

Computing periods of rational integrals

Pierre Lairez

► **To cite this version:**

Pierre Lairez. Computing periods of rational integrals. *Mathematics of Computation*, American Mathematical Society, 2016, 85, pp.1719-1752. <http://www.ams.org/journals/mcom/2016-85-300/S0025-5718-2015-03054-3/> . 10.1090/mcom/3054 . hal-00981114v3

HAL Id: hal-00981114

<https://hal.inria.fr/hal-00981114v3>

Submitted on 31 Aug 2015

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

COMPUTING PERIODS OF RATIONAL INTEGRALS

PIERRE LAIREZ

ABSTRACT. A period of a rational integral is the result of integrating, with respect to one or several variables, a rational function over a closed path. This work focuses particularly on periods depending on a parameter: in this case the period under consideration satisfies a linear differential equation, the Picard-Fuchs equation. I give a reduction algorithm that extends the Griffiths-Dwork reduction and apply it to the computation of Picard-Fuchs equations. The resulting algorithm is elementary and has been successfully applied to problems that were previously out of reach.

INTRODUCTION

This work studies periods of rational integrals, that is, the result of the integration, with respect to one or several variables, of a rational function over a closed path. I focus especially on the case where the period depends on a parameter. The fact that periods depending on a parameter of rational or algebraic integrals satisfy linear differential equations with polynomial coefficients has emerged from the work of Euler [24, §7] and his computation of a differential equation¹ for the perimeter of an ellipse as a function of eccentricity. Since then, these differential equations, known as *Picard-Fuchs equations*, have proven to be useful in numerous domains such as combinatorics [11], number theory [6] or physics [39]. They play also a key role in mirror symmetry [38]. Research in computer algebra has devoted great efforts to provide algorithms for computing integrals and, in particular, Picard-Fuchs equations. Nevertheless the practical efficiency of current methods is not satisfactory in many cases. One reason might be the high level of generality of most algorithms, which apply to the integration of general holonomic functions. Rational functions are certainly very specific among holonomic functions, but the numerous applications of Picard-Fuchs equations as well as the fundamental nature of rational functions make it worth developing specific methods for them.

The problem. Let R be a rational function in the variables x_1, \dots, x_n , denoted \mathbf{x} , and a parameter t , with coefficients in \mathbb{C} . Let γ be a n -cycle in \mathbb{C}^n , e.g. an embedding of the sphere \mathbb{S}^n in \mathbb{C}^n , on which R is continuous when t ranges over some connected open set U of \mathbb{C} . We can form the following integral, depending on $t \in U$,

$$(1) \quad P(t) \stackrel{\text{def}}{=} \oint_{\gamma} R(t, \mathbf{x}) d\mathbf{x},$$

where $d\mathbf{x}$ stands for $dx_1 \cdots dx_n$.

Date: January 31, 2015.

2010 Mathematics Subject Classification. Primary 68W30; secondary 14K20, 14F40, 33F10.

Key words and phrases. Integration, periods, Picard-Fuchs equation, Griffiths-Dwork reduction, algorithms.

¹ $(t - t^3)y'' + (1 - t^2)y' + ty = 0$

Example 1. For $t \in \mathbb{C}$, with $|t| < 17 - 12\sqrt{2}$

$$\sum_{n=0}^{\infty} \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 t^n = \frac{1}{(2\pi i)^3} \oint_{\gamma} \frac{dx dy dz}{1 - (1 - xy)z - txzy(1 - x)(1 - y)(1 - z)},$$

where the cycle of integration γ is $\{(x, y, z) \in \mathbb{C}^3 \mid |x| = |y| = |z| = 1/2\}$. This is the generating function of Apéry numbers [6].

These integrals, for different cycles γ , are called the *periods* of the integral $\oint R$. It is well-known that $P(t)$ satisfies a linear differential equation with polynomial coefficients. It is a consequence of the finiteness of the algebraic de Rham cohomology of $\mathbb{A}^n \setminus V(f)$ with $\mathbb{C}(t)$ as base field [28; 37]. Let $\mathcal{L}_{R,\gamma}$ denote the differential operator in t and ∂_t which corresponds to the minimal-order equation of $P(t)$. That is to say $\mathcal{L}_{R,\gamma}$ is the non zero operator $\sum_{k=0}^r a_k(t) \partial_t^k$ with coprime polynomial coefficients and minimal r , such that

$$\mathcal{L}_{R,\gamma}(P) \stackrel{\text{def}}{=} \sum_{k=0}^r a_k(t) P^{(k)}(t) = 0.$$

Every linear differential equation for $P(t)$ translates into an operator which is a left multiple of $\mathcal{L}_{R,\gamma}$.

It often happens that the description of the cycle γ is analytic or topological, sometimes not even explicit, and, to say the least, unsuitable to a formal algorithmic treatment. In fact there is no harm in simply discarding γ : there exists a differential equation satisfied by all the periods of $\oint R$. In other words, there exists an operator in t and ∂_t which is a left multiple of all $\mathcal{L}_{R,\gamma}$. Let \mathcal{L}_R denote the least common left multiple of the $\mathcal{L}_{R,\gamma}$. The classical result which allows the algorithmic computation of \mathcal{L}_R is that it is the minimal operator \mathcal{L} such that

$$(2) \quad \mathcal{L}(R) = \sum_{i=1}^n \partial_i(B_i)$$

for some rational functions B_i in $\mathbb{C}(t, \mathbf{x})$ whose denominators divide a power of the denominator of R , and where ∂_i denotes $\partial/\partial x_i$. This article presents an algorithm that compute the operator \mathcal{L}_R , or at least a left multiple of it.

Example 2. In the case of Example 1, the operators \mathcal{L}_R and $\mathcal{L}_{R,\gamma}$ both equal

$$\mathcal{L}_R = t^2(t^2 - 34t + 1)\partial_t^3 + 3t(2t^2 - 51t + 1)\partial_t^2 + (7t^2 - 112t + 1)\partial_t + (t - 5).$$

Note that integrals of algebraic functions are easily translated into integrals of rational functions with one variable more: if $W(t, \mathbf{x})$ is a function such that $P(t, \mathbf{x}, W) = 0$ for some polynomial P in $\mathbb{C}[t, \mathbf{x}, y]$, elementary residue calculus shows that

$$W(t, \mathbf{x}) = \frac{1}{2\pi i} \oint_{\tau} \frac{y \partial_y P}{P} dy$$

over some adequate contour τ and where ∂_y denotes the derivation $\partial/\partial y$, so that

$$\oint_{\gamma} W(t, \mathbf{x}) d\mathbf{x} = \frac{1}{2\pi i} \oint_{\gamma \times \tau} \frac{y \partial_y P}{P} d\mathbf{x} dy.$$

Contributions. Following the principle of the reduction of the pole order, I define a family of finer and finer reductions $[\]_r$, for $r \geq 1$, that given a rational function R in several variables produces another rational function $[R]_r$ that differs from R only by a sum of partial derivatives of other rational functions (Section 4). The first reduction $[\]_1$ is the Griffiths-Dwork reduction (Section 3).

When applied to the case of periods depending on a parameter, these reductions can solve Equation (2), and hence compute Picard-Fuchs equations of rational integrals (Section 6). A major difficulty is to fix an r such that the r^{th} reduction map $[\]_r$ will be fine enough to ensure the termination of the algorithm. It is solved by applying a theorem of Dimca (Section 5).

The new algorithm has been implemented and shows excellent performance (Section 7). For example, I applied it to compute 137 periods coming from mathematical physics that were previously out of reach [4] (Section 8).

Reduction of pole order. The principle of the method originates from Hermite reduction [29]. It is a procedure for computing a normal form of a univariate function modulo derivatives. Hermite introduced his method as a way to compute the algebraic part of the primitive of a univariate rational function without computing the roots of its denominator, as opposed to the classical partial fraction decomposition method. Let $[R]$ denote the reduction of a fraction R . It is defined as follows. Let a/f^q be a rational function in $\mathbb{C}(x)$, with f a square-free polynomial and q a positive integer. Every fraction can be written in this way since a and f are not assumed to be relatively prime. If $q > 1$, then we first write a as $uf + vf'$, using the assumption that f is square-free, and we observe that

$$\frac{a}{f^q} = \frac{u + \frac{1}{q-1}v'}{f^{q-1}} - \left(\frac{1}{q-1} \frac{v}{f^{q-1}} \right)'.$$

This leads to the following recursive definition of $[a/f^q]$:

$$\left[\frac{a}{f^q} \right] = \left[\frac{u + \frac{1}{q-1}v'}{f^{q-1}} \right].$$

When $q = 1$, the reduction $[a/f]$ is defined to be r/f , where r is the remainder in the Euclidean division of a by f . Hermite reduction enjoys the following properties: it is linear; the fractions $[R]$ and R differ only by a derivative of a rational function; and $[R]$ is zero if and only if R is the derivative of a rational function.

The principle of Hermite reduction gives an efficient way to compute the Picard-Fuchs equation of univariate integrals [8]. Let R be a rational function in $\mathbb{C}(t, x)$. Hermite reduction can be performed without modification over the field with one parameter $\mathbb{C}(t)$. To compute \mathcal{L}_R , it is sufficient to compute the reductions $[\partial_t^k R]$, for $k \geq 0$, until finding a linear dependency relation over $\mathbb{C}(t)$

$$\sum_{k=0}^r a_k(t) [\partial_t^k R] = 0.$$

Then the properties of the Hermite reduction assure that \mathcal{L}_R is $\sum_{k=0}^r a_k(t) \partial_t^k$. The computations of all the reductions $[\partial_t^k R]$ is improved significantly when noting the inductive formula $[\partial_t^{k+1} R] = [\partial_t [\partial_t^k R]]$.

With several variables, the construction of a normal form modulo derivatives is considerably harder than with a single variable. Nonetheless, as soon as we obtain

such a normal form, it is possible to compute Picard-Fuchs equations as above, by finding linear relations between the $[\partial_t^k R]$.

Part 1 deals with the construction of the maps $[\]_r$ whereas Part 2 deals with the computation of Picard-Fuchs equations.

Related works. Several existing algorithms are applicable to the computation of \mathcal{L}_R . The reader may refer to [14] for an extensive survey of “creative telescoping” approaches. A first family, originating in the work of Fasenmyer [25] and Verbaeten [45], gave rise to an algorithm by Wilf and Zeilberger [46], refined by Apagodu and Zeilberger [3], applicable to proper hyperexponential terms, which includes rational functions. The idea is to transform Equation (2) into a linear system over $\mathbb{C}(t)$ by bounding *a priori* the order of a left multiple of \mathcal{L}_R and the degree of the polynomials appearing in the fractions B_i . While being an interesting method, especially because it gives *a priori* bounds, the order of the linear system to be solved is large even for moderate sizes of the input.

Zeilberger’s “fast algorithm” [47] for hypergeometric summation is the origin of a different family of algorithms, whose key idea is to reduce the resolution of Equation (2) to the computation of rational solutions of systems of ordinary linear differential equations. Interestingly, Picard used this idea much earlier in a method for computing double rational integrals [41]. Chyzak’s algorithm [13] and Koutschan’s semi-algorithm [33]—termination is not proven—belong to this line and apply to D -finite ideals in Ore algebras. Rational functions are a very specific case.

A last family of algorithms coming from \mathcal{D} -module theory has given algorithms for numerous operations on \mathcal{D} -modules and, in particular, an algorithm by Oaku and Takayama [40] to compute the de Rham cohomology of the complement of an affine hypersurface, which would allow, in theory, to compute Picard-Fuchs equations. It is worth noting that an algorithm to compute the integration of a holonomic \mathcal{D} -module does not give as such an algorithm applicable to our problem: computing the annihilator of a rational function in the Weyl algebra is far from being an easy task [40].

The domain of application of each of these three families is much larger than just rational integrals: any comparison with the present algorithm must be done with this point in mind.

The *guessing* method, or *equation reconstruction*, a totally different method, applies to the computation of $\mathcal{L}_{R,\gamma}$. It often happens that beside the integral formula for $P(t)$ one has a way to compute a power series expansion. After computing sufficiently many terms, it is possible to recover $\mathcal{L}_{R,\gamma}$ via Hermite-Padé approximants. It may be difficult to prove that the operator computed is indeed correct, but not too hard to get convinced. The simplicity of this method counterbalances a certain lack of delicacy and justifies its ample use. When the power series expansion of $P(t)$ is, for some reason, easy to compute, it can find Picard-Fuchs equations which are far out of reach of any existing algorithms [*e.g.* 32]. Most of the time, though, the power series expansion of $P(t)$ is expensive to compute. For example, I am aware of no general method allowing to compute directly the first p terms of a diagonal of a rational function in n variables in less than p^n arithmetic operations. However, space complexity can be improved [36].

Picard and Simart have studied the case of simple and double integrals of algebraic functions and gave methods to compute normal forms modulo derivatives extensively [42]. Chen, Kauers, and Singer [12] gave an algorithm in this direction,

for double rational integrals. This algorithm is an echo, independently discovered, of one of the methods of Picard [41]. Interestingly, it has two steps: a first one based on a reduction *à la* Hermite and another one based on creative telescoping.

Well later after Picard, Griffiths resumed the search for a normal form in the setting of de Rham cohomology of smooth projective hypersurfaces, defining what is now known as the Griffiths-Dwork reduction [22, §3; 23, §8; 27, §4]. This reduction is in many respects similar to the Hermite reduction. It can be applied to the computation of Picard-Fuchs equations in the same way as Hermite reduction applies to univariate integrals. The smoothness hypothesis can be worked around with a generic deformation. This leads to an interesting complexity result about the computation of Picard-Fuchs equations [9] but to disappointing practical efficiency in singular cases. The direction of Griffiths and Dwork was extended, in particular, by Dimca [19; 18] and Saito [21], and some results are known in the case of a singular hypersurface.

Acknowledgment. I am grateful to Alin Bostan and Bruno Salvy for their precious help and support, to Mark van Hoeij and Jean-Marie Maillard for their expertise with differential operators and to the referee for his thorough work.

