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M. Ollivier, T. Gabaldón, J. Poulain, Frédérick F. Gavory, N. Leterme, et al.. Comparison of gene repertoires and patterns of evolutionary rates in eight aphid species that differ by reproductive mode.. *Genome Biology and Evolution*, 2012, 4 (2), pp.155-67. 10.1093/gbe/evr140 . hal-00753402

**HAL Id: hal-00753402**

**<https://inria.hal.science/hal-00753402>**

Submitted on 29 May 2020

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# Comparison of Gene Repertoires and Patterns of Evolutionary Rates in Eight Aphid Species That Differ by Reproductive Mode

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**Accepted:** 23 December 2011

## Abstract

In theory, the loss of sexual reproduction is expected to result in the accumulation of deleterious mutations. In aphids, two main types of life cycle, cyclic and obligate parthenogenesis, represent respectively “sexual” and “asexual” reproductive modes. We used the complete pea aphid genome and previously published expressed sequence tags (ESTs) from two other aphid species. In addition, we obtained 100,000 new ESTs from five more species. The final set comprised four sexual and four asexual aphid species and served to test the influence of the reproductive mode on the evolutionary rates of genes. We reconstructed coding sequences from ESTs and annotated these genes, discovering a novel peptide gene family that appears to be among the most highly expressed transcripts from several aphid species. From 203 genes found to be 1:1 orthologs among the eight species considered, we established a species tree that partly conflicted with taxonomy (for *Myzus ascalonicus*). We then used this topology to evaluate the dynamics of evolutionary rates and mutation accumulation in the four sexual and four asexual taxa. No significant increase of the nonsynonymous to synonymous ratio or of nonsynonymous mutation numbers was found in any of the four branches for asexual taxa. We however found a significant increase of the synonymous rate in the branch leading to the asexual species *Rhopalosiphum maidis*, which could be due to a change in the mutation rate or to an increased number of generations implied by its change of life cycle.

**Key words:** reproductive mode, aphids, evolutionary rates, phylome, orthologs, EST.

## Introduction

The reproductive mode of organisms, and more precisely their degree of commitment to recombination and sexual reproduction, is known to determine the level of genetic variation, how polymorphisms are distributed among individuals, populations, and in the genome, and in the long term, how the species may evolve (Charlesworth and Wright 2001; Glémin 2007). At the two extremities of variations in that trait are respectively sexual organisms (the majority of animal species) and asexual organisms, which have essentially lost recombination. Despite being the dominant reproductive mode, sexual reproduction is costly in the short

term (Maynard Smith 1978; Otto and Lenormand 2002) but possesses two advantages regarding mutations. First, meiotic segregation and recombination facilitate the ability of natural selection to combine advantageous mutations (Muller 1932). Second, sex reduces the accumulation of deleterious mutations on sequences (Muller 1964). On the contrary, asexual species are unable to purge deleterious mutations that irreversibly tend to accumulate (Muller 1964). The predicted signature of accumulation of deleterious mutations is an increased rate of evolution at the amino acid level for genes subject to purifying selection (assuming that most amino acid replacements represent slightly deleterious mutations; Nachman 1998). Deleterious mutation

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accumulation has indeed been evaluated in aphid endosymbiotic bacteria (Moran 1996; Funk et al. 2001), free-living bacteria (Andersson and Hughes 1996), protozoan (Bell 1988), fungi (Nygren et al. 2011), *Daphnia* species (Paland and Lynch 2006), and snails (Johnson and Howard 2007; Neiman et al. 2010). Comparisons of mutation accumulation in gene sequences have also been made for aphids (Normark 1999; Normark and Moran 2000), using one nuclear and one mitochondrial gene, and showing a slight excess of mutations on the former in some asexual taxa. Many of the recent studies concern mitochondrial coding sequences (CDS): Although mitochondrial genomes are essentially recombination free whether the host species is sexual or asexual, authors indeed argued that the tight linkage of mitochondrial and nuclear genomes in asexual taxa should result in similar effects for both genomes, that is, increased mutation accumulation (Birky and Walsh 1988; Paland and Lynch 2006; Neiman 2010). In contrast, we here chose to focus on nuclear CDS, expecting that a larger number of different genes might give us more power to detect changes in mutational patterns specific to asexual species and that nuclear genes should more directly reflect consequences from the suppression of recombination.

Aphids provide a choice model for studying the mutational effects of losing sexuality (Wilson et al. 2003). The typical life cycle of these insects is cyclic parthenogenesis: Over 1 year, aphids normally alternate between viviparous parthenogenesis (several generations without sex) and oviparous sexual reproduction (one generation). It has been shown that the single sexual generation results in genetic parameters (in terms of diversity and heterozygosity) equivalent to that of an organism that would reproduce only sexually (Delmotte et al. 2002; Simon et al. 2002), so aphid species that reproduce by cyclical parthenogenesis can represent “sexual” organisms. A few aphid species are known to have lost entirely the sexual phase (they reproduce by obligate parthenogenesis; Moran 1992). In theory, these asexual taxa should then show traces in their genomes of shifts in molecular evolution rates, with, in particular, an accumulation of nonsynonymous mutations (Muller 1964).

The genome of the pea aphid, *Acyrtosiphon pisum* (Aphididae, Aphidinae, Macrosiphini), comprising more than 34,000 predicted genes, has been recently described (International Aphid Genomics Consortium 2010). In the pea aphid, as in many other aphid species, are found both lines with a cyclically parthenogenetic life cycle and lines that are permanently parthenogenetic (Artacho et al. 2011). Although sequencing of new genomes from different aphid lineages and species is currently ongoing in the aphid research community, transcriptomic approaches as, for example, expressed sequence tag (EST) collections can already bring insight into different aspects of the evolution of this group and how evolution has shaped the genome of species differing by reproductive mode. We decided to compare

pairs of species that would be as closely related as possible (they were chosen in the same genus) but would differ by reproductive mode, being either “sexual” (cyclical parthenogenesis) or “asexual” (obligate parthenogenesis). This design allowed in principle to study four independent cases of loss of sexual reproduction. In this study, we propose a broad comparative survey of the gene repertoires of eight aphids species (four pairs of sexual/asexual species) combining the sequence information from the complete genome for the pea aphid with that of EST collections (both previously published and acquired in this study). Indeed, we obtained about 100,000 new ESTs in total for five different aphid species, to evaluate gene expression and sequences in these different species. We first explored these data sets to describe the transcriptomes in these different species. Because of differences in biology not only in host–plant adaptation (each of the studied species has different preferred hosts) but also in reproductive mode, the repertoires of expressed genes could differ. By studying the pooled gene sets, from both an available complete genome (*A. pisum*) and EST-based sequences, we determined orthology relationships and reconstructed the phylogenetic species tree. Finally, we studied the influence of the reproductive mode on evolutionary rates by analyzing patterns of divergence between orthologs shared between sexual and asexual taxa.

