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Jean Hilger, George Harhalakis, Jean-Marie Proth. Generalized cross-decomposition method: algorithm and implementation. [Research Report] RR-1055, INRIA. 1989, pp.19. inria-00075504

## HAL Id: inria-00075504 https://inria.hal.science/inria-00075504

Submitted on 24 May 2006

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## Rapports de Recherche

N° 1055

Programme 5

# GENERALIZED CROSS-DECOMPOSITION METHOD ALGORITHM AND IMPLEMENTATION

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**Juillet 1989** 



## GENERALIZED CROSS-DECOMPOSITION METHOD: ALGORITHM AND IMPLEMENTATION

## METHODE GENERALISEE DE CLASSIFICATION CROISEE : ALGORITHME ET IMPLANTATION

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#### Résumé

Nous présentons un algorithme qui considére un ensemble de types de produits et un ensemble de types de machines. L'algorithme calcule une partition de p sous-ensembles de types de produits, appelés familles de produits et une partition de q sous-ensembles de types de machines, appelés îlots de fabrication tel que :

ou bien p = q et il existe une relation bi-univoque entre familles de produits et îlots de fabrication,

ou bien p = q + 1 (ou q = p + 1) et il existe une relation bi-univoque entre r familles de produits et îlots de fabrication où r est le minimun de p et de q. Le sous-ensemble supplémentaire de types de produits (ou machines) ne possède pas de sous-ensemble correspondant de types de machines (ou de produits).

Dans chaque cas les partitions obtenues maximisent un critère qui est la somme pondérée des durées opératoires normalisées de chaque famille de produits dans l'îlot de fabrication correspondant et des compléments des durées opératoires normalisées de chaque famille de produits en dehors de l'ilôt de fabrication correspondant. Dans le dernier cas le sous-ensemble supplémentaire de types de produits (ou machines) ne contient que des types de produits qui ont des durées opératoires non significatives (ou des types de machines qui n'interviennent que rarement ou brièvement dans le processus de fabrication des produits).

Nous prouvons la convergence de notre algorithme et nous donnons quelques exemples numériques. Nous terminons cette présentation avec la description d'une implémentation de l'algorithme pour des ensembles de données de grande taille.

MOTS-CLE: Gestion de Production, Technologie de Groupe, Classification Croisée.

#### Abstract

We present an algorithm which considers a set of product types and a set of machine types. The algorithm works out a partition of p subsets of product types, called product families, and a partition of q subsets of machine types, called production subsystems such that:

either p = q and there exists a one-to-one relationship between and product families production subsystems,

or p = q + 1 (or q = p + 1) and there exists a one-to-one relationship between r product families and production subsystems where r is the minimum value of p and q. The supplementary subset of product (or machine) types has no corresponding subset of machine (or product) types.

In both cases the partitions obtained maximize a criterion which is the weighted sum of normalized processing times of each product family in its related production subsystem and the complements of normalized processing times of each product family outside its related production subsystem. In the latter case the supplementary subset of product (or machine) types contains only products which have insignificant processing times (or machines which are only rarely or briefly involved by product transformation).

We prove the convergence of our algorithm and give some numerical results. The presentation is closed with the description of an implementation of the algorithm for large data sets.

KEY WORDS: Production Management, Group Technology, Cross-Decomposition.

#### Introduction

At the design stage of a manufacturing process, we usually know the set of product types we have to manufacture along with their production process and the mean proportion of each type of product we expect to manufacture in the future. The goal is to group the machines into cells in order to reduce the production cost by designing an effective production system layout. Many works have been done in this field (see [1], [4], [5], [6], [7], [9], [10], [11], [12], [13]). The process of partitionning the set of machines into cells and the set of products into product families is known as a cross decomposition process. Various approaches are available (see [2], [3], [8] for instance).

This paper extends the result proposed in [3] which is known as the GPM algoritm. This algorithm provides a partition of the set of machine types into manufacturing cells and a partition of the set of product types into product families in such a way that:

- the number of subsets in both partitions is the same,
- a one-to-one relationship between the manufacturing cells and the product types is given.

The above so called cross-decomposition maximizes a criterion which is the weighted sum of normalized processing times for each product family in its related production subsystem and the complements of normalized processing times of each product family outside its related production subsystem. The objective is to obtain a spatial decomposition of the production system into subsystems. Each of these subsystems manufacture the most important part of the products belonging to the corresponding product families. In addition, the operations performed outside the corresponding subsystems are those which require the less important amount of time.

The GPM gives good results in a short computation time. With this algorithm we might have product types with little machine type usage in a product family (as well as we might have machine types in a production subsystem which are only briefly or rarely concerned with processing product types of the corresponding product family). We call those product types (or machine types) insignificant. Our aim is to improve the GPM by extracting product types or machine types which are insignificant.

