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## On One-Rule Grid Semi-Thue Systems

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**Abstract.** The family of one-rule grid semi-Thue systems, introduced by Alfons Geser, is the family of one-rule semi-Thue systems such that there exists a letter  $c$  that occurs as often in the left-hand side as the right-hand side of the rewriting rule. We prove that for any one-rule grid semi-Thue system  $S$ , the set  $S(w)$  of all words obtainable from  $w$  using repeatedly the rewriting rule of  $S$  is a constructible context-free language. We also prove the regularity of the set  $\text{Loop}(S)$  of all words that start a loop in a one-rule grid semi-Thue systems  $S$ .

**Keywords:** one-rule semi-Thue system, termination, grid semi-Thue system.

### 1. Introduction

Semi-Thue systems, that are the non-symmetrical version of Thue systems introduced by Axel Thue in 1914, serve as a model for rewriting systems. Thus they are of primordial interest for computational problems. For several years they have been intensively studied and several deep results have been obtained [10, 3, 2, 21, 19, 22]. However some intriguing decidability problems remain open. With a semi-Thue system  $S$ , one associates the set  $S_\infty$  of words that start an infinite derivation in  $S$ . The recursiveness of  $S_\infty$  is called the termination problem for  $S$  and the emptiness of  $S_\infty$  is the uniform termination problem for  $S$ . The best result on the set  $S_\infty$  is that the termination problem and the uniform termination problem are undecidable for 3-rules semi-Thue systems ([14]). Clearly the termination problem is decidable for length-preserving semi-Thue systems, contrarily to the uniform termination problem that has been shown undecidable for length-preserving semi-Thue systems

([1]). This result remains true for 9-rules semi-Thue systems ([19]) and for length-two semi-Thue systems ([18]).

Another set naturally associated with semi-Thue systems is the set  $\text{Loop}(S)$  that brings together the words  $w$  that start a non-null derivation in  $S$  toward a word containing  $w$  as a factor. For length-preserving semi-Thue systems, the emptiness of  $\text{Loop}(S)$  is equivalent to the emptiness of  $S_\infty$  but this equivalence does not hold for arbitrary semi-Thue systems, as shown in [7] where a 2-rules semi-Thue system  $S$  is presented with  $\text{Loop}(S) = \emptyset$  and  $S_\infty \neq \emptyset$ . Moreover, for an arbitrary semi-Thue system  $S$ , the set  $\text{Loop}(S)$  need not be recursive.

One-rule semi-Thue systems are the simplest rewriting systems. Indeed, they are defined by two words  $l, r$ , noted  $S = \{l \rightarrow r\}$ . For a word  $w$ ,  $S(w)$  is the set of words obtainable from  $w$  by replacing repeatedly  $l$  by  $r$ . It is clear that, except the particular case  $l = r$ ,  $w \in S_\infty$  if and only if the set  $S(w)$  is infinite. However, despite numerous efforts for more than twenty years, these decidability problems remain open and have become challenging problems. We observe that these problems can be explained in a few minutes to non-scientific people and surely they point out a deep lack of understanding of the rewriting notion. To get new ideas in order to solve these difficult problems, it seems natural to examine closely particular one-rule semi-Thue systems. Several interesting partial results have been obtained in that direction ([20, 23, 16, 5, 6, 17]). Here we continue the study of a natural subclass of one-rule semi-Thue systems, introduced by Alfons Geser in [4], and called *one-rule grid semi-Thue systems*.

It is obvious that the system  $S = \{l \rightarrow r\}$  with  $l \neq r$  is uniformly terminating if  $|r| \leq |l|$  or if there is a letter  $x$  such that  $|r|_x < |l|_x$ . So, when studying the termination, we can assume that there is a letter  $x$  such that  $|r|_x > |l|_x$  and there is no letter  $y$  such that  $|r|_y < |l|_y$ . Then a borderline case is when there is a unique letter  $x$  such that  $|r|_x > |l|_x$  and  $|r|_y = |l|_y$  for  $y \neq x$ . The family of one-rule grid semi-Thue system satisfies a slightly more general condition. This family, that we denote  $\mathcal{S}_{\text{grid}}$ , is composed of all one-rule semi-Thue systems having a letter  $c$  such that  $|r|_c = |l|_c = k > 0$ <sup>1</sup>. In [4], Alfons Geser has given a nice decidable characterization of one-rule grid semi-Thue systems that are uniformly terminating by proving that such a system is non-uniformly terminating if and only if it has a loop of length 1 or 2. To know whether a one-rule semi-Thue system has a loop of length 1 or 2 was previously shown decidable by Winfried Kurth in [11].

First, we give a new formulation of this decidable characterization of non-uniformly terminating systems in  $\mathcal{S}_{\text{grid}}$ : there exist words  $x, y$  such that  $ly$  is a left factor of  $xr$  and  $xl$  is a right factor of  $ry$ . We show that the words  $x$  and  $y$  are unique and give a simple way to compute these two words. This permits, when considering a nonterminating system  $S = \{l \rightarrow r\} \in \mathcal{S}_{\text{grid}}$ , to give a very precise form of the two words  $l$  and  $r$  and to get as a consequence that if  $|r|_c = |l|_c = k$  is odd  $l$  needs to be a factor of  $r$ .

Then we prove that, for any  $S$  in  $\mathcal{S}_{\text{grid}}$ , the set  $\text{Loop}(S)$  is a constructible regular language by giving a simple rational expression. This property can not be generalized to arbitrary 1-rule semi-Thue system: for instance  $\text{Loop}(\{c \rightarrow caca\})$  is not regular. Concerning the link between  $\text{Loop}(S)$  and  $S_\infty$ , it is proved in [4] that the family  $\mathcal{S}_{\text{grid}}$  satisfies the equality  $S_\infty = S^{-1}(A^*\text{Loop}(S)A^*)$ , that is  $w \in S_\infty$  if and only if there is a derivation from  $w$  to a word in the regular language  $A^*\text{Loop}(S)A^*$  where  $A$  is the alphabet of  $lr$ . Unfortunately, up to now, this relation does not imply that  $S_\infty$  is a regular language as shown in [20] when  $l \in a^*b^*$ . Then we prove that for any  $S$  in  $\mathcal{S}_{\text{grid}}$  and for any

<sup>1</sup>In the original definition of Alfons Geser, a one-rule grid semi-Thue system is a one rule semi-Thue system  $S = \{l \rightarrow r\}$  having a letter  $c$  such that  $|r|_c \leq |l|_c$ . In this paper we do not consider the case  $|r|_c < |l|_c$  for which  $S$  is trivially uniformly terminating.

word  $w$ , the set  $S(w)$  is a constructible context-free language. Note that this result does not hold for arbitrary one-rule semi-Thue systems ([12]). As a matter of fact,  $S(w)$  is a bounded context-free language. So we get both the decidability of the termination problem<sup>2</sup> in  $\mathcal{S}_{\text{grid}}$  and the decidability of the common descendant problem in  $\mathcal{S}_{\text{grid}}$ . In the last section, we give an argument in favour of the regularity of  $S_\infty$  by proving that it is a regular language in the case  $|r|_c = |l|_c = 2$ .

## 2. Preliminaries and Notations

In the following,  $A$  will denote a finite alphabet,  $A^*$  the free monoid over  $A$  and  $\varepsilon$  the empty word in  $A^*$ . For a word  $w \in A^*$  and a letter  $a \in A$ ,  $|w|$  denotes the length of the word  $w$  and  $|w|_a$  denotes the number of occurrences of the letter  $a$  in the word  $w$ .

