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Computation of Invariants of Finite Abelian Groups

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Abstract

We investigate the computation and applications of rational invariants of the linear action of a finite abelian group in the non-modular case. By diagonalization, the group action is accurately described by an integer matrix of exponents. We make use of linear algebra to compute a minimal generating set of invariants and the substitution to rewrite any invariant in terms of this generating set. We show how to compute a minimal generating set that consists of polynomial invariants. As an application, we provide a symmetry reduction scheme for polynomial systems whose solution set is invariant by a finite abelian group action. Finally, we also provide an algorithm to find such symmetries given a polynomial system.

Keywords: Finite groups, Rational invariants, Matrix normal form, Polynomial system reduction, constructive Noether's problem.

1 Introduction

Recently Faugère and Svartz [7] demonstrated how to reduce the complexity of Gröbner bases computations for ideals stable by the linear action of a finite abelian group in the non modular case. Their strategy is based on the diagonalization of the group. It turns out that these diagonal actions have strong similarities with scalings which the present authors previously investigated in [17, 18]. Scalings are diagonal representations of tori and can be defined by a matrix of exponents. Integer linear algebra was used to compute the invariants of scalings and develop their applications in [17, 18]. It was shown that the unimodular multipliers associated to the Hermite form of the exponent matrix provide the exponents of monomials that describe a minimal generating set of invariants and rewrite rules.

The field of rational invariants of abelian groups has been thoroughly examined, in particular with respect to Noether's problem that questions the existence of an algebraically independent generating set [3, 4, 8, 9, 22, 36]. In this paper we first address the constructive aspect of this problem. In the light of the treatment of scalings we specify diagonal representations of finitely generated abelian groups with an exponent matrix. But now, when performing linear algebra operations on this exponent matrix, each row needs to be understood modulo the order of a group generator. This is elegantly handled by introducing those orders in a diagonal matrix. With this astute presentation of the problem we establish analogous constructions : From a unimodular multiplier associated to the Hermite form of the exponent and order matrices, we can compute a minimal set of generating rational invariants. The rationality of the field of invariants [8] is thus established as a byproduct of our direct and constructive proof. An additional important feature is that we can compute a minimal generating set of invariants that consists of monomials with nonnegative powers. Only the existence of such a set was previously established in [4]. Such a set comes with a triangular shape and provides generators for an algebra that is an explicit localization of the polynomial ring of invariants;

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They can be further exploited to compute the generators for the ring of polynomial invariants. Furthermore, for any generating set computed with our construction, any other invariant can be written in terms of these by an explicit substitution, one that is computed simultaneously.

As an application we show how one can reduce a system of polynomial equations, whose solution set is invariant by the linear action of a finite abelian group, into a reduced system of polynomial equations, with the invariants as new variables. The reduced system thus has the same number of variables and to each of its solutions correspond an orbit of solutions of the original system; the latter are retrieved as the solutions of a binomial triangular set. To compute the reduced system, we first adapt a concept of degree from [7] in order to split the polynomials in the system into invariants. We then use our special set of polynomial invariants along with the associated rewrite rules to obtain the reduced system. The main cost of the reduction is a Hermite form computation, which in our case is $O((n+s)^4d)$ where n is the number of variables in the polynomial system, s is the number of generators of the finite group and d is the log of the order of the group. A distinctive feature of our approach is that it organizes the solutions of the original system in orbits of solutions. They can thus be presented qualitatively, in particular when ultimately dealing with groups of permutations.

The above strategy, and alternatively [7], for polynomial system solving, start from the knowledge of the symmetry of the solution set. Though it is sometimes intrinsically known, we provide a way to determine this symmetry. We had solved the analogous problem for scaling symmetry in [18] through the computation of a Hermite form. The problem in the present case is to determine both the exponent matrix and the orders of the group. This is solved by computing the Smith normal form of the matrix of exponent differences of the terms in the polynomials. We show that the order matrix is read from the Smith normal form itself, while the exponent matrix is read from the left unimodular multiplier. Additionally, a generating set of invariants for the diagonal group defined in this way is also obtained directly from the left unimodular multiplier. The Smith normal form and its unimodular multipliers thus provide all the ingredients for a symmetry reduction scheme.

The computational efforts for invariant theory have focused on the ring of polynomial invariants [35, 5]. Yet some applications can be approached with rational invariants¹. Indeed a generating set of rational invariants separates generic orbits. It is therefore applicable to the equivalence problems that come in many guises. The class of rational invariants can furthermore address a wider class of nonlinear actions, such as those central in differential geometry² and algebraically characterize classical differential invariants [16, 13]. General algorithms to compute rational invariants of a (rational) action of algebraic groups [14, 15, 19, 21, 25] rely on Gröbner bases computations. It is remarkable how much simpler and more effective the present approach is for use with finite abelian groups.

The remainder of the paper is organized as follows. Preliminary information about diagonal actions, their defining exponent and order matrices, as well as integer linear algebra are to be found in the next section. Section 3 shows the use of integer linear algebra to determine invariants of the diagonal action of finite groups, giving the details of invariant generation and rewrite rules. We discuss there polynomial invariants as well. Section 4 deals with the case of arbitrary finite abelian group actions including examples illustrating our methods. Section 5 gives the details of the symmetry reduction scheme for polynomial systems, including an example of solving a polynomial system coming from neural networks. Section 6 considers the problem of finding a representation of a finite abelian group that provides a symmetry for the solution set of a given set of polynomial equations. Finally, we present a conclusion along with topics for future research.

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¹For instance multi-homogeneous polynomial system solving in [17] and parameter reduction in dynamical models [18].

²For example, conformal transformations or prolonged actions to the jet spaces.

2 Preliminaries

In this section we introduce our notations for finite groups of diagonal matrices and their linear actions. In addition we will present the various notions from integer linear algebra used later in this work. We shall use the matrix notations that were already introduced in [17, 18].

2.1 Matrix notations for monomial maps

Let \mathbb{K} be a field and denote $\mathbb{K} \setminus \{0\}$ by \mathbb{K}^* . If $a = {}^t[a_1, \dots, a_s]$ is a column vector of integers and $\lambda = [\lambda_1, \dots, \lambda_s]$ is a row vector with entries in \mathbb{K}^* , then λ^a denotes the scalar

$$\lambda^a = \lambda_1^{a_1} \dots \lambda_s^{a_s}.$$

If $\lambda = [\lambda_1, \dots, \lambda_s]$ is a row vector of r indeterminants, then λ^a can be understood as a monomial in the Laurent polynomial ring $\mathbb{K}[\lambda, \lambda^{-1}]$, a domain isomorphic to $\mathbb{K}[\lambda, \mu]/(\lambda_1 \mu_1 - 1, \dots, \lambda_s \mu_s - 1)$. We extend this notation to matrices. If A is an $s \times n$ matrix with entries in \mathbb{Z} then λ^A is the row vector

$$\lambda^A = [\lambda^{A_{\cdot,1}}, \dots, \lambda^{A_{\cdot,n}}]$$

where $A_{\cdot,1}, \dots, A_{\cdot,n}$ are the n columns of A .

If $x = [x_1, \dots, x_n]$ and $y = [y_1, \dots, y_n]$ are two row vectors, we write $x \star y$ for the row vector obtained by component wise multiplication:

$$x \star y = [x_1 y_1, \dots, x_n y_n].$$

Assume A and B are integer matrices of size $s \times n$ and C of size $n \times r$; λ , x and y are row vectors with s components. It is then easy to prove [17] that

$$\lambda^{A+B} = \lambda^A \star \lambda^B, \quad \lambda^{AC} = (\lambda^A)^C, \quad (y \star z)^A = y^A \star z^A.$$

Furthermore if $A = [A_1, A_2]$ is a partition of the columns of A , then $\lambda^A = [\lambda^{A_1}, \lambda^{A_2}]$.

2.2 Finite groups of diagonal matrices

Consider the group $\mathcal{Z} = \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}$. Throughout this paper we assume that the characteristic of \mathbb{K} does not divide $p = \text{lcm}(p_1, \dots, p_s)$. Furthermore we assume that \mathbb{K} contains a p th primitive root of unity ξ . Then \mathbb{K} also contains a p_i th primitive root of unity, which can be taken as $\xi_i = \xi^{\frac{p}{p_i}}$, for all $1 \leq i \leq s$.

An integer matrix $B \in \mathbb{Z}^{s \times n}$ defines an n -dimensional diagonal representation of this group given as

$$\begin{aligned} \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s} &\rightarrow \text{GL}_n(\mathbb{K}) \\ (m_1, \dots, m_s) &\mapsto \text{diag} \left((\xi_1^{m_1}, \dots, \xi_s^{m_s})^B \right). \end{aligned}$$

The image of the group morphism above is a subgroup \mathcal{D} of $\text{GL}_n(\mathbb{K})$. We shall speak of \mathcal{D} as the finite group of diagonal matrices defined by the *exponent matrix* $B \in \mathbb{Z}^{s \times n}$ and *order matrix* $P = \text{diag}(p_1, \dots, p_s) \in \mathbb{Z}^{s \times s}$.

Let \mathbb{U}_{p_i} be the group of the p_i th roots of unity. The group $\mathcal{Z} = \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}$ is isomorphic to the group $\mathcal{U} = \mathbb{U}_{p_1} \times \dots \times \mathbb{U}_{p_s}$, with an isomorphism given explicitly by $(m_1, \dots, m_s) \mapsto (\xi_1^{m_1}, \dots, \xi_s^{m_s})$. The group \mathcal{D} of diagonal matrices defined by an exponent matrix $B \in \mathbb{Z}^{s \times n}$ is also the image of the representation

$$\begin{aligned} \mathcal{U} &\rightarrow \text{GL}_n(\mathbb{K}) \\ \lambda &\mapsto \text{diag}(\lambda^B). \end{aligned}$$

The induced linear action of \mathcal{U} on \mathbb{K}^n is then conveniently noted

$$\begin{aligned} \mathcal{U} \times \mathbb{K}^n &\rightarrow \mathbb{K}^n \\ (\lambda, z) &\mapsto \lambda^B \star z. \end{aligned}$$

We shall alternatively use the two representations for convenience of notations. With the latter, one draws a clear analogy with [17, 18] where we dealt with the group $(\mathbb{K}^*)^r$ instead of \mathcal{U} . But now the i th row of B is to be understood modulo p_i . To elegantly account for that we introduce the *order matrix* $P = \text{diag}(p_1, \dots, p_s)$

Example 2.1 Let \mathcal{D} be the subgroup of $\text{GL}_3(\mathbb{K})$ generated by

$$I_\xi = \begin{bmatrix} \xi & & \\ & \xi & \\ & & \xi \end{bmatrix} \text{ and } M_\xi = \begin{bmatrix} \xi & & \\ & \xi^2 & \\ & & 1 \end{bmatrix}.$$

where $\xi^2 + \xi + 1 = 0$, that is, ξ is a primitive 3rd root of unity. \mathcal{D} is then the (diagonal matrix) group specified by $B = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \end{bmatrix}$ with order matrix $P = \begin{bmatrix} 3 & & \\ & 3 & \end{bmatrix}$. In other words \mathcal{D} is the image of the representation of $\mathbb{Z}_3 \times \mathbb{Z}_3$ explicitly given by

$$(m, n) \in \mathbb{Z}_2 \times \mathbb{Z}_3 \mapsto \begin{bmatrix} \xi^m \xi^n & & \\ & \xi^m \xi^{2n} & \\ & & \xi^m \end{bmatrix} \in \mathcal{D}.$$