The first paragraph briefly exposes the GPM, the second gives the modified algorithm and proves its convergence. The third proposes a numerical example. The last paragraph gives an implementation of the algorithm for large data sets.

#### 1. The Initial Algorithm

#### 1.1. The Data

We consider a matrix A giving the processing times of n different product types on m different machine types:  $A = [a_{i,j}]$ , i = 1 .. n and j = 1 .. m, where  $a_{i,j}$  is the processing time of product type i on machine type j.  $B = [b_{i,j}]$  is the normalized matrix A, where  $b_{i,j} = a_{i,j} / \max_{i,j} (a_{i,j})$ . Note that  $a_{i,j} = 0$  if the product type i does not require machine type j to be manufactured.

We assign to each product type i a weight  $u_i$  which is the number of products of type i manufactured during a given time period and we assign to each machine type j a weight  $w_j$  which is the number of machines of type j available for the period considered.

#### 1.2. The Initial Algorithm and its Criterion

In this paragraph we briefly remind the GPM as well as the criterion it is based on.

We denote partitions of machine types by Y and partitions of product types by X. Partitions are computed in the following sequence:  $X^0$ ,  $Y^1$ ,  $X^1$ , ...,  $Y^t$ ,  $X^t$ , ... where  $X^0$  is the randomly chosen initial partition of product types and  $Y^t = \{ Y_1^t, Y_2^t, ..., Y_r^t \}$ ,  $X^t = \{ X_1^t, X_2^t, ..., X_r^t \}$  are the sets of r production subsystems and r product families available at computation step t (t > 0).

The initial algorithm presented in [1] constructs a partition  $Y^t$  from a partition  $X^{t-1}$  and  $X^t$  from  $Y^t$ . It tries to maximize the criterion  $\Delta(X^t, Y^t)$  given by (1) (see [2]).

$$\Delta (X^{t}, Y^{t}) = h \sum_{\substack{k=r \\ (i,j) \in \bigcup_{k=1}^{k=r}}} u_{i} w_{j} b_{i,j}^{\lambda} + (1-h) \sum_{\substack{k=r \\ (i,j) \notin \bigcup_{k=1}^{k=r}}} u_{i} w_{j} (1-b_{i,j})^{1/\lambda}$$
(1)

where  $h \in [0, 1]$  is a parameter which gives more or less importance to the values contained in diagonal blocks and  $\lambda \in ]0, \infty[$  is a parameter which modifies the influence of high or low processing times.

The algorithm converges with stable maximum criterion values [1] since the number of

partitions X and Y is finite and since we have non decreasing values of criterion  $\Delta(X, Y)$  for each successive couple of partition of r non empty subsets. Figure 1 illustrates the result of the heuristic algorithm.

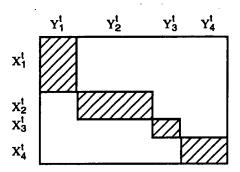


Figure 1. The diagonal blocks formed by one-to-one related subsets of product and machine types maximize the criterion  $\Delta$ .

Recall that the result of this algorithm not only depends on h and  $\lambda$  but also on the initial partition  $X^o$ 

#### 2. The Generalized Algorithm

At each step of the previous algorithm, we compute the additionnal value to the criterion (1) provided by the column (or the row) considered, assuming successively that this column (or row) belongs to the subsets 1, 2, ..., r of the partition. We assign the column (or the row) to the partition which leads to the maximal adding value.

In the generalized algorithm, we consider one more case: the case when the column (or the row) does not belong to any of the existing subsets of the partition. If this assumption leads for some columns (or rows) to higher adding values to the criterion, then we assign them to a supplementary subset. If we compute successively two identical partitions with the same supplementary subset then we conclude that the vectors belonging to this subset are insignificant. Insignificant vectors are excluded from the matrix under consideration and computation is restarted with the remaining matrix.

In sub-section 2.1 we show how to assign a vector (column or row) to a subset of the partition. Sub-section 2.2 is devoted to the generalized cross-decomposition algorithm. In sub-section 2.3 we prove the convergence of the previous algorithm.

#### 2.1. Assignment of Vectors to Subsets

Partitions are computed by assignment of vectors to subsets. For instance, the machine partition  $Y^t$  is computed starting from the product type partition  $X^{t-1} = \{X_1^{t-1}, ..., X_r^{t-1}\}$ : we assign each machine type j (j = 1, ..., m) either to a subset  $Y_k^t$  (k = 1, ..., r) related to  $X_k^{t-1}$  or to the supplementary subset  $Y_{r+1}^t$  as follows.