## Materials and Methods

### *Acyrtosiphon pisum* Complete Genome and EST-Based Sequences from Other Species

The complete genome sequencing and preliminary annotation of the pea aphid *A. pisum* have been recently achieved (International Aphid Genomics Consortium 2010). The resulting reference set of protein-coding sequences comprises 34,603 predicted genes. We designed our sequencing of ESTs to compare evolutionary rates among several related sexual and asexual taxa (if possible in the same genus), using previously published EST sequences for *Myzus persicae* (Figuerola et al. 2007; Ramsey et al. 2007) and *Aphis gossypii*, and acquiring sequences from other species for relevant comparisons. The lineages used for EST sequencing in *M. persicae* (Ramsey et al. 2007), *A. pisum*, and *Rhopalosiphum padi* (this study) were all cyclical parthenogens, as shown experimentally by their response to inducing conditions. For *Pemphigus spyrothecae*, the species is known to be always cyclically parthenogenetic (Pike et al. 2007). Therefore, all these lineages represent sexual taxa. In contrast, *A. gossypii* is known as essentially asexual worldwide (Carletto et al. 2009), although a few sexual forms have rarely been observed. In fact, a strict conversion to complete asexuality is always difficult to demonstrate, but we considered that this species did represent an asexual taxon. We here also obtained sequences for *Acyrtosiphon kondoi* and *Myzus ascalonicus*, which are described as asexual taxa worldwide (MacKay and Lamb 1988; Blackman

and Eastop 2000). Another species chosen for this study, *Rhopalosiphum maidis*, is known to be asexual worldwide, with the exception of a very localized sexual population in the Himalayas (Remaudière and Naumann-Etienne 1991). Because the lineage sampled was from a European permanently parthenogenetic population, we therefore considered this lineage as “asexual.” To complete the sexual/asexual comparisons within each genus, we also obtained sequences from the dominantly cyclical parthenogen *M. persicae*. As an outgroup, we used *P. spyrothecae*, which belongs to a different family (Pemphigidae) and which forms galls on poplar trees. For *A. kondoi*, a laboratory clone (initially collected from a field in Australia) was used. The *M. ascalonicus*, *R. maidis*, and *R. padi* strains were in each case a laboratory clone reared in Rennes (initially collected from a field in France)—the *R. padi* line was a clonal lineage known for its ability to produce sexual forms. For the gall-forming aphid *P. spyrothecae*, a single gall—with a shape characteristic of the species—was sampled from a poplar tree near Rennes, France (in 2009), and insects at various nymphal stages were collected—they were expected to descend from a single mother and then to represent a genetic clone.

### RNA Extraction and Sequencing of cDNA

In the present study, five new cDNA libraries were then built for five aphid species: *A. kondoi*, *M. ascalonicus*, *R. maidis*, *R. padi*, and *P. spyrothecae*. Depending on the size of the individuals in each species, about 20–40 individuals (adults and various larval stages for each species) were collected and kept at  $-80^{\circ}\text{C}$  until use. Total RNA was extracted using the RNeasy Plant Mini Kit (Qiagen, Hilden, Germany) in the RLT extraction buffer, following the manufacturer’s instructions. Plasmid cDNA libraries were constructed with the Creator Smart cDNA library construction kit (BD Biosciences Clontech, Palo Alto, CA). The bacterial glycerol stocks are archived at the INRA Rennes laboratory (France). Sequences were obtained at the sequencing center Genoscope (Evry, France). The libraries were plated, arrayed robotically, and bacterial clones had their plasmid DNA amplified using phi29 polymerase. The plasmids were end sequenced at the Genoscope using BigDye Terminator kits on Applied Biosystems 3730xl DNA Analyzers. The sequences were published in databanks and have the following accession numbers for each species: *A. kondoi* (FO000003 to FO017614 and FQ994572 to FQ999999), *R. maidis* (FQ976923 to FQ994571), *R. padi* (FO059144 to FO076577), *M. ascalonicus* (FO017615 to FO040556), and *P. spyrothecae* (FO040557 to FO059143).

### Reconstruction of Protein-Coding Genes from ESTs

The same following protocol was used for all species studied here, that is, for the newly obtained sequence collections and for the ones that have been published previously (with

methods used in Ollivier et al. 2010). First, ESTs corresponding to potential contaminants (mitochondrial proteins or ribosomal RNA) were filtered out; however, ESTs matching mitochondrial genes were assembled separately to provide further phylogenetic information. We could, for example, reconstruct the near entire cytochrome oxidase 1 (CO1) sequence for each species and check if it were identical to the sequence of the same species in a reference DNA barcoding database (Ratnasingham and Hebert 2007). The retained ESTs were assembled using Tgicl++ (Perlea et al. 2003). Unique consensus transcripts were then compared with predicted *A. pisum* proteins through BlastX (Altschul et al. 1990). This information helped to identify potential homology and was used for CDS reconstruction with FramedP, a pipeline developed at the INRA of Toulouse (Schiex et al. 2003).

### Functional Annotation

To annotate the five new EST gene sets (and also all the *A. pisum* genes), we used Blast2GO (Conesa et al. 2005) (<http://www.blast2go.org/>). Each sequence was blasted against the National Center for Biotechnology Information sequence. Gene Ontology (GO) terms were then mapped on the blast results using annotation files provided by the GO Consortium. For each GO categories, molecular function, cellular component, and biological process, we compiled GO terms of the same ontological level in each species. We compared the distribution of GO terms for each category between these six species.

### Phylogeny Reconstruction

We reconstructed the complete collection of phylogenetic trees for all available genes of the eight aphid species using a pipeline similar to that used for the reconstruction of the human phylome (Huerta-Cepas et al. 2007) and the pea aphid phylome (Huerta-Cepas, Marcet-Houben, et al. 2010). We performed a blast against *A. pisum* predicted proteins (BlastP, e-value cutoff  $<1 \times 10^{-10}$ ) for each of the seven EST-based partial genomes. Sequences that aligned with a continuous region longer than 50% of the query sequence were retained and aligned using Muscle 3.6 (Edgar 2004). The protein alignments were used to guide corresponding nucleic alignments and all columns with gaps were removed using trimAL (Capella-Gutiérrez et al. 2009) (<http://trimal.cgenomics.org/>). Phylogenetic trees were inferred using Neighbor-Joining (NJ) with scoredist distances as implemented in BioNJ (Gascuel 1997) and by maximum likelihood (ML) as implemented in PhyML v2.4.4 (Guindon and Gascuel 2003). A general time reversible (GTR) evolutionary model was used to construct all trees assuming a discrete gamma distribution model with four rate categories and invariant sites. The model was selected using jModeltest (Posada 2008).

### Orthology Determination

Orthology relationships among aphid genes were inferred using the species overlap algorithm implemented in ETE (Huerta-Cepas, Dopazo, et al. 2010) using a species overlap score (SOS) of 0.0. In brief, this algorithm (Huerta-Cepas et al. 2007) uses the level of species overlap between the two branches of a given node to define a duplication ( $SOS \geq 0.0$ ) or a speciation ( $SOS = 0.0$ ). After mapping all speciation events in a tree, all orthology relations can be predicted, according to the original definition of orthology (Fitch 1970). Often, for genes present in all species, we found several sequences representing one species. We checked manually the alignments to determine if these copies were true paralogs or could represent artifacts of EST assembly. The latter case was very likely when these potential copies had identical nucleotidic sequences, and these extra copies were suppressed from the data set (this allowed to increase the number of one-to-one orthologs).