Two words  $u$  and  $v$  are *conjugate* if there exist words  $x$  and  $y$  such that  $u = xy$  and  $v = yx$ . It is well known that two words  $u$  and  $v$  are conjugate if and only if there exists a word  $z$  such that  $uz = zv$ . A word  $u$  is a *factor* of a word  $v$  if there exist two words  $w_1$  and  $w_2$  such that  $v = w_1uw_2$  and we denote by  $F(v)$  the set of the factors of the word  $v$ . We denote by  $\text{RF}(w)$  (respectively  $\text{LF}(w)$ ) the set of *right factors* (respectively *left factors*) of the word  $w$ , that is:

$$\text{RF}(w) = \{v \in A^* \mid \exists u \in A^*, w = uv\},$$

$$\text{LF}(w) = \{u \in A^* \mid \exists v \in A^*, w = uv\}.$$

A semi-Thue system over an alphabet  $A$  is a subset  $S \subseteq A^* \times A^*$ . Members of  $S$  are denoted  $l \xrightarrow{S} r$  (or  $l \rightarrow r$  if there is no ambiguity). One-step derivation, denoted  $\xrightarrow{S}$  ( $\rightarrow$  if no ambiguity), is the binary relation over words defined by:  $\forall u, v \in A^*, u \rightarrow v$  iff there exists  $l \rightarrow r \in S$  and  $\alpha, \beta \in A^*$  such that  $u = \alpha l \beta$  and  $v = \alpha r \beta$ . The relation  $\xrightarrow{S^*}$  (resp.  $\xrightarrow{S^+}$ ) is the reflexive and transitive closure (resp. transitive closure) of the relation  $\rightarrow$  and, for any word  $w \in A^*$ , we shall denote  $S(w)$  the set  $S(w) = \{w' \in A^* \mid w \xrightarrow{S^*} w'\}$  and  $S^{-1}(w) = \{w' \in A^* \mid w' \xrightarrow{S^*} w\}$ . We extend these notations to languages: for any language  $L \subseteq A^*$ ,  $S(L) = \cup_{w \in L} S(w)$  and  $S^{-1}(L) = \cup_{w \in L} S^{-1}(w)$ . For a derivation  $w = w_0 \rightarrow w_1 \cdots \rightarrow w_n = w'$ ,  $n$  is called the length of the derivation that will be denoted by  $w \xrightarrow{n} w'$ .

We note  $w \xrightarrow{S^\infty}$  iff there is an infinite derivation starting on  $w$  and we denote by  $S_\infty$  the set  $S_\infty = \{w \in A^* \mid w \xrightarrow{S^\infty}\}$ .

For a semi-Thue system  $S$ , for any positive integer  $n$ , we denote  $\text{Loop}_n(S) = \{w \in A^* \mid \exists x, y \in A^*, w \xrightarrow{n} xwy\}$  and  $\text{Loop}(S) = \cup_{n>0} \text{Loop}_n(S)$ .

The *termination problem* for the alphabet  $A$  and the semi-Thue system  $S \subseteq A^* \times A^*$  is the following:

*instance:* a word  $w \in A^*$

<sup>2</sup>This result already appears implicitly inside proofs used by Alfons Geser in [4] to solve the uniform termination problem in  $\mathcal{S}_{\text{grid}}$ .

question: Does every derivation (modulo  $S$ ) starting on  $w$  have finite length? (that is does  $w \notin S_\infty$ ?)

The *uniform termination problem* for a class  $\mathcal{S}$  of semi-Thue systems is the following :

instance: an alphabet  $A$  and a finite semi-Thue system  $S \subseteq A^* \times A^*$  which belongs to  $\mathcal{S}$

question: Do all derivations (modulo  $S$ ) starting from all words  $w \in A^*$  have finite length? (that is does  $S_\infty = \emptyset$ ?)

To get shorter, we say that a system  $S$  is *nonterminating* if the uniform termination problem has a negative answer for  $S$ . In the sequel, we focus on  $\mathcal{S}_{\text{grid}}$ , the family of one-rule grid semi-Thue systems.

**Definition 2.1.** A one-rule grid semi-Thue system  $S = \{u \rightarrow v\}$  is a one-rule semi-Thue system such that there exists a letter  $c$  with  $|u|_c = |v|_c = k > 0$ .

### 3. Uniform termination in $\mathcal{S}_{\text{grid}}$

In this section, we state some consequences of the following Geser's result:

**Proposition 3.1.** ([4]) A one-rule grid semi-Thue system  $S = \{u \rightarrow v\}$  is non-uniformly terminating iff one of the following properties is satisfied<sup>3</sup>:

1.  $u$  is a factor of  $v$ .
2. there exist words  $g, h, k$  such that  $u = gh$ ,  $v = hk$  and  $ggh$  is a factor of  $hkk$ .

**Example 3.1.** The simplest example of one-rule grid semi-Thue system satisfying the property 2 of proposition 3.1 but not the property 1 is  $S = \{cac \rightarrow acca\}$  with  $g = c$ ,  $h = ac$ ,  $k = ca$  and  $ggh = ccac$  is a factor of  $hkk = accaca$ .

Let  $S = \{u \rightarrow v\} \in \mathcal{S}_{\text{grid}}$ . The words  $u$  and  $v$  belong to  $A^*$  where  $A$  is an alphabet that contains a letter  $c$  with  $|u|_c = |v|_c = k > 0$ . If we denote  $B = A \setminus \{c\}$ , then

- $u = lcu_1 \dots u_{k-1}cr$
- $v = l'cv_1 \dots v_{k-1}cr'$

for some words  $l, r, l', r', u_1, \dots, u_{k-1}, v_1, \dots, v_{k-1} \in B^*$ . We get, as a consequence of the proposition 3.1, the following corollary that is also directly proved in [13]:

**Corollary 3.1.** Let  $S = \{u \rightarrow v\} \in \mathcal{S}_{\text{grid}}$  with  $u \neq v$ . Then  $S$  is nonterminating iff one of the two following properties is satisfied:

- (i)  $u$  is a factor of  $v$
- (ii) there exist  $x, y, s, e \in A^*$  such that  $xv = uye$  and  $vy = sxu$ .

<sup>3</sup>More precisely, Alfons Geser proved in [4] that a one-rule grid semi-Thue system  $S = \{u \rightarrow v\}$  is non-uniformly terminating if and only if  $\text{Loop}_1(S) \cup \text{Loop}_2(S) \neq \emptyset$  that is proved decidable by Winfried Kurth in [11].

**Proof:** Clearly, if (ii) is satisfied,  $S$  is nonterminating since  $xu \rightarrow xv = uye \rightarrow vye = sxue$ . Now, if  $u \notin F(v)$  and if the property 2 of the proposition 3.1 is satisfied, then  $hkk = sgghe$  for some  $s, e$ . Since  $|g|_c = |k|_c$ , it follows  $s, e \in B^*$ . Suppose that  $g, k \in B^*$  then, since  $h \in l'cA^*$  and  $hkk = sgghe$ , we get  $l' = sgg'l'$  that implies  $g = \varepsilon$  and  $u = h \in F(v)$ , a contradiction. Thus  $|g|_c = |k|_c > 0$  and  $k = ye$  for some  $y \in A^*$ . Taking  $x = g$ , we get  $xv = ghk = uye$ ,  $vye = vk = hkk = sgghe = sxue$ , hence  $vy = sxu$ .  $\square$

The following lemma states that if  $u \neq v$  the conditions (i) and (ii) of the corollary 3.1 are mutually exclusive. This lemma also clarifies the relationship between the words  $x, y, s$  and  $e$  when the property (ii) of the corollary 3.1 is satisfied.

**Lemma 3.1.** Let  $S = \{u \rightarrow v\} \in \mathcal{S}_{\text{grid}}$ . If the property (ii) of the corollary 3.1 is satisfied and  $u \neq v$ , we have

- (i)  $|x|_c = |y|_c > 0$  and  $s, e \in B^+$ ,
- (ii)  $u \notin F(v)$ ,
- (iii)  $u = xu'' = u'y$  where  $u''$  is the longest word in  $\text{RF}(u) \cap \text{LF}(v)$  and  $u'$  is the longest word in  $\text{LF}(u) \cap \text{RF}(v)$ ,
- (iv)  $x$  and  $y$  are conjugate;  $s$  and  $e$  are conjugate.

**Proof:** If the property (ii) of the corollary 3.1 is satisfied, there are  $e$  and  $s$  in  $A^*$  such that  $xv = uye$  (1) and  $vy = sxu$  (2). From (1), we get  $|x|_c = |ye|_c$  and from (2),  $|y|_c = |sx|_c$ . So  $|x|_c = |y|_c$  and  $|e|_c = |s|_c = 0$  that is  $e, s \in B^*$ . Moreover  $|x|_c = |y|_c > 0$  otherwise  $x$  and  $y$  belong to  $B^*$  and, from (1), we obtain  $xl' = l$  and from (2),  $l' = sxl$ . It follows  $x = s = \varepsilon$  and we similarly obtain  $y = e = \varepsilon$ . This leads to the contradiction  $u = v$ . Hence  $x \in lcA^*$  and  $y \in A^*cr$  so  $v$  belongs to  $slcA^* \cap A^*cre$  and  $l' = sl, r' = re$ .