Part 1. Reduction of periods

Let \mathbb{K} be a field of characteristic zero, and let A be the polynomial ring $\mathbb{K}[x_0, \dots, x_n]$, for some integer n . Let f be an homogeneous element of A and let A_f be the localized ring $A[1/f]$. The degree of f is denoted N . We focus here on integrals $\oint R dx$ which are homogeneous of degree zero, this means that

$$R(\lambda x_0, \dots, \lambda x_n) d(\lambda x_0) \cdots d(\lambda x_n) = R(x_0, \dots, x_n) dx_0 \cdots dx_n,$$

or equivalently that R is a homogeneous rational function of degree $-n - 1$. Every integral can be homogenized with a new variable, see §6.2.

This part addresses the problem of finding an algorithm *à la* Hermite that computes an idempotent linear map $R \mapsto [R]$, from A_f to itself such that $[R]$ equals zero if and only if R is in the linear subspace $\sum_{i=0}^n \partial_i A_f$. This problem is solved by the Hermite reduction when n is 1 and by the Griffiths-Dwork reduction when f satisfies an additional regularity hypothesis (see Theorems 3 and 10). To this purpose, a family of maps, denoted $[\]_r$, is constructed such that $[\]_1$ is the Griffiths-Dwork reduction and such that $[\]_{r+1}$ factors through $[\]_r$. I give an efficient algorithm to compute these maps. Conjecturally, $[\]_{n+1}$ satisfies the desired properties. Fortunately, other results allow to avoid relying on this conjecture when dealing with periods depending on a parameter.

1. OVERVIEW

1.1. Griffiths-Dwork reduction. To achieve a normal form modulo derivatives, the guiding principle is the *reduction of pole order*. Let us first consider the decision problem: given a rational function a/f^q , decide whether it lies in $\sum_{i=0}^n \partial_i A_f$. A major actor of the study is $\text{Jac } f$, the Jacobian ideal of f . It is the ideal of A generated by the partial derivatives $\partial_0 f, \dots, \partial_n f$. The basic observation is that the differentiation formula

$$(3) \quad \sum_{i=0}^n \partial_i \left(\frac{b_i}{f^{q-1}} \right) = \frac{\sum_{i=0}^n \partial_i b_i}{f^{q-1}} - (q-1) \frac{\sum_{i=0}^n b_i \partial_i f}{f^q}$$

implies, by reading it right-to-left, that if $a \in \text{Jac } f$ and $q > 1$ then a/f^q equals a'/f^{q-1} modulo derivatives, for some polynomial a' . Namely, if $a = \sum_i b_i \partial_i f$ then

$$\frac{a}{f^q} \equiv \frac{\frac{1}{q-1} \sum_{i=0}^n \partial_i b_i}{f^{q-1}} \pmod{\sum_{i=0}^n \partial_i A_f}.$$

Griffiths [27] proved the converse property in the case when $\text{Jac } f$ is zero-dimensional or, equivalently, when the projective variety defined by f is smooth. Under this hypothesis, if $q > 1$ and if $a/f^q \equiv a'/f^{q-1}$, modulo derivatives, for some polynomial a' , then $a \in \text{Jac } f$. This gives an algorithm to solve the decision problem, by induction on the pole order q .

1.2. Singular cases. In presence of singularities, Griffiths' theorem always fails. For example, with f equal to $xy^2 - z^3$,

$$(4) \quad \frac{x^3}{f^2} = \partial_x \left(\frac{\frac{2}{7}x^4}{f^2} \right) - \partial_y \left(\frac{\frac{1}{7}x^3y}{f^2} \right),$$

but x^3 is not in $\text{Jac } f$, which is here the ideal (xy, y^2, z^2) . This identity is a consequence of the following particular case of Equation (3):

$$(5) \quad \sum_{i=0}^n b_i \partial_i f = 0 \Rightarrow \sum_{i=0}^n \partial_i \left(\frac{b_i}{f^q} \right) = \frac{\sum_{i=0}^n \partial_i b_i}{f^q}.$$

Tuples of polynomials (b_0, \dots, b_n) such that $\sum_{i=0}^n b_i \partial_i f$ are called *syzygies* (of the sequence $\partial_0 f, \dots, \partial_n f$). Therefore, in order to complete the reduction of pole order strategy, we should not only consider elements of the Jacobian ideal, but also elements of the form $\sum_i \partial_i b_i$, where (b_0, \dots, b_n) is a syzygy. Such elements are called *differentials of syzygies*.

Considering differential of syzygies is not always enough. For example, with f equal to $x_0^4 x_1 - x_0^2 x_1 x_2^2 + x_0 x_2^4$:

$$\frac{x_1^7}{f^2} = \frac{1062347}{276480} \frac{89x_0^2 + 96x_0x_1 + 712x_2^2}{f} + \sum_{i=0}^2 \partial_i \left(\frac{b_i}{f^3} \right),$$

for some lengthy polynomials b_i , whereas x_1^7 is not a sum of a differential of a syzygy and of an element of $\text{Jac } f$. Note the exponent 3 appearing in $\partial_i(b_i/f^3)$, it is the least possible.

1.3. Higher order relations. Let M_q be the set of rational functions of the form a/f^q . Let W_q^1 be the subset of $M_q \times M_{q-1}$ defined by

$$W_q^1 = \left\{ \left((q-1) \frac{\sum_{i=0}^n b_i \partial_i f}{f^q}, \frac{\sum_{i=0}^n \partial_i b_i}{f^{q-1}} \right) \mid b_i \in A \right\}.$$

An element (R, R') of W_q^1 relates a rational function R with a pole order at most q with another rational function R' , with pole order at most $q-1$, which is equivalent to R modulo derivatives. The following statement is a rewording of Griffiths' result:

Theorem 3 (Griffiths). *Assume that $V(f)$ is smooth. For all R in M_q , homogeneous of degree $-n-1$, the following assertions are equivalent:*

- (1) R is in $\sum_i \partial_i A_f$;
- (2) there exists R' in $M_{q-1} \cap \sum_i \partial_i A_f$ such that (R, R') is in W_q^1 .

The starting point of the method in the general case is to observe that W_q^1 contains ordered pairs in the form $(0, R')$. Namely, if b_0, \dots, b_n is a syzygy, then $(0, \sum_i \partial_i b_i / f^{q-1})$ is in W_q^1 . They seem to be useless relation in view of Theorem 3. However, for all such pairs $(0, R')$, the rational function R' is in $\sum_i \partial_i A_f$, since it is equivalent to 0 modulo derivatives.

But it is possible, as remarked above, that R' is not part of a pair (R', R'') in W_{q-1}^1 . This motivates the definition of W_q^2 as

$$W_q^2 \stackrel{\text{def}}{=} W_q^1 + \{(R, 0) \mid (0, R) \in W_{q+1}^1\}.$$

Of course, this can be iterated:

$$W_q^{r+1} \stackrel{\text{def}}{=} W_q^r + \{(R, 0) \mid (0, R) \in W_{q+1}^r\}.$$

The basic property that is preserved through this induction is that for all (R, R') in W_q^r , the first element R has a pole of order at most q and is equivalent, modulo derivatives, to the second element R' , which has a pole of order at most $q - 1$. When $V(f)$ is smooth, then $W_q^r = W_q^1$, for all q , but when $V(f)$ is singular, the spaces W_q^r , with $r > 1$, bring new relations. This construction is somehow exhaustive. The first result is the following, with no assumption on $V(f)$:

Theorem 4. *There exists an integer $r \geq 1$, depending only on f , such that for all q and all R in M_q , homogeneous of degree $-n - 1$, the following assertions are equivalent:*

- (1) R is in $\sum_i \partial_i A_f$;
- (2) there exists R' in $M_{q-1} \cap \sum_i \partial_i A_f$ such that (R, R') is in W_q^r .

The algorithm presented in this article is based on this theorem. The definition of the W_q^r gives readily an algorithm to compute these spaces: it is only a matter of linear algebra. The second result is a method to achieve efficiency. The two main ingredients are the use of Gröbner bases, and the computation of a basis of *non-trivial* syzygies to catch most elements of W_q^r at reasonable cost.

1.4. Trivial syzygies. The space W_q^2 is made from W_q^1 and elements in the form $(\sum_i \partial_i b_i / f^q, 0)$, where b_0, \dots, b_n is a syzygy, that is $\sum_i b_i \partial_i f$ vanishes.

Among syzygies, the *trivial syzygies* do not bring new relations to the relations already in W_r^1 . A syzygy b_0, \dots, b_n is called *trivial* if there exist polynomials $c_{i,j}$, with $c_{i,j} = -c_{j,i}$, such that

$$b_i = \sum_{j=0}^n c_{i,j} \partial_j f.$$

The antisymmetry property implies that this defines a syzygy, and we check that

$$\sum_{i=0}^n \partial_i b_i = \sum_{j=0}^n \left(\sum_{i=0}^n \partial_i c_{ij} \right) \partial_j f + \underbrace{\sum_{i,j=0}^n c_{i,j} \partial_i \partial_j f}_{=0},$$

so that $\sum_{i=0}^n \partial_i b_i$ is in the Jacobian ideal. Moreover

$$\sum_j \partial_j \left(\sum_i \partial_i c_{ij} \right) = 0.$$

It follows that the ordered pair $(\sum_i \partial_i b_i / f^q, 0)$ is already in W_q^1 . Thus, in order to compute W_q^2 , one may discard trivial syzygies. Quantitatively, the trivial syzygies are numerous among the syzygies—see, for example, Table 2—so that discarding them is a tremendous improvement. A basis of *non-trivial* syzygies can be computed efficiently by means of Gröbner bases.

1.5. Reduction procedure. Let $R = a/f^q$ be a fraction in M_q . The reduced form $[R]_r$ is defined by induction on q in the following way. We decompose R as $R' + S$ where R' is minimal in some sense and where S is the first element of a pair (S, T) in W_q^r . Then $[R]_r$ is defined to be $R' + [T]_r$. By construction $[R]_r \equiv R$ modulo derivatives. The constraint on the homogeneity degree of R will ensure that T is zero at some point of the induction.

2. EXPONENTIAL ISOMORPHISM

The *exponential isomorphism*, Theorem 6, allows to manipulate polynomials rather than rational functions. It is folklore, for an account see [18]. We work in a homogeneous setting and we deal only with homogeneous fractions R of degree $-n-1$. (So that $R dx_0 \cdots dx_n$ is homogeneous of degree 0.) A fraction a/f^q is therefore represented solely by its numerator a : if a/f^q is homogeneous of degree $-n-1$, the numerator a is homogeneous of degree $q \deg f - n - 1$, so that q may be recovered from a . To the usual partial derivative ∂_i on the rational side corresponds the *twisted* derivative on the polynomial side

$$\partial'_i a \stackrel{\text{def}}{=} \partial_i a - (\partial_i f) a = e^f \partial_i (a e^{-f}).$$

The exponential isomorphism relates, on the one hand, homogeneous fractions a/f^q of degree $-n-1$ modulo derivatives and, on the other hand, homogeneous polynomials, with degree in $(\deg f)\mathbb{Z} - n - 1$, modulo twisted derivatives.

2.1. Differential forms. This section is a short reminder about differential forms, or simply *forms*.² Let Ω^1 denote the polynomial differential 1-forms: it is the free A -module of rank $n+1$, and the basis is denoted by the symbols dx_0, \dots, dx_n . The differential map d from A to Ω^1 is defined by

$$da = \sum_{i=0}^n \partial_i a dx_i.$$

The A -algebra of differential forms, denoted Ω , is the exterior algebra over Ω^1 . Its multiplication is denoted \wedge , it is generated by the dx_i and is subject to the relations $dx_i \wedge dx_j = -dx_j \wedge dx_i$. The A -module of p -forms, denoted Ω^p , is the submodule of Ω generated by the $dx_{i_1} \wedge \cdots \wedge dx_{i_p}$. With the multi-index notation, this is denoted dx^I , with $I = (i_1, \dots, i_p)$. Ω^p is a free module of rank $\binom{n}{p}$. The module of 0-forms Ω^0 is identified with A . As a module, Ω decomposes as $\bigoplus_{p=0}^n \Omega^p$. Specifically, the module Ω^{n+1} has rank 1 and is freely generated by $dx_0 \wedge \cdots \wedge dx_n$, denoted ω . The module Ω^n has rank $n+1$ and is freely generated by the elements ξ_i defined by

$$\xi_i \stackrel{\text{def}}{=} (-1)^i dx_0 \wedge \cdots \wedge dx_{i-1} \wedge dx_{i+1} \wedge \cdots \wedge dx_n.$$

²See, for example [35, chap. 10] and [10, §10], for more general and complete definitions.

2.1.1. *Exterior derivative.* The differential map d , from A to Ω^1 , extends to an endomorphism of Ω , called *exterior derivative*, such that for $\alpha \in \Omega^p$ and $\beta \in \Omega$,

$$d(\alpha \wedge \beta) = d\alpha \wedge \beta + (-1)^p \alpha \wedge d\beta.$$

In particular $d(\Omega^p)$ is included in Ω^{p+1} and $d^2 = 0$. For a n -form β , written as $\sum_i b_i \xi_i$, we check that $d\beta$ equals $(\sum_i \partial_i b_i) \omega$. The exterior derivative gives rise to a complex

$$0 \longrightarrow A \xrightarrow{d} \Omega^1 \xrightarrow{d} \dots \xrightarrow{d} \Omega^n \xrightarrow{d} \Omega^{n+1} \longrightarrow 0$$

which is exact.

2.1.2. *Homogeneity.* The degree of a monomial $x^I dx^J$ is defined to be $|I| + |J|$. A form is called *homogeneous of degree k* if it is a linear combination of monomials of degree k . If α and β are two homogeneous forms of degree k_α and k_β respectively, then $d\alpha$ is a homogeneous form of degree k_α and $\alpha \wedge \beta$ is a homogeneous form of degree $k_\alpha + k_\beta$.