### Species Tree Reconstruction

We identified a total of 203 nuclear single-copy orthologs between the eight species, which were used to build the species tree. All alignments were concatenated in a super alignment of 82,950 base pairs. An ML tree was reconstructed as implemented in PhyML v2.4.4 (Guindon and Gascuel 2003). Parameters of the substitution model were determined by running jModeltest (Posada 2008) to test 56 different models of substitution. The best-fit model selected was the GTR + gamma + proportion invariant model, and a bootstrap analysis of 100 replicates was performed (Felsenstein 1985). NJ and Bayesian trees were also generated (not shown). MEGA4 (Kumar et al. 2008) was used to build the NJ tree, and a bootstrap analysis of 5,000 replicates was performed. We used MrBayes (Huelsenbeck and Ronquist 2001) to generate the Bayesian tree: 20,000 trees were sampled every 100 generation states totaling 2,000,000 generations. An additional set of 52 genes, found to be 1:1 orthologs in the data set but only absent from the outgroup species (*P. spyrothecae*), was identified and used for comparisons of evolutionary rates and mutational patterns within the in-group.

### Substitution Rates and Computational Estimation of Nonsynonymous Substitutions

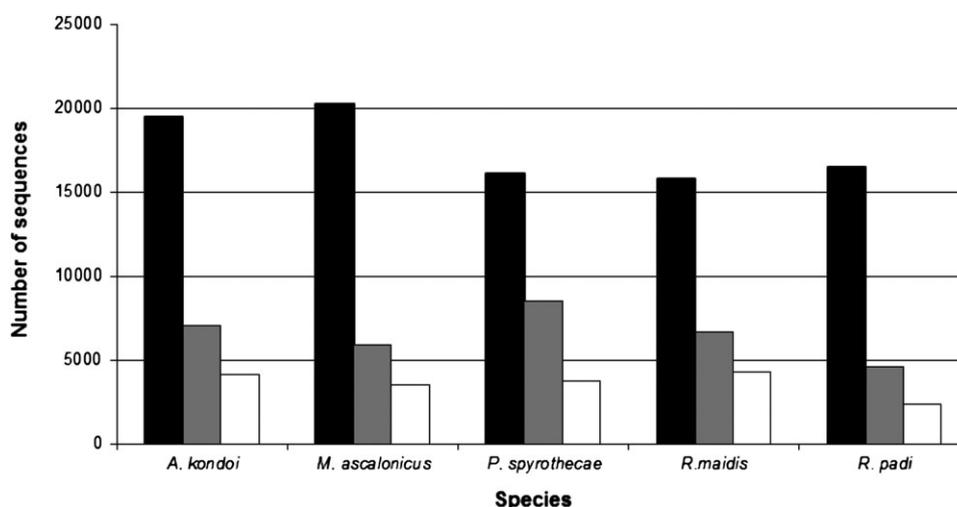
Substitution rates were estimated for genes identified in the phylome analysis as 1:1 orthologs (203 nuclear genes present in all species, 52 additional nuclear genes present in all species except the outgroup, and finally 10 partial mitochondrial sequences). The methods for alignments were the same as described for the species tree reconstruction. We then estimated synonymous (dS) and nonsynonymous (dN) evolutionary rates using a codon-based model (CodeML from PAML 3.15; Yang 1997). The ratio dN/dS

is commonly used as an indicator of variable evolutionary pressures among protein-coding genes: Low ratios are typical of highly constrained sequences, whereas values close to unity reflect relaxed selection and ratio above unity, positive selection. We used a free-ratio model to evaluate potential differences among branches (particularly those corresponding to related sexual and asexual taxa). We also obtained estimates of the numbers of nonsynonymous substitutions on each branch by parsing the “rst” result file, which evaluates the probability of ancestral states and of mutations occurring along the branches (PAML 3.15; Yang 1997).

## Results and Discussion

### Unique Transcripts Catalogs Based on ESTs

ESTs were sequenced for five aphid species, *A. kondoi*, *M. ascalonicus*, *R. padi*, *R. maidis*, and *P. spyrothecae*. We obtained the following numbers of ESTs after filtration by quality and removal of potential contaminants (in parentheses we indicate numbers of tentative unique transcripts): 19,425 (7,011) for *A. kondoi*; 20,256 (5,855) for *M. ascalonicus*; 16,459 (4,558) for *R. padi*; 15,807 (6,670) for *R. maidis*; and 16,073 (8,488) for *P. spyrothecae* (fig. 1). Between 56% and 65% of tentative unique transcripts were composed of only one EST, a figure comparable with what has been found in previous studies (Sabater-Muñoz et al. 2006; Ramsey et al. 2007; Ollivier et al. 2010). A large fraction of tentative unique transcripts did not have a hit in the UniProt database (61–75%), nor in the *A. pisum* reference gene set (43–66%): Either these sequences corresponded to genes unique to aphids in general or unique to some aphid species, or they represented noncoding regions (untranslated transcribed regions) (Whitfield et al. 2002; Sabater-Muñoz et al. 2006)—the latter explanation was most likely as their was a strong correlation between having no hit in *A. pisum* and no CDS reconstructed, as seen below. We sorted the transcripts by the number of sequences in the contig supporting that transcript, and reported the first 21 of these in table 1. For *A. kondoi*, the first contig (2,521 ESTs) corresponded to a *Buchnera* structural RNA (tmRNA). Also, one of the top unique transcripts corresponded to mitochondrial rRNA (large subunit): We had included a filter of ESTs matching mitochondrial rRNAs from aphids but the highly stringent criteria used prevented many ESTs matching this sequence to be filtered out. Other top unique transcripts matched ribosomal proteins and muscle actin (often highly expressed genes), or had similarity to hypothetical proteins from *A. pisum*. For *M. ascalonicus*, the top contig did not match any gene in *A. pisum*, nor did it have any similarity to CDS or non-CDS in GenBank, whereas in *R. padi*, the top contig (3,532 ESTs) corresponded to a viral polyprotein and was then most likely a contaminating RNA from a virus contained in the aphid body. For *M. ascalonicus*, *R. padi*, *R. maidis*, and *P. spyrothecae*, we found patterns globally similar to *A. kondoi*: 1) presence of



**FIG. 1.**—Number of ESTs (dark), unique transcripts (gray), and reconstructed CDS (open) for five aphid species: *Acyrtosiphon kondoi*, *Myzus ascalonicus*, *Rhopalosiphum maidis*, *Rhopalosiphum padi*, and *Pemphigus spyrothecae*.

contaminant material (16S rRNA, tmRNA from *Buchnera*); 2) presence of several ribosomal proteins, muscle actin, the elongation factor 1-alpha, a putative ADP/ATP translocase, heat shock proteins, and so on; and 3) presence of genes matching hypothetical proteins from *A. pisum*.

Interestingly, several of the top unique transcripts in three species (*A. kondoi*, *M. ascalonicus*, and *P. spyrothecae*) matched peptides from *A. pisum* with no known similarity outside aphids (e.g., ACYPI30077 and others). These genes belong to a family of short peptides (38 or 39 residues) also well represented in ESTs from the pea aphid. We manually checked and corrected the annotations for this gene family in the *A. pisum* genome, resulting in the identification of 29 copies, which are organized in several clusters of a few copies on different scaffolds (due to the short CDS and to this organization in clusters most of the initial automatic annotations were incorrect, with chimeras being formed between adjacent copies, or with no annotation at all). Because the alignment length was short, phylogenetic analyses yielded trees in which most nodes were not robust; we however evaluated pairwise evolutionary rates and found nonsynonymous to synonymous rates falling in the 0.20–0.30 range, well below unity and not suggestive of positive selection (results not shown).