Let us now suppose that  $u$  is a factor of  $v$ . We prove that this leads to a contradiction. Indeed, if  $v = s'ue'$  for some  $e', s' \in B^*$ , then the equality (1) gives  $xs'ue' = uye \in A^*cre' \cap A^*cre$  and it follows that  $e' = e$ . Similarly equality (2) implies  $s' = s$  and we obtain  $xs'u = uy$  and  $ue'y = xu$ . Therefore  $e' = s' = \varepsilon$  and  $u = v$ ; this contradiction proves (ii).

We can now finish the proof of (i): from (1) and (2), we get  $xsxu = xvy = uye$  that implies  $u \in \text{LF}((xsx)^*)$ . Suppose  $s = \varepsilon$  then  $u \in \text{LF}((x)^*)$  and  $vy = xu \in \text{LF}(x^*)$ . It follows  $v \in \text{LF}(x^*)$  that implies  $u \in F(v)$ , a contradiction. Similarly we can show  $e \neq \varepsilon$ .

For (iii), let us first observe that  $|x| < |u|$  and  $|y| < |u|$ : otherwise, we may assume that  $|y| \leq |x|$ ; then  $|x| \geq |u|$  and  $x = uz$  for some word  $z$ . It follows that  $xu = uzu \in \text{RF}(vy)$  with  $|y| \leq |x| = |zu|$  and  $u$  is a factor of  $v$  which leads to a contradiction. Then we have  $u = xu'' = u'y$  with  $u''$  in  $\text{RF}(u) \cap \text{LF}(v)$  and  $u'$  in  $\text{LF}(u) \cap \text{RF}(v)$ . We shall now prove that  $u''$  is the longest word in  $\text{RF}(u) \cap \text{LF}(v)$  and we could similarly show that  $u'$  is the longest word in  $\text{LF}(u) \cap \text{RF}(v)$ .

We have  $x = lcu_1 \dots u_{j-1}cu'_j$  with  $u'_j \in \text{LF}(u_j)$  and, if we denote  $p$  the first index such that  $u_p \neq v_p$  ( $p$  exists since  $u \notin F(v)$ ), we have  $j \leq p$ . Assume now that  $j < p$  and set  $z = lcu_1 \dots u_{p-j}c$ , then we have  $xsx \in \text{LF}(u)$  and  $sxz \in \text{LF}(v)$ . But this implies  $s = \varepsilon$  and  $u_p = u_{p-j} = v_p$ , a contradiction. Thus  $p = j$  and it follows  $u_j = u'_jsl$  and  $v_j = u'_jl$  with  $s \neq \varepsilon$ . Moreover, since  $ye \in \text{RF}(ue) \cap \text{RF}(v)$  with  $|x|_c = |y|_c$ , we obtain  $k \geq 2p$  and  $xsx' \in \text{LF}(u)$ ,  $sxx' \in \text{LF}(v)$ , with  $x' = lcu_1 \dots u_{p-1}c$ .

In order to show that  $u''$  is the longest word in  $\text{RF}(u) \cap \text{LF}(v)$ , assume that there exists some  $z$  such that  $|z| < |x|$  and  $u \in \text{LF}(zv)$ . Then  $|z|_c < |x|_c$ ,  $zsx \in \text{LF}(xss')$  and  $sxsx = szsxt$  with  $t \neq \varepsilon$ . Hence  $xs$  is not a primitive word and  $xs = (x_r s)^{q+1}$  where  $x_r s$  is the primitive root of  $xs$ . That implies  $x = (x_r s)^q x_r$  and  $x' = (x_r s)^i x'_r$  with  $x'_r \in \text{LF}(x_r)$ ,  $|x'_r|_c = |x_r|_c > 0$ ,  $z = (x_r s)^i x_r$  with  $i < q$  and  $zsx = xzs$ . Since  $u \in \text{LF}(zv)$ , we have  $xss' \in \text{LF}(zssx') = \text{LF}(xssz')$  and  $x' = (x_r s)^q x'_r \in \text{LF}(zx') = \text{LF}((x_r s)^i x_r (x_r s)^q x'_r)$ . Thus  $(x_r s)^{q-i} x'_r \in \text{LF}(x_r (x_r s)^q x'_r)$  and  $sx'_r \in \text{LF}(x_r s)$ . But that implies  $s = \varepsilon$ , a contradiction that finishes the proof of (iii). Observe that it implies the unicity of  $x$  and  $y$ . Moreover, if there are several  $c$  such that  $|u|_c = |v|_c$ , the value of  $x$  and  $y$  does not depend on the choice of a particular  $c$ .

Let us now prove (iv). Since  $|x|_c = |y|_c$ , we can write  $x = x_0 c x_1 \dots c x_t$  and  $y = y_0 c y_1 \dots c y_t$  with  $\forall 0 \leq i \leq t, x_i, y_i \in B^*$ . From (1) and (2), we can deduce  $xvy = xsxu = uyeey$  and it follows that  $yeey$  and  $sxsx$  are conjugate. Thus  $cy_t e y_0 c \dots c y_t y_0 c \in \text{F}((sxsx)^*)$ . Since  $s, e \in B^+$ , it is easy to verify that we must have  $y_t e y_0 = x_t s x_0$  and  $y_t y_0 = x_t x_0$ . Suppose that  $|y_t| \geq |x_t|$  (the case  $|y_t| \leq |x_t|$  is symmetric) then  $y_t = x_t z$  for some  $z$  and it follows  $z y_0 = x_0$ . Then we get  $x_t z e y_0 = x_t s z y_0$  so  $s$  and  $e$  are conjugate. Now from the equality  $y_t e y_0 = x_t s x_0$  we obtain  $x_t z e y_0 = x_t s z y_0$  that implies  $z e y = s z y = s x z$  therefore  $x$  and  $y$  are conjugate.  $\square$

Since the equalities  $vy = sxu$  and  $xv = uye$  imply  $xvy = xsxu = uyeey$ , it follows that  $u \in \text{LF}((sxsx)^*), u \in \text{RF}((yeey)^*), v \in \text{LF}((sxsx)^*)$  and  $v \in \text{RF}((yye)^*)$ . Then we can state the precise form of  $u$  and  $v$  for a nonterminating one-rule grid semi-Thue system:

**Proposition 3.2.**  $S \in \mathcal{S}_{\text{grid}}$  is nonterminating iff one of the following three conditions is satisfied

1.  $u \in \text{F}(v)$
2.  $u = (sxsx)^n x'$  and  $v = (sxsx)^n s x'$  with  $n > 0$  and  $x' \in \text{LF}(x) \cap \text{LF}(sx)$
3.  $u = (sxsx)^n x s x'$  and  $v = (sxsx)^n s x x' e$  with  $n \geq 0$ ,  $x = x' x''$  and  $x'' s = e x''$ .

**Proof:** Let us first observe that these three conditions are sufficient by verifying that for any system  $S$  satisfying 1, 2 or 3,  $\text{Loop}(S) \neq \emptyset$ . It is clear for 1 since, in this case,  $u \in \text{Loop}_1(S)$ . If  $S$  satisfies 2 then  $x(sxsx)^n x' \in \text{Loop}_2(S)$ : indeed, in this case we get  $x = x' x''$  and  $s x' = x' x'''$  for some words  $x'', x'''$  and  $x(sxsx)^n x' \rightarrow x(sxsx)^n s x' = (sxsx)^n x' x'' s x' \rightarrow (sxsx)^n s x' x'' s x' = s x (sxsx)^n x' x'''$ . Finally, if  $S$  satisfies 3,  $x(sxsx)^n x s x' \rightarrow x(sxsx)^n s x x' e = (sxsx)^n x s x' x'' x' e \rightarrow (sxsx)^n s x x' e x'' x' e = (sxsx)^n s x x' x'' s x' e = s x (sxsx)^n x s x' e$ , therefore  $x(sxsx)^n x s x' \in \text{Loop}_2(S)$ . It now remains to prove that the condition (1 or 2 or 3) is necessary.

Suppose that 1 is not satisfied, then we can consider three cases since  $u \in \text{LF}((sxsx)^*)$ :

- (i)  $u = (sxsx)^n x'$  with  $x = x' x''$ .