2.1.3. *Koszul complex.* The exterior product with df gives a map from Ω^p to Ω^{p+1} , and since $df \wedge df$ vanishes we can consider the chain complex

$$\mathcal{K}(df) : 0 \longrightarrow A \xrightarrow{df} \Omega^1 \xrightarrow{df} \dots \xrightarrow{df} \Omega^n \xrightarrow{df} \Omega^{n+1} \longrightarrow 0,$$

known as the *Koszul complex* of A with respect to df , and its cohomology $H\mathcal{K}(df)$ defined by

$$H^p \mathcal{K}(df) = \frac{\Omega^p \cap \ker df}{df \wedge \Omega^{p-1}}.$$

For a n -form β , written as $\sum_i b_i \xi_i$, the exterior product $df \wedge \beta$ is $(\sum_i b_i \partial_i f) \omega$. Thus $H^{n+1} \mathcal{K}(df)$ is isomorphic to $A/\text{Jac } f$, with a shift of $n + 1$ in the natural grading, where $\text{Jac } f$ is the Jacobian ideal $(\partial_0 f, \dots, \partial_n f)$.

Let Syz be the kernel of the product by df on Ω^n . It is the syzygy module of the sequence $\partial_0 f, \dots, \partial_n f$. Let Syz' be $df \wedge \Omega^{n-1}$, the module of trivial syzygies, generated by the elements $\partial_i f \xi_j - \partial_j f \xi_i$. In particular $H^n \mathcal{K}(df)$ is Syz/Syz' .

2.2. **Chain complex T^p .** For an integer q , let T_q^p be the subspace of Ω^p generated by the homogeneous elements of degree qN . Let T^p be the direct sum $\bigoplus_q T_q^p$ and let $F_q T^p$ be $\bigoplus_{q' \leq q} T_{q'}^p$. Note that $df \wedge$ maps T_q^n to T_{q+1}^{n+1} and that d maps T_q^n to T_q^{n+1} . Let \mathcal{S} (resp. \mathcal{S}') be the intersection of T^n and Syz (resp. Syz'). The component of degree qN of an element α of T is denoted α_q .

The space T_q^{n+1} is the equivalent of M_q , as defined in the introductory remarks: the elements of T_q^{n+1} represent numerators of rational functions whose denominator is f^q . We define the linear map h from T^{n+1} to A_f by

$$h : a\omega \in T_q^{n+1} \longmapsto (q-1)! \frac{a}{f^q} \in A_f.$$

Of course h is not injective since $h(f\alpha) = qh(\alpha)$, for $\alpha \in T_q^{n+1}$. Finally let D_f , the *twisted differential*, from T^p to T^{p+1} be the map defined by $D_f \alpha = d\alpha - df \wedge \alpha$. Note that D_f maps $F_q T^n$ to $F_{q+1} T^{n+1}$. The anticommutation $d(df \wedge \beta) = -df \wedge d\beta$ ensures that $D_f \circ D_f = 0$, so that T^p forms a chain complex.

$$\begin{array}{ccccccc}
T_{q+1}^n & \xrightarrow{d} & T_{q+1}^{n+1} & \xrightarrow{d} & 0 & & \\
& & \uparrow df & & \uparrow df & & \\
& & T_q^n & \xrightarrow{d} & T_q^{n+1} & \xrightarrow{d} & 0 \\
& & \uparrow df & & \uparrow df & & \uparrow df \\
& & T_{q-1}^{n-1} & \xrightarrow{d} & T_{q-1}^n & \xrightarrow{d} & T_{q-1}^{n+1} \\
& & \uparrow & & \uparrow & & \uparrow
\end{array}$$

Figure 1. Rham–Koszul double complex

Remark 5. The spaces T_q^{p+q} arranged within a grid form a double complex, known as *Rham-Koszul double complex* [20], with the *horizontal* differential being d and the *vertical* one being $df \wedge$, see Figure 1. This arrangement may help visualize some of the proofs in this article.

For $p \geq 0$, let $H_{\text{Rham}}^p(\mathbb{P}_{\mathbb{K}}^n \setminus V(f))$ be the p^{th} de Rham cohomology group of the variety $\mathbb{P}_{\mathbb{K}}^n \setminus V(f)$,³ and let $H^{p+1}T$ be the p^{th} cohomology group of the complex T , that is $(T^p \cap \ker D_f)/D_f(T^{p-1})$. The following theorem has been proved in numerous occasions under several appearances, it goes back at least to Dwork. In this exact form, I am aware of proofs by Dimca [19, Theorem 1.8], Malgrange [34] and Deligne [17].

Theorem 6. $H^{p+1}T \simeq H_{\text{Rham}}^p(\mathbb{P}_{\mathbb{K}}^n \setminus V(f))$, for all $p \geq 1$.

We will only make use of Theorem 6 in the case where $p = n$. The cohomology group $H^{n+1}T$ is $T^{n+1}/D_f(T^n)$ and $H_{\text{Rham}}^n(\mathbb{P}_{\mathbb{K}}^n \setminus V(f))$ is isomorphic to the subspace of $A_f/\sum_i \partial_i A_f$ generated by the homogeneous elements of degree $-n - 1$, and the isomorphism is the map induced by $h : T^{n+1} \rightarrow A_f$:

Proposition 7. $h(D_f(T^n)) \subset \sum_{i=0}^n \partial_i A_f$. In other words, the map h induces a map from $T^{n+1}/D_f(T^n)$ to $A_f/\sum_i \partial_i A_f$.

Proof. Let $\beta = \sum_{i=0}^n b_i \xi_i$ be an element of T_q^n , then

$$\begin{aligned}
h(D_f(\sum_{i=0}^n b_i \xi_i)) &= \sum_{i=0}^n h(\partial_i b \omega) - h(b_i \partial_i f \omega) = \sum_{i=0}^n (q-1)! \frac{\partial_i b_i}{f^q} - q! \frac{b_i \partial_i f}{f^{q+1}} \\
&= (q-1)! \sum_{i=0}^n \partial_i \left(\frac{b_i}{f^q} \right). \quad \square
\end{aligned}$$

This way, the goal of computing normal forms modulo derivatives of rational functions can be reformulated as computing normal forms of elements of T^{n+1} modulo $D_f(T^n)$.

Example 8. With $f = x^2y - z^3$, Equation (4) rewrites

$$x^3 dx dy dz = D_f\left(\frac{2}{7}x^4 dy dz + \frac{1}{7}x^3 dx dz\right).$$

³See [28] for a general definition and [27] for a definition in the specific case of a complement of a projective hypersurface

The rewriting is not always as simple as in this example but Theorem 6 asserts that it is always possible.

2.3. Filtered maps. The space T^{n+1} admits a filtration given by the subspaces $F_q T^{n+1}$ with $q \in \mathbb{Z}$. In the next sections, we will define reduction maps which will be *filtered* endomorphisms of T^{n+1} , that is to say linear maps $u : T^{n+1} \rightarrow T^{n+1}$ such that $u(F_q T^{n+1}) \subset F_q T^{n+1}$ for all $q \in \mathbb{Z}$. Two filtered endomorphisms of T^{n+1} , say u and v , are *equivalent* if for all $q \in \mathbb{Z}$ and all $\alpha \in F_q T^{n+1}$ we have $u(\alpha) \equiv v(\alpha)$ modulo $F_{q-1} T^{n+1}$.

For all filtered map u , we can define the *associated graded map* as

$$\text{Gr } u : \alpha \in T^{n+1} \mapsto \sum_{q \geq 0} u(\alpha)_q \in T^{n+1}.$$

Two filtered maps are equivalent if and only if their associated graded maps are equal.

3. GRIFFITHS-DWORK REDUCTION

We reword the Griffiths-Dwork reduction, presented in Section 1, in the above setting. Let us choose a monomial ordering on A , denoted \prec . For a linear subspace V of A and an element a of A , let $\text{rem}(a, V)$, be the unique b in A such that $a - b$ is in V and no monomial of b is divided by the leading monomial of some element of V . If V is an ideal of A , this can be computed using a Gröbner basis of V , and if it is a finite-dimensional subspace, then Gaussian elimination following the monomial ordering computes $\text{rem}(a, V)$.

The elementary step of the Griffiths-Dwork reduction is the following. Let α be an element of T_q^{n+1} . By definition there is a β in T^n such that $\alpha = \text{rem}(\alpha, \text{df} \wedge T^n) + \text{df} \wedge \beta$. We choose β in such a way that: it depends linearly on α ; $\beta = 0$ if α is in $D_f T^n$; and β is in T_{q-1}^n . The *elementary reduction of α in degree q* is then defined to be

$$(6) \quad \text{red}_q^{\text{GD}}(\alpha) \stackrel{\text{def}}{=} \text{rem}(\alpha, \text{df} \wedge T^n) + \text{d}\beta.$$

For α in T_k^{n+1} , for some k different from q , we define $\text{red}_q^{\text{GD}}(\alpha) = \alpha$. The definition of red_q^{GD} depends on the choice of β ; however, the equivalence class of red_q^{GD} as a filtered map does not.

This elementary reduction is very easy to compute using a Gröbner basis of the Jacobian ideal $\text{Jac } f = (\partial_0 f, \dots, \partial_n f)$ and its cofactors. Indeed, the multivariate division algorithm gives a decomposition of a polynomial a as $\text{rem}(a, \text{Jac } f) + \sum_{i=0}^n b_i \partial_i f$. If α is $a\omega$, then $\text{rem}(\alpha, \text{df} \wedge T^n)$ is $\text{rem}(a, \text{Jac } f)\omega$ and β may be chosen equal to $\sum_i b_i \xi_i$. In this way, the assumptions on β are naturally satisfied. See Section 7 for more details about the implementation.

By construction, $\alpha - \text{red}_q^{\text{GD}}(\alpha) = -D_f \beta$, so that red_q^{GD} is an idempotent map whose kernel is included in $D_f(T^n)$. When translated into a relation between fractions, this reflects integration by parts:

$$\oint b_i \partial_i (1/f^{q-1}) \text{d}\mathbf{x} = - \oint \partial_i b_i / f^{q-1} \text{d}\mathbf{x}.$$

This reduction step can be iterated and for $\alpha \in F_q T^{n+1}$, the *Griffiths-Dwork reduction* of α , denoted $[\alpha]_{\text{GD}}$, is defined as

$$[\alpha]_{\text{GD}} \stackrel{\text{def}}{=} \text{red}_1^{\text{GD}} \circ \dots \circ \text{red}_q^{\text{GD}}(\alpha).$$

We check the following recursive relation: $[\alpha]_{\text{GD}} = \text{rem}(\alpha, df \wedge T^n) + [d\beta]_{\text{GD}}$, where β is the one in the equation 6. Again, the map $[\]_{\text{GD}}$ depend on the choice of β but its equivalence class, as a filtered map, does not.

Proposition 9. *The map $[\]_{\text{GD}}$ is filtered, idempotent and $\ker[\]_{\text{GD}} \subset D_f(T^n)$. In particular $\alpha \equiv [\alpha]_{\text{GD}}$ modulo $D_f(T^n)$ for all $\alpha \in T^{n+1}$. Moreover, for all $q \geq 0$ and $\alpha \in F_q T^{n+1}$, $[\alpha]_{\text{GD}} \in F_{q-1} T^{n+1}$ if and only if $\alpha \in D_f(F_{q-1} T^n) + F_{q-1} T^{n+1}$.*

Proof. It is straightforward that the map $[\]_{\text{GD}}$ is filtered, idempotent and that $\ker[\]_{\text{GD}}$ is included in $D_f(T^n)$. Concerning the second point, let $\alpha \in F_q T^{n+1}$. By construction $[\alpha]_{\text{GD}} = \alpha + D_f \beta$ for some $\beta \in F_{q-1} T^n$. So if $[\alpha]_{\text{GD}}$ is in $F_{q-1} T^{n+1}$ then α is in $D_f(F_{q-1} T^n) + F_{q-1} T^{n+1}$.

Conversely, let $\alpha = D_f \beta + \varepsilon$, with $\beta \in F_{q-1} T^n$ and $\varepsilon \in F_{q-1} T^{n+1}$. Then $\alpha_q = df \wedge \beta_{q-1}$, and so $\text{rem}(\alpha_q, df \wedge T^n) = 0$. By Equation (6), $\text{red}_q^{\text{GD}}(\alpha) \in F_{q-1} T^{n+1}$, and so $[\alpha]_{\text{GD}}$ as well. \square

The Griffiths-Dwork reduction $[\]_{\text{GD}}$ is a multivariate and homogeneous analogue of Hermite reduction. In general, it does not have all the nice properties of Hermite reduction: it may happen that for some α in $D_f(T^n)$ the reduction $[\alpha]_{\text{GD}}$ is not zero and it may fail at reducing the degree. Nevertheless, Dwork [22, §3; 23, §8] and Griffiths [27, §4] have proven the following:

Theorem 10 (Dwork, Griffiths). *If $V(f)$ is smooth in $\mathbb{P}_{\mathbb{K}}^n$ then*

- (i) $\ker[\]_{\text{GD}} = D_f(T^n)$,
- (ii) for all α in T^{n+1} the reduction $[\alpha]_{\text{GD}}$ is in $F_n T^{n+1}$

Remark 11. This theorem still holds if we replace $[\]_{\text{GD}}$ by any equivalent filtered and idempotent map u whose kernel is included in $D_f(T^n)$. Indeed, in this case $\ker \text{Gr } u = \ker \text{Gr}[\]_{\text{GD}} = \text{Gr}(D_f T^n)$. Since $\ker \text{Gr } u$ is $\text{Gr}(\ker u)$, this implies that $\ker u = D_f(T^n)$. Moreover, the point (ii) implies that $[F_q T^{n+1}]_{\text{GD}} \subset F_{q-1} T^{n+1}$ for all $q > n$. Since $[\]_{\text{GD}}$ and u are equivalent, the same holds for u . And since u is assumed to be idempotent, this implies that $u(T^{n+1}) \subset F_n T^{n+1}$.