We evaluate by  $c_Y^j(k)$  the assignment hypothesis: "j is assigned to subset  $Y_k^t$ ", for k = 1, ..., r.

$$c^{j}_{Y}(k) = w_{j} \quad h \sum_{i \in X_{k}^{t-1}} u_{i}(b_{i,j})^{\lambda} + w_{j}(1-h) \sum_{i \notin X_{k}^{t-1}} u_{i}(1-b_{i,j})^{1/\lambda}$$
(2)

We evaluate by  $c_Y^j(s)$  the hypothesis: "j is assigned to to subset  $Y_s^t$ ", with s = r + 1.

$$c^{j}_{Y}(s) = w_{j}(1-h)\sum_{i=1}^{n} u_{i}(1-b_{i,j})^{1/\lambda}$$
 (3)

Let 
$$L(Y^{t}, j) = \{ 1/c^{j}_{Y}(1) = \text{Max } c^{j}_{Y}(k) \}.$$
 (4)

We assign j to subset 
$$l^*(j, t)$$
 such that  $l^*(j, t) = Min l$ . (5)  
 $l \in L(Y^t, j)$ 

If  $I^*(j, t) = s$  then we assign j to a new supplementary subset of machine types  $Y_s^t$ . Otherwise j is assigned to a production subsystem  $I^*(j, t)$  related to a product family  $X_k^{t-1}$ . Once all machine assignments have been decided, we evaluate the resulting machine type partition  $Y^t$  by a global criterion  $W_Y^t$ .

$$W_{Y}^{t} = \sum_{j=1}^{m} c^{j}_{Y}(|*(j, t)|)$$
(6)

 $W_Y^t$  is the value of the criterion and  $W_Y^t = \Delta(X^{t-1}, Y^t)$  when there is no supplementary subset in  $Y^t$  (i.e.  $Y^t = \phi$ ).

Similary, we will use the following formulas to compute a partition  $X^t$  of product types from a partition  $Y^t$  of machine types:

$$c_{X}^{i}(k) = u_{i} \quad h \quad \sum_{j \in Y_{k}^{t}} w_{j} b_{i,j}^{\lambda} + u_{i} \quad (1 - h) \quad \sum_{j \in Y_{k}^{t}} w_{j} (1 - b_{i,j})^{1/\lambda}, \text{ for } k = 1, ..., r$$
 (7)

$$c_{X}^{i}(s) = u_{i} (1 - h) \sum_{j=1}^{m} w_{j} (1 - b_{i,j})^{1/\lambda}$$
, where  $s = r + 1$  (8)

The global criterion  $W_X^t$  evaluates the partition  $X^t$ :

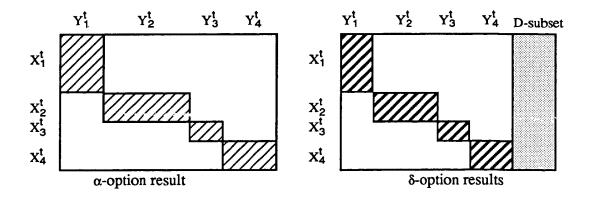
$$W_{X}^{t} = \sum_{i=1}^{n} c_{X}^{i}(l^{*}(i, t))$$
(9)

$$l^*(i, t)$$
 being defined in a similar way than  $l^*(j, t)$ . (10)

#### 2.2. The Algorithm

Three different options are available to run the following algorithm:

- $\bullet$  the  $\alpha$ -option under which the algorithm behaves exactly as the initial GPM algorithm and computes one-to-one related subsets,
  - the β-option which allows supplementary subsets for product types and
- the  $\delta$ -option which symmetrically allows supplementary subsets for machine types. In the following, we call D-subset the supplementary subset of vectors in the final layout. The D-subset is the union of insignificant vectors encountered along the computation.