We also counted the gene copy numbers from all other species (retaining only transcripts supported by at least two ESTs) and found that this number ranged from 6 in *A. gossypii* to 47 in *M. ascalonicus* (table 2); the three species belonging to Aphidini seemed to be characterized by lower copy numbers, compared with either the Macrosiphini or the outgroup species, *P. spyrothecae*. Although our data were based only on partial transcriptomes, this could suggest a reduction of gene copy number in an ancestor of Aphidini. This new gene family has then been identified in all the species studied here and represents some of the most

abundant transcripts in several of them. Given the absence of similarity outside aphids, it is difficult to determine the potential function of this highly expressed gene family, which will then deserve further specific studies. For example, microarrays or transcriptomic approaches (as RNA-seq) could point to quantitative variations of these genes in different tissues and conditions, and also could show with which known proteins they are coregulated. This should help determine the pathways in which members of this novel family are involved.

#### Identification of CDS and Non-CDS

For these five species, we predicted a CDS for 45–65% of the unique transcripts. A very small fraction (0.5–2%) of unique transcripts with a hit in the *A. pisum* gene set had no CDS predicted. These consisted of very short sequences containing a short coding region or with several frameshifts. Overall, we could reconstruct 4,113, 3,502, 3,761, 4,275, and 2,353 different CDS for *A. kondoi*, *M. ascalonicus*, *P. spyrothecae*, *R. maidis*, and *R. padi*, respectively (fig. 1): About 10% of unique transcripts are predicted to contain a complete CDS (fig. 2). In addition, with the same methods, we obtained 6,652 and 15,810 CDS for *M. persicae* and *A. gossypii*, respectively. Across the different collections of CDS for the different aphid species, we found between 18% and 35% of sequences with a hit in *A. pisum* genes but no hit in UniProt. This suggests that a relatively high fraction of these transcriptomes correspond to genes apparently found only in aphids.

#### Functional Annotation

The numbers of sequences annotated with Blast2GO were 1,641, 1,520, 1,169, 1,576, and 785 for *A. kondoi*, *M. ascalonicus*, *P. spyrothecae*, *R. maidis*, and *R. padi*,

**Table 1**

Description of Top 21 Contigs (Contigs with the Highest EST Support) in Each Data Set

Contigs	Length	ESTs Number	Corresponding <i>Acyrtosiphon pisum</i> Gene	Similarity
<i>Acyrtosiphon kondoi</i>				
CL2Contig2	323	2,521	No hit	tmRNA ( <i>Buchnera</i> )
TCL6_1_Contig5	423	287	ACYPI30077	Hypothetical protein ("sp" family)
CL4Contig1	416	241	ACYPI24917	Hypothetical protein ("sp" family)
TCL6_203	710	235	No hit	16S rRNA (mitochondrial)
TCL6_1_Contig2	419	180	ACYPI30077	Hypothetical protein ("sp" family)
TCL6_86	349	163	ACYPI20392	Hypothetical protein ("sp" family)
CL1Contig7	353	152	ACYPI30077	Hypothetical protein ("sp" family)
CL1Contig5	359	140	ACYPI20392	Hypothetical protein ("sp" family)
CL12Contig1	704	137	ACYPI004796	Hypothetical protein
TCL6_4	4,559	133	ACYPI58320	Hypothetical protein
CL5Contig3	357	131	ACYPI58108	Hypothetical protein ("sp" family)
TCL6_307	275	97	ACYPI063423	Ribosomal protein L41
CL38Contig1	1,456	93	ACYPI58228	Muscle actin
NRCL4_14	466	92	ACYPI30077	Hypothetical protein ("sp" family)
CL1Contig6	471	88	ACYPI30077	Hypothetical protein ("sp" family)
NRCL4_18	389	83	ACYPI30077	Hypothetical protein ("sp" family)
NRCL3_81	310	76	ACYPI58128	Hypothetical protein ("sp" family)
CL159Contig1	1,295	74	ACYPI57336	Ribosomal protein L18
TCL6_517	897	72	ACYPI000294	Hypothetical protein
TCL6_68	371	72	ACYPI30077	Hypothetical protein ("sp" family)
<i>Myzus ascalonicus</i>				
CL5Contig2	585	509	No hit	No similarity
CL4Contig1	700	386	No hit	16S rRNA (mitochondrial)
TCL5_77	536	358	ACYPI30077	Hypothetical protein ("sp" family)
TCL5_39	536	273	ACYPI30077	Hypothetical protein ("sp" family)
NRCL4_2	545	236	ACYPI30077	Hypothetical protein ("sp" family)
TCL6_5	363	228	ACYPI30077	Hypothetical protein ("sp" family)
NRCL4_8	582	222	ACYPI20392	Hypothetical protein ("sp" family)
CL2Contig2	784	183	ACYPI006857	Ribosomal protein L18
CL21Contig1	535	153	ACYPI30077	Hypothetical protein ("sp" family)
TCL2_13	705	145	ACYPI007294	Hypothetical protein
TCL6_65	1,459	141	ACYPI58228	Muscle actin
CL237Contig1	290	140	ACYPI063423	Ribosomal protein L41
CL10Contig1	472	138	ACYPI24917	Hypothetical protein ("sp" family)
TCL6_15	391	137	ACYPI30077	Hypothetical protein ("sp" family)
CL1Contig10	629	130	ACYPI30077	Hypothetical protein ("sp" family)
CL283Contig1	2,202	126	ACYPI58208	Elongation factor 1-alpha
TCL6_26	318	116	ACYPI20392	Hypothetical protein ("sp" family)
TCL6_17	528	104	ACYPI20392	Hypothetical protein ("sp" family)
TCL6_343	1,009	100	ACYPI006075	Ribosomal protein L19
TCL5_69	356	100	ACYPI24917	Hypothetical protein ("sp" family)
<i>Rhopalosiphum maidis</i>				
TCL6_216	2,061	133	ACYPI58208	Elongation factor 1-alpha
TCL6_236	750	102	ACYPI063423	Ribosomal protein L41
CL79Contig1	1,703	80	ACYPI58227	Muscle actin
CL6Contig1	1,521	75	ACYPI58228	Muscle actin
CL101Contig1	1,323	70	ACYPI008050	Putative ADP/ATP translocase
CL83Contig1	572	70	ACYPI56753	Ribosomal protein L31
TCL5_100	482	67	ACYPI000896	Ribosomal protein L44
NRCL3_12	2,239	61	ACYPI57778	Heat shock protein 90
TCL6_39	926	60	ACYPI000100	Ribosomal protein S4
TCL6_23	826	60	ACYPI56766	Ribosomal protein L10
TCL6_368	504	59	ACYPI56777	Ribosomal protein L34
CL8Contig1	795	57	ACYPI001578	Ribosomal protein S8
TCL6_84	512	57	ACYPI000038	Ribosomal protein S25
CL18Contig1	657	56	ACYPI000819	Ribosomal protein S23