The hypothesis “ $V(f)$ is smooth” is equivalent to the fact that $\text{Jac } f$ is a zero-dimensional ideal, that is $A/\text{Jac } f$ is finite-dimensional over \mathbb{K} . It is also equivalent to the equality of \mathcal{S} and \mathcal{S}' , respectively the syzygies and the trivial syzygies in T^n . The main step of the proof of Theorem 10 is [27, Theorem 4.3]:

Theorem 12 (Dwork, Griffiths). *If $V(f)$ is smooth in $\mathbb{P}_{\mathbb{K}}^n$ then $D_f(T^n) \cap F_q T^{n+1}$ is contained in $D_f(F_{q-1} T^n)$ for all $q \geq 0$.*

In the singular case, it is never true that $\ker[\]_{\text{GD}} = D_f(T^n)$. Worse still, the cokernel $T^{n+1}/\ker[\]_{\text{GD}}$ is never finite dimensional. Indeed, we have

$$\frac{F_q T^{n+1}}{F_q T^{n+1} \cap \ker[\]_{\text{GD}} + F_{q-1} T^{n+1}} \simeq (A/\text{Jac } f)_{qN-n-1},$$

so the quotient is finite dimensional if and only if $\text{Jac } f$ is a zero-dimensional ideal.

4. COMPUTATION OF HIGHER ORDER RELATIONS

4.1. Construction. Let W_q^1 be the subspace of $T_q^{n+1} + T_{q-1}^{n+1}$ defined by

$$(7) \quad W_q^1 \stackrel{\text{def}}{=} D_f(T_{q-1}^n) = \{-df \wedge \beta + d\beta \mid \beta \in T_{q-1}^n\}.$$

$$\begin{array}{ccccccc}
 & & & & & & 0 \ (= -df \wedge \beta_{q+2}) \\
 & & & & & & \uparrow -df \\
 & & & & & & \beta_{q+2} \xrightarrow{d} 0 \ (= d\beta_{q+2} - df \wedge \beta_{q+1}) \\
 & & & & & & \uparrow -df \\
 & & & & & & \beta_{q+1} \xrightarrow{d} 0 \\
 & & & & & & \uparrow -df \\
 & & & & & & \beta_q \xrightarrow{d} \alpha_q \\
 & & & & & & \uparrow -df \\
 & & & & & & \beta_{q-1} \xrightarrow{d} \alpha_{q-1}
 \end{array}$$

Figure 2. A n -form $\beta \in T_{q-1}^n + \cdots + T_{q+2}^n$ such that $D_f\beta \in F_q T^{n+1}$, thus giving an element α of W_q^4 .

Following the idea developed in Section 1, we define, for $r \geq 1$ and $q \geq 0$

$$W_q^{r+1} \stackrel{\text{def}}{=} W_q^1 + W_{q+1}^r \cap F_q T^{n+1}.$$

Compared to Section 1, the space M_q has been replaced by T_q^{n+1} and the product $M_q \times M_{q-1}$ by the direct sum $T_q^{n+1} \oplus T_{q-1}^{n+1}$.

Proposition 13. *For all $r \geq 1$ and $q \geq 0$,*

$$W_q^r = D_f \left(\sum_{k=1}^r T_{q+k-2}^n \right) \cap F_q T^{n+1}.$$

Proof. By induction on r . For $r = 1$, the claim reduces to $W_q^1 = D_f(T_{q-1}^n)$, which is the definition. Then, let us prove that the right-hand side satisfies the recurrence relation defining W_q^r , that is:

$$D_f \left(\sum_{k=1}^{r+1} T_{q+k-2}^n \right) \cap F_q T^{n+1} = D_f(T_{q-1}^n) + D_f \left(\sum_{k=1}^r T_{q+k-1}^n \right) \cap F_q T^{n+1},$$

which follows simply from $D_f(T_{q-1}^n) \subset F_q T^{n+1}$. \square

Figure 2 depicts what are elements of W_q^r .

Example 14. With $f = xy^2 - z^3$, we find that $W_1^1 = 0$ and

$$W_2^1 = \langle x^2y, xy^2, y^3, xyz, y^2z, xz^2, yz^2, z^3, 1 \rangle \omega.$$

Thus $W_2^1 \cap T_1^3 = \langle \omega \rangle$ and $W_1^2 = \langle \omega \rangle$.

4.2. Reductions of order r . The higher order analogue of red_q^{GD} , denoted red_q^r is the linear map $T^{n+1} \rightarrow T^{n+1}$ defined by

$$\text{red}_q^r \alpha \stackrel{\text{def}}{=} \text{rem}(\alpha, W_q^r),$$

for α in T_q^{n+1} , and $\text{red}_q^r \alpha = \alpha$ for $\alpha \in T_k^r$ with $k \neq q$. As for the Griffiths-Dwork reduction, we define for α in $F_q T^{n+1}$

$$[\alpha]_r \stackrel{\text{def}}{=} \text{red}_1^r \circ \cdots \circ \text{red}_q^r(\alpha).$$

This reduction map enjoys the following properties, to be compared with Proposition 9 relative to $[\]_{\text{GD}}$.

Proposition 15. *Let $r \geq 1$. The map $[\]_r$ is filtered and idempotent, its kernel is included in $D_f(T^n)$ and $[\]_{r+1} \circ [\]_r = [\]_{r+1}$. Moreover, for all $q \geq 0$ and $\alpha \in F_q T^{n+1}$, $[\alpha]_r \in F_{q-1} T^{n+1}$ if and only if $\alpha \in D_f(F_{q+r-2} T^{n+1}) + F_{q-1} T^{n+1}$.*

Proof. It is straightforward that the map $[\]_r$ is filtered and idempotent. Since $W_q^r \subset D_f(T^n)$, for all q , we have $\ker[\]_r \subset D_f(T^n)$. And since $W_q^r \subset W_q^{r+1}$ we have $[\]_{r+1} \circ [\]_r = [\]_{r+1}$.

Let $\alpha \in F_q T^{n+1}$ such that $[\alpha]_r \in F_{q-1} T^{n+1}$. From the definition, $[\alpha]_r \equiv \text{red}_q^r \alpha \pmod{F_{q-1} T^{n+1}}$ and $\text{red}_q^r \alpha \equiv \alpha \pmod{W_q^r}$. So $\alpha \equiv 0 \pmod{W_q^r + F_{q-1} T^{n+1}}$ and $\alpha \in D_f(F_{q+r-2} T^{n+1}) + F_{q-1} T^{n+1}$.

Conversely, let us assume that $\alpha = D_f \beta + \alpha'$, with β in $F_{q+r-2} T^{n+1}$ and α' in $F_{q-1} T^{n+1}$. The form β splits as $\beta' + \varepsilon$, with $\beta' \in \sum_{k=1}^r T_{q+k-2}^n$ and $\varepsilon \in F_{q-2} T^n$. We check that $D_f \beta' \in F_q T^{n+1}$, so $D_f \beta' \in W_q^r$, by Proposition 13. And $\text{red}_q^r(D_f \beta') \in F_{q-1} T^{n+1}$, by definition of red_q^r . Thus

$$\text{red}_q^r(\alpha) = \text{red}_q^r(D_f \beta') + \text{red}_q^r(D_f \varepsilon + \alpha') \in F_{q-1} T^{n+1},$$

and $[\alpha]_r$, which equals $[\text{red}_q^r(\alpha)]_r$, is in $F_{q-1} T^{n+1}$ as well. \square

Corollary 16. $D_f(T^n) = \bigcup_{r \geq 1} \ker[\]_r$.

Proof. Let $\beta \in T^n$ such that $D_f \beta \neq 0$. Let $q \geq 0$ be the least integer such that $D_f \beta \in F_q T^{n+1}$. Let $r \geq 1$ such that $\beta \in F_{q+r-2} T^{n+1}$. By Proposition 15, $[D_f \beta]_r$ is in $F_{q-1} T^n$, and it is also in $D_f(T^n)$ because $D_f \beta \equiv [D_f \beta]_r$ modulo $D_f(T^n)$. By induction on q , there exists an $s \geq r$ such that $[[D_f \beta]_r]_s = 0$. Since $[\]_s \circ [\]_r = [\]_s$, the result follows. \square

Remark 17. The reductions $[\]_{\text{GD}}$ and $[\]_1$ do not necessarily coincide, but they are equivalent filtered maps.

Thus, we have a family of finer and finer reductions which generalize the Griffiths-Dwork reduction and which are exhaustive in the sense that they reduce to zero every $D_f \beta$ if r is large enough. However, two problems remains. The first one is practical: as defined, the computation of $[\]_r$, for a given r , involves the resolution of huge linear systems, both when computing the spaces W_q^r and when computing red_q^r . This is in contrast with $[\]_{\text{GD}}$ which only involve the computation of a Gröbner basis and reductions modulo it for computing red_q^{GD} . The §4.3 describe a faster way to compute $[\]_r$. The second problem is theoretical: how to set the parameter r ? This is addressed in Section 5.

4.3. Faster computation. There are two ingredient for computing $[\]_r$ faster than with plain linear algebra. The first is the use of red_q^{GD} , whose implementation is efficient and which readily perform a great deal of reductions. Secondly, we discard trivial syzygies, as explained in §1.4.

Let A_q be a complementary subspace of \mathcal{S}'_q in \mathcal{S}_q , that is \mathcal{S}_q equals $\mathcal{S}'_q \oplus A_q$. Let $X_q^1 \stackrel{\text{def}}{=} dA_{q-1}$ and, for all $q \geq 0$ and $r \geq 1$,

$$X_q^{r+1} \stackrel{\text{def}}{=} dA_{q-1} + \text{red}_q^{\text{GD}}(X_{q+1}^r \cap F_q T^{n+1}).$$

Since $dA_{q-1} = D_f(A_{q-1})$, it is clear that $X_q^1 \subset D_f(F_{q-1}T^n)$, and by induction on r , we obtain that $X_q^r \subset D_f(F_{q+r-2}T^n)$. Moreover, we have $\text{red}_q^{\text{GD}}\alpha = \alpha$ for all q and all $\alpha \in X_q^r$. Finally, let $\rho_q^r : T^{n+1} \rightarrow T^{n+1}$ the linear map defined by

$$\rho_q^r(\alpha) \stackrel{\text{def}}{=} \text{rem}(\text{red}_q^{\text{GD}}(\alpha), X_q^r),$$

for α in T_q^{n+1} , and $\rho_q^r(\alpha) = \alpha$ for $\alpha \in T_k^r$ with $k \neq q$. For $\alpha \in F_q T^{n+1}$ we define

$$[\alpha]_r' \stackrel{\text{def}}{=} \rho_1^r \circ \dots \circ \rho_q^r(\alpha).$$

This paragraph aims at proving the following:

Theorem 18. *For all $r \geq 1$, the map $[\]_r'$ is filtered and idempotent, its kernel is included in $D_f(T^n)$ and $[\]_{r+1}' \circ [\]_r' = [\]_{r+1}'$. Moreover, it is equivalent to $[\]_r$, in particular, for all $q \geq 0$ and $\alpha \in F_q T^{n+1}$, $[\alpha]_r' \in F_{q-1}T^{n+1}$ if and only if $\alpha \in D_f(F_{q+r-2}T^{n+1}) + F_{q-1}T^{n+1}$.*

Corollary 19. $D_f(T^n) = \bigcup_{r \geq 1} \ker [\]_r'$.

Proof. The proof is the same as Corollary 16. \square

The map $[\]_r'$ is easier to compute than $[\]_r$ because the linear algebra involved in the computation of X_q^r arises in much lower dimension than the one for W_q^r . It comes at the cost of using red_q^{GD} and of computing the space A_q of non trivial syzygies, which can be done efficiently through Gröbner bases computations, see Section 7.

The main fact which allows to discard trivial syzygies is the following:

Lemma 20. $\text{red}_q^{\text{GD}}(d\mathcal{S}'_q) \subset d\mathcal{S}_{q-1}$, for all $q \geq 0$.

Proof. Recall that $\mathcal{S}'_q = df \wedge T_{q-1}^n$, so let $\beta \in T_{q-1}^n$. The differential anti-commutes with $df \wedge$ so that $d(df \wedge \beta) = -df \wedge d\beta$. By definition $\text{red}_q^{\text{GD}}(d(df \wedge \beta))$ is thus $d\gamma$ for some $\gamma \in T_{q-1}^n$ such that $df \wedge \gamma = -df \wedge d\beta$. Thus $\gamma = -d\beta + \varepsilon$, for some $\varepsilon \in \mathcal{S}_{q-1}$. Since $d(d\beta) = 0$, we obtain that $\text{red}_q^{\text{GD}}(d(df \wedge \beta)) = d\varepsilon$. \square

Let $G_q \subset T^{n+1}$ be the kernel of red_q^{GD} . It is a subspace of $T_q^{n+1} \oplus T_{q-1}^{n+1}$.

Proposition 21. $W_q^r = X_q^r + G_q + d\mathcal{S}'_{q-1}$, for all $q \geq 0$ and $r \geq 1$.

Proof. We proceed by induction on r . When $r = 1$, it boils down to proving that $D_f(T_{q-1}^n) = dA_{q-1} + G_q + d\mathcal{S}'_{q-1}$, that is $D_f(T_{q-1}^n) = G_q + d\mathcal{S}_{q-1}$, using the fact that $dA_{q-1} + d\mathcal{S}'_{q-1} = d\mathcal{S}_{q-1}$. Let $\beta \in T_{q-1}^n$. By definition of red_q^{GD} ,

$$\text{red}_q^{\text{GD}}(D_f\beta) = -\text{red}_q^{\text{GD}}(df \wedge \beta) + d\beta = d(\beta - \beta'),$$

for some $\beta' \in T_{q-1}^n$ such that $df \wedge \beta' = df \wedge \beta$. Thus $\beta - \beta'$ lies in \mathcal{S}_{q-1} and $\text{red}_q^{\text{GD}}(D_f\beta)$ is in $d\mathcal{S}_{q-1}$. Moreover, since red_q^{GD} is idempotent, $D_f\beta - \text{red}_q^{\text{GD}}(D_f\beta)$ is in G_q , and in the end $D_f\beta \in G_q + d\mathcal{S}_{q-1}$. Conversely, $\mathcal{S}_{q-1} \subset T_{q-1}^n$, so it remains to prove that $G_q \subset D_f(T_{q-1}^n)$, which is easy from the definitions.