Example 2.2 Let \mathcal{D} be the subgroup of $\text{GL}_3(\mathbb{K})$ generated by

$$I_\zeta = \begin{bmatrix} \zeta & & \\ & \zeta & \\ & & \zeta \end{bmatrix} \text{ and } M_\zeta = \begin{bmatrix} \xi & & \\ & \xi^2 & \\ & & 1 \end{bmatrix}.$$

where $\zeta + 1 = 0$ and $\xi^2 + \xi + 1 = 0$. \mathcal{D} is the (diagonal matrix) group specified by $B = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \end{bmatrix}$ with order matrix $P = \begin{bmatrix} 2 & & \\ & 3 & \end{bmatrix}$. In other words \mathcal{D} is the image of the representation of $\mathbb{Z}_2 \times \mathbb{Z}_3$ explicitly given by

$$(m, n) \in \mathbb{Z}_2 \times \mathbb{Z}_3 \mapsto \begin{bmatrix} \zeta^m \xi^n & & \\ & \zeta^m \xi^{2n} & \\ & & \zeta^m \end{bmatrix} \in \mathcal{D}.$$

Obviously $\mathbb{Z}_2 \times \mathbb{Z}_3$ is isomorphic to \mathbb{Z}_6 and \mathcal{D} is also obtained as the image of the representation

$$k \in \mathbb{Z}_6 \mapsto \begin{bmatrix} \eta^k & & \\ & \eta^{-k} & \\ & & \eta^{3k} \end{bmatrix} \in \mathcal{D}$$

where $\eta = \zeta\xi$ is a primitive 6th root of unity. Thus \mathcal{D} is also specified by $B = \begin{bmatrix} 1 & -1 & 3 \end{bmatrix}$ with order matrix $P = \begin{bmatrix} 6 \end{bmatrix}$.

Just as in the example above, any finite abelian group is isomorphic to $\mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}$ where $p_1 | p_2 | \dots | p_s$ [31]. In this article we do not enforce this canonical divisibility condition. It nonetheless appears naturally when we look for the group of homogeneity of a set of rational functions in Section 6. We shall then see how to *normalize* the group and actually find an equivalent faithful representation.

2.3 Integer linear algebra

Every $s \times (n+s)$ integer matrix can be transformed via integer column operations to obtain a unique *column Hermite form* [30]. In the case of a full rank matrix the Hermite normal form is an upper triangular matrix with positive nonzero entries on the diagonal, nonnegative entries in the rest of the first s columns and zeros in the last n columns. Furthermore the diagonal entries are bigger than the corresponding entries in each row.

The column operations for constructing a Hermite normal form are encoded in unimodular matrices, that is, invertible integer matrices whose inverses are also integer matrices. Thus for each $\hat{B} \in \mathbb{Z}^{s \times (n+s)}$ there exists a unimodular matrix $V \in \mathbb{Z}^{(n+s) \times (n+s)}$ such that $\hat{B}V$ is in Hermite normal form. In this paper the unimodular multiplier plays a bigger role than the Hermite form itself. For ease of presentation a unimodular matrix V such that $\hat{B}V$ is in Hermite normal form will be referred to as a *Hermite multiplier* for \hat{B} .

We consider the group \mathcal{D} of diagonal matrices determined by the exponent matrix $B \in \mathbb{Z}^{s \times n}$ and the order matrix $P \in \mathbb{Z}^{s \times s}$. Consider the Hermite normal form

$$[B \quad -P]V = [H \quad 0]$$

with $H \in \mathbb{Z}^{s \times s}$ and a Hermite multiplier V partitioned as

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} \quad (1)$$

with $V_i \in \mathbb{Z}^{n \times s}$, $V_n \in \mathbb{Z}^{n \times n}$, $P_i \in \mathbb{Z}^{s \times s}$, $P_n \in \mathbb{Z}^{s \times n}$. Breaking the inverse of V into the following blocks

$$V^{-1} = W = \begin{bmatrix} W_u & P_u \\ W_\mathfrak{d} & P_\mathfrak{d} \end{bmatrix} \quad (2)$$

where $W_u \in \mathbb{Z}^{s \times n}$, $W_\mathfrak{d} \in \mathbb{Z}^{n \times n}$, $P_u \in \mathbb{Z}^{s \times s}$, $P_\mathfrak{d} \in \mathbb{Z}^{n \times s}$ we then have the identities

$$V_i W_u + V_n W_\mathfrak{d} = I_n, \quad V_i P_u + V_n P_\mathfrak{d} = 0, \quad P_i W_u + P_n W_\mathfrak{d} = 0, \quad P_i P_u + P_n P_\mathfrak{d} = 0$$

and

$$W_u V_i + P_u P_i = I, \quad W_u V_n + P_n P_\mathfrak{d} = 0, \quad W_\mathfrak{d} V_i + P_\mathfrak{d} P_i = 0, \quad W_\mathfrak{d} V_n + P_\mathfrak{d} P_n = I.$$

Furthermore

$$B V_i - P P_i = H, \quad B V_n - P P_n = 0, \quad B = H W_u \quad \text{and} \quad P = -H P_u.$$

From the last equality we see that P_u is upper triangular and the i th diagonal entry of H divides p_i .

The indices were chosen in analogy to [17, 18]. The index i and n stand respectively for *image* and *nullspace*, while u and \mathfrak{d} stand respectively for *up* and *down*.

Example 2.3 Let $B \in \mathbb{Z}^{2 \times 3}$ and $P = \text{diag}(3, 3)$ be the exponent and order matrices that defined the group of diagonal matrices in Example 2.1. In this case $[B \quad -P]$ has Hermite form $[I_2 \quad 0]$ with Hermite multiplier

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} = \left[\begin{array}{cc|cc} 0 & 1 & 1 & 2 & -2 \\ 0 & 3 & -2 & 2 & 1 \\ 1 & -1 & 1 & -1 & 1 \\ \hline 0 & 1 & 0 & 1 & 0 \\ 0 & 2 & -1 & 2 & 0 \end{array} \right] \quad \text{and inverse } W = \begin{bmatrix} W_u & P_u \\ W_\mathfrak{d} & P_\mathfrak{d} \end{bmatrix} = \left[\begin{array}{ccc|cc} 1 & 1 & 1 & -3 & 0 \\ 1 & 2 & 0 & 0 & -3 \\ \hline 0 & 0 & 0 & 2 & -1 \\ -1 & -2 & 0 & 1 & 3 \\ -1 & -1 & 0 & 2 & 1 \end{array} \right].$$

The Hermite multiplier is not unique. For example in this case a second set of unimodular multipliers satisfying $[B \quad -P]V = [I_2 \quad 0]$ and $W = V^{-1}$ are given by

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} = \left[\begin{array}{ccc|ccc} 2 & -1 & 3 & 0 & 1 \\ 1 & 1 & 0 & 3 & 1 \\ 0 & 0 & 0 & 0 & 1 \\ \hline 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 2 & 1 \end{array} \right], \quad W = \begin{bmatrix} W_u & P_u \\ W_\mathfrak{d} & P_\mathfrak{d} \end{bmatrix} = \left[\begin{array}{ccc|cc} 1 & 1 & 1 & -3 & 0 \\ 0 & 1 & 2 & 0 & -3 \\ \hline 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & -1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \end{array} \right].$$

As noted in Example 2.3, Hermite multipliers are not unique. Indeed any column operations on the last n columns leaves the Hermite form intact. Similarly one can use any of the last n columns to eliminate entries in the first s columns without affecting the Hermite form. We say V is a *normalized Hermite multiplier* if it is a Hermite multiplier where V_n is also in Hermite form and where V_i is reduced with respect to the columns of V_n .

Lemma 2.4 *We can always choose a Hermite multiplier*

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix}$$

for $[B \ -P]$ such that

$$\begin{bmatrix} 0 & I_n \\ -P & B \end{bmatrix} \cdot \begin{bmatrix} P_n & P_i \\ V_n & V_i \end{bmatrix} = \begin{bmatrix} V_n & V_i \\ 0 & H \end{bmatrix} \quad (3)$$

is in column Hermite form. Then V is the normalized Hermite multiplier for $[B \ -P]$.

Taking determinants on both sides of Equation (3) combined with the fact that diagonal entries of a Hermite form are positive gives the following corollary.

Corollary 2.5 *Let V be the normalized Hermite multiplier for $[B \ -P]$ with Hermite form $[H \ 0]$. Then V_n is nonsingular and*

$$p_1 \cdot p_2 \cdots p_s = \det(H) \cdot \det(V_n). \quad (4)$$

The uniqueness of V_n in the normalized Hermite multiplier is guaranteed by the uniqueness of the Hermite form for full rank square matrices. While the notion of normalized Hermite multiplier appears to only involve V_i and V_n and does not say anything about P_i nor P_n it is the additional fact that V is a Hermite multiplier that ensures uniqueness.

Lemma 2.4 also tells us about the cost of finding a normalized Hermite form. Indeed the cost is $O((n+s)^4d)$ where d is the size of the largest p_i (c.f. [32, 33]). Furthermore, since V is produced from column operations the W matrix can be computed simultaneously with minimal cost by the inverse column operations.

It will also be useful later on to have a formula for the inverse of V_n .

Lemma 2.6 *With V and W partitioned as (1) and (2) we have that*

$$V_n^{-1} = W_\delta - P_\delta P^{-1} B = W_\delta - P_\delta P_u^{-1} W_u.$$

PROOF: We show first that $(W_\delta - P_\delta P^{-1} B)V_n = I_n$. From $WV = I_{n+s}$ we deduce $W_\delta V_n + P_\delta P_n = I_n$, while from $[B \ -P] V = [H \ 0]$ we deduce $BV_n = P P_n$. Hence $(W_\delta - P_\delta P^{-1} B)V_n = I_n$.

Consider now the equality $[B \ -P] = [H \ 0] W$. This implies $B = H W_u$ and $P = -H P_u$. Since H is nonsingular, so is P_u . Hence $B = -P P_u^{-1} W_u$ so that $P^{-1} B = -P_u^{-1} W_u$. \square

Since we can compute V and its inverse W simultaneously, the formula in Lemma 2.6 for the inverse of V_n has the advantage that it requires only the inversion of the $s \times s$ diagonal matrix P . As a side remark, note that the equality

$$\begin{bmatrix} W_u & P_u \\ W_\delta & P_\delta \end{bmatrix} \begin{bmatrix} I & 0 \\ -P_u^{-1} W_u & P_u^{-1} \end{bmatrix} = \begin{bmatrix} 0 & I \\ W_\delta - P_\delta P_u^{-1} W_u & P_\delta P_u^{-1} \end{bmatrix} \quad (5)$$

implies that the inverse of V_n is in fact the Schur complement of P_u in the matrix

$$W = \begin{bmatrix} W_u & P_u \\ W_\delta & P_\delta \end{bmatrix}.$$

The Schur complement in this case describes the column operations that eliminate the top left matrix in W .