First we can observe that  $v = (sxsx)^n s x'$  since  $v \in \text{LF}((sxsx)^*)$  and  $|v| = |u| + |s|$ . Moreover  $n > 0$  since  $|x|_c < |u|_c$ . It remains to prove that  $x' \in \text{LF}(sx)$ . The property (iii) of the lemma 3.1 gives  $y \in \text{RF}(u)$ , therefore  $y = x'' x'$  since  $|x| = |y|$ . From the equality  $xv = uye$  we obtain  $x(sxsx)^n s x' = (sxsx)^n x' x'' x' e$  and  $x' \in \text{LF}(s x')$  since  $|e| = |s|$ .

- (ii)  $u = (sxsx)^n x s'$  with  $s' \in \text{LF}(s)$ .

Since  $v \in \text{LF}((sxsx)^*)$  and  $|v| = |u| + |s|$ , it follows  $v = (sxsx)^n s x x'$  with  $|x'| = |s'|$ . Then  $x' \in B^*$  since  $|u|_c = |v|_c$  and  $s \in B^*$ . It follows that  $x = x' x''$  for some  $x''$ . Let us now consider the factorization  $x = zct$  with  $t \in B^*$  (we know that  $|x|_c > 0$ ) then we obtain

$u = u_1cts'$  and  $v = v_1ctx'$  with  $|ts'| = |tx'|$  and  $ts', tx' \in B^*$ ,  $u_1, v_1 \in A^*$ . This is a contradiction since  $u = u_1cr$  and  $v = v_1cre$  with  $|re| > |r|$ . The case  $u = (sxx)^nxs'$  with  $s' \in \text{LF}(s)$  is finally impossible.

(iii)  $u = (sxx)^nxsx'$  with  $x' \in \text{LF}(x)$ .

Since  $v \in \text{LF}((sxx)^*)$  and, from the property (iv) of the lemma 3.1,  $|v| = |u| + |e|$ , we get  $v = (sxx)^nxsx'x'''$  with  $|x'''| = |e|$ . Moreover  $v \in \text{RF}((yye)^*)$  therefore  $x''' = e$  and  $v = (sxx)^nxsx'e$ . Now, from the equality  $xv = uye$ , we can deduce that  $y = x''x'$  since  $|x| = |y|$ . Let us consider now the equality  $vy = sxu$ : we get  $(sxx)^nxsx'ey = sx(sxx)^nxsx'$  and it follows  $x'ey = xsx'$ . Since  $x = x'x''$  and  $y = x''x'$ , we finally obtain  $ex'' = x''s$ .  $\square$

**Example 3.2.** Let  $S = \{a^2ca^5c \rightarrow a^4ca^3ca^2\}$ . In this case,  $s = e = a^2$  and from the property (iii) of the lemma 3.1,  $x = a^2ca$ . Then we are in the case 3 of the proposition 3.2 with  $n = 0$ ,  $x' = a^2c$  and  $x'' = a$ .

The condition 2 of the proposition 3.2 implies  $x' \in \text{LF}(sx')$  so  $x' \in B^*$ . It follows that  $|u|_c = 2n|x|_c$  is even. Similarly, the condition 3 of the proposition 3.2 implies that  $x'' \in B^*$ . Then  $|x'|_c = |x|_c$  and  $|u|_c = 2n|x|_c$  is even. We obtain:

**Corollary 3.2.** Let  $S = \{u \rightarrow v\}$  such that  $|u|_c = |v|_c$  is odd. Then  $S$  is nonterminating iff  $u \in \text{F}(v)$ .

## 4. The construction of $\text{Loop}(S)$ with $S$ in $\mathcal{S}_{\text{grid}}$

The aim of this section is to prove that for any system  $S = \{u \rightarrow v\}$  in  $\mathcal{S}_{\text{grid}}$ , the set  $\text{Loop}(S)$  is a constructible regular language. Clearly, if  $u = v$  then  $\text{Loop}(S) = A^*uA^*$  and in the rest of this section, we suppose that  $u \neq v$ .

We shall use the following lemma:

**Lemma 4.1.** Let  $S = \{u \rightarrow v\}$  in  $\mathcal{S}_{\text{grid}}$  that is nonterminating with  $u \notin \text{F}(v)$ . If  $z_0cw_0cz'_0 \xrightarrow{4} z_4cw_4cz'_4$  with  $z_0, z'_0, z_4, z'_4 \in B^*$  and  $|w_0| = |w_4|$  then  $z_0cw_0cz'_0 \in B^*(xu + uy)B^*$ .

**Proof:** We consider the derivation  $z_0cw_0cz'_0 \rightarrow z_1cw_1cz'_1 \rightarrow z_2cw_2cz'_2 \rightarrow z_3cw_3cz'_3 \rightarrow z_4cw_4cz'_4$  with  $z_i, z'_i \in B^*$ . Observe first that  $|cw_0c|_c > |u|_c$  otherwise we can not apply a derivation step on  $z_1cw_1cz'_1$  since  $u \notin \text{F}(v)$ . That implies that the first and the last occurrences of the letter  $c$  can not be both involved in a same step of the derivation. From the proposition 3.2,  $|w_{i+1}| = |w_i|$  if the derivation involves the first or the last occurrence of the letter  $c$ , else  $|w_{i+1}| > |w_i|$ . From the hypothesis, we are in the first case and  $\forall i, |w_i| = |w_0|$ . We can also suppose that  $z_0 = l$  and  $z'_0 = r$ . Then  $u \in \text{LF}(lcw_0cr) \cup \text{RF}(lcw_0cr)$  and we consider the case  $lcw_0cr = uy_0 \rightarrow vy_0$ . Since  $su \notin \text{LF}(v)$ , we necessarily have  $u \in \text{RF}(vy_0)$ . It follows that  $vy_0 = sx_1u \rightarrow svy_1e \rightarrow svy_1e = s^2x_2ue$ . We can verify that  $|y_0| = |y_1|$  and  $y_0, y_1 \in \text{RF}(u)$ . Finally  $y_0 = y_1$  and we get  $vy_0 = sx_1u$  and  $x_1v = uy_0e$ . Now, from the property (ii) of the corollary 3.1 and from the property (iii) of the lemma 3.1, we get  $y_0 = y$ ,  $x_1 = x$  that imply  $lcw_0cr = uy$ . Observe that if  $z_0 \neq l$  or  $z'_0 \neq r$  we still have  $z_0cw_0cz'_0 \in B^*uyB^*$ . If  $u \in \text{RF}(lcw_0cr)$ , then  $z_0cw_0cz'_0 \in B^*xuB^*$  that proves the lemma.  $\square$



Let us denote, for any word  $w \in A^*cA^*$ ,  $\text{int}(w) = (B^*)^{-1}w(B^*)^{-1} \cap cA^* \cap A^*c$ .

**Lemma 4.2.** Let  $S$  be nonterminating with  $u \neq v$ .

1. If  $w \xrightarrow{*} w'$  with  $u \in F(v)$  or  $|w|_c > |u|_c$ , then  $|\text{int}(w')| \geq |\text{int}(w)|$ ,
2. if  $w \xrightarrow{+} w' \xrightarrow{*} zwz'$  then  $|\text{int}(w)| = |\text{int}(w')|$

**Proof:** For the property 1, it is sufficient to prove that  $w \rightarrow w'$  implies  $|\text{int}(w')| \geq |\text{int}(w)|$ . That is clear if  $u \in F(v)$  since  $|v| > |u|$  and  $\text{int}(v) = \text{int}(u)$ . If  $u \notin F(v)$  then the property (ii) of the corollary 3.1 is satisfied. Since  $|w|_c > |u|_c$  we have to consider three cases:

1.  $w = zww''$  and  $w' = zvw''$  with  $z \in B^*$ ,  $w'' \in (A^* \setminus B^*)$ ,
2.  $w = w''uz$  and  $w' = w''vz$  with  $z \in B^*$ ,  $w'' \in (A^* \setminus B^*)$ ,
3.  $w = w''uw'''$  with  $w''$ ,  $w''' \in (A^* \setminus B^*)$ .