Now let $r \geq 1$. By definition, and by the induction hypothesis

$$W_q^{r+1} = W_q^1 + W_{q+1}^r \cap F_q T^{n+1}$$

$$= G_q + dA_{q-1} + d\mathcal{S}'_{q-1} + (X_{q+1}^r + d\mathcal{S}'_q + G_{q+1}) \cap F_q T^{n+1}.$$

And we have

$$(X_{q+1}^r + d\mathcal{S}'_q + G_{q+1}) \cap F_q T^{n+1} = X_{q+1}^r \cap F_q T^{n+1} + d\mathcal{S}'_q.$$

Indeed $d\mathcal{S}'_q \subset F_q T^{n+1}$, and if $\alpha \in X_{q+1}^r$ and $\alpha' \in G_{q+1}$ are such that $\alpha + \alpha' \in F_q T^{n+1}$, then $\alpha' = 0$ because

$$\alpha + \alpha' = \text{red}_{q+1}^{\text{GD}}(\alpha + \alpha') = \text{red}_{q+1}^{\text{GD}}(\alpha) + \text{red}_{q+1}^{\text{GD}}(\alpha') = \alpha + 0.$$

Thus $W_q^{r+1} = G_q + dA_{q-1} + d\mathcal{S}'_{q-1} + d\mathcal{S}'_q + X_{q+1}^r \cap F_q T^{n+1}$. For any linear subspace $A \subset T^{n+1}$, the decomposition $\alpha \in A$ as $\text{red}_q^{\text{GD}} \alpha + (\alpha - \text{red}_q^{\text{GD}} \alpha)$ shows that $G_q + \text{red}_q^{\text{GD}}(A) = G_q + A$. Thus

$$W_q^{r+1} = G_q + dA_{q-1} + d\mathcal{S}'_{q-1} + \text{red}_q^{\text{GD}}(d\mathcal{S}'_q) + \text{red}_q^{\text{GD}}(X_{q+1}^r \cap F_q T^{n+1}),$$

and the statement follows, by Lemma 20 and the definition of X_q^{r+1} . \square

We may now prove Theorem 18.

Proof of Theorem 18. It is straightforward that $[\]'_r$ is filtered and idempotent, that $\ker[\]'_r \subset D_f(T^n)$ and that $[\]'_{r+1} \circ [\]'_r = [\]'_{r+1}$.

To prove that $[\]_r$ and $[\]'_r$ are equivalent, it is enough to prove that red_q^r and ρ_q^r are equivalent. And indeed, if $\alpha \in F_q T^{n+1}$ then

$$\rho_q^r(\alpha) \equiv \text{rem}(\alpha, G_q + X_q^r) \pmod{F_{q-1} T^{n+1}}$$

$$\text{and } \text{red}_q^r(\alpha) \equiv \text{rem}(\alpha, d\mathcal{S}'_{q-1} + G_q + X_q^r) \pmod{F_{q-1} T^{n+1}},$$

using Proposition 21. Since $d\mathcal{S}'_{q-1} \subset F_{q-1} T^{n+1}$ the claim follows. \square

In what follows, $[\]_r$ will stand for $[\]'_r$. Except in terms of computational complexity, they have the same properties.

4.4. Quantitative facts. It is useful to introduce the spaces

$$E_q^r \stackrel{\text{def}}{=} \frac{F_q T^{n+1}}{D_f(F_{q+r-2} T^n) \cap F_q T^{n+1} + F_{q-1} T^{n+1}}.$$

It is clear that E_q^0 is $F_q T^{n+1}/F_{q-1} T^{n+1}$, which is isomorphic to T_q^{n+1} . Moreover, as a reformulation of Proposition 9, the space E_q^1 is

$$E_q^1 = \text{coker}(\text{Gr}[\]_{\text{GD}})_q \stackrel{\text{def}}{=} \frac{F_q T^{n+1}}{\{\alpha \in F_q T^{n+1} \mid [\alpha]_{\text{GD}} \in F_{q-1} T^{n+1}\}} \simeq \frac{T_q^{n+1}}{df \wedge T_{q-1}^n}.$$

And by Proposition 15, this generalizes to the isomorphism $E_q^r \simeq \text{coker}(\text{Gr}[\]_r)_q$. In other words, E_q^r is $F_q T^{n+1}$ modulo elements which are reducible to $F_{q-1} T^{n+1}$ by $[\]_r$. The space E_q^{r+1} is a quotient of E_q^r , and the dimension fall represents how many new relations in degree qN are computed by $[\]_{r+1}$ compared to $[\]_r$. For $r = 2$, we check that

$$E_q^2 \simeq \frac{T_q^{n+1}}{df \wedge T_{q-1}^n + d\mathcal{S}_q} = \frac{T_q^{n+1}}{df \wedge T_{q-1}^n + dA_q}.$$

The dimension of E_q^0 is $\binom{Nq-1}{n}$, which is equivalent to $N^n q^n/n!$ when $q \rightarrow \infty$. The dimension of E_q^1 is $\mathcal{O}(q^\nu)$, where ν is the dimension of the singular locus of $V(f)$ in $\mathbb{P}_{\mathbb{K}}^n$. There is no easy estimate of the dimension of E_q^2 , but $\dim A_{q-1}$ is also $\mathcal{O}(q^\nu)$. By contrast, $\dim \mathcal{S}_q \sim (n+1)N^n q^n/n!$. For the computation of $[\]_2$ (or rather $[\]'_2$),

q	0	1	2	3	4	$q > 4$
$\dim E_q^0$	0	10	165	680	1771	$\binom{6q-1}{3} \sim 36q^3$
$\dim E_q^1$	0	10	86	102	120	$18q + 48$
$\dim E_q^2$	0	10	7	6	6	6
$\dim E_q^3$	0	9	1	0	0	0
$\dim E_q^r, r \geq 4$	0	9	1	0	0	0

Table 1. Some dimensions related to Example 22

it is thus a substantial improvement to consider the non-trivial syzygies A_q rather than all the syzygies \mathcal{S}_q .

Example 22. To illustrate precisely what does bring the maps $[\]_r$ in comparison with $[\]_{\text{GD}}$, let us consider the polynomial f

$$f \stackrel{\text{def}}{=} 2x_1x_2x_3(x_0 - x_1)(x_0 - x_2)(x_0 - x_3) - x_0^3(x_0^3 - x_0^2x_3 + x_1x_2x_3)$$

coming from an integral for the Apéry numbers, see Example 1. In this case $n = 3$ and $N = 6$. The dimension of the singular locus of $V(f)$ in $\mathbb{P}_{\mathbb{K}}^3$ is 1.

The dimensions of the first few E_q^r are shown in Table 1. This illustrates the successive dimension falls. Noticeably, at $r = 3$ a new relation appears in F_1T^{n+1} . It is $(2x_1^2 - 2x_2^2 - x_0(x_1 - x_2))\omega$, which equals $D_f\beta$ for some β in F_2T^n but no such β is small enough to be reproduced here.

Illustrating the same polynomial f , Table 2 shows the numbers of syzygies and non-trivial syzygies at a given degree. It also displays the difference $\dim E_q^1 - \dim E_q^2$, that is how many new relations are really generated from the syzygies.

5. EXTENSIONS OF GRIFFITHS' THEOREMS

Given α in T^{n+1} , how can we compute a r such that if α is in $D_f(T^n)$ then $[\alpha]_r$ equals zero? Corollaries 16 and 19 are lacking effective bounds and do not answer this question. Dimca proved two theorems [18, Th. B and Cor. 2; 19, Th. 2.7] which generalize Theorem 10. While they do not give a full answer, they allow to give enough guarantees on $[\]_r$ to design algorithms that terminates.

Theorem 23 (Dimca). *There exists an integer C , depending only on f , such that $D_f(T^n) \cap F_qT^{n+1} \subset D_f(F_{q+C-2}T^n)$ for all $q \geq 0$.*

This statement is to be compared with Theorem 12. Given f and q , it is easy to prove that there exists a C such that $D_f(T^n) \cap F_qT^{n+1} \subset D_f(F_{q+C-2}T^n)$, because the left-hand side is a finite dimensional space and it is included in $\cup_{C \geq 0} D_f(F_{q+C-2}T^n)$. It is remarkable that one can choose a C which does not depend on q . Let r_f be the least such C .

Corollary 24. $\ker[\]_{r_f} = D_f(T^n)$.

Proof. Let $\beta \in T^n$ and $q \geq 0$ the least integer such that $D_f\beta \in F_qT^{n+1}$. By Theorem 23, there exists $\beta' \in F_{q+r_f-2}T^n$ such that $D_f\beta' = D_f\beta$. Thus, by Theorem 18, $[D_f\beta]_{r_f}$ is in $F_{q-1}T^{n+1}$, and besides, it is also in $D_f(T^n)$. By induction on q , $[[D_f\beta]_{r_f}]_{r_f} = 0$. Since $[\]_{r_f}$ is idempotent, the claim follows. \square

q	0	1	2	3	4	$q > 4$
$\dim \mathcal{S}_q$	0	21	522	2429	6604	$\sim 144q^3$
$\dim A_q$	0	1	92	132	168	$36q + 24$
$\dim E_q^1 - \dim E_q^2$	0	0	79	96	114	$18q + 42$

Table 2. Gain of dimension by discarding trivial syzygies and number of new relations generated by the syzygies in the Example 22

Unfortunately, this integer r_f , while explicit, is not easy to compute: in Dimca's proof it is expressed in terms of a resolution of the singularities of the projective variety $V(f)$. By contrast, the point (ii) of Theorem 10 fully generalizes to singular cases:

Theorem 25 (Dimca). $D_f(T^n) + F_n T^{n+1} = T^{n+1}$.

Corollary 26. For all $\alpha \in T^{n+1}$, the reduction $[\alpha]_{r_f}$ lie in $F_n T^{n+1}$.

Proof. By Theorem 25, there exists $\beta \in T^n$ such that $\alpha + D_f \beta$ is in $F_n T^{n+1}$. Since $[\alpha]_{r_f} = [\alpha + D_f \beta]_{r_f} - [D_f \beta]_{r_f}$, the claim follows from Corollary 24. \square

For some applications, such that the computation of annihilating operators of periods with a parameter, Theorem 25 gives an efficient workaround to the lack of *a priori* bounds for r_f . Consider an algorithm which computes reductions $[\alpha]_r$, for some forms α and some fixed integer r , and does it as long as the reductions it computes are linearly independent. Then either all the $[\alpha]_r$ are in the finite dimensional space $F_n T^{n+1}$, and then the algorithm terminates; or some $[\alpha]_r$ is not in $F_n T^{n+1}$, and then $r < r_f$, by Theorem 25. When the second case is encountered, we abort the algorithm, increment r and start over. This may happen only if $r < r_f$, and when it happens r increases. So it may happen only finitely many times and the algorithm terminates.

Concerning the integer r_f Dimca [18] conjectured that

Conjecture 27. $r_f \leq n + 1$.

As far as I know, computations on explicit examples confirm this conjecture. Moreover the bound is tight when $n = 2$. A proof of this conjecture would have very interesting algorithmic consequences: the reduction algorithm is extendable to the computation of the whole cohomology of T , not just the top cohomology. Only the bound $r_f \leq n + 1$ is lacking for obtaining an efficient algorithm for computing the de Rham cohomology of the complement of a projective hypersurface.

Part 2. Periods with a parameter

We apply the reduction algorithm to the computation of Picard-Fuchs equations.

6. ALGORITHMS

6.1. Setting. Let \mathbb{K} be a field of characteristic zero with a derivation δ . Typically \mathbb{K} is $\mathbb{Q}(t)$ and δ is the usual derivation with respect to t . Let $\mathbb{K}\langle\delta\rangle$ be the algebra of differential operators in δ : it is the associative algebra with unity generated over \mathbb{K} by δ and subject to the relations $\delta x = x\delta + \delta(x)$ for all x in \mathbb{K} , where $\delta(x)$

denotes the application of δ to x whereas δx is the operator that multiplies by x and then applies δ . On $\mathbb{K}(x_0, \dots, x_n)$, let ∂_i denote the derivation with respect to x_i . The derivation δ extends to $\mathbb{K}(x_0, \dots, x_n)$ uniquely by setting $\delta(x_i) = 0$. In particular $\delta \circ \partial_i = \partial_i \circ \delta$.

This section describes an algorithm which takes as input a rational function R in $\mathbb{K}(x_1, \dots, x_n)$ and outputs an operator \mathcal{L} in $\mathbb{K}\langle\delta\rangle$ such that there exist other rational functions C_1, \dots, C_n with

$$\mathcal{L}(R) = \sum_{i=1}^n \partial_i C_i.$$

Moreover, the irreducible factors of the denominators of the C_i divide the denominator of R . Such an operator will be called an *annihilating operator of the periods of R* , or a *differential equation for $\oint R$* . The minimal annihilating operator of $\oint R$ is called the *Picard-Fuchs equation* (of $\oint R$). The output operator \mathcal{L} is not necessarily the Picard-Fuchs equation but it is of course a left multiple of it.

Being based on the reduction algorithm of Part 1, the algorithm does not compute the C_i . It is worth a word because while only \mathcal{L} matters, the size of the C_i , say the size of a binary dense representation, is usually much larger than the size of \mathcal{L} [9, Rem. 11]. To be able to compute \mathcal{L} without computing the C_i is certainly a good point toward practical efficiency. The fractions C_i are called a *certificate*: they allow to check *a posteriori* that \mathcal{L} is indeed an annihilating operator of $\oint R$.

