frequencies in parallel. The distress and loudness networks do not intercommunicate for patients without distress, but do when patients are distressed by their tinnitus. The obtained data demonstrate that the components that build up these two separable networks communicate at discrete frequencies within the network, and only between the distress and loudness networks in those patients in whom the symptoms are also clinically linked.

1 **Introduction**

2 Tinnitus is an auditory phantom percept with a tone or hissing in the absence of any
3 objective physical sound source that is experienced by 5-15% in the population (Eggermont JJ
4 and LE Roberts 2004). Up to 25% of the affected people report interference with their lives as
5 tinnitus causes a considerable amount of distress (Heller AJ 2003). Distress can play an
6 important role in the development of tinnitus, however it is not a necessity as not everyone
7 who experiences tinnitus becomes chronically distressed (Andersson G and V Westin 2008).

8 It is known that the cerebral cortex is organized into parallel, segregated systems of brain
9 areas that are specialized for processing distinct forms of information (Buckner RL et al.
10 2009). Based on previous findings it has been proposed that the unified percept of tinnitus
11 could be considered as an emergent property of multiple parallel networks (De Ridder D, AB
12 Elgoyhen, et al. 2011). That is, since there is not always a relationship between measures of
13 loudness and distress, two separate networks in the brain might underpin these two aspects of
14 tinnitus.

15 Research has shown that tinnitus is related to the reorganization (Muhlnickel W et al.
16 1998) and hyperactivity (Weisz N et al. 2007) of the auditory cortex. The loudness of auditory
17 verbal hallucinations, a complex phantom sound, has further been associated with the anterior
18 cingulate cortex and frontal gyrus, insula, and with strong activation of the inner speech
19 processing networks (Vercammen A et al. 2011), that could be considered to be a ‘loudness
20 network’.

21 On the other hand, it was revealed that in tinnitus a ‘distress network’ of functionally
22 interconnected non-auditory brain areas including amygdala, anterior cingulate cortex,
23 parahippocampus and insula are important (Vanneste S, M Plazier, E der Loo, et al. 2010).
24 Using sLORETA source analysis of Fourier transformed data demonstrated that the distress
25 was related to anterior cingulate beta activity, and the amount of distress was correlated to the

1 amount of alpha activity in the medial temporal lobe (amygdala, hippocampus,
2 parahippocampus) as well as the subgenual anterior cingulate cortex, and insula (Vanneste S,
3 M Plazier, E der Loo, *et al.* 2010). By using a blind source separation technique, namely
4 independent component analysis, in a different group of patients with low and high distress,
5 it was shown that tinnitus distress results from alpha and beta abnormal activity in subgenual
6 anterior cingulate cortex extending to the pregenual and dorsal anterior cingulate cortex and
7 the ventromedial and ventrolateral prefrontal cortex, insula, and parahippocampal area (De
8 Ridder D, S Vanneste, *et al.* 2011). This network overlaps partially with brain areas
9 implicated in distress in patients suffering from pain (Price DD 2000; Moisset X and D
10 Bouhassira 2007), dyspnea (von Leupoldt A *et al.* 2009), functional somatic syndromes and
11 posttraumatic stress disorder (Vermetten E *et al.* 2007), and might therefore represent an
12 aspecific distress network.

13 A study of resting-state electroencephalography (EEG) in large databases of healthy
14 subjects has pointed to the existence of multiple distributed independent components with
15 partially overlapping brain areas, each with a specific spontaneous oscillatory pattern
16 (Congedo *et al.*, 2010a). The analyses of the lagged phase coherence between these
17 components have suggested that these components at rest are organized in a small number of
18 networks. That is, ensembles of components communicate within networks, but do not
19 communicate between networks (Congedo M, RE John, D De Ridder, L Prichep, *et al.* 2010).
20 The interaction between the components occurs at multiple discrete frequencies in parallel
21 (Congedo M, RE John, D De Ridder, L Prichep, *et al.* 2010). Such narrowband
22 communication is analogous to what has been described for animals (Fujisawa S and G
23 Buzsaki 2011). The identification of specific oscillatory patterns and connectivity signatures
24 within parallel networks for tinnitus might further explain the underlying neurophysiological

1 mechanism and as a result help in the identification of a treatment as to date no treatment
2 exists for this auditory phantom phenomenon (Langguth B et al. 2009).

3 The present study used group blind source separation of resting state EEG to map the
4 involvement of different brain networks in auditory phantom perception. Resting state
5 networks can be identified using completely data-driven approaches, using EEG, MEG, but
6 also fMRI techniques. The resting state networks are evident in the human brain during the
7 awake resting state, as well as during task performance, sleep and anesthesia (Fox MD and
8 ME Raichle 2007), or at different vigilance levels (Olbrich S et al. 2009). Meanwhile,
9 emerging evidence shows that neurological or psychiatric diseases are associated with
10 alterations in resting state activity (Fornito A and ET Bullmore 2010). Thus, the spontaneous
11 activity of resting state network reflects a fundamental aspect of cerebral physiology and
12 pathophysiology.

13 The blind source separation (BSS) approach, such as independent component analysis
14 (ICA), is currently enjoying increasing popularity thanks to its complete data-driven nature
15 (Scheeringa R et al. 2008). While the BSS analysis shows the relationship between different
16 brain areas within a component (i.e. network), we also verify the lagged phase coherence (i.e.
17 out of phase) between the different independent components (i.e. sources) by verifying the
18 inter-component coherence (Congedo M, RE John, D De Ridder, L Prichep, et al. 2010). This
19 latter method helps to understand how different networks can communicate with each other in
20 an out of phase matter. We hypothesized that patients with an auditory phantom percept
21 would be discernible from a healthy control group during resting activity and that different
22 independent components might form two separable networks involved in the distress and
23 loudness of the auditory phantom percept. We furthermore hypothesized that tinnitus related
24 distress would be electrophysiologically characterized by the presence of inter-network
25 functional connectivity.

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Methods & Materials

Patients with an auditory phantom percept

317 patients ($M = 50.24$ years; $Sd = 14.32$; 184 males and 133 females) with continuous tinnitus were included in this study. Tinnitus was considered chronic if its onset dated back one year or more. Individuals with pulsatile tinnitus, Ménière disease, otosclerosis, chronic headache, neurological disorders such as brain tumors, and individuals being treated for mental disorders were excluded from the study in order to increase the sample homogeneity. All patients were interviewed as to their perceived location of the tinnitus (the left ear, in both ears, and centralized in the middle of the head (bilateral), the right ear) as well the tinnitus tone (pure tone like tinnitus or noise-like tinnitus). In addition all patients were screened for the extent of hearing loss using a pure tone audiometry using the British Society of Audiology procedures at .125 kHz, .25 kHz, .5 kHz, 1 kHz, 2 kHz, 3 kHz, 4 kHz, 6 kHz and 8 kHz (Audiology BSo 2008). Tinnitus patients were tested for the tinnitus frequency doing a tinnitus analysis. In unilateral tinnitus patients, the tinnitus analysis was performed contralateral to the tinnitus ear. In bilateral tinnitus patients, tinnitus analysis was performed contralateral to the worst tinnitus ear. The tinnitus analysis consisted of the assessment of the tinnitus pitch and loudness. First, a 1 kHz pure tone was presented contralateral to the (worst) tinnitus ear at 10 dB above the patient's hearing threshold in that ear. The pitch was adjusted until the patient judged the sound to resemble most to his/her tinnitus. The loudness of this tone was then adjusted in a similar way until it corresponded to the patient's specific tinnitus as well. The tinnitus loudness (dB SL) was computed by subtracting the absolute tinnitus loudness (dB HL) with the auditory threshold at that frequency (Meeus O et al. 2009; Meeus O et al. 2011). See Table 1 for an overview of the tinnitus characteristics.