#### Algorithm:

until convergence = TRUE

```
Xo is the initial product partition resulting from random assignment of product types to r
subsets, with r \le \min(n,m).
convergence = FALSE,
t = 1,
n^t = n, m^t = m,
D^t = \phi, \quad W_X^t = 0, Y = \phi, \quad X = \phi,
choose between the options \alpha, \beta, \delta.
do
         s = Card(X^{t-1}) + 1
         \underline{\text{for } j = 1 ... m^t}
                                                               /* machine partitionning Yt from Xt-1 */
                   \underline{if}(\delta) \underline{then} L(Y^t, j) = \{ 1/c^j_Y(1) = Max c^j_Y(k) \}.
                                                                             k = 1, ..., s
                   <u>else</u> L(Y^{t}, j) = \{ 1/c^{j}_{Y}(1) = \text{Max } c^{j}_{Y}(k) \}.
                                                                   k = 1, ..., (s-1)
                   assign j to subset Y^t_{l^*} such that l^* = Min l
                                                                   l \in L(Y^t, j)
         \underline{if}(\alpha) \underline{or}(\delta) \underline{then} \underline{decide} \underline{convergence} \underline{machine}()
         s = Card(Y^t) + 1
                                                               /* product partitionning Xt form Yt */
         \underline{\text{for }} i = 1 \dots n^t
                  \underline{if}(\beta)\underline{then}L(X^{t},i) = \{ | / c^{i}_{X}(|) = Max c^{i}_{X}(k) \}.
                                                                               k = 1, ...,s
                  else L(X^t, i) = \{ 1/c^i_X(1) = Max c^i_X(k) \}.
                                                                 k = 1, ..., (s-1)
                  assign j to subset X^t_{l^*} such that l^* = Min l
                                                                       l \in L(X^t, i)
        \underline{if}(\alpha) \underline{or}(\beta) \underline{then} \underline{decide} \underline{convergence} \underline{product}()
        t = t + 1
```

```
decide convergence_machine ( )
\underline{if} Y_s^t \in Y^t \underline{then}
                   if Y^t \in Y then
                          m^{t+1} = m^t - Card(Y_s^t)
                          remove all column vectors [j] \in Y_s^t from B
                          D^t = D^t \cup Y_s^t, Y = \phi
                   else Y = Y U Y^t
                   \mathbf{m}^{t+1} = \mathbf{m}^t
        <u>else</u>
                   if t > 1 and (X^{t-2}, Y^{t-1}) = (X^{t-1}, Y^t) then convergence = TRUE
decide_convergence_product()
        \underline{if} X_s^t \in X^t \underline{then}
                   if X^t \in X then
                          n^{t+1} = n^t - Card(X_s^t)
                          remove all row vectors [i] \in X_s^t from B
                          D^t = D^t \cup X_s^t, X = \phi
                   else X = X \cup X^t
                   n^{t+1} = n^t
        <u>else</u>
                   if t > 1 and (Y^{t-1}, X^{t-1}) = (Y^t, X^t) then convergence = TRUE
```

Let us consider a set of partitions obtained as shown in the previous algorithm. Suppose that two of them, say  $Y^t$  and  $Y^t$  (t < l), are identical and contain the same supplementary subset  $Y_s^t$  (=  $Y_s^l$ ). In that case, the supplementary subset is cancelled and the computation restarts with the remaining matrix. The cancelled subset is called a D-subset. Several supplementary subsets can be found in the computation. They are grouped in the final D-subset.

#### 2.3. Convergence

We prove the convergence of our algorithm for all three options.

Lemma 1 Assuming that 
$$\alpha$$
-option has been chosen the following property holds:  $\operatorname{card}(X^0) \ge \operatorname{card}(Y^1) \ge \operatorname{card}(X^1) \ge ... \ge \operatorname{card}(Y^t) \ge \operatorname{card}(X^t) \ge ...$  (11)

The first lemma says that the number of subsets in the partitions does not increase along with the computation.

**Proof** a. Let us start from  $X^t$  ( $t \ge 0$ ). In order to compute partition  $Y^{t+1}$ , the algorithm computes for each column as many values of the criterion as the number of subsets in  $X^t$  and assigns the column to the k-th subset if the k-th value computed is one of the highest values of the criterion. Thus, there are at most as many subsets in  $Y^{t+1}$  as in  $X^t$ . In other words,  $card(X^t) \ge card(Y^{t+1})$ .

b. We can use a similar argument to show that  $card(Y^t) \ge card(X^t)$  for t > 0.

c. Combining results a. and b., we obtain relation (11).

Lemma 2 Assuming that  $\alpha$ -option has been chosen the following property holds:

if we have  $card(X^t) = card(Y^{t+p})$ ,  $t \ge 0$ , p > 0 then

$$W_{\mathbf{Y}^{t+1}} \le W_{\mathbf{Y}^{t+2}} \le W_{\mathbf{Y}^{t+2}} \le \dots \le W_{\mathbf{Y}^{t+p}} \le W_{\mathbf{X}^{t+p}}. \tag{12}$$

Q.E.D.

Lemma 2 shows that, if the number of subsets in the partition remains unchanged along with some consecutive steps, then the value of the criterion does not decrease.

#### **Proof**

a. According to lemma 1,  $card(X^t) = card(Y^{t+p})$  leads to:

$$card(X^{t}) = card(Y^{t+1}) = card(X^{t+1}) = ... = card(Y^{t+p}) = card(X^{t+p}) = ...$$
 (13)

b. Because  $\alpha$ -option has been chosen:

$$W_{Y^{k+1}} = \Delta (X^k, Y^{k+1})$$
 for  $k = t, t+1, ..., t+p-1$  (14)

On the other hand  $\Delta$  ( $X^k$ ,  $Y^{k+1}$ )  $\leq \Delta$  ( $X^{k+1}$ ,  $Y^{k+1}$ ) because partition  $X^{k+1}$  is computed so that:  $\Delta$  ( $X^{k+1}$ ,  $Y^{k+1}$ ) = Max  $\Delta$  ( $X^{k+1}$ )  $\Delta$  (15)

where E is the set of all partitions of the matrix rows whose dimension is  $card(X^{k+1})$ .