3 Invariants of finite groups of diagonal matrices

We consider $B \in \mathbb{Z}^{s \times n}$ a full row rank matrix, $P = \text{diag}(p_1, \dots, p_s)$, where $p_i \in \mathbb{N}$, and \mathbb{K} a field whose characteristic does not divide $p = \text{lcm}(p_1, \dots, p_s)$. In addition we assume that \mathbb{K} contains a p th primitive root of unity. The pair (B, P) thus defines a finite group \mathcal{D} of diagonal matrices that can be seen as a n -dimensional representation of $\mathcal{U} = \mathbb{U}_{p_1} \times \dots \times \mathbb{U}_{p_s}$, where \mathbb{U}_{p_i} is the group of p_i th roots of unity. With the matrix notations introduced in Section 2, the induced linear action is given as

$$\begin{aligned} \mathcal{U} \times \mathbb{K}^n &\rightarrow \mathbb{K}^n \\ (\lambda, z) &\mapsto \lambda^B \star z. \end{aligned}$$

A *rational invariant* is an element f of $\mathbb{K}(z)$ such that $f(\lambda^B \star z) = f(z)$ for all $\lambda \in \mathcal{U}$. Rational invariants form a subfield $\mathbb{K}(z)^{\mathcal{D}}$ of $\mathbb{K}(z)$. In this section we show how a Hermite multiplier V of $[B \ -P]$ provides a complete description of the field of rational invariants. Indeed we will show that the matrix V along with its inverse W provide both a generating set of rational invariants and a simple rewriting of any invariant in terms of this generating set. In a second stage we exhibit a generating set that consists of a triangular set of monomials with nonnegative powers for which we can bound the degrees. This leads us to also discuss the invariant polynomial ring.

3.1 Generating invariants and rewriting

We recall our notations for the Hermite form introduced in the previous section :

$$[B \ -P] V = [H \ 0]$$

with a Hermite multiplier V and its inverse W partitioned as

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix}, \quad W = \begin{bmatrix} W_u & P_u \\ W_d & P_d \end{bmatrix}.$$

A Laurent monomial z^v , $v \in \mathbb{Z}^n$, is invariant if $(\lambda^B \star z)^v = z^v$ for any $\lambda \in \mathcal{U}$. This amounts to $\lambda^{Bv} = 1$, for all $\lambda \in \mathcal{U}$. When we considered in [17, 18] the action of $(\mathbb{K}^*)^r$ determined by $A \in \mathbb{Z}^{r \times n}$ then z^v was invariant if and only if $Av = 0$. In the present case we have:

Proposition 3.1 *For $v \in \mathbb{Z}^n$, the Laurent monomial z^v is invariant if and only if $v \in \text{colspan}_{\mathbb{Z}} V_n$.*

PROOF: Assume z^v is invariant. Then $Bv = 0 \pmod{t(p_1, \dots, p_s)}$, that is, there exists $k \in \mathbb{Z}^s$ such that $\begin{bmatrix} v \\ k \end{bmatrix} \in \ker_{\mathbb{Z}} [B \ -P] = \text{colspan}_{\mathbb{Z}} \begin{bmatrix} V_n \\ P_n \end{bmatrix}$. Hence $v \in \text{colspan}_{\mathbb{Z}} V_n$. Conversely if $v \in \text{colspan}_{\mathbb{Z}} V_n$ there exists $u \in \mathbb{Z}^n$ such that $v = V_n u$. Since $BV_n = PP_n$ we have $Bv = Pk$ for $k = P_n u \in \mathbb{Z}^s$. Thus z^v is invariant. \square

The following lemma shows that rational invariants of a diagonal action can be written as a rational function of invariant Laurent monomials. This can be proved by specializing more general results on generating sets of rational invariants and the multiplicative groups of monomials [29]. We choose to present this simple and direct proof as it guides us when building a group of symmetry for a set of polynomials of rational functions in Section 6.

Lemma 3.2 *Suppose $\frac{p}{q} \in \mathbb{K}(z)^{\mathcal{D}}$, with $p, q \in \mathbb{K}[z]$ relatively prime. Then there exists $u \in \mathbb{Z}^n$ such that*

$$p(z) = \sum_{v \in \text{colspan}_{\mathbb{Z}} V_n} a_v z^{u+v} \quad \text{and} \quad q(z) = \sum_{v \in \text{colspan}_{\mathbb{Z}} V_n} b_v z^{u+v}$$

where the families of coefficients, $(a_v)_v$ and $(b_v)_v$, have finite support.³

³In particular $a_v = 0$ (respectively $b_v = 0$) when $u + v \notin \mathbb{N}^n$.

PROOF: We take advantage of the more general fact that rational invariants of a linear action on \mathbb{K}^n are quotients of semi-invariants. Indeed, if p/q is a rational invariant, then

$$p(z) q(\lambda^B \star z) = p(\lambda^B \star z) q(z)$$

in $\mathbb{K}(\lambda)[z]$. As p and q are relatively prime, $p(z)$ divides $p(\lambda^B \star z)$ and, since these two polynomials have the same degree, there exists $\chi(\lambda) \in \mathbb{K}$ such that $p(\lambda^B \star z) = \chi(\lambda) p(z)$. It then also follows that $q(\lambda^B \star z) = \chi(\lambda) q(z)$.

Let us now look at the specific case of a diagonal action. Then

$$p(z) = \sum_{w \in \mathbb{Z}^n} a_w z^w \quad \Rightarrow \quad p(\lambda^B \star z) = \sum_{w \in \mathbb{Z}^n} a_w \lambda^{Bw} z^w.$$

For $p(\lambda^B \star z)$ to factor as $\chi(\lambda)p(z)$ we must have $\lambda^{Bw} = \lambda^{Bu}$ for any two vectors $u, w \in \mathbb{Z}^n$ with a_w and a_u in the support of p . Let us fix u . Then using the same argument as in Theorem 3.1 we have $w - u \in \text{colspan}_{\mathbb{Z}} V_n$ and $\chi(\lambda) = \lambda^{Bu}$. From the previous paragraph we have $\sum_{w \in \mathbb{Z}^n} b_w \lambda^{Bw} z^w = q(\lambda^B \star z) = \lambda^{Bu} q(z) = \lambda^{Bu} \sum_{w \in \mathbb{Z}^n} b_w z^w$. Thus $Bu = Bw$ and therefore there exists $v \in \text{colspan}_{\mathbb{Z}} V_n$ such that $w = u + v$ for all w with b_w in the support of q . \square

Lemma 3.3 For $v \in \text{colspan}_{\mathbb{Z}}(V_n)$ we have $v = V_n (W_{\mathfrak{d}} - P_{\mathfrak{d}} P^{-1} B) v$.

PROOF: The result follows directly from Lemma 2.6. \square

Theorem 3.4 The n components of $g = z^{V_n}$ form a minimal generating set of invariants. Furthermore, if $f \in \mathbb{K}(z_1, \dots, z_n)$ is a rational invariant then

$$f(z) = f\left(g^{(W_{\mathfrak{d}} - P_{\mathfrak{d}} P^{-1} B)}\right)$$

can be reorganized as a rational function of (g_1, \dots, g_n) - meaning that the fractional powers disappear.

PROOF: The result follows directly from the representation of the rational invariants in Lemma 3.2 combined with the identity given in Lemma 3.3. \square

We therefore retrieve in a constructive way the fact that $\mathbb{K}(z)^{\mathcal{D}}$ is rational. The rationality of the field of invariants of a diagonal representation was established in [8] by observing that the monomial invariants formed a subgroup of the free abelian group of Laurent monomials. Monomial invariants thus form a free group. Rationality of the field of invariants was also proved for more general classes of actions [23, 20, 3], [29, Section 2.9].

Example 3.5 Consider the 3 polynomials in $\mathbb{K}[z_1, z_2, z_3]$ given by

$$f_1 = 3z_1z_2 + 3z_3 - 3z_3^2 + 12, \quad f_2 = -3z_1z_2 + 3z_3^2 - 15, \quad f_3 = z_1^3 + z_2^3 + z_3^3 - 3z_1z_2z_3 - 13.$$

They are invariants for the group of diagonal matrices defined by the exponent matrix $B = [1 \ 2 \ 0]$ and order matrix $P = [3]$. We then obtain

$$[B \ -P] \cdot \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} = [1 \ 0 \ 0 \ 0]$$

with

$$\begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} = \left[\begin{array}{ccc|ccc} 1 & 2 & 1 & 0 & & \\ 0 & -1 & 1 & 0 & & \\ -1 & -1 & 0 & 1 & & \\ \hline 0 & 0 & 1 & 0 & & \end{array} \right] \quad \text{and inverse} \quad \begin{bmatrix} W_u & P_u \\ W_{\mathfrak{d}} & P_{\mathfrak{d}} \end{bmatrix} = \left[\begin{array}{ccc|c} 1 & 2 & 0 & -3 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 \\ \hline 1 & 1 & 1 & -2 \end{array} \right].$$

Thus a generating set of rational invariants is given by

$$g_1 = \frac{z_1^2}{z_2 z_3}, \quad g_2 = z_1 z_2, \quad g_3 = z_3$$

and a set of rewrite rules is given by

$$(z_1, z_2, z_3) \rightarrow \left(g_1^{1/3} g_2^{1/3} g_3^{1/3}, \frac{g_2^{2/3}}{g_1^{1/3} g_3^{1/3}}, g_3 \right).$$

In this case one can rewrite the polynomials f_1 , f_2 and f_3 in terms of the three generating invariants as

$$f_1 = 3g_2 + 3g_3 - 3g_2^2 + 12, \quad f_2 = -3g_2 + 3g_3^2 - 15, \quad f_3 = g_1 g_2 g_3 + \frac{g_2^2}{g_1 g_3} + g_3^3 - 3g_2 g_3 - 13.$$

3.2 Polynomial generators

Just as a Hermite multiplier is not unique, the set of generating rational invariants is not canonical. For each order of the variables (z_1, \dots, z_n) there is nonetheless a generating set with desirable features. This leads us to discuss polynomial invariants.

Theorem 3.6 *There is a minimal generating set of invariants that consists of a triangular set of monomials with nonnegative powers, that is, of the form*

$$\left\{ z_1^{m_1}, z_1^{v_{1,2}} z_2^{m_2}, \dots, z_1^{v_{1,n}} \dots z_{n-1}^{v_{n-1,n}} z_n^{m_n} \right\}, \quad \text{where } 0 \leq v_{i,j} < m_i \text{ for all } i < j. \quad (6)$$

More specifically this set of generators is given by z^{V_n} where V_n is the right upper block in the normalized Hermite multiplier for $[B - P]$. Hence the exponents m_i satisfy

$$m_1 \dots m_n = \frac{p_1 \dots p_s}{\det H}. \quad (7)$$

PROOF: From Lemma 2.4 there exists a normalized Hermite multiplier V for $[B - P]$. Equation (6) then follows since V_n is in Hermite form. The second identity, equation (7) then follows from Corollary 2.5 since $p_1 \cdot p_2 \cdot \dots \cdot p_s = \det(H) \cdot \prod_{i=1}^n m_i$. \square

The existence of a minimal generating set consisting of polynomials was already known in [4]. There the existence proof proceeds recursively so that the triangular shape of such a generating set was already established also. The above approach provides a more direct proof with the great benefit of being constructive.

The total degree of the j th monomial is at most $\sum_{j=1}^n (m_j - j + 1) \leq \frac{\prod_{i=1}^s p_i}{\det H}$. When $\det H = 1$ we thus do not improve on Noether's bound. Example 3.10 actually shows that this bound can be reached.