1 A visual analogue scale for loudness ('How loud is your tinnitus?': 0 = no tinnitus and 10
2 = as loud as imaginable') was assessed as well as the Dutch translation of the Tinnitus
3 Questionnaire (TQ) (Meeus O et al. 2007). This scale is comprised of 52 items and is a well-
4 established measure for the assessment of a broad spectrum of tinnitus-related psychological
5 complaints. The TQ measures emotional and cognitive distress, intrusiveness, auditory
6 perceptual difficulties, sleep disturbances, and somatic complaints. As previously mentioned,
7 the global TQ score can be computed to measure the general level of psychological and
8 psychosomatic distress. In several studies, this measure has been shown to be a reliable and
9 valid instrument in different countries (Hiller W and G Goebel 1992; McCombe A et al.
10 2001). A 3-point scale is given for all items, ranging from 'true' (2 points) to 'partly true' (1
11 point) and 'not true' (0 points). The total score (from 0-84) was computed according to
12 standard criteria published in previous work (Hiller W and G Goebel 1992; Hiller W et al.
13 1994; Meeus O *et al.* 2007). Based on the total score on the TQ, patients can be assigned to a
14 distress category: slight (0-30 points; grade 1), moderate (31-46; grade 2), severe (47-59;
15 grade 3), and very severe (60-84; grade 4) distress. Goebel and Hiller stated that grade 4
16 tinnitus patients are psychologically decompensated, indicating that patients categorized into
17 this group cannot cope with their tinnitus (Goebel G and W Hiller 1994). In contrast, patients
18 that have a score lower than 60 on the TQ can cope with their tinnitus.

19 This study was approved by the local ethical committee (Antwerp University Hospital) and
20 was in accordance with the declaration of Helsinki.

21

22 *Healthy control group*

23 EEG data of a healthy control group (N = 256; M = 49.514 years; Sd = 14.82; 154 males
24 and 102 females) was collected. None of these subjects was known to suffer from tinnitus.
25 Exclusion criteria were known psychiatric or neurological illness, psychiatric history or

1 drug/alcohol abuse, history of head injury (with loss of consciousness) or seizures, headache,
2 or physical disability. For these healthy controls hearing assessment was not performed.

3

4 *Data collection*

5 EEG data were obtained as a standard procedure. Recordings were obtained in a fully
6 lighted room with each participant sitting upright on a small but comfortable chair. The actual
7 recording lasted approximately five min. The EEG was sampled using Mitsar-201 amplifiers
8 (NovaTech <http://www.novatecheeg.com/>) with 19 electrodes placed according to the
9 standard 10-20 International placement (Fp1, Fp2, F7, F3, Fz, F4, F8, T7, C3, Cz, C4, T8, P7,
10 P3, Pz, P4, P8, O1, O2) referenced to digitally linked ears, analogous to what is was done in
11 the normative group. Impedances were checked to remain below 5 k Ω . Data were collected
12 eyes-closed (sampling rate = 500 Hz, band passed 0.15-200Hz). Off-line data were resampled
13 to 128 Hz, band-pass filtered in the range 2-44 Hz and subsequently transposed into Eureka!
14 software (Congedo M 2002), plotted and carefully inspected for manual artifact-rejection. All
15 episodic artifacts including eye blinks, eye movements, teeth clenching, body movement, or
16 ECG artifact were removed from the stream of the EEG.

17

18 *Group Blind Source Separation*

19 In the fMRI literature BSS approaches such as ICA are currently enjoying increasing
20 popularity thanks to their complete data-driven nature (Greicius MD et al. 2004; Bluhm RL et
21 al. 2008; Scheeringa R *et al.* 2008). In EEG also, BSS has recently been extended to group
22 analyses of the resting state (Congedo M, RE John, D De Ridder and L Prichep 2010). As any
23 other source separation method of this family, the BSS approach we use decomposes the
24 whole EEG in a number of elementary statistically independent components. Each component

1 is characterised by its time course and spatial pattern, therein used as input to tomographic
2 source localization by the sLORETA inverse solution (Pascual-Marqui RD 2002).

3 We employed the group blind source separation approach consisting in the approximate
4 joint diagonalization of grand-average Fourier cospectral matrices on the tinnitus group
5 (Congedo M, RE John, D De Ridder, L Prichep, *et al.* 2010). Such method can separate
6 uncorrelated sources with non-proportional power spectra (Congedo M et al. 2008) and is
7 analogous to the averaging group ICA approach described for fMRI (Schmithorst VJ and SK
8 Holland 2004). The BSS method we employ measures the intra-component relationship
9 between different brain areas. Only co-spectra in the range 2-44 Hz were diagonalized
10 because in this band-pass region continuous EEG features the highest signal-to-noise ratio.
11 This method finds a “group” mixing and demixing matrix. The demixing matrix was then
12 used to extract the power of the components on both the tinnitus and healthy group, as
13 described in detail in Congedo et al. (Congedo M, RE John, D De Ridder, L Prichep, *et al.*
14 2010). In order to estimate the number of components in the tinnitus group that can be found
15 reliably we apply a bootstrap resampling test-retest strategy; each resample is obtained
16 selecting 100 patients at random. For each resample the group BSS analysis is performed
17 limiting the number of components to 2, 3, 4, 5, 6, 7 and 8 components. This process was
18 conducted 50 times. Based on these analyses we conducted the group blind source separation
19 on the total group estimating the six most energetic components, as these generated the most
20 reliable and reproducible findings over the different resamplings.

21

22 *Source localization*

23 Standardized low-resolution brain electromagnetic tomography (sLORETA; Pascual-
24 Marqui, 2002) was used to estimate the intracerebral electrical sources that generated the
25 seven group BSS components. As a standard procedure a common average reference

1 transformation (Pascual-Marqui RD 2002) is performed before applying the sLORETA
2 algorithm. sLORETA computes electric neuronal activity as current density (A/m²) without
3 assuming a predefined number of active sources. The solution space used in this study and
4 associated leadfield matrix are those implemented in the LORETA-Key software (freely
5 available at <http://www.uzh.ch/keyinst/loreta.htm>). This software implements revisited
6 realistic electrode coordinates (Jurcak et al. 2007) and the lead field produced by Fuchs et al.
7 (2002) applying the boundary element method on the MNI-152 (Montreal neurological
8 institute, Canada) template of Mazziotta et al. (2001). The sLORETA-key anatomical
9 template divides and label the neocortical (including hippocampus and anterior cingulate
10 cortex) MNI-152 volume in 6,239 voxels of dimension 5 mm³, based on probabilities returned
11 by the Demon Atlas (Lancaster et al. 2000). The co-registration makes use of the correct
12 translation from the MNI-152 space into the Talaiach and Tournoux (1988) space (Brett et al.
13 2002).