**Table 1**  
**Continued**

Contigs	Length	ESTs Number	Corresponding <i>Acyrtosiphon pisum</i> Gene	Similarity
TCL6_40	1,047	55	ACYPI005092	Ribosomal protein S6
TCL5_155	740	55	ACYPI56773	Ribosomal protein L26
CL2Contig1	1,304	53	ACYPI001035	Protein take out
TCL2_67	766	53	ACYPI006075	Ribosomal protein L19
TCL6_254	1,044	52	ACYPI003593	Ribosomal protein L5
TCL6_348	779	51	ACYPI58369	Cuticular protein 49Aa
<i>Rhopalosiphum padi</i>				
CL1Contig1	9,396	3,532	No hit	Virus clone RhPV6
CL129Contig1	1,270	1,464	No hit	16S rRNA (mitochondrial)
TCL6_434	417	133	ACYPI063423	Ribosomal protein L41
TCL6_305	1,336	130	ACYPI58228	Muscle actin
CL15Contig1	1,370	85	ACYPI58208	Elongation factor 1-alpha
TCL6_52	561	68	ACYPI000896	Ribosomal protein L44
TCL6_5	750	66	ACYPI006857	Ribosomal protein L18
CL99Contig1	1,509	61	ACYPI008050	Putative ADP/ATP translocase
CL235Contig1	537	59	ACYPI56754	Ribosomal protein L36
CL121Contig1	691	57	ACYPI005604	Non structural polyprotein
CL264Contig1	813	52	ACYPI56766	Ribosomal protein L10
TCL5_67	592	52	ACYPI000455	Ribosomal protein L23e
TCL6_384	1,056	48	ACYPI000030	ATP synthase c-subunit
TCL6_218	785	47	ACYPI006075	Ribosomal protein L23e
TCL6_380	758	47	ACYPI001578	Ribosomal protein S8e
TCL6_356	749	47	ACYPI000783	Ribosomal protein S9
CL205Contig1	831	44	ACYPI56769	Ribosomal protein L15
TCL6_36	1,003	43	ACYPI57262	Cuticular protein (RR1)
CL118Contig1	641	43	ACYPI56765	Ribosomal protein L9
TCL6_220	479	43	ACYPI000048	Ribosomal protein S28
<i>Pemphigus spyrothecae</i>				
TCL6_1_Contig3	1,379	458	ACYPI000030	ATP synthase c-subunit like
CL220Contig1	1,425	423	No hit	16S rRNA (mitochondrial)
CL13Contig1	2,103	182	No hit	hslu and ibpp ( <i>Buchnera</i> )
CL14Contig1	341	149	ACYPI30077	Hypothetical protein ("sp" family)
TCL6_353	351	145	ACYPI30077	Hypothetical protein ("sp" family)
CL4Contig1	1,127	121	No hit	Likely bacterial contaminant
TCL6_8_Contig2	385	120	ACYPI30077	Hypothetical protein ("sp" family)
NRCL4_1	291	114	No hit	tmRNA ( <i>Buchnera</i> )
TCL2_19	208	95	No hit	No similarity
TCL6_259	397	89	ACYPI30077	Hypothetical protein ("sp" family)
CL6Contig1	917	88	No hit	No similarity
CL2Contig1	594	75	ACYPI009263	Similar to Hsp60
TCL6_121	1,390	74	ACYPI58228	Muscle actin
TCL6_446	1,465	69	No hit	Hypothetical protein
TCL6_412	644	57	No hit	No similarity
TCL6_296	661	54	ACYPI009454	Ribosomal protein S24
CL5Contig1	542	52	No hit	No similarity
TCL2_9	310	45	ACYPI30077	Hypothetical protein ("sp" family)
TCL2_71	234	39	No hit	No similarity
TCL6_70	998	35	No hit	No similarity

NOTE.—Several of these contigs in *A. kondoi*, *M. ascalonicus*, and *P. spyrothecae* matched a short-peptide gene family ("sp" family), containing 29 genes (including ACYPI30077) in the pea aphid genome, with no known similarity outside aphids.

respectively. For the *A. pisum* gene reference set, 7,886 sequences could be annotated in the same way. We compiled for these species the 10 GO terms describing the most represented molecular functions and then combined all these terms across all the species studied (fig. 3). Because many

genes have multiple GO terms assigned for them, many of which are parents or daughters of other terms, we decided to represent the GO terms belonging to the same ontological level (level 3). The two sources of sequences, genomic (for *A. pisum*) and ESTs (for other species), may

**Table 2**

Estimated Copy Number of the “sp” Gene Family, Comprising Genes Similar to ACYPI30077, a Predicted Small Peptide from *Acyrtosiphon pisum* with No Hit in UniProt

Species	Tribe/Family	Copy Number of “sp” Family
<i>Acyrtosiphon pisum</i>	Macrosiphini	29
<i>Acyrtosiphon kondoi</i>	Macrosiphini	22
<i>Myzus persicae</i>	Macrosiphini	23
<i>Myzus ascalonicus</i>	Macrosiphini	47
<i>Rhopalosiphum padi</i>	Aphidini	9
<i>Rhopalosiphum maidis</i>	Aphidini	9
<i>Aphis gossypii</i>	Aphidini	6
<i>Pemphigus spyrothecae</i>	Pemphiginae	21

NOTE.—For *A. pisum*, number of copies that we annotated in the genome (version 1). For other species, number of different EST-based sequences (different tentative CDS).

result in biased representation of genes in the latter: This can be seen in the overrepresentation of the “structural constituent of ribosome” category (mostly, ribosomal proteins) for EST-based gene sets. However, overall, the distributions of GO terms were similar between *A. pisum* genes and genes from other species, with the exception of *P. spyrothecae*: Indeed, several GO categories were either over- or underrepresented in that species. This may be explained by the fact that *P. spyrothecae* is relatively distant phylogenetically from all other species, or because it has a specific gall-feeding life style, which is likely to affect expression globally. Additionally, differences in gene content (with different patterns of gene family expansions or gene loss) could account for that difference.

### Reconstruction of the Aphid Phylome and Orthology Relationships across Aphid Genomes

The reconstructed phylome for the present multispecific gene collection contained 14,345 phylogenetic trees. To determine orthology, we parsed this aphid phylome using the ETE tool (Huerta-Cepas, Dopazo, et al. 2010). A total of 203 one-to-one orthologous genes were detected for the eight aphid species, including the outgroup; in addition, 52 more orthologs were found in all species except the outgroup (*P. spyrothecae*).

### Phylogenetic Species Tree

ML, Bayesian, and NJ trees for the concatenated sequences of 203 one-to-one orthologs in the eight aphid species supported the same topology (fig. 4). As expected, the two *Rhopalosiphum* species formed a monophyletic group, and the Aphidini tribe (*Rhopalosiphum* species and *A. gossypii*) was also strongly supported. The remaining species (all belonging to the Macrosiphini tribe) also formed a strongly supported group, within which the two *Acyrtosiphon* species were grouped together. But a major surprise was that *M. ascalonicus* did not group with *M. persicae* and instead appeared as basal to the three other species in the same tribe.