Let us consider the case 1. From the property (iv) of the lemma 3.1,  $|\text{int}(u)| = |\text{int}(v)| + |e|$ , moreover  $u \in A^*cr$  and  $v \in A^*cre$  so we get  $|\text{int}(w')| = |\text{int}(w)|$ . The second case can be proved similarly and for the third case, we clearly obtain  $|\text{int}(w')| > |\text{int}(w)|$  since  $|u| < |v|$ . For the property 2, we have  $\text{int}(zwz') = \text{int}(w)$  and  $w \in S_\infty$ . So, if  $u \notin F(v)$ ,  $|w|_c > |u|_c$  from the lemma 4.1. Then property 1 yields:  $|\text{int}(w)| \leq |\text{int}(w')| \leq |\text{int}(zwz')| = |\text{int}(w)|$ .  $\square$

**Lemma 4.3.** If the property (ii) of the corollary 3.1 is satisfied and  $u \neq v$  then

1.  $v \notin F(xuB^*)$ ,
2.  $v \notin F(B^*uy)$ ,
3.  $xu \notin A^*uA^+$ ,
4.  $uy \notin A^+uA^*$ .

**Proof:** From the property (iii) of the lemma 3.1,  $u = gy$  where  $g$  is the longest word in  $\text{LF}(u) \cap \text{RF}(v)$ . Assume first that  $v \in F(xu)$ . Since  $v \in slcA^*$  and  $xu \in lcA^*$ ,  $v \notin \text{LF}(xu)$ . Then  $u = g'y'$  with  $g' \in \text{LF}(u) \cap \text{RF}(v)$  and  $|y'| < |x| = |y|$ . Thus  $|g| < |g'|$ , a contradiction. Assume now that  $v \in F(xuB^*)$ . Since  $v \in A^*cre$  and  $u \in A^*cr$ , we get  $v \in \text{RF}(xue)$  and  $v = ue$ , a contradiction. Assume now that  $xu \in A^*uA^+$ . Consider the equality  $vy = sxu$ . If  $u \in \text{LF}(xu)$  then  $v = su$ , a contradiction. Otherwise,  $u = g'y'$  with  $g' \in \text{LF}(u) \cap \text{RF}(v)$  and  $|y'| < |y|$ . Thus  $|g| < |g'|$ , a contradiction. Properties 2 and 4 can be proved similarly.  $\square$

We obtain as a consequence:

**Corollary 4.1.** If the property (ii) of the corollary 3.1 is satisfied and  $u \neq v$  then  $S^{-1}(B^*(xu + uy)B^*) = B^*(xu + uy)B^*$ .

**Proof:** Let us prove that  $S^{-1}(B^*xuB^*) \subseteq B^*(xu + uy)B^*$ . It is clearly sufficient to consider a single step of derivation. Let  $w_1uw_2 \rightarrow w_1vw_2 = w'_1xw'_2$  with  $w_1, w_2 \in A^*$  and  $w'_1, w'_2 \in B^*$ . From the lemma 4.3,  $v \notin F(xuB^*)$  therefore there exist  $t \in B^*$  and  $z \in A^*$  such that  $w'_1 = w_1t$ ,  $w_2 = zw'_2$  and  $txu = vz$ . Since  $v \in slcA^*$  and  $x \in lcA^*$  it follows  $t = s$  that implies  $z = y$  and  $w_1uw_2 = w_1uyw'_2 \in B^*uyB^*$ . We can prove similarly that  $S^{-1}(B^*uyB^*) \subseteq B^*(xu + uy)B^*$ .  $\square$

**Proposition 4.1.**

1. If  $v = su$  with  $s \in B^+$  then  $\text{Loop}(S) = \text{RF}(s^*)uA^*$ ,
2. If  $v = ue$  with  $e \in B^+$  then  $\text{Loop}(S) = A^*u\text{LF}(e^*)$ ,
3. If  $v = sue$  with  $s, e \in B^+$  then  $\text{Loop}(S) = \text{RF}(s^*)u\text{LF}(e^*)$ .

**Proof:** Let  $S = \{u \rightarrow su\}$  with  $s \in B^+$  and  $w \in \text{Loop}(S)$ . Then  $w = \alpha u \beta \rightarrow \alpha s u \beta \xrightarrow{*} z \alpha u \beta z'$  with  $z, z' \in B^*$ . From the lemma 4.2, we have  $|\text{int}(\alpha s u \beta)| = |\text{int}(\alpha u \beta)|$  and, since  $s \in B^+$ , we get  $\alpha \in B^*$ . Moreover we obtain by induction on the length  $n > 0$  of the derivation that  $z \alpha u \beta z' = \alpha s^n u \beta$ . It follows  $\alpha s^n = z \alpha$  and  $\alpha \in \text{RF}(\alpha s^{i \times n})$  for any integer  $i > 0$ . Therefore  $\alpha \in \text{RF}(s^*)$  and  $\text{Loop}(S) \subseteq \text{RF}(s^*)uA^*$ . Since the converse inclusion is immediate we finally get  $\text{Loop}(S) = \text{RF}(s^*)uA^*$ . The other cases can be proved similarly.  $\square$

**Lemma 4.4.** If the property (ii) of the corollary 3.1 is satisfied and  $u \neq v$  then for any word  $\alpha, \beta \in B^*$

1.  $S(\alpha x u \beta) = \{\alpha s^n x u e^n \beta \mid n \geq 0\} \cup \{\alpha s^{n-1} u y e^n \beta \mid n > 0\}$ ,
2.  $S(\alpha u y \beta) = \{\alpha s^n u y e^n \beta \mid n \geq 0\} \cup \{\alpha s^{n-1} x u e^n \beta \mid n > 0\}$ .

**Proof:** We prove that  $S(\alpha x u \beta) = \{\alpha s^n x u e^n \beta \mid n \geq 0\} \cup \{\alpha s^{n-1} u y e^n \beta \mid n > 0\}$ : from the lemma 4.3,  $xu \notin A^*uA^+$  and  $uy \notin A^+uA^*$  therefore the first steps of a derivation from  $w$  are  $w = \alpha x u \beta \rightarrow \alpha x v \beta = \alpha u y e \beta \rightarrow \alpha v y e \beta = \alpha s x u e \beta$  and the property is proved by induction on the length of the derivation. The second property of this lemma can be proved similarly.  $\square$

**Proposition 4.2.** If the property (ii) of the corollary 3.1 is satisfied and  $u \neq v$  then

$$\text{Loop}(S) = \text{RF}(s^*)(xu + uy)\text{LF}(e^*)$$

**Proof:** Let  $w \in \text{Loop}(S)$  then  $w \xrightarrow{n} z w z'$  with  $z, z' \in B^*$  and  $n > 0$ . We can suppose  $n \geq 4$  and there exists a derivation  $w \rightarrow w_1 \rightarrow w_2 \rightarrow w_3 \rightarrow w_4 \xrightarrow{*} z w z'$  with  $z, z' \in B^*$ . From the lemma 4.2, we get  $|\text{int}(w_4)| = |\text{int}(w)|$  and, from the lemma 4.1, we get  $w = \alpha x u \beta$  or  $w = \alpha u y \beta$  with  $\alpha, \beta \in B^*$ . Let us suppose that  $w = \alpha x u \beta$  then  $S(w) = \{\alpha s^n x u e^n \beta \mid n \geq 0\} \cup \{\alpha s^{n-1} u y e^n \beta \mid n > 0\}$  from the lemma 4.4. Moreover, from the lemma 4.3 we deduce that  $xu \neq uy$  since  $u \notin \text{LF}(xu)$ . On the other hand, from the property (iii) of the lemma 3.1, we have  $xu \in lcA^*cr$  and  $uy \in lcA^*cr$  then it follows that  $\text{int}(uy) \neq \text{int}(xu) = \text{int}(w)$ . That implies that if  $w = \alpha x u \beta \xrightarrow{+} z w z' = z \alpha x u \beta z'$  we must have  $z \alpha x u \beta z' = \alpha s^n x u e^n \beta$  for some  $n > 0$  therefore  $\alpha \in \text{RF}(s^*)$  and  $\beta \in \text{LF}(e^*)$ . The case  $w = \alpha u y \beta$  is symmetric and we finally get  $\text{Loop}(S) \subseteq \text{RF}(s^*)(xu + uy)\text{LF}(e^*)$ . We can easily verify the converse inclusion since  $xu \xrightarrow{*} s x u e$  and  $uy \xrightarrow{*} s u y e$ .  $\square$