But 
$$\Delta(X^{k+1}, Y^{k+1}) = W_{X^{k+1}}$$
 (16)

We derive from (14), (15) and (16):

$$W_{Y}^{k+1} \le W_{X}^{k+1} \text{ for } k = t, t+1, ..., t+p-1.$$
 (17)

c. We can use a similar argument to prove that

$$W_{X}k \le W_{Y}k+1 \text{ for } k = t+1, ..., t+p-1.$$
 (18)

d. Finally, we derive from (17) and (18):

$$W_{Y^{t+1}} \le W_{X^{t+1}} \le W_{Y^{t+2}} \le \dots \le W_{Y^{t+p}} \le W_{X^{t+p}}.$$
 Q.E.D.

Lemma 3 Assuming that α-option has been chosen and that:

$$\operatorname{card}(X^{t}) = \operatorname{card}(Y^{t+p}), \ t \ge 0, \ p > 0 \tag{19}$$

and 
$$W_{Y^{t+1}} = W_{X^{t+p}}, t \ge 0, p > 0$$
 (20)

then 
$$|'(i, k+1)| \le |'(i, k)|$$
, for  $i = 1, ..., m$  and  $i + 1 \le k \le t + p - 1$  (21)

and 
$$i^*(i, k+1) \le i^*(i, k)$$
, for  $i = 1, ..., n$  and  $t+1 \le k \le t+p-2$ .  $\Box$  (22)

As a consequence, if (19) and (20) hold, then:

- either  $(X^t, Y^{t+1}) \equiv (X^{t+p-1}, Y^{t+p})$
- or  $(X^t, Y^{t+1}) /= (X^{t+p-1}, Y^{t+p})$  and in that case  $(X^t, Y^{t+1})$  cannot be obtained again in the following.

#### **Proof**

a. From lemma 1 and 2, relations (19) and (20) lead to:

$$card(X^{t}) = card(Y^{t+1}) = card(X^{t+1}) = ... = card(X^{t+p})$$
 (23)

and 
$$W_{\mathbf{V}^{t+1}} = W_{\mathbf{X}^{t+1}} = \dots = W_{\mathbf{X}^{t+p-1}} = W_{\mathbf{Y}^{t+p}}$$
 (24)

b. Because the  $\alpha$ -option has been chosen and (23) holds:

$$W_{\mathbf{v}^{k+1}} = \Delta (X^k, Y^{k+1}) \text{ for } k = t, t+1, ..., t+p-1$$
 (25)

and 
$$W_{X^{k+1}} = \Delta (Y^{k+1}, X^{k+1})$$
 for  $k = t, t+1, ..., t+p-2$  (26)

Taking into account (24), relation (25) and (26) lead to:

$$\Delta (X^{k}, Y^{k+1}) = \Delta (Y^{k+1}, X^{k+1})$$
(27)

Thus, partitions  $X^{k+1}$  and  $X^k$  lead to the same value of the criterion when  $Y^{k+1}$  is fixed. But according to (5),  $X^{k+1}$  is obtained by assigning the rows of the matrix to the subsets at the lowest rank.

It can be written:  $l^*(i, k+1) \le l^*(i, k)$ , for i = 1, ..., n and  $t+1 \le k \le t+p-2$ , which is relation (22).

c. Using a similar proof, we can write successively:

$$W_{X^{k+1}} = \Delta (Y^{k+1}, X^{k+1})$$
, for  $k = t, t+1, ..., t+p-2$ 

and 
$$W_{Y^{k+2}} = \Delta (X^{k+1}, Y^{k+2})$$
, for  $k = t-1, t, t+1, ..., t+p-1$ 

Consequently (see (24)):

$$\Delta(X^{k+1}, Y^{k+2}) = \Delta(X^{k+1}, Y^{k+1})$$
 for  $k = t, t+1, ..., t+p-2$ 

Remember that  $Y^{k+2}$  is derived from  $X^{k+1}$  in the algorithm.

Thus: 
$$l^*(j, k+2) \le l^*(j, k+1)$$
, for  $k=t, t+1, ..., t+p-2$ 

or then 
$$|''(j, k+1)| \le |''(j, k)|$$
, for  $k=t, t+1, ..., t+p-1$ , which is relation (21).

d. Let us first assume that

$$l^*(j, k+1) = l^*(j, k)$$
 for  $j = 1, ..., m$  and  $k = t, t+1, ..., t+p-2$ 

and 
$$I^*(i, k+1) = I^*(i, k)$$
 for  $i = 1, ..., n$  and  $k = t, t+1, ..., t+p-1$ .