**Table 3**

List of the 13 Mitochondrial Protein-Coding Genes, with Their Expected Full Gene Length in Base Pairs (from the Complete Mitochondrial Genome Sequence from *Schizaphis graminum*, an Aphid Species)

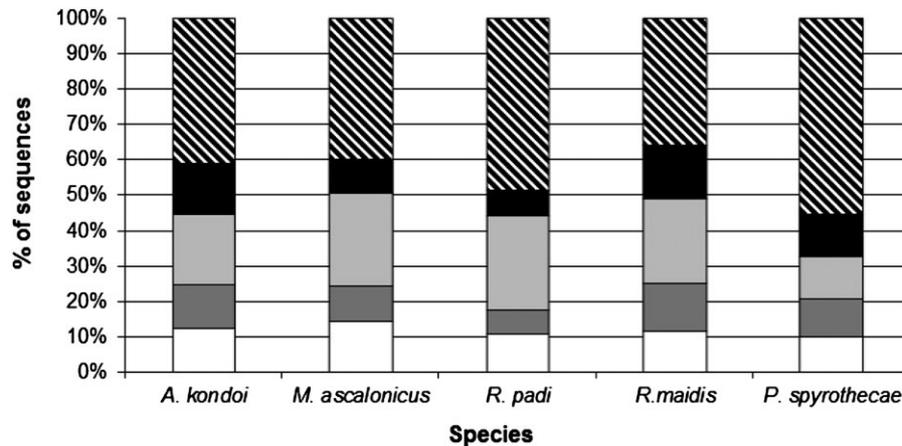
Mitochondrial Genes	Full Gene Length	Alignment Length
CO1	1,531	1,500
CO2	669	651
ATPF08	165	—
ATPF06	651	651
CO3	783	753
ND3	351	228
ND5	1,113	471
ND4	1,309	669
ND4L	1,308	—
ND6	288	—
CYTB	1,113	1,080
ND1	927	864
ND2	975	690

NOTE.—A fraction of the sequenced ESTs matched mitochondrial sequences in all species, allowing us to reconstruct partial CDS in all species for 10 of these genes, with high EST support. The resulting alignment length for each gene—with no gaps—is shown in base pairs (overall 67.5% of the mtDNA CDS was therefore obtained for these eight species).

Adding the 52 in-group orthologs, we analyzed the 255 one-to-one orthologs, focusing on genes for which all Macrosiphini sequences grouped together: We found this topology in 85.2% of the trees, whereas only 14.8% had the two *Myzus* species together. To check that any biological identification problem of our collected material could explain such discrepancy, we extracted sequences from the ESTs that corresponded to mitochondrial DNA, in particular, the CO1 sequence, which has been widely used as a molecular barcode to distinguish species (Hebert et al. 2003; table 3). Our reconstructed near entire CO1 sequences were identical (or near identical) to CO1 sequences from DNA barcode databases for all species, including *M. ascalonicus*. This recently described species, which was unknown until the 1940s (Doncaster 1946), has been placed into the *Myzus* genus. Obviously, our phylogenetic data conflict with taxonomic classification and suggest that the few morphological characters relating it to the *Myzus* genus could result from convergent evolution, or simply that the taxonomical placement of this species needs revision. Because of the strong molecular phylogenetic support for *M. ascalonicus* as basal to other Macrosiphini species, we retained this hypothesis in the analyses of mutational patterns: We then compared evolutionary rates in the *M. ascalonicus* branch not just with *M. persicae* but also with the two sexually reproducing Macrosiphini (*M. persicae* and *A. pisum*) from this data set.

### Compared Rates of Substitution between Sexual and Asexual Taxa

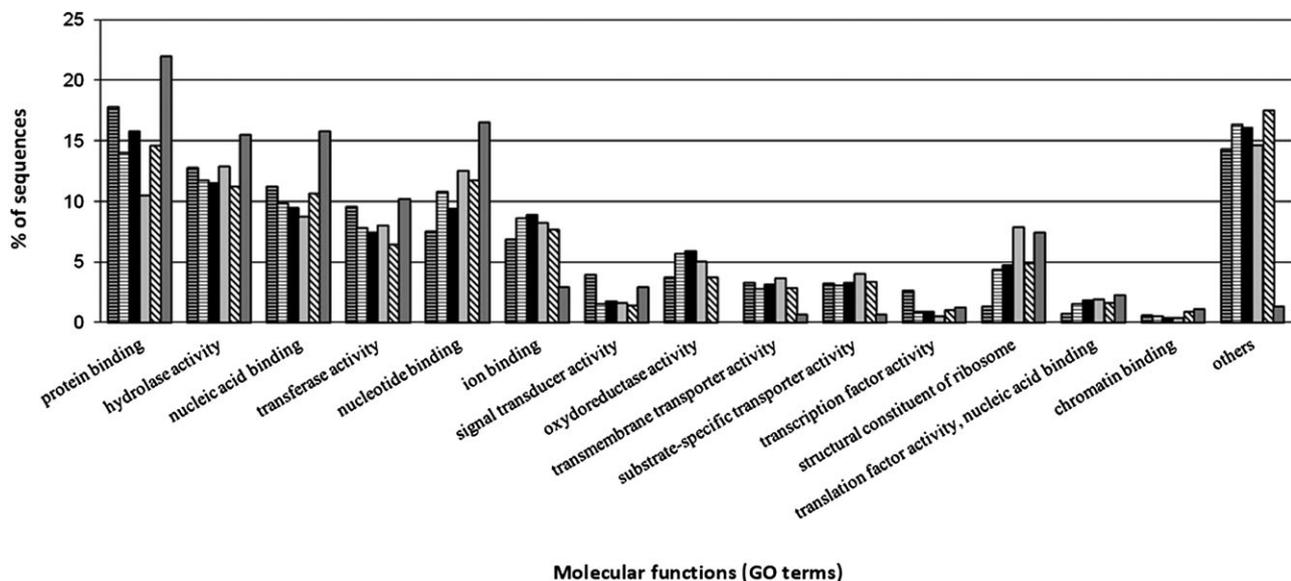
To test theoretical predictions on the accumulation of non-synonymous mutations in asexual lineages, we compared



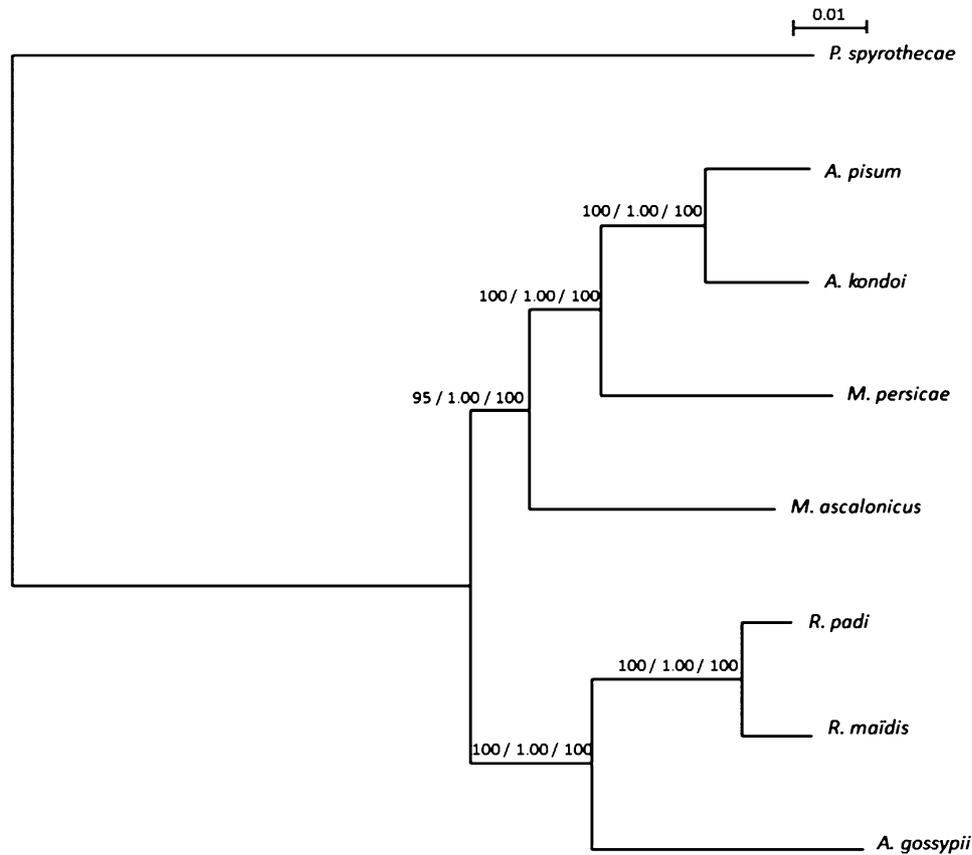
**FIG. 2.**—Reconstruction of CDS from unique transcripts for five aphid species. Dashed lines, percentage of unique transcripts with no predicted CDS; dark, partial CDS in both 5' and 3'; light gray, partial CDS in 5'; dark gray, partial CDS in 3'; open, predicted complete CDS.