14

15 *Comparison between BSS component power of patients with auditory phantom percept*
16 *group with a healthy control group*

17 For each group BSS components relative power was computed with 1 Hz resolution with
18 respect to the total energy across all components, on both the tinnitus and healthy group. Then
19 the relative power for each frequency and each component was compared between the two
20 groups. Multiple comparison student t-tests were performed separately for each component.
21 The significance threshold was based on a permutation t-max test with 5000 permutations.
22 The methodology used is non-parametric. It is based on estimating, via randomization, the
23 empirical probability distribution for the max-statistic, under the null hypothesis (Nichols TE
24 and AP Holmes 2002). This methodology corrects for multiple testing across frequencies and
25 guarantees that the probability of falsely rejecting even only one hypothesis is less than the

1 chosen alpha level. To correct for multiple testing across components, the type II error rate for
2 significance of the t-max tests was set to .05 components.

3

4 *Correlation analysis*

5 A correlation analysis was conducted between the relative power of the six tinnitus
6 components and the scores of tinnitus distress as measured with the TQ and tinnitus loudness
7 as measured with the VAS. The correlation analysis was performed in all 4 Hz spaced discrete
8 Fourier frequencies in the range 2-44 Hz (2-4 Hz, 4-8 Hz, ..., 42-44 Hz). Corrections were
9 performed for multiple comparisons across the 10 frequency bands using a Bonferroni
10 method. Each component was tested separately without correction.

11

12 *Lagged phase coherence (out of phase coherence)*

13 The BSS method we employed cancels the in-phase correlation at all frequencies between
14 all sources taken pair-wise, however it does not interfere with their out of phase correlation.
15 The residual out-of-phase correlation among sources can then be studied, for instance in the
16 frequency domain (coherence). Such “lagged phase coherence” between two sources can be
17 interpreted as the amount of cross-talk between the regions contributing to the source activity
18 (Congedo M, RE John, D De Ridder, L Prichep, *et al.* 2010). Since the two components
19 oscillate coherently with a phase lag, the cross-talk can be interpreted as information sharing
20 by axonal transmission. More precisely, the discrete Fourier transform decomposes the signal
21 in a finite series of cosine and sine waves (in-phase and out-of-phase carrier waves, forming
22 the real and imaginary part of the Fourier decomposition) at the Fourier frequencies
23 (Bloomfield 2000). The lag of the cosine waves with respect to their sine counterparts is
24 inversely proportional to their frequency and amounts to a quarter of the period; for example,
25 the period of a sinusoidal wave at 10 Hz is 100 ms. The sine is shifted a quarter of a cycle (25

1 ms) with the respect to the cosine. Then the lagged phase coherence at 10 Hz indicates
2 coherent oscillations with a 25 ms delay, while at 20 Hz the delay is 12.5 ms, etc. The
3 threshold of significance for a given lagged phase coherence value according to asymptotic
4 results can be found as described by Pascual-Marqui (2007), where the definition of lagged
5 phase coherence can be found as well. This analysis was corrected for the amount of pairwise
6 comparisons using a Bonferroni correction.

7 In addition, time-series of current density were extracted for different region of interests
8 using sLORETA. Power in all 6,239 voxels was normalized to a power of 1 and log
9 transformed at each time point. Region of interest values thus reflect the log transformed
10 fraction of total power across all voxels, separately for specific frequencies. Regions of
11 interest were defined based upon all brain areas involved in component III and IV (see Figure
12 3) at specific frequencies (see Figure 7). We calculated the log transformed power for each
13 brain area with the two different networks separately. A comparison was made between each
14 tinnitus group (grade 1, grade 2, grade 3 and grade 4) and control subjects for the lagged
15 phase coherence for the frequencies 10 Hz and 11.5 Hz respectively. We conducted this
16 additional analysis to verify how exactly the two networks, i.e. the loudness network and the
17 distress network, communicate with each other.

18

19 **Results**

20 *Behavioral measurements*

21 A significant positive correlation between the TQ and the tinnitus loudness was obtained,
22 indicating that the higher the TQ the louder patients perceive their tinnitus (see Table 2).
23 When dividing the tinnitus patients into different grades, going from slight to very severe
24 distress, based on their TQ score, no significant correlations could be obtained between the
25 TQ and the tinnitus loudness for each group separately (see Table 2).

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Group Blind Source Separation on the Control Sample

Similar to Congedo et al. (Congedo M, RE John, D De Ridder, L Prichep, *et al.* 2010) we applied a blind source separation analysis extracting 7 components explaining 82.35% of the total variance. Components I, II, III, VII are located more posteriorly, while components IV, V and VI are located more anteriorly (see Figure 1). Component I shows activity in the dorsal anterior cingulate (BA24) extending into the subgenual anterior cingulate cortex/ventromedial prefrontal cortex (BA25), the insula (BA13) and the parahippocampal area (BA28). Component II demonstrates activity within the cuneus/precuneus (BA7 and BA31) extending into the posterior cingulate gyrus (BA23 and BA31) and the right superior parietal lobule (BA7), while component III shows activity in the cuneus/precuneus (BA31 and BA7), retrosplenial posterior cingulate (BA30) and visual areas (BA18 and BA19). Component IV revealed activity in the lingual gyrus, fusiform gyrus, middle and inferior occipital gyrus (occipital pole) (BA17, BA18 and BA19). Component V shows activity in the dorsal anterior cingulate (BA 24), the subgenual anterior cingulate cortex/ventromedial prefrontal cortex (BA25), the inferior frontal gyrus (BA 47) and the parahippocampal gyrus (BA28 and BA34). Component VI demonstrated activity within the subgenual anterior cingulate/ventromedial prefrontal cortex (BA25), the inferior frontal gyrus (BA 47), the parahippocampal gyrus (BA28 and BA34) and the insula (BA13). Component VII shows activity in the post-central gyrus (BA1, BA2 and BA3), the middle occipital gyrus (BA 18), the superior and middle temporal gyrus (BA39 and BA41) and the angular gyrus (BA39).

Group Blind Source Separation on the Tinnitus Sample

Based on the bootstrap analysis 6 components could repeatedly be obtained. Analysis on the total group reveals that these six components explain 62.07% of the total variance.

1 Components I, II and III are located posteriorly in the brain, while components IV, V and VI
2 are positioned anteriorly in the brain (see Figure 2). Component I reveals activity within the
3 posterior cingulate cortex (BA23, BA30 and BA31) and the precuneus (BA7) and component
4 II demonstrates activity within the posterior cingulate cortex (BA30, BA30 and BA31)
5 extending into the precuneus (BA7) and the inferior parietal cortex (BA40) as well as in the
6 parahippocampal area (BA19, BA30, BA35 and BA36). The third component shows activity
7 in the retrosplenial posterior cingulate cortex (BA29, BA30, BA31), the anterior end of lingual
8 gyrus (BA7, BA18) and the parahippocampal area (BA19, BA30). Component IV yielded
9 activity within the pregenual anterior cingulate cortex (BA24 and BA32), subgenual anterior
10 cingulate cortex/ventromedial prefrontal cortex (BA25), and left and right insula (B13).
11 Component V demonstrates activity in the subgenual anterior cingulate cortex/ventromedial
12 prefrontal cortex (BA25), hippocampal area (BA34), amygdala, and medial frontal gyrus
13 (BA11) while component VI shows activity in the dorsal anterior cingulate cortex (BA24)
14 supplementary motor area (BA6), subgenual anterior cingulate cortex/ventromedial prefrontal
15 cortex (BA25) and medial frontal gyrus (BA11).

16 Component I is characterized by prominent alpha activity, while component II and III by
17 beta activity (see Figure 3A). Component II can be categorized by low beta and component III
18 low and medium beta activity. Component IV is characterized by high beta and gamma
19 activity, while component V and VI are characterized by delta, theta and gamma activity (see
20 Figure 3A).