We directly obtain from the two previous propositions:

**Corollary 4.2.** If  $S \in \mathcal{S}_{\text{grid}}$  then  $\text{Loop}(S)$  is a constructible regular language.

The condition  $S \in \mathcal{S}_{\text{grid}}$  in the corollary 4.2 is necessary as shown by the following example:

**Example 4.1.** Consider  $S = \{c \rightarrow caca\}$ . We shall show that  $\text{Loop}(S) \cap c(ca)^+a^* = L = c\{(ca)^na^p \mid n > p \geq 0\}$ . Since  $c \xrightarrow{*} (ca)^{n-1}ca^{n-1}$ , it follows that for  $n > p \geq 0$ ,  $c(ca)^na^p \xrightarrow{*} c(ca)^na^pa^{n-1-p}(ca)^{n-1}a^p$  and  $L \subseteq \text{Loop}(S)$ . For the reverse inclusion, remark that  $(ca+a)^*$  is  $S$ -closed. So, if  $cw = c(ca)^na^p \xrightarrow{*} \alpha cw\beta$  for some  $\alpha, \beta \in A^*$ , then  $\alpha = \varepsilon$  and  $w \xrightarrow{+} w\beta$  with  $|\beta|_c > 0$ . Thus  $\beta = \beta'a^p$ ,  $(ca)^n \xrightarrow{*} (ca)^na^p\beta' \in \text{LF}(S(c))$  and  $p < n$  since  $\forall z \in \text{LF}(S(c)), 2|z|_c > |z|_a$ .

## 5. Testing membership in $S_\infty$ with $S$ in $\mathcal{S}_{\text{grid}}$

The aim of this section is to show that the termination problem of a system  $S \in \mathcal{S}_{\text{grid}}$  is decidable. Recall that this problem is, given  $S \in \mathcal{S}_{\text{grid}}$  and a word  $w \in A^*$  to know whether  $w \in S_\infty$ . Clearly, for a system  $S = \{u \rightarrow v\}$  with  $u \in \mathbb{F}(v)$ ,  $S_\infty = A^*uA^*$  and we conjecture that for any system  $S \in \mathcal{S}_{\text{grid}}$  the set  $S_\infty$  is a constructible regular language. This is true when  $|u|_c = |v|_c = 2$  as it is proved in the next section but here we must find another way in order to prove that  $S_\infty$  is a recursive language for any  $S \in \mathcal{S}_{\text{grid}}$ . More precisely, we show that for any  $S \in \mathcal{S}_{\text{grid}}$  and any word  $w$ , the set  $S(w)$  is a constructible bounded context-free language.

**Definition 5.1.** ([9]) A language  $L \subseteq A^*$  is said to be *bounded* if there exist words  $w_1, \dots, w_n \in A^*$  such that  $L \subseteq w_1^* \dots w_n^*$ .

As a matter of fact, it would be sufficient to prove that for any  $S \in \mathcal{S}_{\text{grid}}$  and any word  $w$ , the set  $S(w)$  is a constructible context-free language in order to decide whether  $S(w)$  is finite. Nevertheless bounded languages have nice structural properties that will also permit to solve in this section the common descendant problem for any  $S \in \mathcal{S}_{\text{grid}}$  that is to decide, given two words  $w$  and  $w'$ , whether  $S(w) \cap S(w')$  is not empty. We also observe that the image  $S(w)$  of a word  $w$  by an arbitrary one-rule semi-Thue system  $S$  need not be context-free([12]).

Following Alfons Geser in [4], we first establish that if a derivation is applied to a word long enough, this word can then be factorized so that the derivation applies independently on each factor of the word. Let us denote  $N' = \max\{|cu_i| \mid i < k\}$  and  $N = \max\{N', |l|, |r|\}$ .

**Lemma 5.1.** Let  $w = z'cm'm''cz''$  with  $m', m'' \in B^*$  and  $z', z'' \in A^*$ . If  $|m'| \geq N$  and  $|m''| \geq N$  then  $S(w) = S(z'cm')S(m''cz'')$ .

**Proof:** Clearly  $S(z'cm')S(m''cz'') \subseteq S(w)$ . Conversely, let  $w' \in S(w)$ , we prove by induction on the length  $n$  of the derivation from  $w$  to  $w'$  that  $w' \in S(z'cm')S(m''cz'')$ . The base case  $w = w'$  is immediate. Otherwise  $w \rightarrow w'' \xrightarrow{n-1} w'$ . Since  $|m'm''| > N$ , the first step of the derivation applies on an occurrence of  $u$  that can only appear in  $z'cm'$  or in  $m''cz''$ . Suppose it is in  $z'cm'$ , then  $z'cm' \rightarrow z'_1cm'_1$  with  $m'_1 \in B^*$ ,  $|m'_1| \geq |m'|$  and  $w'' = z'_1cm'_1m''cz''$ . Now, we can apply the induction hypothesis on  $w'' \xrightarrow{n-1} w'$ :  $w' \in S(z'_1cm'_1)S(m''cz'') \subseteq S(z'cm')S(m''cz'')$ . The other case can be proved similarly.  $\square$

We directly deduce:

**Lemma 5.2.** Let  $zwcwz'$  with  $z, z' \in B^*$  and  $w \in A^*$ . If  $|cwc| \geq 2 \times (|w|_c + 2) \times N$  then there exist two constructible words  $w', w'' \in (A^* \setminus B^*)$  such that  $zwcwz' = w'w''$  and  $S(zwcwz') = S(w')S(w'')$ .

Then we can state:

**Proposition 5.1.** Let  $S \in \mathcal{S}_{\text{grid}}$  satisfying the property (ii) of the corollary 3.1.

1. For any word  $w \in A^*$ , the set  $S(w)$  is a bounded context-free language.
2.  $S_\infty = S^{-1}(A^*(xu + uy)A^*) = S^{-1}(A^*\text{Loop}(S)A^*)$ .

**Proof:** The proof is based on an induction over  $|w|_c$ . For the property 2, we have only to prove  $S_\infty \subseteq S^{-1}(A^*(xu + uy)A^*)$  since the inclusions  $S^{-1}(A^*(xu + uy)A^*) \subseteq S^{-1}(A^*\text{Loop}(S)A^*) \subseteq S_\infty$  are clear.

- If  $|w|_c < |xu|_c$  then  $S(w)$  is finite (therefore bounded context-free) from the lemma 4.1,
- If  $|w|_c = |xu|_c$  then
  - if  $w \notin B^*(xu + uy)B^*$  then  $w \notin S^{-1}(B^*(xu + uy)B^*)$  from the corollary 4.1 and it follows that  $S(w)$  is finite from the lemma 4.1,
  - if  $w \in B^*(xu + uy)B^*$  then, from the lemma 4.4,  $S(w)$  is a (constructible) bounded context-free language and  $w$  is in  $S^{-1}(A^*(xu + uy)A^*)$
- If  $|w|_c > |xu|_c$ , we make a new induction on  $K_w = 2 \times (|w|_c) \times N - |\text{int}(w)|$ . If  $K_w \leq 0$  then it follows from the lemma 5.2 that there exist two constructible words  $w', w'' \in (A^* \setminus B^*)$  such that  $w = w'w''$  and  $S(w) = S(w')S(w'')$ . As  $|w'|_c < |w|_c$  and  $|w''|_c < |w|_c$ , we can apply the induction hypothesis and it follows that  $S(w)$  is a constructible bounded context-free language. If  $K_w > 0$ , let us denote  $S_1 = \{w' \in A^* \mid w \xrightarrow{\leq 4} w'\}$  and  $S_2 = \{w' \in A^* \mid w \xrightarrow{4} w'\}$ . Clearly  $S(w) = S_1 \cup (\bigcup_{w' \in S_2} S(w'))$ . The family of bounded languages is closed by finite union, consequently it remains to prove that for any word  $w'$  in  $S_2$ ,  $S(w')$  is a bounded context-free language. Since  $w \notin B^*(xu + uy)B^*$  it follows from the lemma 4.1 and the lemma 4.2 that, for any word  $w' \in S_2$ ,  $|\text{int}(w')| > |\text{int}(w)|$ . Then  $K_{w'} < K_w$  and, by the induction hypothesis,  $S(w')$  is a bounded context-free language, moreover if  $w' \in S_\infty$  then  $w' \in S^{-1}(A^*(xu + uy)A^*)$  and it follows that  $w \in S^{-1}(A^*(xu + uy)A^*)$  which concludes the proof of the proposition. □