In that case  $(X^t, Y^{t+1}) = (X^{t+p-1}, Y^{t+p}).$ 

Assume that  $| ^*(j, k+1) < | ^*(j, k)$  for at least one  $j \in \{1, ..., m\}$  and one  $k \in \{t, t+1, ..., t+p-2\}$  or  $| ^*(i, k+1) < | ^*(i, k)$  for at least one  $i \in \{1, ..., n\}$  and one  $k \in \{t, t+1, ..., t+p-1\}$ .

Thus there exists at least one column or/and one row of the matrix classified in a subset of the

partition  $Y^{t+p}$  (or  $X^{t+p-1}$ ) whose rank is lower than the one of the subset containing the same column (or/and row) in partition  $Y^{t+1}$  (or  $X^t$ ). Knowing that the rank of the subset of a partition containing a given row (or column) does not increase along with the computation when (19) and (20) hold, the proof is completed.

Q.E.D.

Theorem 1 Algorithm converges when  $\alpha$ -option is chosen.

#### **Proof**

The algorithm ends with  $W_{X^{k-1}} = W_{X^k}$  or  $W_{Y^k} = W_{Y^{k+1}}$ , for k > 1.

We call step of the algorithm the computational process which leads from a couple  $(X^k, Y^{k+1})$  to the next one  $(X^{k+1}, Y^{k+2})$ . At each step of the algorithm, the number of subsets in the partition either remain unchanged or is changed.

- a. In the first case, the value of the criterion either increases or not
  - a1. When the value of the criterion increases, it increases by a strictly positive value.
  - a2. When the value of the criterion remains unchanged, partitions do or do not change.
    - a11. When partitions change, theorem 1 shows that it is impossible to find again the same couple of partitions in the future.
    - a12. When partitions remain unchanged the algorithm stops.
- b. If the number of subsets in the partition changes, it decreases because we are in the  $\alpha$ -option situation.

Remembering that the value of the criterion is bounded and the number of different partitions with a given number of subsets is limited and we always reach the state described in a12, the previous items show that the algorithm converges when the  $\alpha$ -option is chosen.

Q.E.D.

Theorem 2 Algorithm converges when the  $\beta$  and  $\delta$ -options are chosen.

#### Proof

We have the following two cases:

- a. Two consecutive couples of partitions are found to be identical. The algorithm stops.
- b. Else, since the number of couples of partitions is finite, we necessarily find two identical couples  $(X^{t-1}, Y^t)$  and  $(X^{l-1}, Y^l)$  (l > t) containing a supplementary subset. In that case, we cancel the supplementary subset and continue the computation. The number of rows and columns being finite, the algorithm stops either because we reach the above case a or because the matrix vanishes.

Q.E.D.

#### 3. Numerical examples

In this section, we compute with data generated at random. The values of the elements of the

matrix belong to [0, 1]. They are randomly generated with a probability of 0.5 that the value of the element is 0. Thus, the number of 0 values is close to the number of 1 values in the matrix.

We solve this problem using the  $\alpha$ -option and the  $\delta$ -option for various values of parameters h and  $\lambda$ . For each couple of values, we generate a random initial partition and compute the number of one-to-one related subsets (column P) and the criterion value (column  $\Delta$ ) obtained with the  $\alpha$ -option, as well as the number of one-to-one related subsets (column Q), the size of the D-subset (column D) and the criterion value (columns W, CR and T) obtained using the  $\delta$ -option. In column  $\Delta$  we find criterion  $\Delta$  (X,Y) defined by relation (1). In column W we find criterion W<sub>Y</sub> (see relation 6). In column CR we introduce the values of the criterion for the D-subset computed as follows

$$CR(D) = (1-h) \sum_{j=1}^{\infty} \sum_{i=1}^{\infty} u_i w_j (1-d_{i,j})^{1/\lambda}$$
(28)

where  $D = [d_{i,j}]$ ,  $i = 1 ... n_d$  and  $i = 1... m_d$  is the matrix reduced to the D-subset. Column T concerns the sum W+CR.

Results computed for a matrix with 100 rows and 45 columns:

	α-option			β_ option				
h	λ	P	Δ	Q	D	w	CR	Т
0.2	0.2	20	2136.0	20	1	2084.4	51.6	2136.1
0.2	0.5	24	2424.6	24	0	2424.6	0	2424.6
0.2	0.8	21	2614.7	21	4	2375.2	241.6	2616.8
0.2	1.1	21	2752.7	0	45	0	2744.0	2744.0
0.2	1.4	1	187.0	0	45	0	2851.1	2851.1
0.2	1.7	1	166.2	0	45	0	2934.3	2934.3
0.4	0.2	15	1666.6	15	0	1666.6	0	1666.6
0.4	0.5	24	1860.6	24	0	1860.6	0	1860.6
0.4	0.8	26	1998.0	.27	1	1951.9	44.8	1996.7
0.4	1.1	29	2097.8	29	0	2097.8	0	2097.8
0.4	1.4	26	2171.1	25	2	2070.7	99.7	2170.4
0.4	1.7	24	2226.3	22	7	1874.3	350.5	2224.8
0.6	0.2	7	1262.5	7	0	1262.5	0	1262.5
0.6	0.5	13	1326.0	13	0	1326.0	0	1326.0
0.6	0.8	21	1386.4	21	0	1386.4	0	1386.4
0.6	1.1	24	1453.9	24	0	1453.9	0	1453.9
0.6	1.4	24	1495.5	24	0	1495.5	0	1495.5
0.6	1.7	24	1526.5	24	0	1536.5	0	1526.5
0.8	0.2	1	1508.3	1	0	1508.3	0	1508.3
0.8	0.5	1	1201.2	1.	0	1201.2	0	1201.9
0.8	0.8	3	920.5	3	0	920.5	0	920.5
0.8	1.1	9	853.7	9	0	853.7	0	853.7
0.8	1.4	12	849.1	12	0	849.1	0	849.1
0.8	1.7	14	851.9	14	0	851.9	0	851.9

### 4. Implementation of the Algorithm

In this paragraph we present a dynamic storage technique for the processing time matrix as well as the required data structure for simultaneous computation of S solutions.

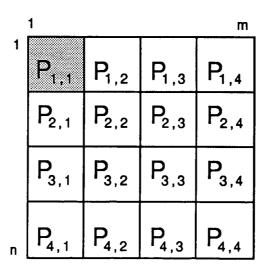
#### 4.1. Data

#### 4.1.1. Dynamic storage technique

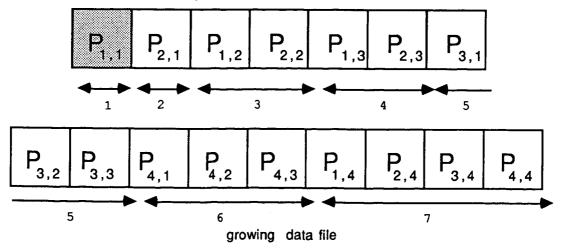
The storage technique of the processing time matrix permits dynamical growth of the matrix, allows rapid access to vector data and limits overdimensioning of the stored matrix to a constant factor r.

The processing time matrix  $A = [a_{i,j}]$ , with  $i = 1 \dots n$  and  $j \dots m$  is subdivided into blocks. Let us call P the matrix of blocks where each block has r rows and r columns:

$$P = [p_{l,k}]$$
 with  $l = 1 ... ln, k = 1 ... km, ln = n/r and km = m/r$ 



logical subdivision of matrix A

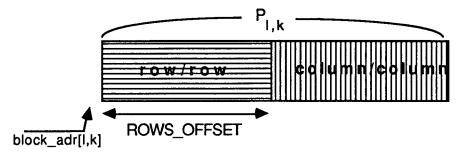


At the beginning of data storage we create block  $p_{1,1}$  in the disk-file. A matrix of r rows and columns is now available. If we add columns to the data set and pass beyond the limit of the r available columns then block  $p_{1,2}$  is added to the file. An overstepping of the limit of r available rows implies the creation of two successive blocks,  $p_{2,1}$  and  $p_{2,2}$  in order to complete the matrix.

The above figures show the logical subdivision of matrix A and its storage in a disk file when columns and rows are added to the matrix in an arbitrary order. The series 1, 2, 3, 4, 5, 6, 7 of blocks are created in order to complete the matrix, when overstepping of limits of available vectors occurs.

#### 3.1.2. Data Access

In order to work efficiently the algorithm needs direct access to row and column vectors of the matrix. Each block of r<sup>2</sup> elements is first stored first row-wise then column-wise.



The location of a block  $p_{l,k}$  in the file is a relative address block\_adr[l, k] from beginning of block series. The location of the columns of block  $p_{l,k}$  is given by the sum of the block address (block\_adr[l, k]) and the storage size of rows in the block (ROWS\_OFFSET).

We define:

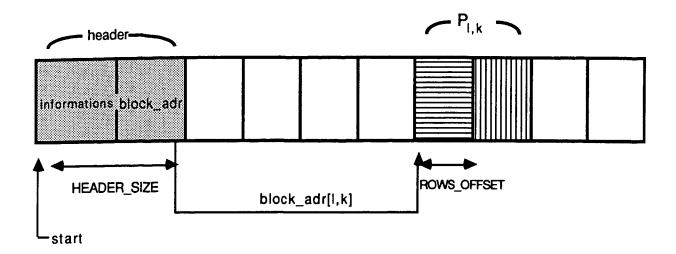
- 1 if block p<sub>l,k</sub> does not exist

block\_adr [l, k]

else relative address of block p<sub>l.k</sub>

The relative address block\_adr [l, k] is known at block creation at the end of the file. Relative block addresses are stored in a matrix of addresses stored in the file header. Beneath the addresses of blocks the file header contains the following information: a data identifier, the last obtained partition and its parameter values, the number of rows and columns of matrix A, the maximum processing time, the machine and product type names, the machine and product type weights and comments on the data set.