21 A comparison between the power produced by the six independent components in the
22 Tinnitus group and the healthy control group revealed several significant results. For
23 component I the tinnitus group had less delta, theta, alpha and beta activity and more gamma
24 activity (see Figure 3B). Component II revealed less beta activity and more gamma activity,
25 while component III revealed less beta activity (see Figure 3B). Component IV and V yielded

1 more delta, theta, alpha, and beta activity for the tinnitus group in comparison to a healthy
2 control group. Furthermore, Component IV showed additional increased gamma activity,
3 while component V showed decreased gamma activity for the tinnitus group in comparison to
4 the healthy control group (see Figure 3B). For the tinnitus group Component VI showed more
5 beta and gamma activity in comparison to the control group (see Figure 3B).

6

7 *Auditory cortex*

8 Further analyses were conducted to verify the involvement of the auditory cortex. As no
9 group BSS component involved the auditory cortex, a separate group BSS analysis was
10 conducted for three separate subgroups: a group that presents right-sided tinnitus, left-sided
11 tinnitus and bilateral tinnitus. The reason for this latter analysis is that previous research
12 indicated that the activity in the auditory cortex can differ depending on the tinnitus
13 lateralization.

14 Extracting seven components instead of six revealed that component VII was indeed an
15 auditory component for both left and right-sided tinnitus patients (see Figure 4). For the
16 bilateral tinnitus patients only after extracting eight components such an auditory component
17 could be found (see Figure 4). The explained variance of the auditory component for the
18 different groups was very low, 4.75% for left-sided tinnitus patients, 4.59% for the right-sided
19 tinnitus patients and 4.75% for the bilateral tinnitus patients.

20

21 *Lagged phase coherence on the Healthy Sample*

22 The estimated lagged phase coherence was computed between all components in the
23 healthy control subjects (see Figure 5). This analysis revealed no significant results indicating
24 that all components are uncorrelated in an out of phase manner.

25

1 *Lagged phase coherence on the Tinnitus Sample*

2 The estimated lagged phase coherence was obtained between all components (see Figure
3 6A & B). The profile appears clearly non-random and seems to concentrate in discrete
4 frequency regions of high-communication rate, interleaved with by regions of low
5 communication rate. Our data show communication among component pairs interplaying at
6 multiple frequencies (multiple time-lags) simultaneously, although most pairs demonstrate a
7 narrow band communication window. Significant coherences are reported in figure 6C in the
8 form of a connectivity graph. By definition (of ICA BSS) all components are uncorrelated in-
9 phase, however here we look at the lagged ‘out of phase’ coherence. Since the different
10 components oscillate coherently with a phase lag, the cross-talk can be interpreted as
11 information sharing by cortico-cortical transmission. We see that the six components are
12 organized in two independent networks (neither in-phase nor out of phase communication
13 exists between networks), whereas significant out of phase crosstalk exists within each
14 network. Within the two independent networks it seems that the first network, including
15 components I, II, IV and VI are functionally connected at slow frequencies (i.e. 2.5-9 Hz),
16 while the second network, which including components III and V are functionally connected
17 at fast frequencies (i.e. 30.5 Hz).

18

19 *Correlation analysis between brain activity and distress and loudness*

20 Separate correlation analyses were conducted between tinnitus distress as measured by the
21 TQ and the log-power of 4 Hz spaced discrete Fourier frequencies in the range 2-44 Hz (2-4
22 Hz, 4-8 Hz, ..., 42-44 Hz) for the different components (component I, II, III, IV, V and VI).
23 These correlation analyses demonstrated significant negative correlations between tinnitus
24 distress and the log-power for component I at frequency range 8-12 Hz, 12-16 Hz and for
25 component II at frequency range 8-12 Hz, 24-28 Hz, 29-32 Hz and 32-38 Hz (see Table 3). In

1 addition, a positive correlation was obtained between tinnitus distress and the log-power of
2 component IV at the frequency range 8-12 Hz and the log power of Component VI at
3 frequency range 24-28 z and 28-32 Hz (see Table 3). No significant results were obtained
4 between tinnitus distress and the log-power of component III and component IV at the
5 specific frequency ranges. Based on these findings we could claim that the combination of
6 component I, II, IV and VI represents a distress network.

7 Separate correlation analyses were conducted between the tinnitus loudness as measured
8 by a visual analogue scale and the log-power of 4 Hz spaced discrete Fourier frequencies in
9 the range 2-44 Hz (2-4 Hz, 4-8 Hz, ..., 42-44 Hz) for the different components (component I,
10 II, III, IV, V and VI). This correlation analysis revealed a significant negative correlation
11 between tinnitus loudness and the log-power of component III at frequency range 20-24 Hz,
12 24-28 and 28-32 Hz (see Table 3). For component V a positive correlation was obtained
13 between tinnitus loudness and the log-power at the frequency 12-16 Hz (see Table 3). No
14 significant results were obtained between tinnitus loudness and the log-power of component I,
15 II, IV and VI at the specific frequency ranges. As such we could name this III-V network a
16 loudness network.

17 Correlation analyses between the log-power of discrete frequencies in the range 2-44 Hz
18 (2-4 Hz, 4-8 Hz, ..., 42-44 Hz) of the different components (component I, II, III, IV, V and
19 VI) and respectively hearing loss as measured by the loss in decibels (dB SL) at the tinnitus
20 frequency, tinnitus frequency (Hz) and age obtained no significant results.

21 In addition a correlation analysis was conducted on the auditory component (component
22 VII or VIII depending on the tinnitus lateralization) to verify whether tinnitus loudness
23 correlated with this component for the respective tinnitus groups. No significant results could
24 be obtained. A correlation analysis between tinnitus distress (TQ) and the auditory component
25 for the respective tinnitus groups showed no significant effects.

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Inter-relationship between the distress and loudness network

Our results suggest two independent networks exist, one related to distress and another related to tinnitus loudness. Based on the behavioral measurements a positive correlation was obtained between distress and loudness. Previous research also suggested that there might be a link between the distress and loudness network for specific groups of tinnitus patients (De Ridder D, AB Elgoyhen, *et al.* 2011). Hence we conducted a secondary lagged phase coherence analysis for respectively tinnitus patients with a grade 1 (slight distress), grade 2 (moderate distress), grade 3 (severe distress) or grade 4 (very severe distress) distress score.

We applied a BSS analysis on the different tinnitus groups separately to verify whether similar components could be obtained. This BSS analysis revealed similar six components for all four grades going from slight to very severe distress (see Figure 2As, Bs, Cs & Ds). A second step was to calculate the lagged phase coherence for the four grades (see Figure 2s). Figure 7A, B, C and D shows the estimated lagged phase coherence obtained between all pair-wise components for respectively grade 1, grade 2, grade 3 and grade 4. For grade 1 no significant communication was found between the different components (see Figure 7). However for grade 2, grade 3 and grade 4 a specific communication was obtained within the loudness and distress networks (see Figure 7A, B, C and D and Figure 2s). Again the profile appears clearly non-random and seems to concentrate in discrete frequency regions of high-communication rate, interleaved with by regions of low communication rate. In addition, we found significant coherences between the loudness and distress network (through communication between component III and component IV) for grade 3 and grade 4 tinnitus patients, but not for grade 1 and grade 2 (see Figure 7C, D, E and F). No other significant lagged phase coherence could be obtained between the distress and loudness network. Our

1 data show a lagged phase coherence between component III and IV at 10 Hz for grade 3 (see
2 Figure 7A, B & D), and at 11.5 Hz for grade 4 (see Figure 7A, B & E).