Example 3.7 *For the integer matrices $B \in \mathbb{Z}^{1 \times 3}$ and $P = [3]$ from Example 3.5 we determine the normalized Hermite multiplier as*

$$\begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} = \left[\begin{array}{ccc|ccc} 1 & 3 & 1 & 0 & & \\ 0 & 0 & 1 & 0 & & \\ 0 & 0 & 0 & 1 & & \\ 0 & 1 & 1 & 0 & & \end{array} \right] \text{ and inverse } \begin{bmatrix} W_u & P_u \\ W_\mathfrak{d} & P_\mathfrak{d} \end{bmatrix} = \left[\begin{array}{ccc|c} 1 & 2 & 0 & -3 \\ 0 & -1 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{array} \right].$$

Thus a generating set of polynomial invariants is given by the triangular set

$$g_1 = z_1^3, \quad g_2 = z_1 z_2, \quad g_3 = z_3$$

and a set of rewrite rules is given by

$$(z_1, z_2, z_3) \rightarrow (g_1^{1/3}, \frac{g_2}{g_1^{1/3}}, g_3).$$

In this case one can rewrite the polynomials f_1, f_2 and f_3 in terms of the three generating invariants as

$$f_1 = 3g_2 + 3g_3 - 3g_3^2 + 12, \quad f_2 = -3g_2 + 3g_3^2 - 15, \quad f_3 = g_1 + \frac{g_2^3}{g_1} + g_3^3 - 3g_2g_3 - 13.$$

Note that Theorem 3.4 does not imply that we have a generating set for the ring of polynomial invariants $\mathbb{K}[z]^{\mathcal{D}}$. It only implies that we can rewrite any polynomial invariant as a Laurent polynomial in the (polynomial) generators of $\mathbb{K}(z)^{\mathcal{D}}$ provided by Theorem 3.6.

If we wish to obtain generators for $\mathbb{K}[z]^{\mathcal{D}}$, there are several algorithms, but, to our knowledge, none that would provide simultaneously rewrite rules. First, the computation of a generating set of polynomial invariants in the present situation can be directly obtained from a simply described Hilbert basis for $\ker[B - P] \cap \mathbb{N}^n$ [35, Corollary 2.7.4]. We can also apply the general algorithm for reductive groups [5, Algorithm 4.1.9]. The ideal involved is, in this case, binomial and the step that involves the Reynold operator can be omitted.

In one round of linear algebra, we obtain here an algebraically independent set of polynomial invariants. They are unfortunately not primary but they can serve as input for the very general algorithm based on Molien's series for completion into a fundamental set for $\mathbb{K}[z]^{\mathcal{D}}$ (see for instance [35, Algorithm 2.2.5] or [5, Algorithm 2.6.1]). We also have additional information from the rewrite rules so that the following strategy should prove more efficient, as well as easy to implement. Let $h \in \mathbb{K}[x]^{\mathcal{D}}$ be the product of the generators g_i that appear with a negative power in the rewrite rules. Then Theorem 3.4 implies that the localization $\mathbb{K}[x]_h^{\mathcal{D}}$ is equal to $\mathbb{K}[h^{-1}, g_1, \dots, g_n]$. We can thus apply [5, Section 4.2.1] in a straight forward manner to obtain the following result.

Theorem 3.8 *Let $h = \prod_{i \in I} g_i \in \mathbb{K}[x]^{\mathcal{D}}$, where I is the set of indices of the rows of $W_{\mathfrak{d}} - P_{\mathfrak{d}}P^{-1}B$ that contain a negative entry. If Q is a set of generators for the ideal $(g_1(z) - g_1(x), \dots, g_n(z) - g_n(x)) : h(z)^{\infty} \subset \mathbb{K}[z, x]$ then $\{q(z, 0) \mid q \in Q\}$ is a fundamental set for $\mathbb{K}[z]^G$.*

The set Q can be obtained by computing a Gröbner basis for $(h(z)w - 1, g_1(z) - g_1(x), \dots, g_n(z) - g_n(x))$ with a term order that eliminates w . This ideal is binomial, a case where Gröbner basis computations are rather efficient. Yet, as we shall see in Example 3.10, the output can be combinatorially large.

Example 3.9 *Continuing with Example 3.7, we can obtain generators for $\mathbb{K}[z]^{\mathcal{D}}$ as follows. The set of generators for $\mathbb{K}(z)^{\mathcal{D}}$ is $\{z_1^3, z_1z_2, z_3\}$ and the denominators in the rewrite rules only involve powers of $g_1 = z_1^3$. We shall thus consider the Gröbner basis Q for*

$$(z_1^3 - x_1^3, z_1z_2 - x_1x_2, z_3 - x_3, z_1^3w - 1) \cap \mathbb{K}[z, x].$$

For instance if we take the graded reverse lexicographic order with $z_1 > z_2 > z_3 > x_1 > x_2 > x_3$ we obtain

$$Q = \{z_3 - x_3, z_1z_2 - x_1x_2, z_2x_1^2 - x_2z_1^2, z_2^2x_1 - x_2^2z_1, z_2^3 - x_2^3, z_1^3 - x_1^3\}.$$

Substituting x_1, x_2, x_3 by 0, the remaining nonzero elements are the monomials $\{z_1^3, z_1z_2, z_3, z_2^3\}$. They form a generating set for $\mathbb{K}[z]^{\mathcal{D}}$.

3.3 Additional examples

Example 3.10 *Consider the subgroup \mathcal{D} of $GL_n(\mathbb{K})$ generated by the single element*

$$\xi I_n = \begin{bmatrix} \xi & & \\ & \ddots & \\ & & \xi \end{bmatrix}, \tag{8}$$

where ξ is a primitive p th root of unity. \mathcal{D} is defined by the exponent matrix $B = [1 \ \dots \ 1] \in \mathbb{Z}^{1 \times n}$ and order matrix $P = [p]$. The normalized Hermite multiplier of $[B \ -P]$ is then

$$V = \begin{bmatrix} 1 & p & p-1 & \dots & p-1 \\ & & 1 & & \\ & & & \ddots & \\ & & & & 1 \\ 0 & 1 & 1 & \dots & 1 \end{bmatrix} \quad \text{with inverse} \quad W = \begin{bmatrix} 1 & 1 & \dots & 1 & -p \\ 0 & -1 & \dots & -1 & 1 \\ & 1 & & & \\ & & \ddots & & \\ & & & & 1 \end{bmatrix}.$$

Hence

$$W_{\mathfrak{d}} - P_{\mathfrak{d}} P^{-1} B = \begin{bmatrix} \frac{1}{p} & -\frac{p-1}{p} & \dots & -\frac{p-1}{p} \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{bmatrix}.$$

The generating invariants of Theorem 3.4 are thus

$$g_1 = z_1^p, \text{ and } g_k = z_1^{p-1} z_k, \ 2 \leq k \leq n,$$

and the rewrite rules are

$$z_1 \rightarrow g_1^{\frac{1}{p}}, \text{ and } z_k \rightarrow \frac{g_k}{g_1^{\frac{p-1}{p}}}, \ 2 \leq k \leq n.$$

All the monomials of degree p are actually invariant. We can use those to demonstrate how the apparent fractional powers disappear under substitution. For $u \in \mathbb{N}^n$ such that $\sum_{i=1}^n u_i = p$, the rewrite rules imply

$$z_1^{u_1} z_2^{u_2} \dots z_n^{u_n} = g_1^{\frac{u_1}{p} - \frac{u_2(p-1)}{p} - \dots - \frac{u_n(p-1)}{p}} g_2^{u_2} \dots g_n^{u_n} = \frac{g_1 g_2^{u_2} \dots g_n^{u_n}}{g_1^{u_2 + \dots + u_n}}.$$

Though simple, this example is interesting as it shows the sharpness of Noether's bound for the generators of polynomial invariant rings [35, Proposition 2.15]. A minimal generating set of invariants for the algebra $\mathbb{K}[z]^{\mathcal{D}}$ consists of all monomials of degree p . This minimal generating set thus has $\binom{n+p-1}{n-1}$ elements.

This is in contrast with the set of n polynomial invariants g_i above that generate $\mathbb{K}(z)^{\mathcal{D}}$. From the rewrite rules we can furthermore infer that $\mathbb{K}[z]_{g_1}^{\mathcal{D}} = \mathbb{K}[g_1^{-1}, g_1, \dots, g_n]$.

Example 3.11 Consider the subgroup \mathcal{D} of $\text{GL}_n(\mathbb{K})$ generated by the single element

$$D_{\xi} = \begin{bmatrix} \xi & & & & \\ & \xi^2 & & & \\ & & \ddots & & \\ & & & \xi^{n-1} & \\ & & & & 1 \end{bmatrix} \quad (9)$$

where ξ is a primitive n th root of unity. \mathcal{D} is defined by the exponent matrix $B = [1 \ 2 \ \dots \ n-1 \ 0]$ with the order matrix $P = [n]$. This group is the diagonalization of the representation of the cyclic group of permutations examined in Example 4.2.

In order to obtain polynomial generators, we compute the normalized Hermite multiplier for $[B \ -P]$:

$$V = \left[\begin{array}{c|ccc|ccc} V_1 & V_n \\ \hline P_1 & P_n \end{array} \right] = \left[\begin{array}{c|cccc|cc} 1 & n & n-2 & \cdots & \cdots & 1 & 0 \\ 0 & 0 & 1 & 0 & \cdots & \cdots & 0 \\ 0 & 0 & 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & \vdots & \vdots & & \ddots & \ddots & 0 \\ \vdots & 0 & 0 & \cdots & \cdots & 0 & 1 \\ \hline 0 & 1 & 1 & \cdots & \cdots & 1 & 0 \end{array} \right].$$

By Theorem 3.4, a set of generating invariants of the diagonal action are thus $\{z_1^{n-k} z_k \mid 1 \leq k \leq n\}$. In order to obtain the rewrite rules one notices that the inverse of V is given by

$$W = \left[\begin{array}{cccc|cc|c} 1 & 2 & 3 & \cdots & n-1 & 0 & -n \\ 0 & -1 & -1 & \cdots & -1 & 0 & 1 \\ 0 & 1 & 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 & 0 \\ \vdots & & \ddots & \ddots & \ddots & \vdots & \vdots \\ \vdots & & & \ddots & 1 & 0 & \vdots \\ 0 & \cdots & \cdots & \cdots & 0 & 1 & 0 \end{array} \right]$$

and so

$$W_{\mathfrak{d}} - P_{\mathfrak{d}} P^{-1} B = \left[\begin{array}{cccc|cc} \frac{1}{n} & -\frac{n-2}{n} & \cdots & -\frac{1}{n} & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & 0 & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & 1 & 0 \\ 0 & 0 & \cdots & 0 & 1 \end{array} \right].$$

By Theorem 3.4, the set of rewrite rules is given by

$$z \rightarrow g^{W_{\mathfrak{d}} - P_{\mathfrak{d}} P^{-1} B} = \left(g_1^{\frac{1}{n}}, \frac{g_2}{g_1^{\frac{n-2}{n}}}, \dots, \frac{g_{n-1}}{g_1^{\frac{1}{n}}}, g_n \right), \quad \text{that is,} \quad z_k \rightarrow \frac{g_k}{g_1^{\frac{n-k}{n}}}, \quad 1 \leq k \leq n.$$