During computation the header data are loaded into memory.



We define: - ELE\_SIZE : storage size of floating point numbers

- COLUMN\_SIZE : r \* ELE\_SIZE - ROWS\_OFFSET : r<sup>2</sup> \* ELE\_SIZE

- HEADER\_SIZE : storage size of file header

Access to column j of matrix A is performed by the following algorithm.

```
\begin{aligned} k &= j \, / \, r, \, l = 1 \\ &\text{column\_offset} = ((j \, \text{mod} \, r) * \text{COLUMN\_SIZE}) + \text{ROWS\_OFFSET} \\ & \underline{\text{while}} \, \text{block\_adr}[l, \, k] \neq -1 \, \underline{\text{do}} \\ &\text{position\_in} \, (\text{block\_adr}[l, \, k] + \text{column\_offset} + \text{HEADER\_SIZE}) \\ &\text{read\_vector} \, (\text{column\_vector}[r * (l - 1) \, ... \, r * l]) \\ &l &= l + 1 \\ & \underline{\text{done}} \end{aligned}
```

The presented data access method permits the reading of a vector by direct access to vector pieces stored at constant offset in blocks in the disk file. By choosing factor r such that r \* ELE\_SIZE corresponds to the size of system input / output buffers as well as to the file system block size, we are able to optimize data access under the given constraints of data representation.

#### 4.2. The Implemented Algorithm

The implemented algorithm computes first S different initial product partitions X°. Row vectors of matrix A are randomly assigned to p subsets, where p is either user defined or equivalent to the minimum dimension of matrix A.

#### intial partition()

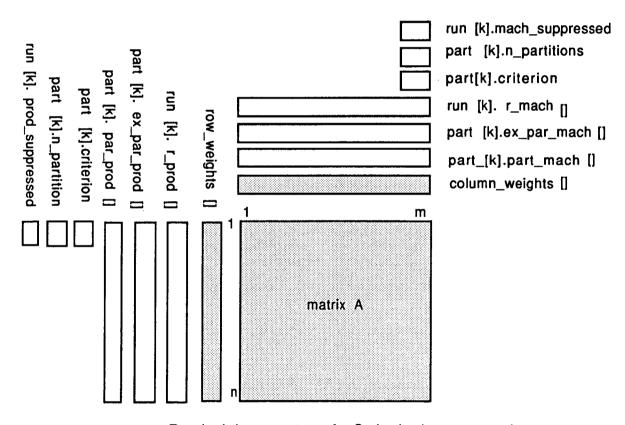
```
/* no row vector is marked */

for i = 1 to p
    choose randomly j ∈ [1 ... n]
    if j is marked then choose next j which is not marked
    mark j by i

for j = 1 to n
    if j is not marked then choose randomly r ∈ [1 ... p] mark j by r

/* all row vectors are assigned to subsets, none of the p subsets are empty */
```

Then we simultaniously run S computations of partitions on choice under  $\alpha$ ,  $\beta$  or  $\delta$ -option. For each vector read from the disk-file we compute its criterion values for the S different partitionnings.



Required data structures for S simultanious computations

The data structures represented in the above figure are defined as follows:

For each partition k = 1 ... S we define:

part [k].n\_partitions
run [k].prod\_suppressed

number of existing one-to-one related subsets number of rows belonging to the D-subset (idem for run [k].mach\_suppressed)

part [k].criterion value of working criterion W

run [k].r\_prod [i] = 0 if product type i Belongs to the D-subset, 1 else

(idem for run [k].r\_mach [i])

part [k].ex\_par\_prod [i] = 1 if product subset i exists, 0 else

(idem for part [k].ex\_par-mach [i])

part [k].part\_prod [i] = r > 0 if product type i belongs to subset r, 0 if

(idem for part [k].part\_mach [i]) product type does not belong to any existing subset

#### 4. Conclusion

In this paper we have proposed a method providing a set of machine subsets and a set of product type subsets as well as a one-to-one relationship between these subsets. Insignificant machines or product types are isolated in a so-called supplementary subset.

This aim of this algorithm used in group technology is to simplify scheduling problems by dividing these problems into smaller subproblems.

The following two issues are subject to further study. The simplest issue is to acquire a machine as many times as it is used by different computed product families. Otherwise we have to look for a way to integrate information about processing sequences of products in the criterion evaluation such that links between production subsystems are minimized.

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Imprimé en France
par
l'Institut National de Recherche en Informatique et en Automatique

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