3

4 *Brain specific connectivity between the distress and loudness network*

5 To further explore the lagged phase coherence between the different tinnitus groups (i.e.
6 grade 1 (slight distress), grade 2 (moderate distress), grade 3 (severe distress) or grade 4 (very
7 severe distress)) and an age- and gender-matched healthy control group the current density
8 was extracted on the raw EEG data including all the regions of component III (i.e. the
9 posterior cingulate cortex, the anterior lingual gyrus and the parahippocampal area) and
10 component V (i.e. the subgenual anterior cingulate cortex/ventromedial prefrontal cortex,
11 dorsal anterior cingulate, left and right insula) specifically for 10 and 11.5 Hz. We opt for
12 these latter frequencies as the above mentioned analyses clearly revealed that both frequencies
13 are important in respectively grade 3 and grade 4 tinnitus patients.

14 A sLORETA connectivity comparison between the control group and the respective
15 tinnitus groups (i.e. healthy control, grade1, grade 2, grade 3 and grade 4) demonstrated a
16 significant difference at 10 Hz in lagged phase coherence between healthy subjects and
17 respectively grade 3 and 4 patients (see Figure 8). A significant connection at 10 Hz between
18 the parahippocampal area that was included in component III and the subgenual anterior
19 cingulate cortex/ventromedial prefrontal cortex that was included in component IV between
20 the grade 3 tinnitus patients and the healthy control subjects was obtained, revealing that
21 grade 3 tinnitus patients had an increased lagged phase coherence. For grade 4 tinnitus
22 patients a similar result was obtained between the parahippocampal area and the subgenual
23 anterior cingulate cortex/ventromedial prefrontal cortex at 10 Hz as well as 11.5 Hz in
24 comparison to the healthy subjects group. No significant effects were obtained between both
25 grade 1 and grade 2 tinnitus patients and the healthy control group for the 10 Hz, as well as

1 for grade 1 tinnitus patients at 11.5 Hz in comparison to the healthy control group. A
2 significant decrease in lagged phase coherence was however obtained in grade 2 tinnitus
3 patients in comparison to healthy control group at 11.5 Hz between the parahippocampal area
4 included in component III and the subgenual anterior cingulate cortex/ventromedial prefrontal
5 cortex included in component IV.

6

7 **Discussion**

8 Recently, it was shown that spontaneous, temporally fast, electrophysiological activity as
9 reflected in EEG, is correlated with the slower hemodynamic fluctuations of the BOLD signal
10 in resting state fMRI (Britz J et al. 2010; Musso F et al. 2010). Results revealed that each of
11 the BOLD resting state networks identified in the resting state fMRI data was characterized
12 by a relatively specific electrophysiological signature involving a combination of several
13 microstates (Yuan H et al. 2012). The concurrently acquired fMRI and EEG data thus reveals
14 that complex spatial and temporal dynamics of neuronal activity are reflected by the
15 interrelationships between neuroimaging measures obtained using modalities that vastly differ
16 in their spatial and temporal properties (Yuan H *et al.* 2012). These findings support the
17 potential of multimodal fMRI and EEG approaches to elucidate normal and pathological
18 interactions between cerebral function and behavior, cognition or emotion (Britz J *et al.* 2010;
19 Musso F *et al.* 2010; Yuan H *et al.* 2012).

20 The main goal of the study was to characterize the loudness and distress networks in
21 patients with an auditory phantom percept to verify whether the loudness and distress are
22 generated by two separable networks and to analyze how the components of these two
23 networks communicate within the networks and between the networks by using a BSS
24 method on electrophysiological data. Understanding how and when the loudness and distress
25 interact at an electrophysiological level might lead in the future to a neurophysiologically

1 based, frequency-selective and anatomically restricted neuromodulation approach to
2 functionally separate these networks, clinically leading to the continued perception of the
3 phantom sound without the associated distress. The study reveals two separate networks, one
4 reflecting the loudness and the other distress, with partially overlapping brain areas, each with
5 a specific spontaneous oscillatory pattern and functional connectivity signature.

6

7 *The independent components*

8 An independent component analysis in the healthy control subjects revealed similar
9 networks as obtained previously by Congedo et al. (Congedo M, RE John, D De Ridder, L
10 Prichep, *et al.* 2010). A comparison between the components obtained for the healthy control
11 subjects and the tinnitus patients showed similar components. However, component VII is
12 different between the healthy control subjects and tinnitus patients. In healthy controls this
13 component is more localized within the post-central gyrus, the middle occipital gyrus, the
14 superior and middle temporal gyrus and the angular gyrus (BA39).

15 Only after a further analysis an additional auditory component (i.e. component VII/VIII)
16 was obtained for patients with an auditory phantom percept. These results depended on the
17 lateralization of the tinnitus. This component is specifically associated to the auditory cortex.
18 Previous research already demonstrated that this brain area might be important in tinnitus.
19 That is, previous research has demonstrated a reorganization (Muhlnickel W *et al.* 1998) and
20 hyperactivity (Weisz N *et al.* 2007) of the auditory cortex in tinnitus patients in comparison to
21 healthy control subjects and tinnitus loudness might be related to the amount of
22 hyperactivity within the auditory cortex (van der Loo E et al. 2009). Our results showed that
23 for the healthy controls no auditory component could be demonstrated, which is likely due to
24 the fact that resting state electrical brain activity recording was performed in a sound
25 attenuating room, precluding a constant auditory percept during recording.

1

2 *The distress network*

3 The distress network is characterized by increased alpha activity in the subgenual
4 anterior/ventromedial prefrontal cortex and beta activity in the dorsal anterior cingulate
5 cortex, correlating positively with distress, while for the posterior cingulate cortex distress
6 correlates negatively with alpha and high beta activity. These findings are in agreement with
7 previous research conducted on a separate group of tinnitus patients revealing that highly
8 distressed tinnitus patients have increased alpha activity within the subgenual anterior
9 cingulate cortex/ventromedial prefrontal cortex extending to ventromedial prefrontal cortex,
10 insula, and parahippocampal area and decreased activity in the posterior cingulate cortex
11 extending in the precuneus in comparison to tinnitus patients with low distress (Vanneste S,
12 M Plazier, E der Loo, *et al.* 2010). In comparison to the healthy control group highly
13 distressed tinnitus patients show more alpha and beta activity within the dorsal anterior
14 cingulate cortex (Vanneste S, M Plazier, E der Loo, *et al.* 2010; De Ridder D, S Vanneste, *et*
15 *al.* 2011). This network overlaps partially with brain areas implicated in distress in patients
16 suffering from pain, dyspnea, functional somatic syndromes and posttraumatic stress disorder,
17 and might therefore represent a non-specific distress network (Craig, 2003; Critchley, 2005;
18 Phan *et al.*, 2002; Peyron *et al.*, 2000). This suggests that the distress associated with tinnitus
19 perception might be related to activation of a general ‘distress network’.