Example 3.12 Consider the subgroup \mathcal{D} of $\text{GL}_n(\mathbb{K})$ generated by

$$\xi I_n = \left[\begin{array}{cccc} \xi & & & \\ & \xi & & \\ & & \ddots & \\ & & & \xi \\ & & & & \xi \end{array} \right] \quad \text{and} \quad D_{\xi} = \left[\begin{array}{cccc} \xi & & & \\ & \xi^2 & & \\ & & \ddots & \\ & & & \xi^{n-1} \\ & & & & 1 \end{array} \right] \quad (10)$$

where ξ is a n th root of unity. The group \mathcal{D} is specified by the exponent matrix $B = \begin{bmatrix} 1 & 1 & 1 & \cdots & 1 & 1 \\ 1 & 2 & 3 & \cdots & n-1 & 0 \end{bmatrix}$ and the order matrix $P = \begin{bmatrix} n & 0 \\ 0 & n \end{bmatrix}$. The Hermite form of $[B, -P]$ is $[I_2, 0]$ and its normalized Hermite mul-

tiplier is

$$V = \left[\begin{array}{c|c} \frac{V_i}{P_i} & \frac{V_n}{P_n} \end{array} \right] = \left[\begin{array}{cc|cccccc|cc} 2 & -1 & n & 0 & 1 & 2 & \cdots & \cdots & n-3 & n-2 \\ -1 & 1 & 0 & n & n-2 & n-3 & \cdots & \cdots & 2 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & \cdots & & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & & & 0 & \\ \vdots & \vdots & & & & & \ddots & & \vdots & \vdots \\ \vdots & \vdots & & & & & & \ddots & \vdots & \vdots \\ \vdots & \vdots & & & & & & & 1 & 0 \\ \vdots & \vdots & & & & & & & & 1 \\ \hline 0 & 0 & 1 & 1 & 1 & \cdots & \cdots & & 1 & 1 \\ 0 & 0 & 1 & 2 & 2 & \cdots & \cdots & & 2 & 1 \end{array} \right]$$

with inverse

$$W = \left[\begin{array}{cc} W_u & P_u \\ W_\delta & P_\delta \end{array} \right] = \left[\begin{array}{cccccc|cc} 1 & 1 & 1 & \cdots & 1 & 1 & -n & 0 \\ 1 & 2 & 3 & \cdots & n-1 & 0 & 0 & -n \\ \hline 0 & 0 & 0 & \cdots & 0 & -1 & 2 & -1 \\ 0 & 0 & -1 & \cdots & -1 & -1 & -1 & 1 \\ 0 & 0 & 1 & 0 & \cdots & 0 & 0 & 0 \\ \vdots & \vdots & & 1 & & & \vdots & \vdots \\ \vdots & \vdots & & & \ddots & \vdots & \vdots & \\ \vdots & \vdots & & & & 1 & 0 & 0 \end{array} \right].$$

This gives a set of generating invariants as

$$g = z^{V_n} = (z_1^n, z_2^n, z_1 z_2^{n-2} z_3, z_1^2 z_2^{n-3} z_4, \dots, z_1^{n-3} z_2^2 z_{n-1}, z_1^{n-2} z_n),$$

that is, $g_1 = z_1^n$ and $g_k = z_1^{k-2} z_2^{n-k+1} z_k$ for $2 \leq k \leq n$. Since

$$W_\delta - P_\delta P^{-1} B = \left[\begin{array}{cccccc} \frac{1}{n} & 0 & \frac{-1}{n} & \cdots & \frac{-(n-3)}{n} & \frac{-(n-2)}{n} \\ 0 & \frac{1}{n} & \frac{2-n}{n} & \cdots & \frac{-2}{n} & \frac{-1}{n} \\ 0 & 0 & 1 & \cdots & 0 & 0 \\ & & & \ddots & & \vdots \\ & & & & \ddots & \vdots \\ & & & & & 1 \end{array} \right],$$

the rewrite rules are

$$z \rightarrow g^{W_\delta - P_\delta P^{-1} B} = \left(g_1^{\frac{1}{n}}, g_2^{\frac{1}{n}}, \frac{g_3}{g_1^{\frac{1}{n}} g_2^{\frac{n-2}{n}}}, \dots, \frac{g_{n-1}}{g_1^{\frac{n-3}{n}} g_2^{\frac{2}{n}}}, \frac{g_n}{g_1^{\frac{n-2}{n}} g_2^{\frac{1}{n}}} \right).$$

That is, $z_1 \rightarrow g_1^{\frac{1}{n}}$, $z_k \rightarrow \frac{g_k}{g_1^{\frac{k-2}{n}} g_2^{\frac{n-k+1}{n}}}$ for $2 \leq k \leq n-1$ and $z_n \rightarrow \frac{g_n}{g_2^{\frac{1}{n}}}$.

4 Invariants of finite abelian groups of matrices

In the non modular case, any representations of finite abelian groups can be diagonalized so that we can apply the results described so far. In this section we illustrate such a diagonalization process and work out some relevant examples.

Consider \mathcal{G} a finite abelian subgroup of $\mathrm{GL}_n(\mathbb{K})$ of order p . Assume that the characteristic of \mathbb{K} does not divide p and that \mathbb{K} contains a primitive p th root of unity. Let $G_1, \dots, G_s \in \mathrm{GL}_n(\mathbb{K})$ be a set of generators for \mathcal{G} whose respective orders are p_1, \dots, p_s . Then \mathcal{G} is the image of the representation

$$\begin{aligned} \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s} &\rightarrow \mathrm{GL}_n(\mathbb{K}) \\ (m_1, \dots, m_s) &\mapsto G_1^{m_1} \dots G_s^{m_s} . \end{aligned}$$

For any element G of \mathcal{G} we have $G^p = I_n$. The minimal polynomial of G thus has only simple factors. Therefore G is diagonalizable and the eigenvalues of G are p -th roots of unity. Since the elements of \mathcal{G} commute, they are simultaneously diagonalizable [11] : there exists an invertible matrix Ξ with entries in \mathbb{K} such that $\Xi^{-1} \cdot G \cdot \Xi$ is diagonal for all $G \in \mathcal{G}$. We introduce $\mathcal{D} = \Xi^{-1} \cdot \mathcal{G} \cdot \Xi$ the finite subgroup of diagonal matrices in $\mathrm{GL}_n(\mathbb{K})$ generated by $D_i = \Xi^{-1} \cdot G_i \cdot \Xi$, $1 \leq i \leq s$.

Proposition 4.1 *Take $f, g \in \mathbb{K}(z_1, \dots, z_n)$ with $f(\Xi z) = g(z) \Leftrightarrow f(z) = g(\Xi^{-1} z)$. Then g is invariant for \mathcal{D} if and only if f is an invariant for \mathcal{G} .*

As a consequence of Theorem 3.6, any n -dimensional representation of \mathcal{G} over \mathbb{K} admits a set of n polynomials in $\mathbb{K}[z]^\mathcal{G}$ as generators of the field $\mathbb{K}(z)^\mathcal{G}$ of rational invariants. We can furthermore compute the polynomial generators explicitly, as well as the rewrite rules, by first diagonalizing the representation of the group.

Example 4.2 *Let \mathcal{G} be the subgroup of $\mathrm{GL}_n(\mathbb{K})$ generated by the single element:*

$$M_\sigma = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \vdots \\ 0 & \dots & \dots & 0 & 1 \\ 1 & 0 & \dots & \dots & 0 \end{bmatrix} . \tag{11}$$

\mathcal{G} is the natural linear representation of the cyclic group of permutations $(n, n-1, \dots, 1)$.

The following n polynomials generate the field of rational invariants:

$$g_k = \left(\sum_{i=1}^n \frac{z_i}{\xi^i} \right)^{n-k} \left(\sum_{i=1}^n \frac{z_i}{\xi^{ki}} \right), \quad 1 \leq k \leq n$$

where ξ is a primitive n^{th} root of unity. In the case of $n = 3$, for instance, these invariants are

$$\begin{aligned} g_1 &= z_1^3 + z_2^3 + z_3^3 - 3(z_1^2 z_2 + z_2^2 z_3 + z_3^2 z_1) + 6 z_1 z_2 z_3 + 3 \xi (z_1 - z_2)(z_2 - z_3)(z_3 - z_1), \\ g_2 &= z_1^2 + z_2^2 + z_3^2 - z_1 z_2 - z_2 z_3 - z_3 z_1, \\ g_3 &= z_1 + z_2 + z_3 \end{aligned}$$

Furthermore, any rational invariants of \mathcal{G} can be written in terms of (g_1, \dots, g_n) with the following substitution.

$$\begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ \vdots \\ z_n \end{pmatrix} \rightarrow \Xi(\xi)^{-1} \begin{pmatrix} g_1^{\frac{1}{n}} \\ g_2 g_1^{\frac{2-n}{n}} \\ \vdots \\ g_{n-1} g_1^{\frac{-1}{n}} \\ g_n \end{pmatrix}$$

where

$$\Xi(\xi) = (\xi^{ij})_{1 \leq i, j \leq n} = \begin{bmatrix} \xi & \xi^2 & \dots & \xi^{n-1} & 1 \\ \xi^2 & \xi^4 & \dots & \xi^{2(n-1)} & 1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \xi^{n-1} & \xi^{2(n-1)} & \dots & \xi^{(n-1)(n-1)} & 1 \\ 1 & 1 & \dots & 1 & 1 \end{bmatrix} \quad (12)$$

and $\Xi(\xi)^{-1} = \frac{1}{n} \Xi(\xi^{-1})$. Indeed M_σ is the companion matrix of the polynomial $\lambda^n - 1$. Therefore the eigenvalues of M_σ are the n -th roots of unity. If ξ is a primitive n -th root then a matrix of eigenvectors is given by $\Xi(\xi)$ above. Hence

$$\mathcal{G} = \left\{ \Xi \operatorname{diag}(\xi, \dots, \xi^{n-1}, 1)^\ell \Xi^{-1}, \ell = 0, \dots, n-1 \right\}.$$

The underlying group of diagonal matrices was examined in Example 3.11.

Example 4.3 Let \mathcal{G} be the subgroup of $\operatorname{GL}_n(\mathbb{K})$ generated by the matrices

$$\xi I_n = \begin{bmatrix} \xi & & & & \\ & \xi & & & \\ & & \ddots & & \\ & & & \xi & \\ & & & & \xi \end{bmatrix} \quad \text{and} \quad M_\sigma = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & & \ddots & \ddots & \vdots \\ 0 & \dots & \dots & 0 & 1 \\ 1 & 0 & \dots & \dots & 0 \end{bmatrix} \quad (13)$$

where ξ is a primitive n th root of unity. We consider its obvious linear action on \mathbb{K}^n . The following n polynomials generate the field of rational invariants:

$$g_1 = \left(\sum_{i=1}^n \frac{z_i}{\xi^i} \right)^n \quad \text{and} \quad g_k = \left(\sum_{i=1}^n \frac{z_i}{\xi^i} \right)^{k-2} \left(\sum_{i=1}^n \frac{z_i}{\xi^{2i}} \right)^{n-k+1} \left(\sum_{i=1}^n \frac{z_i}{\xi^{ki}} \right), \quad 2 \leq k \leq n.$$

Furthermore, any rational invariants of \mathcal{G} can be written in terms of (g_1, \dots, g_n) with the following substitution.

$$\begin{pmatrix} z_1 \\ z_2 \\ z_3 \\ \vdots \\ z_n \end{pmatrix} \rightarrow \Xi(\xi)^{-1} \begin{pmatrix} g_1^{\frac{1}{n}} \\ g_2^{\frac{1}{n}} \\ \frac{g_3}{g_1^{\frac{1}{n}} g_2^{\frac{n-2}{n}}}, \\ \vdots \\ \frac{g_{n-1}}{g_1^{\frac{n-3}{n}} g_2^{\frac{2}{n}}}, \\ \frac{g_n}{g_1^{\frac{n-2}{n}} g_2^{\frac{1}{n}}} \end{pmatrix}$$

where $\Xi(\xi)$ is as in Example 4.2. Indeed, the group $\mathcal{D} = \Xi^{-1} \mathcal{G} \Xi$ is generated by the diagonal matrices $\operatorname{diag}(\xi, \xi, \dots, \xi)$ and $\operatorname{diag}(\xi, \dots, \xi^{n-1}, 1)$ and was considered in Example 3.12.