When  $u \in F(v)$ , a similar proof can be used to show that the property 1 is also satisfied in this case. Then we get as a corollary:

**Corollary 5.1.** The termination problem is decidable for any system  $S \in \mathcal{S}_{\text{grid}}$ .

Since it is proved in [8] that the non-emptiness of the intersection of two bounded context-free languages is decidable, we also obtain:

**Corollary 5.2.** The common descendant problem is decidable for any system  $S \in \mathcal{S}_{\text{grid}}$ .

## 6. The special case $|u|_c = |v|_c = 2$

It has been shown in the section 4 that  $\text{Loop}(S)$  is regular for  $S$  in  $\mathcal{S}_{\text{grid}}$  and that this result does not hold for arbitrary one-rule semi-Thue system. The status of  $S_\infty$  is different. Géraud Sénizergues has proved in [20] that  $S_\infty$  is regular when  $u \in a^*b^*$ , but the regularity of  $S_\infty$  is an open problem for arbitrary one-rule semi-Thue system. Note that the regularity of  $S_\infty$  does not hold for two-rules grid semi-Thue system as shown by the example  $S = \{aca \rightarrow c, cc \rightarrow cca\}$  since it is easy to see that  $S_\infty = A^*cA^*cA^*cA^* \cup \{a^i c a^p c a^q \mid p \leq i + q\}$ . We conjecture that  $S_\infty$  is a constructible regular language for any  $S$  in  $\mathcal{S}_{\text{grid}}$ . As we are unable to prove this fact, we give an argument in favour by proving it in the simpler case  $|u|_c = |v|_c = 2$ . The following proof shows also that the structure of  $S_\infty$  can be involved and that the proof in the general case  $S$  in  $\mathcal{S}_{\text{grid}}$  could be tricky.

Clearly the question arises only when  $S$  satisfies the property (ii) of the corollary 3.1 since, when  $u \in F(v)$ ,  $S_\infty = A^*uA^*$ . Then we consider in the following a nonterminating system  $S = \{u \rightarrow v\}$  with  $u = lcu_1cr$ ,  $v = slcv_1cre$ ,  $xv = uye$  and  $vy = sxu$  for  $u_1, v_1, s, e \in B^*$  and  $x, y \in A^*$ . Then there exist words  $u'_1$  and  $u''_1$  such that  $u_1 = u'_1sl = reu''_1$ ,  $v_1 = u'_1l = ru''_1$ ,  $x = lcu'_1$  and  $y = u''_1cr$ .

Let us denote  $S_\infty^{\min} = S_\infty \setminus (A S_\infty \cup S_\infty A)$  and, for any word  $w \in A^*$ ,  $L_w = S^{-1}(A^*w) \setminus (S_\infty \cup A S^{-1}(A^*w))$  and  $R_w = S^{-1}(wA^*) \setminus (S_\infty \cup S^{-1}(wA^*)A)$ .

**Lemma 6.1.**  $S_\infty^{\min} \subseteq L_{xl}cu_1cR_r \cup L_lcu_1cR_{ry}$ .

**Proof:** Let us consider  $w_0 \in S_\infty^{\min}$  and let  $n$  be the length of the shortest derivation from  $w_0$  to any word in  $A^*(xu + uy)A^*$ . Let us suppose that there exists a derivation from  $w_0$  to  $w_n \in A^*(xu)A^*$ . Then  $w_0 \xrightarrow{*} w_1 \xrightarrow{*} w_i \xrightarrow{*} w_n$  and  $w_n = p_n c q_n c r_n$  with  $p_n \in A^*xl$ ,  $q_n = u_1$  and  $r_n \in rA^*$ . For any  $i \in [0, n]$ , let us consider the factorization  $w_i = p_i c q_i c r_i$  with  $|p_i|_c = |p_n|_c$  and  $q_i \in B^*$ . We claim that  $q_0 = u_1$ , else let  $j$  be the greatest index such that  $q_j \neq u_1$ . Then  $w_{j+1} = p_{j+1} c u_1 c r_{j+1}$  and  $p_{j+1} \xrightarrow{*} p_n$ ,  $r_{j+1} \xrightarrow{*} r_n$ . This will lead to the following contradiction: there exists a derivation from  $w_0$  to a word in  $A^*(xu + uy)A^*$  whose length is strictly less than  $n$ . It follows that for any  $i$ ,  $q_i = u_1$  and  $p_0 \xrightarrow{*} p_n$ ,  $r_0 \xrightarrow{*} r_n$  therefore  $p_0 \in S^{-1}(A^*xl)$ ,  $r_0 \in S^{-1}(rA^*)$ . Since  $w_0 \in S_\infty^{\min}$ , it follows  $p_0 \in L_{xl}$ ,  $r_0 \in R_r$  and  $w_0 \in L_{xl}cu_1cR_r$ . Let us distinguish two cases for the step  $w_j \rightarrow w_{j+1}$ :

1.  $q_j = u'_1l$ ,  $r_j = u_1crz$  and  $r_{j+1} = v_1crez$ .  
Then  $p_n c u'_1 \in A^*lcu'_1 = A^*x$  and  $w' = p_n c q_j c r_j = p_n c u'_1 l c u_1 c r z \in A^*xuA^*$ . Clearly, the length of the derivation  $w_0 \xrightarrow{*} w_j = p_j c q_j c r_j \xrightarrow{*} w' = p_n c q_j c r_j$  is strictly smaller than  $n$ .
2.  $q_j = ru''_1$ ,  $p_j = zlcu_1$  and  $p_{j+1} = zslcv_1$ .  
Then  $u''_1 c r_n \in u''_1 c r A^* = yA^*$  and  $w' = p_j c q_j c r_n = zlcu_1 c r u''_1 c r_n \in A^*uyA^*$ . Clearly, the length of the derivation  $w_0 \xrightarrow{*} w_j = p_j c q_j c r_j \xrightarrow{*} w' = p_j c q_j c r_n$  is strictly smaller than  $n$ .

□

**Lemma 6.2.**  $S^{-1}(A^*u) \setminus A^*cu_1cr \subseteq S_\infty$ .

**Proof:** Let  $w \in S^{-1}(A^*u) \setminus A^*cu_1cr$ . Then there exists a derivation:  $w_0 \rightarrow w_1 \xrightarrow{*} w_i \xrightarrow{*} w_n \in A^*u$ . Let  $j$  be the greatest index such that  $w_j \notin A^*cu_1cr$ . Then  $w_j = p_j u u''_1 c r \in A^*uy$ , and it follows  $w \in S^{-1}(A^*uy) \subseteq S_\infty$ . □

Let us denote  $F = \{cv_1\} \cup \{cu_1crz \mid v_1 = rez\}$ . Remark that  $F$  is finite and  $S^{-1}(A^*l)F \subseteq S^{-1}(A^*xl)$ .

**Lemma 6.3.**  $L_{xl} \subseteq L_lF$ .

**Proof:** Let  $w \in L_{xl}$ . Then  $w \xrightarrow{*} zxl = zlc v_1$ . Let us consider two cases:

1. If  $w = w'cv_1$ , we can deduce that  $w' \xrightarrow{*} zl$ , so  $w' \in S^{-1}(A^*l)$ . Since  $w = w'cv_1 \notin S_\infty$ , it follows  $w' \notin S_\infty$  and since  $w \notin AS^{-1}(A^*xl)$ , it follows  $w' \notin AS^{-1}(A^*l)$ . Then  $w' \in L_l$  and  $w = w'cv_1 \in L_lF$ .
2. Else  $w = w'crz$  with  $z \in B^*$  and  $rz \neq v_1$ . Then  $w \xrightarrow{*} puz \rightarrow pvz \xrightarrow{*} p'cv_1$ . We show that  $rez = v_1$ . Indeed, if it is not the case,  $pslcv_1cr \in S^{-1}(A^*u) \setminus A^*cu_1cr \subseteq S_\infty$  which implies  $pvz \in S_\infty$  and  $w \in S_\infty$ . Since  $w = w'crz \xrightarrow{*} puz$ , it follows  $w'cr \in S^{-1}(A^*u) \setminus S_\infty \subseteq A^*cu_1cr$ , so  $w'crz = w''cu_1crz \xrightarrow{*} pvz \in A^*xl$ . Finally  $w'' \in S^{-1}(A^*l)$ ,  $w'' \notin S_\infty$  and  $w'' \notin AS^{-1}(A^*l)$  which implies  $w'' \in L_l$  and  $w \in L_lF$ .