20

21 *The loudness network*

22 The loudness network is built up by two components, one located anteriorly and one
23 posteriorly. This is different than the results obtained by an auditory cortex centered approach
24 used in previous research, demonstrating that tinnitus loudness is correlated to decreased
25 alpha (Lorenz I *et al.* 2009) and increased gamma band activity in the auditory cortex (Llinas

1 RR et al. 1999; Weisz N *et al.* 2007). An independent component, source localized to the
2 auditory areas could only be retrieved in subgroups selected on perceived lateralization of the
3 phantom sound. Based on this analysis it appears that the auditory cortex is less important in
4 tinnitus than previously expected considering that the auditory component could only explain
5 a small amount (4-5%) of the total variance. Furthermore, no correlation was found between
6 the auditory component and tinnitus loudness. This finding seems in contrast with previous
7 findings that showed that the auditory cortex is correlated to gamma activity (van der Loo E
8 *et al.* 2009). However, the auditory components are spectrally constructed of multiple
9 frequency bands, and the gamma band on continuously recorded EEG features a very low
10 signal to noise ratio. Moreover, the electrical dipolar activity of the primary auditory cortex is
11 mainly tangential to the cortical surface, resulting in little visibility by EEG. Similar
12 investigations using magnetoencephalography, which is sensitive to tangential dipole
13 orientation, may confirm this hypothesis. The poor sensitivity of EEG to electrical activity
14 produced in the auditory cortex, especially in the gamma band, can explain the lack of
15 correlation between the auditory component and subjectively perceived loudness.

16

17 *Communication between the independent components within each network*

18 Our results indicate that the independent components within the two networks
19 communicate at different frequency bands. While the distress network (C1-C2-C4-C6) seems
20 to communicate or is functionally connected at slow frequencies (i.e. 2.5-9 Hz), the loudness
21 network (C3-C5) communicates or is functionally connected at fast frequencies (i.e. 30.5 Hz).

22 It has already been argued that both slow and fast rhythms have a different role in
23 perception (Varela F et al. 2001). More precisely slow waves would constitute the ‘context’
24 and fast waves the ‘content’ of neuronal representations (Poppel E 1994; Llinas R et al.
25 1998). Applied to our findings it can be suggested that the distress network would function as

1 context, while the tinnitus loudness is important for the content of the auditory phantom
2 percept. It has indeed been shown that highly distressed patients perceive the tinnitus louder
3 (Henry JL and PH Wilson 1995), demonstrating the contextual modulation of the phantom
4 auditory content.

5

6 *Decreased posterior and increased anterior brain activity*

7 The group BSS analysis resulted in six components of which three components are located
8 more posteriorly, including the retrosplenial posterior cingulate cortex, the posterior cingulate
9 cortex, the precuneus, the parahippocampal area and three components located more
10 anteriorly including the subgenual anterior cingulate cortex/ventromedial prefrontal cortex,
11 the pregenual anterior cingulate cortex, the left and right insula, the hippocampal area, the
12 amygdala, the medial frontal gyrus and the dorsal anterior cingulate cortex. These six non-
13 auditory components obtained in our study are in line with previous research on source
14 localized independent component analysis on resting-state EEG conducted in healthy subjects
15 (Congedo M, RE John, D De Ridder and L Prichep 2010) and in tinnitus distress (De Ridder
16 D, S Vanneste, *et al.* 2011). Spectrally, the tinnitus group was characterized by decreased
17 activity in the delta, theta, alpha and beta band for the first three posterior components (i.e. I,
18 II, III) and increased activity was demonstrated within delta, theta, alpha, and beta band for
19 the last three anterior components (i.e. IV, V, VI) in comparison to the control subjects.
20 Tinnitus patients are thus characterized by decreased activity posteriorly and increased
21 activity anteriorly in comparison to the healthy control group. These finding are in line with
22 previous research using transcranial magnetic stimulation (TMS), suggesting that targeting
23 the anterior cingulate with low-frequency TMS (supposedly decreasing the activity of the
24 underlying cortex) can reduce the tinnitus perception, while targeting the posterior cingulate
25 cortex with high frequency TMS (supposedly increasing the activity of the underlying cortex)

1 can decrease the tinnitus perception (Vanneste S, M Plazier, P Van de Heyning, et al. 2011;
2 Vanneste S and D De Ridder 2012).

3 While the anterior cingulate has been implicated in emotional (Sinha R et al. 2004),
4 attentional (Cohen RA et al. 1999), reward (Bush G et al. 2002) and executive (Vogt BA et al.
5 1992) processing, the posterior cingulate seems to be related more to cognitive and memory
6 aspects of information processing (Vogt BA *et al.* 1992). The posteriorly based components
7 found in the group BSS analysis might be related to cognitive and memory aspects of the
8 tinnitus percept, as the posterior cingulate cortex is implicated in auditory memory (Grasby
9 PM et al. 1993; Fletcher PC et al. 1995) and in cognitive aspects of auditory processing
10 (Laufer I et al. 2009). Activity in the precuneus and adjacent retrosplenial posterior cingulate
11 cortex and posterior cingulate cortex has indeed been linked to successful retrieval from
12 auditory (and visual) memory (Shannon BJ and RL Buckner 2004; Sadaghiani S et al. 2009).
13 The posterior cingulate cortex, precuneus component has been proposed to exert a salience
14 based cognitive auditory comparator function (Laufer I *et al.* 2009).

15

16 *Multiple networks with partially overlapping brain areas*

17 Our results demonstrate that component I, II, IV and VI, composing the first network, a
18 distress network, as well as component III and V, composing the second network, a loudness
19 network, communicate with each other at specific frequency bands in parallel. However these
20 two brain networks do not communicate between each other in the total tinnitus population. In
21 addition it was shown that the first brain network (components I-II-IV-VI) correlates with the
22 distress, while the second brain network (components III-V) correlates with loudness. These
23 latter findings could maybe explain why previous clinical research could not find a clear
24 relationship between loudness, as measured by psychophysical tinnitus matching testing, and
25 distress in tinnitus (Goodwin PE and RM Johnson 1980; Andersson G 2003) and it confirms

1 our hypothesis that tinnitus distress is the result of a ‘distress network’ separable from a
2 tinnitus loudness network (De Ridder D, AB Elgoyhen, *et al.* 2011).

3 That is, the ‘distress network’ and the ‘loudness network’ both include the subgenual
4 anterior cingulate cortex and the posterior cingulate cortex. However, the activity of these
5 structures correlates with distress and loudness scores at different frequencies; the subgenual
6 cingulate cortex/ventromedial prefrontal cortex correlates with distress in the alpha band (as
7 part of component IV) whereas with loudness in the beta band (as part of component V); the
8 posterior cingulate cortex correlates with distress in the alpha band and low beta band (as part
9 of component I) and with loudness in high beta activity (as part of component III). The results
10 within the subgenual anterior cingulate cortex however need to be interpreted with care, as
11 tinnitus distress and loudness may be related to separate midline frontal structures (Leaver
12 AM *et al.* 2012). Using spatial location of sources in EEG is relatively limited, especially in
13 deeper midline frontal cortex and cingulate cortex areas due to the inherent low resolution of
14 the used methodology. Hence it is difficult to claim that the distress and loudness in the
15 subgenual anterior cingulate cortex really overlap or are generated very closely but adjacently
16 to each other.

17

18 *The lagged phase coherence between the distress and loudness network*

19 It has also been shown that in highly distressed patients the tinnitus is perceived louder
20 (Henry JL and PH Wilson 1995). And indeed in highly distressed patients (grade 3 and 4) a
21 functional connection exists between independent component III and IV, thus between a
22 component of the distress network and the loudness network that is not present in patients
23 with low distress, i.e. in patients in whom the tinnitus, whatever its loudness is not distressing.
24 This functional connection occurs in the alpha range, i.e. at 10 Hz and 11.5 Hz in the
25 distressed patients.