5 Solving invariant systems of polynomials

We adopt the assumptions of Section 3 regarding \mathbb{K} , $\mathcal{U} = \mathbb{U}_{p_1} \times \dots \times \mathbb{U}_{p_s}$, B and P . In addition let $\bar{\mathbb{K}}$ be an algebraically closed field extension of \mathbb{K} .

We consider a set of Laurent polynomials $F \subset \mathbb{K}[z, z^{-1}]$ and assume that its set of toric zeros is invariant by the linear (diagonal) action of \mathcal{U} defined by B . In other words we assume that if $z \in (\mathbb{K}^*)^n$ is such that $f(z) = 0$ for all $f \in F$ then $f(\lambda^B \star z) = 0$, for all $\lambda \in \mathcal{U}$ and $f \in F$.

We first show how to obtain an equivalent system of invariant Laurent polynomials. The strategy here partly follows [7, Section 3]. We then show how to find the toric zeros of a system of invariant Laurent polynomials through a *reduced* system of polynomials and a triangular set of binomials. Each solution of the reduced system determines an orbit of solutions of the original system. Each orbit is determined by values for the rational invariants. The elements in each orbit of solutions is then obtained by solving the binomial triangular set.

The question of an optimal method to solve the reduced system is not addressed in this paper. Given that we have to partially restrict to toric solutions, it would be natural to consider methods that deal with Laurent polynomials [28, 24].

The proposed strategy nonetheless extends to systems of polynomial equations whose solution set is invariant under a finite abelian group, as for instance cyclic permutations. We illustrate this with a relevant example.

5.1 Invariant systems of polynomials

We consider a set of Laurent polynomials $F \subset \mathbb{K}[z, z^{-1}]$ and assume that its set of toric zeros is invariant under the n -dimensional diagonal representation defined by the exponent matrix $B \in \mathbb{Z}^{s \times n}$, and the order matrix $P = \text{diag}(p_1, \dots, p_s)$. In other words, if $z \in (\mathbb{K}^*)^n$ is such that $f(z) = 0, \forall f \in F$, then $f(\lambda^B \star z) = 0, \forall f \in F$ and $\forall \lambda \in \mathcal{U} = \mathbb{U}_{p_1} \times \dots \times \mathbb{U}_{p_s}$.

Definition 5.1 *The (B, P) -degree of a monomial $z^u = z_1^{u_1} \dots z_n^{u_n}$ defined by $u \in \mathbb{Z}^n$ is the element of $\mathcal{Z} = \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}$ given by $Bu \bmod {}^t(p_1, \dots, p_s)$.*

A Laurent polynomial $f \in \mathbb{K}[z, z^{-1}]$ is (B, P) -homogeneous of (B, P) -degree $d \in \mathcal{Z}$ if all the monomials of its support are of (B, P) -degree d .

A Laurent polynomial $f \in \mathbb{K}[z, z^{-1}]$ can be written as the sum $f = \sum_{d \in \mathcal{Z}} f_d$ where f_d is (B, P) -homogeneous of (B, P) -degree d . The Laurent polynomials f_d are the (B, P) -homogeneous components of f .

The following proposition shows that our simple definition of (B, P) -degree matches the notion of \mathcal{Z} -degree in [7, Section 3.1].

Proposition 5.2 *$f \in \mathbb{K}[z, z^{-1}]$ is (B, P) -homogeneous of (B, P) -degree d if and only if $f(\lambda^B \star z) = \lambda^d f$ for all $\lambda \in \mathcal{U}$.*

PROOF: Consider a monomial z^u of (B, P) -degree d , that is, $Bu = d \bmod (p_1, \dots, p_s)$. Then $(\lambda^B \star z)^u = \lambda^{Bu} z^u = \lambda^d z^u$.

Conversely $f(\lambda^B \star z)^u = \lambda^d f$ implies that all the monomials z^u in f are such that $(\lambda^B \star z)^u = \lambda^d z^u$. Hence $Bu = d \bmod {}^t(p_1, \dots, p_s)$. \square

A question raised in [7] is whether there are monomials of any given (B, P) -degree. If the Hermite normal form of $\begin{bmatrix} B & -P \end{bmatrix}$ is $\begin{bmatrix} I_s & 0 \end{bmatrix}$ then for any $d \in \mathcal{Z}$ we can find monomials of (B, P) -degree d . These are the $z^{u+V_n v}$ where $u = V_1 d$ and $v \in \mathbb{Z}^n$. In this section we do not make this assumption as we assume the group representation given. Yet in Section 6 we show how to obtain a pair of matrices of exponents and orders (C, Q) that define the same group of diagonal $n \times n$ matrices and for which $\begin{bmatrix} I_s & 0 \end{bmatrix}$ is the Hermite normal form of $\begin{bmatrix} C & -Q \end{bmatrix}$.

The following proposition is a variation on [7, Theorem 4] of which we borrow the main idea of the proof.

Proposition 5.3 Let $F \subset \mathbb{K}[z, z^{-1}]$ and $F^h = \{f_d \mid f \in F, d \in \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}\}$ be the set of the homogeneous components of the elements of F . If the set of toric zeros of F is invariant by the diagonal action of \mathcal{U} defined by B then it is equal to the set of toric zeros of F^h .

PROOF: Obviously we have the ideal inclusion $(F) \subset (F^h)$ and thus the zeros of F^h are included in the set of zeros of F .

Conversely, since $f(\lambda^B \star z) = \sum_d \lambda^d f_d(z)$ for all $\lambda \in \mathcal{U}$ we have a square linear system

$$(f(\lambda^B \star z))_{\lambda \in \mathcal{U}} = (\lambda^d)_{\lambda \in \mathcal{U}, d \in \mathcal{Z}} (f_d)_{d \in \mathcal{Z}}.$$

With an appropriate ordering of the elements of \mathcal{U} and \mathcal{Z} the square matrix $(\lambda^d)_{\lambda \in \mathcal{U}, d \in \mathcal{Z}}$ is the Kronecker product of the Vandermonde matrices $(\xi_i^{(k-1)(l-1)})_{1 \leq k, l \leq p_i}$, for $1 \leq i \leq s$ and ξ_i a primitive p_i th root of unity. It is therefore invertible.

By hypothesis, if z is a toric zero of F , then $\lambda^B \star z$ is also a toric zero of F for any $\lambda \in \mathcal{U}$: for f in F and z a toric zero of F , $f(\lambda^B \star z) = 0$ for all $\lambda \in \mathcal{U}$. It follows that $f_d(z) = 0$, for all d . The set of toric zeros of F is thus included in the set of toric zeros of F^h . \square

Proposition 5.4 If $f \in \mathbb{K}[z, z^{-1}]$ is (B, P) -homogeneous then there is a $u \in \mathbb{Z}^n$ such that $f = z^u \bar{f}$ where $\bar{f} \in \mathbb{K}[z, z^{-1}]$ is (B, P) -homogeneous of (B, P) -degree 0, that is, is invariant.

Starting from a set F of (Laurent) polynomials we can thus deduce a set \bar{F} of invariant Laurent polynomials that admit the same set of zeros in $(\mathbb{K}^*)^n$.

5.2 Systems of invariant polynomials

We consider now a set F of invariant Laurent polynomials for the diagonal action of $\mathcal{U} = \mathbb{U}_{p_1} \times \dots \times \mathbb{U}_{p_s}$ given by the exponent matrix $B \in \mathbb{Z}^{s \times n}$ and the order matrix $P = \text{diag}(p_1, \dots, p_s)$.

Consider the normalized Hermite multiplier for $[B \quad -P]$

$$V = \begin{bmatrix} V_i & V_n \\ P_i & P_n \end{bmatrix} \quad \text{with inverse} \quad W = \begin{bmatrix} W_u & P_u \\ W_\mathfrak{d} & P_\mathfrak{d} \end{bmatrix}.$$

Recall from Lemma 2.4 that V_n is triangular with non negative entries. By Theorem 3.4, for each $f \in F$

$$f(z_1, \dots, z_n) = f\left((g_1(z), \dots, g_n(z))^{W_\mathfrak{d} - P_\mathfrak{d} P^{-1} B}\right)$$

so there exists a Laurent polynomial $\mathfrak{f} \in \mathbb{K}[y_1, \dots, y_n, y_1^{-1}, \dots, y_n^{-1}]$ such that $f(z_1, \dots, z_n) = \mathfrak{f}(g_1(z), \dots, g_n(z))$. This polynomial is given *symbolically* by

$$\mathfrak{f}(y_1, \dots, y_n) = f\left((y_1, \dots, y_n)^{W_\mathfrak{d} - P_\mathfrak{d} P^{-1} B}\right),$$

meaning that the fractional powers disappear upon substitution. The polynomial \mathfrak{f} is the *symmetry reduction* of f .

Theorem 5.5 Let F be a set of invariant Laurent polynomials in $\mathbb{K}[z, z^{-1}]$ and consider the set $\mathfrak{F} \subset \mathbb{K}[y, y^{-1}]$ of their symmetry reductions.

If $z \in (\mathbb{K}^*)^n$ is a zero of F then z^{V_n} is a solution of \mathfrak{F} . Conversely, if $y \in (\mathbb{K}^*)^n$ is a zero of \mathfrak{F} then there exists $\frac{p_1 \dots p_s}{\det H}$ zeros of F in $(\mathbb{K}^*)^n$ that are the solutions of the triangular system $z^{V_n} = y$.

PROOF: The first part comes from the definition of the symmetry reduction: $f(z) = \mathfrak{f}(z^{V_n})$.

The fact that z^{V_n} is triangular follows from Theorem 3.6. Furthermore the product of the diagonal entries of V_n equals $\prod_{i=1}^s p_i / \det H$ by Corollary 2.5. Hence, for any $y \in (\bar{\mathbb{K}}^*)^n$, the system $z^{V_n} = y$ has the announced number of solutions in $(\bar{\mathbb{K}}^*)^n$.

For $y \in (\bar{\mathbb{K}}^*)^n$ a zero of \mathfrak{F} and $z \in (\bar{\mathbb{K}}^*)^n$ a solution of $z^{V_n} = y$ we have $f(z) = \mathfrak{f}(z^{V_n}) = \mathfrak{f}(y) = 0$. \square

Example 5.6 Continuing with Example 3.5, we have that the symmetry reductions of $F = \{f_1, f_2, f_3\}$

$$f_1 = 3z_1z_2 + 3z_3 - 3z_3^2 + 12, \quad f_2 = -3z_1z_2 + 3z_3^2 - 15, \quad f_3 = z_1^3 + z_2^3 + z_3^3 - 3z_1z_2z_3 - 13$$

are given by $\mathfrak{F} = \{\mathfrak{f}_1, \mathfrak{f}_2, \mathfrak{f}_3\}$ where

$$\mathfrak{f}_1 = 3y_2 + 3y_3 - 3y_3^2 + 12, \quad \mathfrak{f}_2 = -3y_2 + 3y_3^2 - 15, \quad \mathfrak{f}_3 = y_1 + \frac{y_2^3}{y_1} + y_3^3 - 3y_2y_3 - 13.$$

The toric zeros of \mathfrak{F} are easily determined as the two points

$$(y_1, y_2, y_3) = (8, -4, 1) \quad \text{and} \quad (y_1, y_2, y_3) = (-8, -4, 1).$$

Solving the triangular system:

$$z_1^3 = \pm 8, \quad z_1z_2 = -4, \quad z_3 = 1$$

we obtain six toric zeros of F as:

$$(2, -2, 1), \quad (-2, 2, 1), \quad (2\xi, -2\xi^2, 1), \quad (-2\xi, 2\xi^2, 1), \quad (2\xi^2, -2\xi, 1), \quad (-2\xi^2, 2\xi, 1),$$

where ξ is a primitive cube root of 1.