□

Let us denote  $H = \{z \mid l \in \text{RF}(rez) \setminus \text{RF}(rz)\}$ . Remark that  $H$  is finite and  $S^{-1}(A^*u)H \subseteq S^{-1}(A^*l)$ .

**Lemma 6.4.**  $L_l \subseteq l + L_uH$ .

**Proof:** Let  $w \in L_l$ . If  $w \in A^*l$  then  $w = l$  otherwise  $w = w'crz$  with  $l \notin \text{RF}(rz)$ . Then there exists a derivation  $w \xrightarrow{*} puz \rightarrow pvz$  with  $pvz \in A^*crez \cap S^{-1}(A^*l)$ . Suppose that  $l \notin \text{RF}(rez)$ , then  $pslcv_1cr \in S^{-1}(A^*u) \setminus A^*cu_1cr$  and it follows from the lemma 6.2 that  $pvz$ , and thus also  $w$ , is in  $S_\infty$ . This contradiction implies  $l \in \text{RF}(rez)$  therefore  $z \in H$  and  $w'cr \in S^{-1}(A^*u)$ . Moreover  $w \notin S_\infty$  and  $w \notin AS^{-1}(A^*l)$  imply that  $w'cr \notin S_\infty$  and  $w'cr \notin AS^{-1}(A^*u)$ . Finally  $w'cr \in L_u$  and  $w \in L_uH$ . □

**Lemma 6.5.**  $L_u \subseteq L_lcu_1cr$ .

**Proof:** Let  $w \in L_u \subseteq S^{-1}(A^*u) \setminus S_\infty \subseteq A^*cu_1cr$ . Then  $w = w'cu_1cr \xrightarrow{*} w''cu_1cr$  with  $w'' \in A^*l$ . It follows that  $w' \in S^{-1}(A^*l)$ . Moreover  $w' \notin S_\infty$  and  $w' \notin AS^{-1}(A^*l)$  that imply  $w' \in L_l$  and  $w \in L_lcu_1cr$ . □

Since, clearly,  $S^{-1}(A^*l)cu_1crH \subseteq S^{-1}(A^*l)$ , it follows from the lemmata 6.4 and 6.5 that  $L_l \subseteq l(cu_1crH)^* \subseteq S^{-1}(A^*l)$ . Then, from the lemma 6.3, we get  $L_{xl} \subseteq l(cu_1crH)^*F \subseteq S^{-1}(A^*l)F \subseteq S^{-1}(A^*xl)$ :

**Lemma 6.6.**  $L_l \subseteq l(cu_1crH)^* \subseteq S^{-1}(A^*l)$  and  $L_{xl} \subseteq l(cu_1crH)^*F \subseteq S^{-1}(A^*xl)$ .

Symmetrically, denoting  $F' = \{v_1c\} \cup \{zlcu_1c \mid v_1 = zsl\}$  and  $H' = \{z \mid r \in \text{LF}(zsl) \setminus \text{LF}(zl)\}$ , we get:

**Lemma 6.7.**  $R_r \subseteq (H'lcu_1c)^*r \subseteq S^{-1}(rA^*)$  and  $R_{ry} \subseteq F'(H'lcu_1c)^*r \subseteq S^{-1}(ryA^*)$ .

Finally we obtain the following regular expression for  $S_\infty$ :

**Proposition 6.1.**  $S_\infty = A^*l(cu_1crH)^*(Fcu_1c + cu_1cF')(H'lcu_1c)^*rA^*$

**Proof:** From the lemmata 6.1, 6.6 and 6.7, we obtain the following inclusions:

$$\begin{aligned} S_\infty &= A^*S_\infty^{\min}A^* \subseteq A^*(L_{x1}cu_1cR_r \cup L_1cu_1cR_{ry})A^* \\ &\subseteq A^*(l(cu_1crH)^*Fcu_1c(H'lcu_1c)^*r + l(cu_1crH)^*cu_1cF'(H'lcu_1c)^*r)A^* \\ &\subseteq A^*(S^{-1}(A^*xl)cu_1cS^{-1}(rA^*) \cup S^{-1}(A^*l)cu_1cS^{-1}(ryA^*))A^* \\ &\subseteq A^*(S^{-1}(A^*(xu + uy)A^*))A^* = S_\infty \end{aligned}$$

□

**Example 6.1.** Let  $S = \{a^2ca^5c \rightarrow a^4ca^3ca^2\}$  that was used in the example 3.2. Here  $s = e = l = a^2$  and  $r = \varepsilon$ . Recall that  $x = a^2ca$  and  $y = a^3c$ . We deduce  $F = ca^3 + ca^5ca$ ,  $H = \varepsilon + a$ ,  $F' = a^3c$  and  $H' = \emptyset$ . Then

$$S_\infty = (a + c)^*a^2(ca^5c + ca^5ca)^*[(ca^3 + ca^5ca)ca^5c + ca^5ca^3c](a + c)^*$$

## 7. Conclusion and open questions

This paper deals with the family  $\mathcal{S}_{\text{grid}}$  of one-rule semi-Thue systems that are a borderline case for termination. Some of the results obtained here are shown to be false for arbitrary one-rule semi-Thue systems. For instance, it is proved in the section 4 that, for  $S$  in  $\mathcal{S}_{\text{grid}}$ ,  $\text{Loop}(S)$  is a constructible regular language whereas for  $S = \{c \rightarrow caca\}$ ,  $\text{Loop}(S)$  is not regular. It is also proved that, for  $S$  in  $\mathcal{S}_{\text{grid}}$ ,  $S(w)$  is always a context-free language whereas for  $S = \{ba \rightarrow a^2b^2\}$ ,  $S(b^2a^2)$  is not context-free [12]. At the contrary, some other results obtained here have been already shown to be true for other particular classes of one-rule semi-Thue systems. So we give new arguments in favour of some conjectures concerning arbitrary one-rule semi-Thue systems. For instance, it is proved that, for  $S$  in  $\mathcal{S}_{\text{grid}}$ ,  $S_\infty = S^{-1}(A^*\text{Loop}(S)A^*)$ . This equality appears already in [15] and [23] for other subclasses of one-rule semi-Thue systems. So we can think :

**Conjecture 1.**  $S_\infty = S^{-1}(A^*\text{Loop}(S)A^*)$  for any one-rule semi-Thue system  $S$ .

Note that the validity of this conjecture would imply that if  $S$  is a nonterminating one-rule semi-Thue system, then  $\text{Loop}(S)$  is not empty. Moreover, it would give a way to decide whether a word initiates an infinite derivation or not, as mentioned in [7]. For  $S$  in  $\mathcal{S}_{\text{grid}}$ , it is proved that  $A^*\text{Loop}(S)A^*$ , the *ideal* generated by  $\text{Loop}(S)$ , is finitely generated. Does this property hold for arbitrary one-rule Thue systems? It is also proved here that, for  $S = \{u \rightarrow v\}$  with  $|u|_c = |v|_c = 2$ ,  $S_\infty$  is a constructible regular language. This result was previously proved in [20] by Géraud Sénizergues for one-rule semi-Thue system with a left hand-side in  $0^*1^*$ . So we can hope that :

**Conjecture 2.** If  $S$  is a one-rule semi-Thue system, then  $S_\infty$  is a constructible regular language.

This property would imply the decidability of the (uniform) termination problem. However, remark that these two conjectures do not give a way to decide whether or not a word is in  $\text{Loop}(S)$ .

Another possible extension of our results deals with one-rule grid Thue systems. For  $S$  in  $\mathcal{S}_{\text{grid}}$ , one can consider the Thue system  $\hat{S} = S \cup S^{-1}$  and ask: Is it true that  $\forall w, \hat{S}(w)$  is a context-free language? Is it true that if  $S$  and  $S^{-1}$  are terminating then  $\forall w, \hat{S}(w)$  is a finite language? Remark that this last question can be also raised when  $S$  is an arbitrary one-rule Thue system.

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