6.2. Homogenization. The reduction algorithm works in an homogeneous setting. If we are interested in computing the Picard-Fuchs equation of the integral of an inhomogeneous function, the problem can be homogenized as follows. Let R_{hom} be the homogenization of R in degree $-n - 1$ defined by

$$R_{\text{hom}} = x_0^{-n-1} R \left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0} \right) \in \mathbb{K}(\mathbf{x}),$$

where \mathbf{x} denotes x_0, \dots, x_n hereafter. The rational function $R_{\text{hom}}(\mathbf{x})$ is *homogeneous of degree $-n - 1$* , that is $R_{\text{hom}}(\lambda x_0, \dots, \lambda x_n) = \lambda^{-n-1} R_{\text{hom}}(x_0, \dots, x_n)$, or, equivalently, $R_{\text{hom}} = b/g$ where b and g are homogeneous polynomials such that $\deg b + n + 1 = \deg g$.

Let us write R_{hom} as a/f^q , with a and f two homogeneous polynomials and q an integer. Usually f will be chosen square-free but we don't have to. Let N be the degree of f . Since R_{hom} is homogeneous of degree $-n - 1$, the degree of a is $qN - n - 1$. This is the main reason for considering homogeneous fractions: the degree of the denominator determines the degree of the numerator, there is no *hidden pole* at infinity. The degree $-n - 1$ is crucial to ensure that:

Lemma 28. *If $\mathcal{L} \in \mathbb{K}\langle\delta\rangle$ is a annihilating operator of $\oint R_{\text{hom}}$ then \mathcal{L} is also a annihilating operator of $\oint R$.*

Proof. Assume that $\mathcal{L}(R_{\text{hom}})$ equals $\sum_{i=0}^n \partial_i(b_i/f^m)$, for some polynomials b_i and some integer m . Substituting x_0 by 1 gives

$$\mathcal{L}(R) = \partial_0(b_0/f^m)|_{x_0=1} + \sum_{i=1}^n \partial_i(b_i/f^m)|_{x_0=1}.$$

Algorithm 1. Computation of annihilating operators of the periods of a rational function, smooth case

Input — a/f^q a homogeneous rational function in $\mathbb{K}(\mathbf{x})$ of degree $-n-1$, with $V(f)$ smooth in $\mathbb{P}_{\mathbb{K}}^n$

Output — $\mathcal{L} \in \mathbb{K}\langle\delta\rangle$ the Picard-Fuchs equation of $\oint R$

procedure PICARDFUCHS(a/f^q)

$\rho_0 \leftarrow [a\omega]_{\text{GD}}$

for m from 0 to ∞ **do**

if $\text{rank}_{\mathbb{K}}(\rho_0, \dots, \rho_m) = m + 1$ **then**

$\rho_{m+1} \leftarrow [\delta(\rho_m)]_{\text{GD}}$

else

 compute $a_0, \dots, a_{m-1} \in \mathbb{K}$ such that $\sum_{k=0}^{m-1} a_k \rho_k = \rho_m$

return $\delta^m - \sum_{k=0}^{m-1} a_k \delta^k$

Since R_{hom} is homogeneous of degree $-n-1$, we may assume that each b_i/f^m is homogeneous of degree $-n$. Euler's relation gives

$$-nb_0/f^m = \sum_{i=0}^n x_i \partial_i (b_0/f^m) = \sum_{i=0}^n (\partial_i (x_i b_0/f^m) - b_0/f^m).$$

This proves that $0 = \partial_0(b_0/f^m)|_{x_0=1} + \sum_{i=1}^n \partial_i(x_i b_0/f^m)|_{x_0=1}$, and the claim follows. \square

The Picard-Fuchs equation of $\oint R_{\text{hom}}$ may not be the Picard-Fuchs equation of $\oint R$, but only a left multiple. However, it is the case if x_0 divides f , which is possible to assume, up to replacing f by $x_0 f$ and a by $x_0^q a$. From now on I focus exclusively on the homogeneous case.

6.3. Computation of Picard-Fuchs equations. The derivation δ is extended to the spaces T^p of differential forms⁴ by

$$\delta : \alpha \in T^p \mapsto \alpha^\delta - f^\delta \alpha \in T^p,$$

where \bullet^δ denotes component-wise differentiation. It commutes with the map h , and the differential D_f , as a consequence of δ commuting with ∂_i .

To highlight the difference between the smooth and the singular cases, I recall first how the Griffiths-Dwork reduction applies to the computation of Picard-Fuchs equations. Let a/f^q be a homogeneous fraction of degree $-n-1$. We define $\rho_0 \stackrel{\text{def}}{=} [a\omega]_{\text{GD}}$ and $\rho_{k+1} \stackrel{\text{def}}{=} [\delta(\rho_k)]_{\text{GD}}$. Since δ commutes with D_f , it is clear that $\rho_k \equiv \delta^k(a\omega)$ modulo $D_f(T^n)$. Hence Theorem 10 implies that $\rho_k = [\delta^k(a\omega)]_{\text{GD}}$. Thus, by Theorems 6 and 10 and, for u_0, \dots, u_m in \mathbb{K} ,

$$\sum_{k=0}^m u_k \delta^k(a/f^q) \in \sum_{k=0}^n \partial_k A_f \text{ if and only if } \sum_{k=0}^m u_k \rho_k = 0.$$

This leads to Algorithm 1.

Proposition 29. *Algorithm 1 applied to a fraction R satisfying the regularity assumption terminates and outputs the Picard-Fuchs equation of $\oint R$.*

⁴See definition in §2.2.

Proof. Correctness has just been proven. Termination follows from Theorem 10, point (ii), which implies that the ρ_i lie in a finite-dimensional space, so they are linearly dependent. \square

If Conjecture 27 were proven, it would be enough to replace $[\]_{\text{GD}}$ by $[\]_{n+1}$, or its efficient variant $[\]'_{n+1}$, in Algorithm 1 to obtain an algorithm which provably outputs the Picard-Fuchs equation of a rational integral in the singular case. While assuming this conjecture gives good results in practice, the absence of a proof is embarrassing.

It is worth mentioning the treatment of singular cases by a generic deformation: to compute a differential for $\oint R$, for some $R = a/f$, we may change R into

$$R_\lambda = \frac{a}{f + \lambda \sum_{i=0}^n x_i^{\deg f}},$$

where λ is a free variable. The denominator of R_λ always satisfy the smoothness hypothesis, so Algorithm 1 applies, over $\mathbb{K}(\lambda)$, and gives the Picard-Fuchs equation of $\oint R_\lambda$, say \mathcal{L} in $\mathbb{K}(\lambda)\langle\delta\rangle$. Then $(\lambda^a \mathcal{L})|_{\lambda=0}$, where a is the unique integer which makes this evaluation neither zero nor singular, is a differential equation for $\oint R$. This method achieves a good computational complexity, that is polynomial complexity with respect to the *generic size* of the output [9], but its practical efficiency is terrible because most Picard-Fuchs that are interesting to compute are much smaller than the generic Picard-Fuchs equation.

Another approach, using the reductions $[\]_r$, is to loop over r . We begin by fixing r to an initial value, for example 1, and we introduce another variable M , a positive integer. Then we compute ρ_0, ρ_1 , etc. as in Algorithm 1 but replacing $[\]_{\text{GD}}$ by $[\]_r$, up to ρ_M . If there is no linear dependency relation between the ρ_k then we increase both r and M and repeat the procedure. At some point, the parameter r will exceed r_f and M will exceed the order of the Picard-Fuchs equation of $\oint R$. There, a relation will be found between the ρ_k and it will give the Picard-Fuchs equation. It is possible that a relation is found before the condition $r \geq r_f$ is met: it gives of course a differential equation, but it need not be the minimal one.

Theorem 25 and its corollary allow for an interesting variant of this approach. As above, we loop over r . For a given value of r , the forms ρ_0, ρ_1 , etc. are computed as in Algorithm 1 but using $[\]_r$ instead of $[\]_{\text{GD}}$. Contrary to the previous approach, the number of ρ_i we compute before moving to the next value of r is not bounded *a priori*. Instead, we compute ρ_0, ρ_1 , etc. as long as ρ_k stays in $F_n T^{n+1}$. Since $F_n T^{n+1}$ is finite dimensional, we have the following alternative: either there exists a relation between the ρ_k , or there exists a k such that ρ_k is not in $F_n T^{n+1}$. In the first case, the relation gives a differential equation for $\oint R$. In the second case, we increase r and start over the computation of the ρ_k 's. Corollary 24 assures that as soon as $r \geq r_f$, the second condition is never met, so a relation will eventually be found. Algorithm 2 details the procedure.

Theorem 30. *Algorithm 2 terminates and outputs an annihilating operator of $\oint R$.*

7. IMPLEMENTATION

Algorithm 2 has been implemented in the computer algebra system Magma [7], with $\mathbb{Q}(t)$ as base field \mathbb{K} , with the usual derivation.⁵ To be able to treat large

⁵The implementation is available at <http://github.com/lairesz/periods>.

Algorithm 2. Computation of annihilating operators of the periods of a rational function

Input — a/f^q a homogeneous rational function in $\mathbb{K}(\mathbf{x})$ of degree $-n - 1$

Output — $\mathcal{L} \in \mathbb{K}\langle\delta\rangle$ a differential equation for $\oint R$

procedure PICARDFUCHS(a/f^q)

for r from 1 to ∞ **do**

$\rho_0 \leftarrow [a\omega]_r$ \triangleright Compute the subspaces X_r^q as they are needed.

for m from 0 to ∞ while $\deg \rho_m \leq n \deg f$ **do**

if $\text{rank}_{\mathbb{K}}(\rho_0, \dots, \rho_m) = m + 1$ **then**

$\rho_{m+1} \leftarrow [\delta(\rho_m)]_r$

else

 compute $a_0, \dots, a_{m-1} \in \mathbb{K}$ such that $\sum_{k=0}^{m-1} a_k \rho_k = \rho_m$

return $\delta^m - \sum_{k=0}^{m-1} a_k \delta$

examples—like the ones in Section 8—the coefficient swell makes it necessary to implement a randomized evaluation-interpolation scheme which splits a computation over $\mathbb{Q}(t)$ into several analogous computations over different finite fields. However it comes at a price: since we lack tight *a priori* bounds on the size of the output—order, degree, size of the coefficients—the reconstruction step is not certified to be correct, even though the probability of failure can be made arbitrarily small. There are also several ways to cross-check the result independently. The variant is described in §7.2. In the introduction, I mentioned the guessing method which allows, in some cases, to compute an annihilating operator of a given period but gives no guarantee about its correctness. The nature of the risk of failure is very different though. In the evaluation-interpolation method, the algorithm is randomized and the probability of failure can be made arbitrarily small. It is even less probable that the algorithm returns twice the same wrong result. It is not possible to fool the algorithm on purpose with a specific input. In the guessing method, we do not know how to evaluate the risk of failure and the algorithm is deterministic so an error will be repeated again and again. It is in principle possible to fool the method with input designed for this purpose.

When a risk of failure is not acceptable, it is possible to compute certificates which can be used *a posteriori* to prove that what has been computed is correct, see §7.3.

7.1. Implementation of $[\]_r$ using Gröbner bases. Let M be the module $\Omega^{n+1} \oplus \Omega^n$, that is the free module generated by ω and the ξ_i , recall the definitions in §2.1. A convenient way to implement the reduction $[\]_r$ is to compute a reduced Gröbner basis⁶ say G , of the submodule P of M generated by the $\partial_i f \omega - \xi_i$, that is $df \wedge \xi_i - \xi_i$. We choose on M a monomial ordering, denoted \succ , such that for all multi-indices I and J , and all integer j

$$(8) \quad |I| + 1 \geq |J| + N \implies x^I \omega \succ x^J \xi_j.$$

For example, any position-over-term (POT) ordering with $\omega \succ \xi_0 \succ \xi_1 \succ \dots$ is fine. But a term-over-position (TOP), with $\omega \succ \xi_0 \succ \xi_1 \succ \dots$, extending a graded ordering on A works as well. This gives some flexibility in the implementation.

⁶See [16, chap. 5] for details about Gröbner bases for modules, the division algorithm, etc.

Let rem_G denote the remainder on division by G . The condition (8) on the order is enough to ensure that \succ behaves like an order eliminating ω .

The reason is the following. If we give to ω the degree 1 and to each ξ_i the degree N , then P is a homogeneous submodule of M . Thus any reduced Gröbner basis G of P , whatever the monomial order, contains only homogeneous elements and the remainder on division by G of a homogeneous element of degree d is homogeneous of degree d . In particular we have the

Lemma 31. *Let α be an element of Ω^{n+1} . Then the coefficient of ω in $\text{rem}_G \alpha$ is zero if and only if $\alpha \in \text{df} \wedge \Omega^n$. In this case $\alpha = \text{df} \wedge \text{rem}_G \alpha$.*

Proof. By definition of G there exist polynomials c_i such that

$$\alpha = \text{rem}_G(\alpha) + \sum_{i=0}^n c_i(\text{df} \wedge \xi_i - \xi_i).$$

If the coefficient of ω in $\text{rem}_G(\alpha)$ is zero then $\text{rem}_G(\alpha)$ is in Ω^n . Identifying the components gives

$$\alpha = \text{df} \wedge \sum_{i=0}^n c_i \xi_i = \left(\sum_{i=0}^n c_i \partial_i f \right) \omega \quad \text{and} \quad \text{rem}_G(\alpha) = \sum_i c_i \xi_i.$$

Conversely, assume that $\alpha = \text{df} \wedge \beta$, for some β in Ω^n . We may assume that α is homogeneous of degree d and that β is homogeneous of degree $d - N$. In particular $\alpha - \beta$ is in P and $\text{rem}_G(\alpha - \beta) = 0$, since G is a Gröbner basis of P . By linearity $\text{rem}_G(\alpha)$ equals $\text{rem}_G(\beta)$.