1 In order to find out which brain areas are critically involved in determining whether a
2 patient is distressed by the phantom sound or not, the brain areas that are part of the
3 independent components III and IV are used as regions of interest and the lagged phase
4 synchronization between the regions of interest are computed specifically for 10 and 11.5 Hz
5 both for tinnitus without distress (grade 1 and 2) and tinnitus patients with distress (grade 3
6 and 4) and statistically compared to a non-tinnitus control group. This demonstrates that in
7 patients with tinnitus but without distress there is no functional connection between any of the
8 regions of interest that is different from non-tinnitus patients, as is expected, but that in
9 distressed patients there exists a pathological functional connection between the
10 parahippocampal area and the subgenual anterior cingulate cortex/ventromedial prefrontal
11 cortex at 10 and 11.5 Hz. The subgenual anterior cingulate cortex/ventromedial prefrontal
12 cortex are also functionally connected to the insula in these patients at the same discrete
13 frequencies.

14 Thus, whether a patient is distressed or not by his/her phantom sound might be critically
15 dependent on a very specific subgenual anterior cingulate cortex/ventromedial prefrontal
16 cortex-parahippocampal connection at a very narrow frequency band. Being able to pinpoint
17 this highly selective pathological connection opens up the way for very selective modulation
18 of this connection, e.g. by implanting an electrode that is capable of disrupting the 10 and
19 11.5 Hz communication between the parahippocampus and subgenual anterior cingulate
20 cortex/ventromedial prefrontal cortex.

21 This pathological 10-11.5 Hz connection is not surprising in view of the known tinnitus
22 pathophysiology. It has been proposed that the subgenual anterior cingulate cortex extending
23 into the ventromedial prefrontal cortex is involved in tinnitus loudness perception (Muhlau M
24 et al. 2006; Rauschecker JP et al. 2010; Leaver AM et al. 2011). Structural deficits have been
25 observed in the subgenual cingulate cortex/nucleus accumbens area. Based on these findings,

1 it has been postulated that tinnitus is the result of a deficient sensory attentional gating
2 mechanism, originating in the subgenual cingulate cortex/nucleus accumbens area and acting
3 on the reticular thalamic nucleus (Rauschecker JP *et al.* 2010). Not only is the loudness
4 modulated by the subgenual anterior cingulate cortex. The amount of distress perceived by
5 tinnitus patients is related to alpha activity in a network encompassing the subgenual anterior
6 cingulate cortex and insula, extending to the amygdala-hippocampus and parahippocampus
7 (Vanneste S, M Plazier, E der Loo, *et al.* 2010; De Ridder D, S Vanneste, *et al.* 2011). The 10
8 and 11.5 Hz functional connectivity between the subgenual anterior cingulate cortex and
9 insula, coupling loudness to increased distress, also fits with the fact that the insula is
10 associated with tinnitus related distress (van der Loo E *et al.* 2011) and interoceptive
11 perception (Craig AD 2002). The parahippocampal area is involved in different tinnitus
12 characteristics such as lateralization and tinnitus type (Vanneste S, M Plazier, E van der Loo,
13 *et al.* 2010; Vanneste S, PV de Heyning, *et al.* 2011; Vanneste S, M Plazier, E van der Loo, *et*
14 *al.* 2011). Furthermore, in chronification its functional connectivity (lagged phase
15 synchronization) to the auditory cortex is increased (Vanneste S, P van de Heyning, *et al.*
16 2011). The parahippocampal area is also associated with tinnitus distress (Vanneste S, M
17 Plazier, E der Loo, *et al.* 2010; De Ridder D, S Vanneste, *et al.* 2011). Thus it is not surprising
18 that the parahippocampal area, which has a sensory gating function for irrelevant or redundant
19 auditory input (Boutros NN *et al.* 2008) and subgenual anterior cingulate cortex, which has an
20 attentional gating function, when functionally coupled, link phantom sound to distress.

21

22 *Is this a universal mechanism?*

23 It has been suggested before that the tinnitus distress network is actually a non-specific
24 distress network, in view of the fact that the areas involved in tinnitus distress are similar to
25 those in pain (Moisset X and D Bouhassira 2007), social rejection (Masten CL *et al.* 2009),

1 somatoform disorder (Landgrebe M et al. 2008) and asthmatic apnea (von Leupoldt A *et al.*
2 2009).

3 The connectivity between the parahippocampal area and subgenual anterior cingulate
4 cortex/ventromedial prefrontal cortex is proposed to be part of a general aversive network,
5 involving the cerebellum, parahippocampal area and hypothalamus, as it is activated both by
6 pain and unpleasant visual images (Moulton EA et al. 2011). Unfortunately EEG cannot pick-
7 up electrical activity from neither the cerebellum nor the hypothalamus.

8 Our results also showed that communication between the different components as well as
9 between the distress and loudness network are within narrowband frequencies. Narrowband
10 frequency communication has already been described for animals (Fujisawa S and G Buzsaki
11 2011). In humans, interactions between the independent components also appear to occur at
12 multiple narrowband frequencies (Congedo M, RE John, D De Ridder, L Prichep, *et al.*
13 2010). The findings of this study add to these previous findings and suggest that pathological
14 functional connectivity between specific networks at specific frequencies can lead to a
15 problematic auditory phantom percept.

16 Whether or not the described mechanism in this paper is universal is impossible to say, but
17 in view of the above arguments it is definitely worthwhile to further explore this possibility.

18

19 *Limitations*

20 One limitation of the current study is related to the fact that we did not control between the
21 tinnitus group and healthy control group for hearing loss. Previous research on structural
22 differences in tinnitus patients has shown that hearing loss can have an important impact on
23 the results (Husain FT et al. 2011; Melcher JR et al. 2012). As our research showed that
24 similar components were obtained in both the healthy control subjects and the tinnitus group,
25 this suggested that hearing loss might have only a minor influence on the component analysis.

1 In addition it was shown that correlations with the different components did not correlate with
2 the hearing loss in the tinnitus group. Nevertheless, this might be a factor to take into account
3 in further research.

4

5 *In conclusion*

6 In summary, this study suggests that tinnitus is the result of disproportionate activity
7 between anteriorly and posteriorly based components in comparison to healthy control
8 subjects. Based on these results it can be proposed that auditory phantom percept can be
9 separated in at least two independent brain networks with overlapping brain areas
10 characterized by a specific spontaneous oscillatory pattern. One network is involved in the
11 distress, while another network is involved in the loudness of the phantom percept. Within the
12 distress and loudness network the different independent components that make up the network
13 communicate at narrowband frequencies, but the distress and loudness network do not seem
14 to directly intercommunicate in the total group of patients. However, in those patients who are
15 severely distressed, the sound and distress are linked both clinically and
16 electrophysiologically. A specific functional connection exists in the distressed patients
17 between component III (loudness) and IV (distress) at 10 and 11.5 Hz. More specifically it
18 can be pinpointed between the parahippocampal area and the subgenual anterior cingulate
19 cortex/ventromedial prefrontal cortex. This is in accordance with a recently proposed model
20 that states that tinnitus is generated by multiple dynamically active separable but overlapping
21 networks, each characterizing a specific aspect of the unified tinnitus percept (De Ridder D,
22 AB Elgoyhen, *et al.* 2011), but adds to this concept empirical findings characterizing the
23 communication protocol within and between these two of multiple separable networks.