5.3 Extension to non diagonal representations - an example

In view of Section 4 it is obvious that we can extend our scheme to solve polynomial systems to the case where the zeros are invariant under any linear action of a finite abelian group. We illustrate this on an example.

Consider the following system of polynomial equations

$$\begin{aligned} 1 - cx_1 - x_1x_2^2 - x_1x_3^2 &= 0 \\ 1 - cx_2 - x_2x_1^2 - x_2x_3^2 &= 0 \\ 1 - cx_3 - x_3x_1^2 - x_3x_2^2 &= 0 \end{aligned} \tag{14}$$

with c a parameter. This is a system describing a neural network model given in [27] and the solutions were given in Gattermann [10]. The strategy there is to use the symmetry to find a factorization of polynomials in the ideal and split the Gröbner basis computation accordingly. As a result, the 21 solutions of the system are given by five triangular sets. We use this system to illustrate our alternate scheme.

Our approach is a symmetry reduction scheme. It first characterizes the orbits of solutions by computing the values of the rational invariants on the solutions. The elements of each orbits of solutions are then retrieved through a triangular system.

The set of zeros of this neural network system are easily seen to be invariant under the cyclic group generated by the permutation $\sigma = (321)$. Diagonalizing this linear group action was done in Example 4.2. It implies the change of variable $x = \Xi(\xi)z$ with ξ a cubic root of unity. The diagonal action of the group is determined by the exponent matrix $B = [1 \ 2 \ 0]$ and order matrix $P = [3]$.

Applying the change of variables to the polynomials in System (14) we obtain polynomials $f_0 - \xi f_1 - \xi^2 f_2$, $f_0 - \xi^2 f_1 - \xi f_2$, and $f_0 - f_1 - f_2$, where

$$\begin{aligned} f_0 &= 1 - cz_3 + z_1^3 + z_2^3 - 2z_3^3 \\ f_1 &= cz_1 + 3z_1^2 z_2 - 3z_2^2 z_3 \\ f_2 &= cz_2 + 3z_1 z_2^2 - 3z_1^2 z_3. \end{aligned} \quad (15)$$

Note that f_i is (B, P) -homogeneous of degree i , for $0 \leq i \leq 2$. By Proposition 5.3 the original system is thus equivalent to the system given by f_0, f_1 and f_2 .

The statement in Theorem 5.5 is made for toric zeros, but one can refine this statement by tracking the denominators involved in the rewriting rules. Here, one can refine to the statement for the solutions $(z_1, z_2, z_3) \in \mathbb{C}^* \times \mathbb{C} \times \mathbb{C}$ and localise at z_1 only (i.e. allow ourselves to divide by z_1 only). The reduced system corresponding to the set of invariants $\left\{f_0, \frac{f_1}{z_1}, \frac{f_2}{z_1}\right\}$ is given by

$$f_0 = 1 + y_1 - cy_3 - 2y_3^2 + \frac{y_2^3}{y_1}, \quad f_1 = c + 3y_2 - 3\frac{y_2^2 y_3}{y_1}, \quad f_2 = -3y_3 + c\frac{y_2}{y_1} + 3\frac{y_2^2}{y_1}.$$

This system has $6 = 2 + 4$ zeros. They are given as the union of the solutions of the two triangular sets⁴

$$y_3 = 0, \quad y_2 = \frac{c}{3}, \quad y_1^2 + y_1 - \frac{c^3}{27} = 0; \quad (16)$$

and

$$\begin{aligned} 162cy_3^4 - 54y_3^3 + 81c^2y_3^2 - 108cy_3 + 4c^3 + 27 &= 0, \\ y_2 &= -\frac{81c}{49c^3 - 27}y_3^3 - \frac{14c^3}{49c^3 - 27}y_3^2 - \frac{93c^2}{2(49c^3 - 27)}y_3 - \frac{c(70c^3 - 243)}{6(49c^3 - 27)} \\ y_1 &= y_3^3 + \frac{c}{2}y_3 - \frac{1}{2}. \end{aligned} \quad (17)$$

Recall that the variable y_i stands for the generating invariants. The polynomial set (15) thus has 6 orbits of zeros, that is 18 solutions, where $\frac{x_1}{\xi} + \frac{x_2}{\xi^2} + x_3 \neq 0$. The elements of an orbit determined by a solution (y_1, y_2, y_3) of either (16) or (17) are obtained by additionally solving the binomial triangular system given by the generating invariants:

$$z_1^3 = y_1, \quad z_1 z_2 = y_2, \quad z_3 = y_3.$$

By linear combinations $x = \Xi z$ we obtain 18 solutions of the original system (14) organized in 6 orbits.

For completeness one should also examine the solutions of (15) for which $z_1 = 0$. Here, it is immediate to see that there are three solutions satisfying

$$z_1 = 0, \quad z_2 = 0, \quad 2z_3^3 + cz_3 - 1 = 0.$$

They each form an orbit. The corresponding solutions of the original system are indeed

$$x_1 = x_2 = x_3 = \eta, \quad \text{for } 2\eta^3 + c\eta - 1 = 0.$$

⁴These were quickly computed with Gröbner bases and factorisation.

6 Determining groups of homogeneity

In this section we consider the problem of finding the diagonal matrix groups that leave a finite set of rational functions invariant. This can be used to determine weights and orders that make a system of (Laurent) polynomial equations homogeneous for a grading by an abelian group. Indeed $f = a_0x^{u_0} + a_1x^{u_1} + \dots + a_dx^{u_d}$, with $a_0 \neq 0$, is homogeneous if and only if $\tilde{f} = a_0 + a_1x^{u_1-u_0} + \dots + a_dx^{u_d-u_0}$ is invariant for the diagonal representations considered.

This is somehow the inverse problem to Section 3. For the symmetry reduction scheme offered in Section 5, the group action was assumed to be known. On one hand, indeed, permutation groups naturally arise in the formulation of some problems and it is reasonable to assume that some symmetries of the solution set are known. This is the case of the system presented in Section 5.3. On the other hand, different concepts of homogeneity come as a practical means for enhancing the efficiency of Gröbner bases computations [6, 7] or to propose symmetry reduction schemes as [17, Section 5] and Section 5 above. Given the simplicity of the algorithm we give here to determine the weights of homogeneity, it is worth going through this preliminary step before attempting to solve a polynomial system.

A remarkable feature is that we determine simultaneously a generating set of invariants for the underlying representation and the rewrite rules. Also, the group obtained is given in its normalized form and its representation is faithful. The same construction provides a canonical representation for a given finite group of diagonal matrices.

Consider $f = \frac{p}{q} \in \mathbb{K}(z)$, where $p, q \in \mathbb{K}[z]$ are relatively prime, and pick w in the support of p or q . Let K_f be the matrix whose columns consist of the vectors $v - w$ for all v in the support of p and q (with $v \neq w$). By Lemma 3.2, f is invariant for the diagonal group action determined by the exponent matrix B and order matrix $P = \text{diag}(p_1, \dots, p_s)$ if $BK_f = 0 \pmod{\begin{smallmatrix} t \\ p_1 \ \dots \ p_s \end{smallmatrix}}$.

In the case of a finite set F of rational functions we can associate a matrix K_f to each element $f \in F$ as previously described and define the block matrix $K = [K_f | f \in F]$. If K does not have full row rank then there exists a diagonal action of some $(\mathbb{K}^*)^r$, *i.e.* a scaling, that leaves the rational functions $f \in F$ invariants. This situation is dealt with in [18, Section 5]. A related construction appears in [1] for initial ideals. Hence, for the rest of this section, we assume that K has full row rank and we look for the diagonal representations of finite abelian groups that leave each element of F invariant.

For $K \in \mathbb{Z}^{n \times m}$ a full row rank matrix of integers, there exist unimodular matrices $U \in \mathbb{Z}^{n \times n}, V \in \mathbb{Z}^{m \times m}$ such that UKV is in Smith normal form, *i.e.* $UKV = [S \ 0]$ where either $S = I_n$ or there exists $s \leq n$ such that

$$S = \text{diag}(1, \dots, 1, p_1, \dots, p_s) \quad \text{with } p_i \neq 1 \quad \text{and} \quad p_i \mid p_{i+1} \text{ for } i = 1 \dots s-1.$$

The former case cannot happen when there is a group of diagonal matrices for which F is invariant.

Proposition 6.1 *If there exists $a = [a_1, \dots, a_n] \in \mathbb{Z}^{1 \times n}$ and $p \in \mathbb{N}$ such that $\gcd(a_1, \dots, a_n, p) = 1$ and $aK = 0 \pmod p$ then the Smith normal form of K has a diagonal entry different from 1.*

PROOF: Let U and V be the unimodular multipliers for the Smith normal form, *i.e.* $UKV = [S \ 0]$ where $S = \text{diag}(s_1, \dots, s_n)$. Then $aKV = (aU^{-1})UKV = 0 \pmod p$. Since U is unimodular, $\gcd(b_1, \dots, b_n, p) = 1$ where $[b_1, \dots, b_n] = aU^{-1}$. Therefore at least one b_i is not a multiple of p . Yet we have $b_i s_i = 0 \pmod p$. Therefore s_i cannot be equal to 1. \square

Theorem 6.2 *Consider F a set of rational functions in $\mathbb{K}(z_1, \dots, z_n)$ such that an associated matrix K for the exponents in F is of full row rank. Suppose the Smith normal form of K is given by $UKV = [S \ 0]$ where*

$$S = \text{diag}(1, \dots, 1, p_1, \dots, p_s) \quad \text{with } p_i \neq 1 \quad \text{and} \quad p_i \mid p_{i+1} \text{ for } i = 1 \dots s-1.$$

Considering the partitions

$$U = \begin{bmatrix} C \\ B \end{bmatrix} \quad \text{and} \quad U^{-1} = [U_0 \quad U_1]$$

where

$$C \in \mathbb{Z}^{(n-s) \times n}, \quad B \in \mathbb{Z}^{s \times n}$$

and

$$U_0 \in \mathbb{Z}^{n \times (n-s)}, \quad U_1 \in \mathbb{Z}^{n \times s}.$$

Then :

- (i) The elements of F are invariants for the diagonal representation determined by the order matrix $P = \text{diag}(p_1, \dots, p_s)$ and the exponent matrix B consisting of the last s rows of U .
- (ii) The components of $[g_1, \dots, g_n] = z^{[U_0 \quad U_1 P]}$ form a minimal generating set of invariants for the diagonal representation defined by B and P .
- (iii) For any invariant $f \in \mathbb{K}(z)$ of the diagonal representation defined by B and P

$$f(z) = f\left(g\left[\begin{array}{c} C \\ P^{-1}B \end{array}\right]\right).$$

PROOF: Write $U K = [S \quad 0] V^{-1}$ and partition V^{-1} as

$$V^{-1} = \begin{bmatrix} V_0 \\ V_1 \\ V_2 \end{bmatrix}$$

where V_0 has $n - s$ rows and V_1 has s rows. Then $B K = P V_1$ and that proves (i).