For the grading introduced above, the element β is homogeneous of degree $d - n$, thus so is $\text{rem}_G(\beta)$. Furthermore, the leading monomial of $\text{rem}_G(\beta)$, with respect to \succ , is at most the leading monomial of β , which has the form $x^I \xi_i$ with $|I| = d - N - n$. The claim follows since no monomial of the form $x^J \omega$ has degree $d - n$ (with the alternative grading) and is less than $x^I \xi_i$, thanks to hypothesis (8). \square

In the same way we prove that

Lemma 32. *The intersection $G \cap \Omega^n$ is a Gröbner basis of Syz .*

Together with a Gröbner basis of Syz' , this Gröbner basis can be used to compute a basis of $\mathcal{S}_q/\mathcal{S}'_q$ in the following way. Using the Gröbner bases, we compute the set

$$S \stackrel{\text{def}}{=} \{\text{lm}(\alpha) \mid \alpha \in \mathcal{S}_q\} \setminus \{\text{lm}(\alpha) \mid \alpha \in \mathcal{S}'_q\}.$$

Then, for each element α of S we pick an element of \mathcal{S}_q whose leading monomial is α . Those elements form a basis of $\mathcal{S}_q/\mathcal{S}'_q$.

Gröbner bases in the module M can be *emulated* by Gröbner bases in the polynomial ring A with two extra variables, say u and v . Let A' be $A[u, v]$, let ω' denote u^{n+1} and ξ'_i denote $u^{n-i}v^{i+1}$. Let M' be the A -submodule of A' generated by ω' and ξ'_i . Let P' be the ideal of A' generated by $\partial_i f \omega' - \xi'_i$ and all the monomials $u^p v^q$, with $p + q = n + 2$. Let φ be the A -linear map from M' to M sending ω' to ω and ξ'_i to ξ_i . Finally, let G' be a Gröbner basis with respect to any graded monomial ordering \succ' , say the graded reverse lexicographic ordering, with $u \succ v \succ x_0 \succ \dots \succ x_n$.

If \succ , the monomial ordering for M , is the TOP ordering proposed above, then we have $\varphi(\text{rem}_{G'} \alpha) = \text{rem}_G \varphi(\alpha)$, and the proof is left to the reader.

Algorithm 3. Computation of $[\]_r$

Input — α an element of T^{n+1} and q an integer

Output — $\text{red}_q^{\text{GD}}(\alpha)$ as defined in §3

procedure REDSTEP(α, q)

$\alpha' \leftarrow \alpha - \alpha_q$

$\rho + \beta \leftarrow \text{rem}_G(\alpha_q)$, with $\rho \in \Omega^{n+1}$ and $\beta \in \Omega^n$

return $\alpha' + \rho + d\beta$

Input — $r \geq 1$ and $q \geq 0$ integers

Output — a basis of X_q^r , as defined in §4.3

procedure BASISX(r, q)

if $r = 1$ **then**

return $\{d\beta \mid \beta \in (\text{a basis of } \mathcal{S}_{q-1}/\mathcal{S}'_{q-1})\}$

else

$X \leftarrow \text{BASISX}(r-1, q+1)$

return $\text{ECHELON}(\text{BASISX}(1, q) \cup \{\text{REDSTEP}(\alpha, q) \in X \mid \deg \alpha = qN\})$

Input — α an element of T^{n+1} , r a positive integer

Output — $[\alpha]_r'$ as defined in §4.3

procedure REDUCTION(α, r)

$q \leftarrow \deg \alpha / N$ and $\alpha' \leftarrow \alpha - \alpha_q$

$\rho \leftarrow \text{rem}(\text{REDSTEP}(\alpha_q, q), \text{BASISX}(r, q))$

return $\rho_q + \text{REDUCTION}(\alpha' + \rho_{q-1}, r)$

The computation of X_q^r and $[\]_r$ is detailed in Algorithm 3. The function ECHELON takes as input a finite subset S of T^{n+1} and outputs a basis in echelon form of $\text{Vect}(S)$, with respect to the monomial order \succ : that is, a basis B of $\text{Vect}(S)$ such that for all element b of B , the leading monomial of b does not appear with a non-zero coefficient in the other elements of B .

7.2. Evaluation and interpolation scheme. Let $h(t) = p/q$ be an element of $\mathbb{Q}(t)$ such that q is a monic polynomial. Let d be the maximum of $\deg p$ and $\deg q$, and M be the maximum of the absolute values of numerators and denominators of the coefficients of p and q . Given distinct primes p_1, \dots, p_n , distinct rational numbers u_1, \dots, u_m and the evaluations $a_{i,j} \equiv h(u_j) \pmod{p_i}$, the fraction h can be reconstructed given that no p_i divides the denominator of some coefficient of q , no u_j annihilates q , $\prod_{i=1}^m p_i > 2M$ and $m > 2d$. To do so, we first compute a_i in $\mathbb{F}_{p_i}(t)$ such that $a_i \equiv h \pmod{p_i}$, using Cauchy interpolation [26, §5.8]. Then, by the Chinese remainder theorem, we compute A such that $A \equiv h \pmod{\prod_i p_i}$. And then, using rational reconstruction [26, §5.10] to each coefficient of A , we recover h . Without *a priori* bounds on h , it is still possible to try to reconstruct it with the method above. Assume that we obtain a result h' , and let M' and d' be the analogues of M and d for h' . Under randomness assumptions, the bigger $\prod_{i=1}^m p_i - 2M'$ and $m - 2d'$ are, the higher is the probability that $h' = h$.

Any algorithm which inputs and outputs elements of $\mathbb{Q}(t)$ and which performs only field operations—addition, multiplication, negation, constant one, zero test, inversion—in $\mathbb{Q}(t)$ can be turned into a randomized evaluation-interpolation algorithm, simply by evaluating the input at $t = u$ and reducing it in \mathbb{F}_p , for several p and u , and proceeding to the computation over \mathbb{F}_p . Indeed, the execution of the

algorithm requires a finite number of operations, either field operations, which commute with ν , or zero test. For generic values of p and u , these tests yield the same result on evaluated or unevaluated data. For specific values of p and u , a non-zero quantity can be evaluated to zero, so the computation over \mathbb{F}_p may fail or return a result which is not the evaluation of the result of the computation over $\mathbb{Q}(t)$. It is important to be able to test that in order to exclude bad evaluations because the reconstruction process does not handle possibly wrong evaluations.

The number of evaluation points (p, u) is chosen, *a priori* or on-the-fly, so that the reconstruction of the outputs is possible with high probability of success. If *a priori* bounds on the output are known it may be possible to certify the result. If no bounds are known, then the evaluation-interpolation algorithm may return a false result, but the probability of this event can be made arbitrarily small. This evaluation-interpolation approach is classical in computer algebra for avoiding the problem of coefficient swell.

Algorithm 2 depends on the derivation δ , which is not a field operation, so the conversion to an evaluation-interpolation algorithm is not completely straightforward.

7.2.1. Principle. Let u be in \mathbb{Q} and p be a prime number. Let ν be the partial function $\mathbb{Q}(t) \rightarrow \mathbb{F}_p$, which consists in evaluating t in u and reducing modulo p . The function ν is extended coefficient-wise to $\mathbb{Q}(t)[\mathbf{x}]$, Ω , matrices, etc.

Let f be a polynomial in $\mathbb{Z}[t][\mathbf{x}]$, and $\nu(f)$ be its evaluation in $\mathbb{F}_p[\mathbf{x}]$. We can consider the reductions $[\]_r$ associated to f , but also the *evaluated* reduction, denoted $[\]_r^\nu$, associated to $\nu(f)$, over \mathbb{F}_p . Given $\alpha \in T^{n+1}$, and for generic values of p and u , the evaluations $\nu(\alpha)$ and $\nu([\alpha]_r)$ are defined and $\nu([\alpha]_r) = [\nu(\alpha)]_r^\nu$. However, the value of $\nu(\delta\alpha)$ for some form α cannot be deduced from $\nu(\alpha)$, so Algorithm 2 requires an adaptation to fit into an evaluation-interpolation scheme.

As in Section 6, let $R = a/f^q$ be a rational function in $\mathbb{Q}(t)$, homogeneous of degree $-n - 1$ with respect to the variables \mathbf{x} . Let α be $a\omega$. Once the value of r is fixed, Algorithm 2 computes the terms of the sequence $(\rho_i)_{i \in \mathbb{N}}$, defined by $\rho_0 = [\alpha]_r$ and $\rho_{i+1} = [\delta(\rho_i)]_r$, until it finds a linear dependency relation between the ρ_i . For a prime p and an evaluation point u , can we compute $\nu(\rho_i)$ using only operations in \mathbb{F}_p ? The answer seems to be negative, but there are two ways to circumvent this issue.

The first one is to define ρ_i to be $[\delta^i(\alpha)]_r$. With this definition, the principle and the halting condition $\deg \rho_i \leq nN$ of Algorithm 2 remain valid. And given $\nu(\delta^i(\alpha))$, which is certainly easy to compute, it is possible in this case to compute $\nu(\rho_i)$ using only operations in \mathbb{F}_p . This approach is feasible but it becomes terrible if i reaches high values: indeed, the degree of $\delta^i(\alpha)$ is $\deg \alpha + iN$.

Another approach is to compute the matrix of the linear map, say m , such that

$$\rho_{i+1} = \rho_i^\delta + m(\rho_i),$$

where ρ_i^δ denotes the component-wise differentiation of ρ_i , as opposed to $\delta(\rho_i)$ which is $\rho_i^\delta - f^\delta \rho_i$. Such a linear map exists and its matrix in a certain basis can be computed by evaluation-interpolation.

7.2.2. The matrix of δ . Let J_r be the image $[T^{n+1}]_r$ of the reduction map $[\]_r$. By construction, the reduction $[\]_r$ is idempotent, that is $[\alpha]_r = \alpha$ for all $\alpha \in J_r$. The evaluation-interpolation algorithm relies on the following property of the reduction map $[\]_r$:

Algorithm 4. Computation of annihilating operators of the periods of a rational function, randomized evaluation-interpolation method

Input — $R = a/f^q$ a rational function in $\mathbb{Q}(t)(\mathbf{x})$, homogeneous of degree $-n - 1$ w.r.t. \mathbf{x}

Output — $\mathcal{L} \in \mathbb{K}\langle\delta\rangle$ an annihilating operator of $\oint R$, with high probability

procedure PICARDFUCHS(a/f^q)

loop

$p \leftarrow$ random prime number

 Compute \mathcal{M} , ρ_0 and $\text{Mat}_{\mathcal{M}} m$, as defined in §7.2.2, over $\mathbb{F}_p(t)$ by repeated evaluation of t and rational interpolation.

 Compute ρ_0, ρ_1, \dots over $\mathbb{F}_p(t)$, with $\rho_{i+1} = \rho_i^\delta - m(\rho_i)$, until finding a relation $\rho_n + \sum_{i=0}^{n-1} a_i \rho_i = 0$ over $\mathbb{F}_p(t)$.

 Using the Chinese remainder theorem and computations modulo previous values of p , try to lift the a_i in $\mathbb{Q}(t)$.

if possible **then**

return the lifting.

Proposition 33. *The space J_r is stable under component-wise differentiation.*

Sketch of the proof. This is a consequence of the fact that J_r is generated by monomials. More precisely, let E be the, finite or infinite, minimal sequence (b_0, \dots) of monomials of T^{n+1} which generates $T^{n+1}/\ker[\]_r$; minimal with respect to the lexicographic order on sequences of monomials, where the monomials are compared with \prec . Then E is a basis of J_r containing only monomials. \square

As a consequence $[\delta(\rho)]_r = \rho^\delta - [f^\delta \rho]_r$, for all $\rho \in J_r$.

Let \mathcal{M} be the least set of monomials of T^{n+1} such that $\text{Vect } \mathcal{M}$ contains ρ_0 and is stable under the map $m : \rho \mapsto [f^\delta \rho]_r$, and let B be the matrix in $\mathbb{Q}(t)^{\mathcal{M} \times \mathcal{M}}$ of the map $m|_{\text{Vect } \mathcal{M}}$ in the basis \mathcal{M} . For generic values of p and u , the basis \mathcal{M} , the matrix $\nu(B)$ and $\nu(\rho_0)$ are all computable using only operations in \mathbb{F}_p , once given $\nu(f)$, $\nu(f^\delta)$ and $\nu(\alpha)$. Once \mathcal{M} , B and ρ_0 are reconstructed over $\mathbb{Q}(t)$, the ρ_i are easily computed with $\rho_{i+1} = \rho_i^\delta - m(\rho_i)$, and the minimal operator $\mathcal{L} = \sum_i a_i(t) \delta^i$ such that $\sum_i a_i(t) \rho_i = 0$ can be deduced. It seems to be a good idea to reconstruct B and ρ_0 over $\mathbb{F}_p(t)$ and compute \mathcal{L} modulo p , and only then to use several moduli to reconstruct \mathcal{L} over $\mathbb{Q}(t)$. The full procedure is summarized by Algorithm 4.

7.2.3. Estimation of the probability of success. Let \mathcal{M} , ρ_0 and $A = \text{Mat}_{\mathcal{M}} m$ as in §7.2.2, computed over $\mathbb{Q}(t)$. For some u in \mathbb{Q} and some prime p , let \mathcal{M}' , ρ'_0 and A' be the analogues computed over \mathbb{F}_p . It is not hard to check that $\nu(\ker[\]_r)$ equals $\ker[\]'_r$, where $\nu(\ker[\]_r)$ is the set of all α in $\ker[\]_r$ such that $\nu(\alpha)$ is defined. Let α be an element of T^{n+1} , whose coefficients are polynomials in t with integer coefficients. Do we have $\nu([\alpha]_r) = [\nu(\alpha)]'_r$? The fact that J_r is generated by monomials implies that $[\alpha]_r$ equals $\text{rem}(\alpha, \ker[\]_r)$, and that $[\nu(\alpha)]'_r$ equals $\text{rem}(\alpha, \ker[\]'_r)$. The equality is equivalent to $\nu(\text{rem}(\alpha, \ker[\]_r)) = \text{rem}(\alpha, \nu(\ker[\]_r))$. A sufficient condition is that the set L of leading monomials of elements of $\ker[\]_r$ equals the set L' of leading monomials of $\nu(\ker[\]_r)$. Since \mathcal{M} (resp. \mathcal{M}') is the complement of L (resp. L') in the set of all monomials of T^{n+1} , we obtain

Lemma 34. *If $\mathcal{M} = \mathcal{M}'$ then $A' = \nu(A)$ and $\rho'_0 = \nu(\rho_0)$.*

Let P be the probability that $\mathcal{M}' = \mathcal{M}$. Assume for simplicity that $\deg \alpha \leq nN$ and that J_r is included in $F_n T^{n+1}$. Let V be the subspace $\ker[\]_r \cap F_{n+1} T^{n+1}$ and let \mathcal{B} be an echelonized basis of V , formed by elements of T^{n+1} whose coefficients are in $\mathbb{Z}[t]$. For the above equalities to hold, it is enough that for all b in \mathcal{B} , the evaluation $\nu(\text{lc } b)$ of the leading coefficient of b is not zero.