24

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Table 1. Tinnitus characteristics

Ear	
<i>Left</i>	61
<i>Right</i>	49
<i>Bilateral</i>	207
Tone	
<i>Pure tone</i>	138
<i>Noise Like</i>	179
Tinnitus Frequency (Hz)	
<i>Mean</i>	4905.51
<i>Sd</i>	3257.72
Hearing loss at the Tinnitus Frequency (dB SL)	
<i>Mean</i>	7.60
<i>Sd</i>	8.58

Table 2. The means and standard deviations of TQ (Distress) and VAS (loudness) for the total patient group and patients with a grade 1 (slight distress), grade 2 (moderate distress), grade 3 (severe distress) or grade 4 (very severe distress) separately as well as the Pearson correlations (r) between the TQ and VAS for the different groups.

	TQ (Distress) <i>M (Sd)</i>	VAS (Loudness) <i>M (Sd)</i>	r	N
Total	35.88 (16.28)	5.11 (2.44)	.45***	317
Grade 1	20.12 (6.79)	3.74 (2.29)	.05	53
Grade 2	37.75 (4.93)	5.58 (1.98)	.01	104
Grade 3	52.03 (4.29)	6.12 (1.82)	.18	84
Grade 4	66.07 (4.63)	7.51 (2.05)	.12	76

* $p < .05$; ** $p < .01$; *** $p < .01$

Table 3. Significant Pearson correlations (r) between TQ (distress) and the VAS (loudness) with the log-power of the six BSS components. Corrections were performed for multiple comparisons across the 10 frequency bands using a Bonferroni method. Each component was tested separately without correction.

		Frequencies	r
Component I	<i>Distress</i>	8-12	-.24**
		12-16	-.28**
		16-20	-.29**
	<i>Loudness</i>	-	-
Component II	<i>Distress</i>	8-12	-.23**
		24-28	-.18*
		28-32	-.18*
		32-38	-.17*
	<i>Loudness</i>	-	-
Component III	<i>Distress</i>	-	-
	<i>Loudness</i>	20-24	-.23**
		24-28	-.18*
		28-32	-.25**
Component IV	<i>Distress</i>	8-12	.18*
	<i>Loudness</i>	-	-
Component V	<i>Distress</i>	-	-
	<i>Loudness</i>	12-16	.21**
Component VI	<i>Distress</i>	24-28	.21**
		28-32	.18*
	<i>Loudness</i>	-	-

* $p < .05$; ** $p < .01$

Figure legends

Figure 1. Overview of the obtained BSS components for the healthy control group.

Component I: dorsal anterior cingulate (BA24) extending into the subgenual anterior cingulate cortex/ventromedial prefrontal cortex (BA25), insula (BA13) and parahippocampal area (BA28). Component II: cuneus/precuneus (BA7 and BA31) extending into posterior cingulate gyrus (BA23 and BA31), right superior parietal lobule (BA7). Component III: cuneus/precuneus (BA31 and BA7), retrosplenial posterior cingulate (BA30), parahippocampal gyrus (BA18 and BA19). Component IV: lingual gyrus, fusiform gyrus, middle and inferior occipital gyrus (occipital pole) (BA17, BA18 and BA19). Component V: dorsal anterior cingulate (BA 24), subgenual anterior cingulate cortex/ventromedial prefrontal cortex (BA25), inferior frontal gyrus (BA 47), parahippocampal gyrus (BA28 and BA34). Component VI: subgenual anterior cingulate/ventromedial prefrontal cortex (BA25), inferior frontal gyrus (BA 47), parahippocampal gyrus (BA28 and BA34), insula (BA13). Component VII: post-central gyrus (BA1, BA2 and BA3), middle occipital gyrus (BA 18), superior and middle temporal gyrus (BA39 and BA41), angular gyrus (BA39).

Figure 2. Overview of the obtained BSS components for the patients with an auditory phantom percept.

Component I: posterior cingulate cortex (BA23, BA30 and BA31) extending into precuneus (BA7). Component II: posterior cingulate cortex (BA30, BA30 and BA31) extending into the precuneus (BA7) and inferior parietal cortex (BA40) and parahippocampal area (BA19, BA30, BA35 and BA36). Component III: Posterior cingulate cortex (BA29, BA30, BA31), extending into anterior end of the lingual gyrus (BA7, BA18) and parahippocampal area (BA19, BA30). Component IV: subgenual anterior cingulate cortex and dorsal anterior cingulate cortex (BA, 25, 24 and BA32), extending into left and right insula (B13). Component V: subgenual anterior cingulate

cortex (BA25), hippocampal area (BA34), amygdala, and orbitofrontal gyrus (BA11). Component VI: subgenual anterior cingulate cortex and dorsal anterior cingulate cortex (BA25 and 24) supplementary motor area (BA6), orbitofrontal gyrus (BA11).

Figure 3. (A) Relative spectral power (Y-Axis) for patients with an auditory phantom percept in the 2–44 Hz (X-axis) range. CI: component I, CII: component II, CIII: component III, CIV: component IV, CV: component V, CVI: component VI. (B) The differences between patients with an auditory phantom percept and the healthy control subjects. Pink disks flag a statistically higher power ($p < 0.05$, uncorrected) in the normal power of the healthy subjects as compared to the patients with an auditory phantom percept. Green disks flag a statistically lower power ($p < 0.05$, uncorrected) in the normal power of the healthy subjects as compared to the patients with an auditory phantom percept.

Figure 4. Overview of the obtained auditory BSS components for the patients with an auditory phantom percept in respectively left-sided, right-sided and bilateral tinnitus.

Figure 5. (A & B) Lagged phase coherence (Y-Axis) in the 2–44 Hz (X-axis) range for all pair-wise couples of the seven components for healthy control subjects (i.e. 1-2: is coupling between Component I and Component II). No significant results could be obtained.

Figure 6. (A & B) Lagged phase coherence (Y-Axis) in the 2–44 Hz (X-axis) range for all pair-wise couples of the six components for patients with an auditory phantom percept (i.e. 1-2: is coupling between Component I and Component II). The horizontal black line indicates the threshold of significance ($p < .05$) In (A) the coherence profiles of component pairs exceeding the threshold for at least one frequency are drawn using a thick line. (C) Significant lagged phase coherence as a connectivity graph. Blue: Loudness network, Purple: Distress network. Significant connections are represented by lines connecting the components. The six components organize in two fully independent modules. CI:

component I, CII: component II, CIII: component III, CIV: component IV, CV: component V, CVI: component VI. This analysis was corrected for the amount of pairwise comparisons.

Figure 7. (A)-(D): Lagged phase coherence connectivity graph for grade 1 (slight distress) (A), grade 2 (moderate distress) (B), grade 3 (severe distress) (C) and grade 4 (very severe distress) (D) Blue: Loudness network, Purple: Distress network. (E) Healthy control subjects show no significant connections. Significant connections are represented by lines connecting the components. (F & G): Lagged phase coherence (Y-Axis) in the 2–44 Hz (X-axis) range for coupling between Component III and Component IV for patients with an auditory phantom percept. This analysis was corrected for the amount of pairwise comparisons.

Figure 8. A comparison for the lagged phase coherence in healthy controls and group grade 1 (slight distress), grade 2 (moderate distress), grade 3 (severe distress), grade 4 (very severe distress) tinnitus patients and matched healthy control groups at 10 Hz and 11.5 Hz. Blue lines show significantly decreased lagged phase coherence in the specific tinnitus group in comparison to the healthy control subjects, while red lines show significantly increased lagged phase coherence in the specific tinnitus group in comparison to the control group. This analysis was corrected for the frequency bands, but not for the amount of comparisons.