rates of substitution (dN, dS, and the ratio dN/dS) between sexual and asexual taxa. We did so for the 255 genes that were identified as 1:1 orthologs through our phylogenomic analyses, using Wilcoxon signed rank test, and for 10 partial mitochondrial genes obtained also from the ESTs (table 4). For instance, we compared the two *Acyrtosiphon* and the two *Rhopalosiphum* species, assumed to represent (relatively) closely related sexual/asexual taxa. For *A. pisum* (sexual) versus *A. kondoi* (asexual), we detected no significant difference in any of these types of substitution rates both for nuclear and for mitochondrial genes. Also, the total of all nonsynonymous mutations for the 255 nuclear genes was 239 for *A. pisum* and 221 for *A. kondoi*—numbers of mutations were not significant with the Wilcoxon test.

This suggests that there has been no effect of the loss of sexuality on rates in *A. kondoi*, in these sets of genes. For *R. padi* (sexual) versus *R. maidis* (asexual), no significant differences in the nonsynonymous rate was found, but a significant difference was found for synonymous rates ( $P = 8.10^{-9}$ , Wilcoxon test) of nuclear genes; *R. maidis* tended to show higher dS rates, the medians being 0.021 and 0.035 for *R. padi* and *R. maidis*, respectively. We did also find a significant difference in dN/dS rates ( $P = 0.005$ , Wilcoxon test), which tended to be higher in *R. padi* (however, the medians were identical in both species and equal to zero). The difference appears to result from relatively few genes, where one or very few nonsynonymous mutations were seen in each species, whereas only the *R. maidis* branch also had



**FIG. 3.**—GO annotations (ontological level 3) for the genes of six aphid species. Frequencies of GO category among annotated predicted genes (*Acyrtosiphon pisum*) or unique transcripts (all other species) on the y axis. For each GO category, the species are, from left to right, *A. pisum*, *Acyrtosiphon kondoi*, *Myzus ascalonicus*, *Rhopalosiphum maidis*, *Rhopalosiphum padi*, and *Pemphigus spirothecae*.



**Fig. 4.**—ML phylogenetic tree of eight aphid species, based on 203 concatenated nuclear genes ( $-\ln l = 229,298.62$ ;  $\gamma = 0.62$ ; likelihood settings from best-fit model [GTR +  $\gamma$  + proportion invariant] selected by Akaike information criterion in JModeltest). Next to nodes, ML bootstrap supports Bayesian posterior probabilities ( $-\ln l = 229,090.12$ )/NJ bootstrap values (5,000 replicates).

synonymous substitutions (*R. padi* having none): This resulted in very high estimates of the dN/dS ratio for the genes in *R. padi* lineage. Yet, overall, the total numbers of mutations were 78 (*R. padi*) and 89 (*R. maidis*)—this difference being nonsignificant. Therefore, there is clearly no sign of accumulation of nonsynonymous mutations in either of these

lineages but rather an unexpected difference in synonymous rates. Because synonymous changes are normally close to neutral, synonymous rates are assumed to be relatively constant within biological groups (Kimura 1968; Graur and Li 2000) and to depend mostly on the mutation rate. A possible explanation for this observation would be that the

**Table 4**

Median of Evolutionary Rate Parameters and Number of Nonsynonymous Mutations for  $n = 255$  Nuclear Coding and  $n = 10$  Mitochondrial Coding Genes, Based on Complete Genomic Information (*Acyrtosiphon pisum*) or Transcriptomes (Other Aphid Species)—Values for Asexual Taxa in Bold

Asexual/Sexual Taxa	Median dN/dS	Median dN	Median dS	No. of NS Mutations
Nuclear CDS (1:1 orthologs in the in-group, $n = 255$ )				
<i>Rhopalosiphum maidis</i> / <i>Rhopalosiphum padi</i>	<b>0.000</b> /0.000, $P = 0.01$	<b>0.000</b> /0.000, ns	<b>0.035</b> /0.021, $P < 10^{-8}$	<b>89</b> /78, ns
<i>Acyrtosiphon kondoi</i> / <i>Acyrtosiphon pisum</i>	<b>0.000</b> /0.000, ns	<b>0.000</b> /0.000, ns	<b>0.041</b> /0.046, ns	<b>221</b> /239, ns
<i>Aphis gossypii</i> / <i>R. padi</i>	<b>0.003</b> /0.000, ns	—	—	—
<i>Myzus ascalonicus</i> / <i>Myzus persicae</i> + <i>A. pisum</i>	<b>0.000</b> /0.000, ns	—	—	—
Mitochondrial genes ( $n = 10$ )				
<i>R. maidis</i> / <i>R. padi</i>	<b>0.006</b> /0.004, ns	<b>0.004</b> /0.005, ns	<b>0.722</b> /0.782, ns	<b>30</b> /24, ns
<i>A. kondoi</i> / <i>A. pisum</i>	<b>0.018</b> /0.010, ns	<b>0.005</b> /0.009, ns	<b>0.718</b> /0.314, ns	<b>34</b> /42, ns
<i>A. gossypii</i> / <i>R. padi</i>	<b>0.009</b> /0.004, ns	—	—	—
<i>M. ascalonicus</i> / <i>M. persicae</i> + <i>A. pisum</i>	<b>0.018</b> /0.010, ns	—	—	—

NOTE.—NS, not significant. Rates were estimated by a free-ratio model on each branch, and are here compared for sexual–asexual species pair for each gene (next to medians, result of a signed rank Wilcoxon test). The branch estimates for *M. ascalonicus* were compared with the mean of terminal branches of sexual taxa in the same subfamily (Macrosiphini) and *A. gossypii* was compared with a sexual species from a different genus but from the same subfamily (Aphidini); in both cases, only dN/dS ratios were then compared. For the two other comparisons, dN and dS, their ratio dN/dS, and numbers of NS mutations were compared. For numbers of NS mutations, totals combined for all the genes are shown.