For (ii) and (iii) we apply Theorem 3.4. The Hermite form and multiplier of $[B \quad -P]$ is given by

$$[B \quad -P] \begin{bmatrix} U_1 & U_0 & U_1 P \\ 0 & 0 & I_s \end{bmatrix} = [I_s \quad 0]$$

and the inverse of the above Hermite multiplier is determined via

$$\begin{bmatrix} B & -P \\ C & 0 \\ 0 & I_s \end{bmatrix} \begin{bmatrix} U_1 & U_0 & U_1 P \\ 0 & 0 & I_s \end{bmatrix} = I_{n+s}.$$

Thus

$$V_n = [U_0 \quad U_1 P], \quad W_\mathfrak{d} = \begin{bmatrix} C \\ 0 \end{bmatrix}, \quad P_\mathfrak{d} = \begin{bmatrix} 0 \\ I_s \end{bmatrix}, \quad \text{so that } W_\mathfrak{d} - P_\mathfrak{d} P^{-1} B = \begin{bmatrix} C \\ P^{-1} B \end{bmatrix}.$$

□

We remark that a similar proof shows that there exists a different Hermite multiplier such that $V_n = K \hat{V}$, where \hat{V} consists of the n first columns of V . This gives an alternative set of generating invariants.

Theorem 6.2 thus allows one to construct the matrices defining a diagonal representation of a finite group of symmetry while at the same time constructing the matrices defining respectively a generating set of invariants and the rewrite rules. The Smith form in Theorem 6.2 thus gives all the information needed for the symmetry reduction of the polynomial system defining K as described in Section 5.

Example 6.3 In order to find an exponent matrix B and order matrix P determining the symmetry for the equations in Example 3.5 the matrix of differences on the exponents of the terms of the polynomials is given by

$$K = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 3 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 & 3 & 0 & 1 \\ 0 & 1 & 2 & 0 & 2 & 0 & 0 & 3 & 1 \end{bmatrix}.$$

The Smith normal form S of K along with its left unimodular multiplier U are

$$S = \left[\begin{array}{ccc|cccccc} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right] \text{ and } U = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 1 \\ 1 & -1 & 0 \end{bmatrix}.$$

Taking the last row of U and S then gives the exponent and order matrices as

$$B = [1 \ -1 \ 0] \quad \text{and} \quad P = [3]$$

which is equivalent to

$$B = [1 \ 2 \ 0] \quad \text{and} \quad P = [3]$$

since $\xi^{-1} = \xi^2$ for any cubic root of unity. The underlying symmetry group is \mathbb{Z}_3 . In this case

$$V_n = [U_0 \ U_1 P] = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & -3 \\ 0 & 1 & 3 \end{bmatrix}$$

which, after normalization, is column equivalent to the V_n given in Example 3.7.

Example 6.4 Consider the system of polynomial equations given by

$$\begin{aligned} x_3 x_4^7 x_5 - x_1^4 x_2^2 x_5 + 3x_2 x_3^3 x_4^9 x_5 + 4x_1^3 x_3^7 x_4^7 x_5^4 &= 0 \\ x_1 x_3^6 x_4^3 x_5 + 12x_1 x_4^3 x_5 - 9x_1^4 x_3^9 x_5^4 &= 0 \\ 1 + x_2 x_3^2 x_4^8 &= 0. \end{aligned}$$

In this case the matrix of exponent differences is given by

$$K = \begin{bmatrix} 4 & 0 & 3 & 0 & 3 & 0 \\ 2 & 1 & 0 & 0 & 0 & 1 \\ -1 & 2 & 6 & -6 & 3 & 2 \\ -7 & 2 & 0 & 0 & -3 & 8 \\ 0 & 0 & 3 & 0 & 3 & 0 \end{bmatrix}.$$

The Smith normal form S along with a left unimodular matrix U for K are given by

$$S = \left[\begin{array}{ccccc|c} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 0 & 6 & 0 & 0 \\ 0 & 0 & 0 & 0 & 12 & 0 \end{array} \right] \text{ and } U = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ -10 & -2 & 0 & 1 & 1 \\ -9 & 0 & -1 & 1 & 1 \\ -9 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

There is thus a 5-dimensional diagonal representation of the group $\mathbb{Z}_3 \times \mathbb{Z}_6 \times \mathbb{Z}_{12}$ that leave those polynomials invariant. Its exponent and order matrices are given by

$$B = \begin{bmatrix} -10 & -2 & 0 & 1 & 1 \\ -9 & 0 & -1 & 1 & 1 \\ -9 & 0 & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad P = \begin{bmatrix} 3 & 0 & 0 \\ 0 & 6 & 0 \\ 0 & 0 & 12 \end{bmatrix}.$$

In this case U^{-1} , the inverse of the right unimodular multiplier of K , is given by

$$U^{-1} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 2 & 1 & -1 & 0 \\ 1 & 2 & 1 & 0 & -1 \\ 9 & 0 & 0 & 0 & 1 \end{bmatrix}$$

which gives, using Theorem 6.2, the exponents of a generating set of monomials as

$$V_n = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 2 & 3 & -6 & 0 \\ 1 & 2 & 3 & 0 & -12 \\ 9 & 0 & 0 & 0 & 12 \end{bmatrix}.$$

We can also apply Theorem 6.2 to *normalize* the group and find an equivalent faithful representation. Similar ideas underly the classical proofs that any finite abelian group is isomorphic to some $\mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_s}$. We give the explicit construction here and show some examples

Consider the n -dimensional diagonal representation defined by a matrix of exponents $B \in \mathbb{Z}^{s \times n}$ and an order matrix $Q = \text{diag}(q_1, \dots, q_s)$. Let V_n be a matrix of exponents for a set of generating invariants as found in Theorem 3.4. Applying the construction of Theorem 6.2 to $K = V_n$ we obtain a faithful representation given by the exponent matrix B and order matrix P . The group is then given in its normalized form, with a divisibility condition on the orders of the generators, and its action is faithful.

Example 6.5 Recall Example 2.2 where the diagonal subgroup of $\text{GL}_3(\mathbb{K})$ was initially given by the exponent matrix $B = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 0 \end{bmatrix}$ with order matrix $P = \begin{bmatrix} 2 \\ 3 \end{bmatrix}$. The Hermite normal form of $[B \quad -P]$ is $[I_2 \quad 0]$ and a Hermite multiplier is

$$V = \left[\begin{array}{cc|ccc} 2 & -1 & 6 & 1 & 3 \\ -1 & 1 & 0 & 1 & 0 \\ \hline 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 3 & 1 & 2 \\ 0 & 0 & 2 & 1 & 1 \end{array} \right].$$

The top right 3×3 block V_n provides the exponents of a generating set of invariants for the diagonal representation defined by the pair of matrices (B, P) . Let us apply Theorem 6.2 to $K = V_n$. The Smith normal form of V_n is given by

$$\left[\begin{array}{ccc|ccc} 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 & 0 \\ \hline 1 & -1 & -3 & 0 & 1 & 0 \end{array} \right] V_n \left[\begin{array}{ccc|ccc} 0 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 6 \end{array} \right] = \left[\begin{array}{ccc|ccc} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 6 & 0 & 0 & 6 \end{array} \right].$$

So the same diagonal subgroup of $\mathrm{GL}_3(\mathbb{K})$ is defined by the exponent matrix $B = \begin{bmatrix} 1 & -1 & -3 \end{bmatrix}$ and order matrix $P = [6]$.

Example 6.6 Assume a diagonal subgroup of $\mathrm{GL}_2(\mathbb{K})$ is given as a representation of $\mathbb{Z}_4 \times \mathbb{Z}_2$ by the following the exponent matrix

$$B = \begin{bmatrix} 3 & 2 \\ 1 & 0 \end{bmatrix}.$$

The order matrix is $P = \mathrm{diag}(4, 2)$ and the Hermite normal form of $[B \ -P]$ is given by

$$[B \ -P] \left[\begin{array}{cc|cc} 0 & 1 & 4 & 2 \\ 1 & -1 & 0 & 1 \\ \hline 0 & 0 & 3 & 2 \\ 0 & 0 & 2 & 1 \end{array} \right] = \left[\begin{array}{cccc} 2 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{array} \right].$$

The top right 2×2 block V_n of the Hermite multiplier in the above equality provides the exponents of a generating set of invariants for the diagonal group of matrices under consideration. The Smith normal form of V_n is given by

$$\left[\begin{array}{cc} 0 & 1 \\ 1 & -2 \end{array} \right] V_n \left[\begin{array}{cc} 0 & 1 \\ 1 & 0 \end{array} \right] = \left[\begin{array}{cc|cc} 1 & 0 & 0 & 0 \\ \hline 0 & 4 & 0 & 0 \end{array} \right].$$

Hence the same diagonal subgroup of $\mathrm{GL}_3(\mathbb{K})$ is defined as a faithful representation of \mathbb{Z}_4 by the exponent matrix $B = [1 \ -2]$ and order matrix $P = [4]$.

7 Conclusion

In this paper we have investigated the computational aspects of rational invariants of the linear actions of finite abelian groups taking advantage of their diagonal representations. The close relation of such group actions to scalings previously studied by the authors [17, 18] prompted us to make use of integer linear algebra to compute invariants and rewrite rules. The primary tool used is the Hermite normal form of a matrix derived from both the exponents of the diagonal representations and the orders of the generators of the group. The unimodular multipliers determine both invariants and rewrite rules. As an application of our methods we showed how to reduce a system of polynomial equations to a new system of polynomial equations in the invariants.

We provided a minimal set of generators for the field of rational invariants of the linear action of a finite abelian group in terms of polynomials and discussed how to extend it to a set of generators for the ring of polynomial invariants. Our construction could also be applied to compute the separating set described in [26] by running the computation with different orderings of the variables.

In the present approach for abelian groups, we obtained a minimal set of generating invariants by introducing a root ξ of unity. This gives a direct constructive proof of the rationality of the field of invariants over $\mathbb{K}(\xi)$ [9, 4]. A significant benefit of our approach is that it provides a simple mechanism to rewrite any rational invariants in terms of the exhibited generators. The question we might address is to determine a generating set of invariants over \mathbb{K} , in which case the field of invariants no longer needs to be rational [36, 22].

We are interested in extending the concept of symmetry reductions to dynamical systems and to the case where the finite group is not abelian. We expect that our methods can be generalized to finite solvable groups and hence for example include all finite groups of odd order. The polynomial system of Subsection 5.3 describes both situations : it is actually symmetric under the solvable dihedral group D_3 and describes the equilibrium states of a dynamical system modelling a neural network.

With respect to our use of integer linear algebra, future research will also include the use of alternate unimodular multipliers, for example one normalized not via Hermite computation but rather using LLL

reduction for V_n . Similarly the Hermite form of $[B - P]$ is closely related (c.f. [2]) to the Howell form of the matrix B [12, 34]. We wish to learn if using such a form is an advantage. Finally, in some applications the matrix of exponents is sparse and hence there is a need to make use of normalized Hermite forms for sparse matrices.

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