mutation rate has specifically increased in *R. maidis*. Alternatively, we propose that the loss of sexual reproduction in this species potentially increased the number of generations per unit of time. Indeed, the single sexual generation in *R. padi* in temperate regions covers nearly half of the year (Dixon 1976), whereas *R. maidis* can achieve several asexual generations during the wintertime, likely resulting in a larger number of generations per year. Therefore, this could result in a faster evolutionary rate, which could be more apparent for the less constrained synonymous changes as compared with the non-synonymous changes. For mitochondrial genes, no significant differences between *R. maidis* and *R. padi* were found in any of the ratios, although a slight excess of total nonsynonymous mutations (30 vs. 24) was found in the asexual/sexual comparison, which went in the same direction as nuclear genes. This however does not explain why no such acceleration in synonymous rates was observed for the other asexual taxa studied here, and for which the same argument could be advanced. Possibly, the rate increase could depend on the age of the loss of sex, which is likely to be the most recent for *R. maidis*, as it still has a sexual population in its region of origin, in central Asia (Remaudière and Naumann-Etienne 1991). We finally compared the dN/dS ratio in the *M. ascalonicus* branch with the average of the two sexual taxa from the Macrosiphini, and no significant difference was found. No comparisons were made for dN or dS rates because the branches covered different times of divergence, which might bias the result. We also compared *A. gossypii* with the sexual taxon in the same tribe (*R. padi*), and again, no significant difference in dN/dS was found. Therefore, for these other two asexual taxa, *M. ascalonicus* and *A. gossypii*, no acceleration of the dN/dS ratio was detected in this set of genes. For these comparisons, mitochondrial genes also did not show significant differences in dN/dS ratios among asexual and sexual taxa. We noted, however, that medians of this parameter tended to be higher in the asexual than in the sexual taxa, but this effect seemed to result from lower values of dS. The estimates of dS for the different branches and for the 10 mitochondrial genes were relatively high and widely variable, so these estimates are likely to have large standard errors. We therefore conclude that there is no sign of increased rates of accumulation of mutations in the mitochondrial sequences for *A. gossypii* and *M. ascalonicus*.

## Conclusions

### Gene Reconstruction from ESTs, Specificities of the Transcriptomes, and Novel Gene Family

We have reconstructed CDS in seven aphid species: These sequences were often partial; yet, they constitute a significant sample of the coding genome comprising several thousands of CDS in each species. The fact that we obtained these sequences from similar stages and conditions allowed us to compare the contents of these transcriptomes. Two of the

most interesting conclusions we could draw from these comparisons and that will deserve further explorations are thus: First, *P. spirothecae*, the outgroup species, which has a different life style as it lives in galls, showed a distinct profile in expression (GO terms comparisons). It will be interesting to evaluate the impact of living in a gall on expression profiles, using larger data sets at different stages of the life cycle. Second the compared genome or transcriptomes from eight aphid species helped identify a novel gene family of short peptides. In several of the species, some of these genes were among the most highly expressed genes. Through reannotation of the pea aphid genome for this family, we found 29 gene copies, although ESTs from other species suggest rather variable copy numbers. It will therefore be interesting to further explore the functional role of this gene family.

### Quality of the Sequences and Orthology Assessment Issues

We determined the evolutionary relationships among thousands of CDS in the different aphid species. To this end, we adapted a phylome reconstruction pipeline (normally used for complete genomes) to this data set. This helped us determine some limitations and possible caveats of EST-based sequences. First, some of the sequences (usually those that had the weakest EST support, sometimes a single EST) could contain errors, which were not entirely corrected through the CDS reconstruction process. These could result in frame-shifts, which were seen by manual inspection of alignments. Second, EST assembly sometimes resulted in multiple contigs that contained an identical CDS. Rather than paralogs (as they were categorized in the automatic phylome pipeline), we therefore reclassified these identical sequences as being the same gene and obtained a much higher number of 1:1 orthologs. Third, data sets comprising only a subset of the species could have been studied separately to provide further gene sequence comparisons. We however identified many difficulties associated with this objective. Often, the main problem was orthology assessment. When studying groups of sequences limited to a few species, we often detected anomalies in trees or evolutionary distances that were consistent with problems of orthology assignment (some EST-based sequences corresponding to out-paralogs). This is a significant risk with partial gene collections, especially given that aphid genomes are rich in duplicated genes (Huerta-Cepas, Marcet-Houben, et al. 2010). For that reason, we decided to analyze evolutionary rates only on a “golden” set of 1:1 orthologs, for which all alignments were checked manually.

### Influence of the Reproductive Mode on the Evolution of Genes

In this study, our aim was to test theories predicting increased numbers of nonsynonymous mutations for asexual

species. Our results did not support this prediction for any of the four species we considered as asexual. Although we studied a relatively large set of CDS (255), it may be that this set of genes represents a biased sample of the genome. Indeed, these genes tend to correspond to ubiquitous essential genes, which should be under stronger-than-average selective constraints, so that deleterious mutations might be strongly selected against in all species. However, the range of values in evolutionary rates for these genes remained large enough that at least some of the genes should reflect a global decrease in the efficacy of selection (supplementary fig. S1, Supplementary Material online). Another reason why no effect was found overall could be the recent loss of sex in the cases studied (Delmotte et al. 2003). The fact that a localized sexual population persists in *R. maidis* (Remaudière and Naumann-Etienne 1991) and that a few sexuals have been observed in *A. gossypii* (Ebert and Cartwright 1997) indeed argue for recent losses; in addition, rare events of sexual reproduction (or “covert sex”—Simon et al. 2002) could have a strong effect on restoring genetic parameters (in terms of genetic diversity and mutation accumulation) close to regularly sexually reproducing species. A last observation is that several asexual taxa have been shown to result from hybridization among two species (Johnson and Bragg 1999; Delmotte et al. 2003; Morgan-Richards and Trewick 2005; Lundmark and Saura 2006; Lunt 2008). Even if hybridization events were recent, this also would not explain the increased rates. More detailed studies for these species, including information on genetic variation among populations, would be interesting to determine if genetic diversity bears the signature of relatively ancient asexuality or of hybridization. Also, larger sequence data sets would allow the exploration of a larger sample of functions. It may be expected, for example, that genes involved in sexual reproduction would be a primary target of mutation accumulation in newly asexual taxa (this could be checked by targeted sequencing). Finally, asexual aphid species could be able to resist mutation accumulation through compensatory changes; for example, an increase in population size, often associated with clonal organisms, could slow down mutation accumulation. Given that the in-group species (sexual or asexual) considered here are all pests and characterized by large population sizes, it could be even advanced that large population sizes came first in these taxa and were followed by the loss of sex (Normark and Johnson 2011); a large population size is indeed expected to result in enhanced natural selection and would make populations more resistant to mutation accumulation, so it would allow asexual mutants to persist. Also, duplications could represent a possible buffering effect, saving genes from mutation accumulation (e.g., bdelloid rotifers: Lundmark and Saura 2006; Mark Welch 2008), because duplicated genes could represent backups and would attenuate the effects of mutations on one copy. Asexuality in organisms is often accompanied by increased ploidy levels,

but this is not the case in aphids (Simon et al. 2002); because only a fraction of the aphid genome is duplicated, this buffer effect would be limited to gene families, so whether this phenomenon could provide enough resistance to mutation accumulation is not obvious. Whole-genome comparisons among related sexual and asexual taxa will be needed to more fully evaluate the dynamics and the consequences of the loss of the sexual reproduction.

## Supplementary Material

Supplementary figure 1 is available at *Genome Biology and Evolution* online (<http://www.gbe.oxfordjournals.org/>).

## Acknowledgments

This work was supported by the French Ministry of Research (Genoscope), INRA (Department: Santé des plantes), Région Bretagne, Rennes Métropole, and the Spanish Ministry of Science and Innovation (BFU2009-09168).

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**Associate editor:** Nancy Moran