Under the assumption, somewhat excessive, that for random p and u the $\nu(\text{lc } b)$, with $b \in \mathcal{B}$ are independent and uniformly distributed in \mathbb{F}_p , the probability P equals $(1 - \frac{1}{p})^{\#\mathcal{B}}$. Of course $\#\mathcal{B} \leq \dim F_{n+1} T^{n+1}$ and

$$\dim F_{n+1} T^{n+1} = \sum_{q=0}^{n+1} \binom{qN-1}{n} \leq \frac{(n+3/2)^{n+1} N^n}{(n+1)!}.$$

So that

$$(9) \quad P \geq \left(1 - \frac{1}{p}\right)^{\frac{5}{4} e^n N^n} \geq \exp\left(-\frac{5e^n N^n}{2p}\right).$$

So we will choose p significantly bigger than $e^n N^n$ to have $P \ll 1$. The set \mathcal{M} is not computed, so it is not possible to compare it with \mathcal{M}' . However, we can compare the different \mathcal{M}' obtained for different values of p and u . Typically, most of them will be mutually equal—and hopefully equal to \mathcal{M} —and a few will differ. We simply drop the pairs (p, u) giving degenerated specialisation \mathcal{M}' .

7.3. Computing partial certificates. Recall that if $\mathcal{L} \in \mathbb{K}\langle \delta \rangle$ is an annihilating operator of $\mathcal{f} a/f$, a certificate for \mathcal{L} is a sequence C_0, \dots, C_n of rational functions in $\mathbb{K}[\mathbf{x}, \frac{1}{f}]$ such that

$$\mathcal{L}(a/f) = \sum_{i=0}^n \partial_i C_i.$$

As already mentioned, a certificate is desirable because it allows to check *a posteriori* in a simple way that \mathcal{L} annihilates $\mathcal{f} a/f$, independently of the algorithm used to obtain \mathcal{L} . However, a certificate is typically huge [9, Rem. 11] and computing a one is necessarily very costly. A compromise is possible: we may compute a certificate for each reduction ρ_k , as a $\beta_k \in T^n$ such that

$$(10) \quad \rho_k = \begin{cases} \alpha + D_f \beta_0 & \text{if } k = 0 \\ \delta(\rho_{k-1}) + D_f \beta_k & \text{if } k \geq 1. \end{cases}$$

Thus, to check that the output $\mathcal{L} = \sum_{k=0}^n a_k \delta^k$ of Algorithm 4 annihilates $\mathcal{f} a/f$, it is enough to check Equation 10 for $k \leq r$ and to check that $\sum_k a_k \rho_k = 0$. The first checks imply that $\rho_k \equiv \delta^k \alpha$ modulo $D_f(T^n)$, and the last one implies that $\mathcal{L}(\alpha) \in D_f(T^n)$, and thus that \mathcal{L} annihilates $\mathcal{f} a/f$. Since the ρ_k 's are in $F_n T^{n+1}$, the β_k are in $F_{n+r} T^n$ which ensures that their size is kept reasonable.

It is possible to modify Algorithm 4 to compute these certificates β_k . With the notations of §7.2.2, it amounts to compute $\beta_0 \in T^n$ such that $\alpha = \rho_0 + D_f \beta_0$, and to compute some $\gamma_\mu \in T^n$, for $\mu \in \mathcal{M}$, such that $m(\mu) = f^\delta \mu + D_f(\gamma_\mu)$. Since $\rho_{k-1} = \delta(\rho_k) + m(\rho_k)$, it is possible to compute the β_k 's as linear combinations of the γ_μ 's.

In the evaluation-interpolation scheme, it is possible to compute β_0 and the γ_μ 's over \mathbb{F}_p , to reconstruct them over $\mathbb{F}_p(t)$, then to compute the β_k 's over $\mathbb{F}_p(t)$ and

to reconstruct them over $\mathbb{Q}(t)$. Of course, it comes at an additional cost but a preliminary implementation seems to show that this cost is reasonable.

8. APPLICATION TO PERIODS ARISING FROM MIRROR SYMMETRY

Batyrev and Kreuzer [4] have recently constructed a family of 210 smooth Calabi–Yau varieties of dimension three with Hodge number $h^{1,1}$ equal to one. Their method is based on toric varieties of reflexive polytopes. To each variety is associated a one-parameter mirror family of varieties and we look for the Picard-Fuchs equation of a distinguished principal period. This computation is the first step toward the computation of other important invariants, like, mirror maps, *instanton* numbers, etc⁷. The 210 varieties gather together into 68 different classes of diffeomorphic manifolds [4, table 3]. The principal periods associated to diffeomorphic varieties need not coincide but they are typically expected to differ only by a rational change of variable.

In concrete terms, we look for a differential equation satisfied by periods of rational integrals in the form

$$(11) \quad F(t) \stackrel{\text{def}}{=} \oint_{\gamma} \frac{1}{1 - tg(x_1, \dots, x_4)} \frac{dx_1}{x_1} \frac{dx_2}{x_2} \frac{dx_3}{x_3} \frac{dx_4}{x_4},$$

where g is a Laurent polynomial and the integral is taken over the cycle γ defined by $|x_i| = \varepsilon$, with ε a small positive real number. Here g is $\sum_v x^v$, where the sum ranges over the vertices of a reflexive lattice polytope. For the 210 polytopes under consideration, Batyrev and Kreuzer claim that $F(t)$ satisfies a linear differential equation of order 4, as a consequence of $h^{1,1}$ being 1. Moreover, this differential equation should have maximally unipotent monodromy at $t = 0$.

A power series expansion of the integrand with respect to t shows that

$$(12) \quad F(t) = \sum_n \text{ct}(g^n) t^n,$$

where $\text{ct}(g^n)$ stands for the constant term of f^n . Batyrev and Kreuzer have computed Picard-Fuchs operators for topologies #37, #40 and #43–68 of their list. They used the *guessing* method presented in the introduction: they computed the power series expansion of $F(t)$, using equation (12), until they reached a degree d such that they could find a non-zero solution to the equation

$$\left(\sum_{i=0}^4 \sum_{j=0}^d a_{i,j} t^j \theta^i \right) \cdot F(t) = \mathcal{O}(t^{5(d+1)+1}).$$

The issue with this technique is not the reconstruction step which can be done efficiently—with respect to the size of the computed operator—but the computation of the power series expansion: the number of monomials in g^k is $\Theta(k^4)$, so the computation of N terms of $F(t)$ with this technique take $\Theta(N^5)$ operations in \mathbb{Z} , and we may add an order of magnitude to reflect the binary complexity.

Metelitsyn [36] computed four more equations for topologies #24, #38, #39 and #41. His method is also guessing, with modular evaluation techniques, but he managed to improve the space complexity, not the time complexity though, in the power expansion step and he provided an implementation optimized with GPU programming. Moreover, Almkvist [1] reports that Straten, Metelitsyn and Schömer

⁷For an introduction to the topic, see [15; 5].

have computed one operator for the topology #17. To the best of my knowledge, no other computation succeeded in the remaining topologies (#1–16, #18–23, #25–36, #42).

With the implementation described in Section 7, I have been able to compute a differential equation for the 136 remaining integrals, associated to 35 different topologies.⁸

8.1. Minimal equation and crosschecking. The equations obtained from the algorithm are not always minimal, for two reasons. Firstly they were obtained with $r = 2$ but a higher value might have caught a lower order equation. Secondly, the algorithm computes an annihilating operator of all the periods of a given rational function; a period associated to a given cycle may satisfy a lower order equation.

Nevertheless, once any differential equation \mathcal{L} for $F(t)$ is obtained, it is easy to compute efficiently thousands of terms of its power series expansion: the relation $\mathcal{L}(F) = 0$ translates into a linear recurrence relation on the coefficients of the power series expansion and the initial conditions are given by Equation (12). Thus we may try to reconstruct the minimal equation \mathcal{L}_0 . By contrast to the guessing method, the reconstructed equation \mathcal{L}_0 can be proven correct: it is enough to check that it is a right divisor of \mathcal{L} , and that it annihilates the first few terms⁹ of $F(t)$. If the power series expansion does not reveal a lower order differential equation, we may conjecture that \mathcal{L} is minimal. Proving it may be done using methods by van Hoeij [30], see §8.2.2 for an example.

Since Algorithm 4 is randomized, it is desirable to have criteria to crosscheck the result. The Picard-Fuchs equations of periods of rational integrals are known to have strong arithmetic properties: regular singularities with rational exponents and nilpotent p -curvature for all prime p , with a finite number of exceptions [31]. Checking these properties is a good confirmation of the correctness of the output: these properties are so strong that a bad reconstruction would most probably break them. In addition, the computation of many terms of the power series expansion of $F(t)$ using an annihilating operator \mathcal{L} can also be used as a crosschecking: if the coefficients computed are all integers, as expected in view of Equation (12), this is also strong indication that the operator is indeed correct.

8.2. Description of the results. In depth treatment is a work in progress with Jean-Marie Maillard. This section presents two examples.¹⁰

8.2.1. *Topology #42, polytope v25.59.* For the period (11) with

$$g = wxyz + wxy + \frac{1}{wxy} + wxz + \frac{1}{wxz} + \frac{wy}{z} + \frac{z}{wy} + wy + \frac{1}{wy} + \frac{1}{wz} + w + \frac{1}{w} \\ + \frac{xz}{y} + \frac{y}{xz} + \frac{1}{xy} + xz + \frac{1}{xz} + x + \frac{1}{x} + \frac{z}{y} + \frac{y}{z} + y + \frac{1}{y} + z + \frac{1}{z},$$

⁸The results are available at <http://pierre.lairez.fr/supp/periods>.

⁹Up to the maximal integral root of the indicial polynomial at zero of the right quotient of \mathcal{L} by \mathcal{L}_0 .

¹⁰There are two numberings. The first one, used in Table 3 of [4], numbers the 68 different topologies, ordered by increasing $h^{1,2}$ number, covering the 210 smooth Calabi-Yau threefolds with Picard number 1. The second one, used in the database <http://hep.itp.tuwien.ac.at/~kreuzer/math/0802>, numbers in the form $vx.y$ the 198849 reflexive 4D polytopes satisfying an extra property. The letter x indicates the number of vertices.

where the first few terms of the power series expansion are

$$F(t) = 1 + 22t^2 + 204t^3 + 3474t^4 + 57000t^5 + 1031080t^6 + 19368720t^7 + \mathcal{O}(t^8).$$

I have computed the following Picard-Fuchs equation

$$\begin{aligned} & t^3(7t+1)^2(25t-1)^2(2t+1)^3(101t+43)^3(3t+1)^3\partial^4 \\ & \quad + 2t^2(7t+1)(25t-1)(2t+1)^2(101t+43)^2(3t+1)^2(848400t^5 \\ & \quad \quad + 1012956t^4 + 413041t^3 + 62473t^2 + 1819t - 129)\partial^3 \\ & \quad + t(7t+1)(25t-1)(2t+1)(101t+43)(3t+1)(4627173600t^8 + 10573386192t^7 \\ & \quad \quad + 10004988192t^6 + 5027593832t^5 + 1423146511t^4 + 219009622t^3 \\ & \quad \quad \quad + 15394840t^2 + 182234t - 12943)\partial^2 \\ & \quad + (7t+1)(25t-1)(2t+1)(101t+43)(3t+1)(6169564800t^8 + 13061530080t^7 \\ & \quad \quad + 11311205016t^6 + 5112706620t^5 + 1268815538t^4 + 164341135t^3 \\ & \quad \quad \quad + 9051543t^2 + 74605t - 1849)\partial \\ & \quad + 8t(7t+1)(25t-1)(2t+1)(101t+43)(3t+1)(192798900t^6 + 375787872t^5 \\ & \quad \quad + 294032949t^4 + 116697469t^3 + 24254991t^2 + 2406495t + 81356), \end{aligned}$$

or, with $\theta = t\partial$, in a form which highlights the maximally unipotent monodromy, $1849\theta^4 - 43t\theta(142\theta^3 + 890\theta^2 + 574\theta + 129)$

$$\begin{aligned} & - t^2(647269\theta^4 + 2441818\theta^3 + 3538503\theta^2 + 2423953\theta + 650848) \\ & - t^3(7200000\theta^4 + 34423908\theta^3 + 65337898\theta^2 + 57379329\theta + 19251960) \\ & - t^4(37610765\theta^4 + 220029964\theta^3 + 499781264\theta^2 + 511393545\theta + 194039928) \\ & - 2t^5(\theta + 1)(54978121\theta^3 + 324737370\theta^2 + 665066226\theta + 466789876) \\ & - t^6(\theta + 2)(\theta + 1)(185181547\theta^2 + 915931425\theta + 1176131796) \\ & - 1212t^7(138979\theta + 413408)(\theta + 3)(\theta + 2)(\theta + 1) \\ & - 64266300t^8(\theta + 4)(\theta + 3)(\theta + 2)(\theta + 1). \end{aligned}$$

This equation satisfies the conditions given by Almkvist, Enckevort, Straten, and Zudilin [2] and it is not in their database [44]. The computation took 80 seconds and 30 megabytes of memory on a laptop.

Note that formula (11), and homogeneization, give a rational function a/f with f of degree 8 with respect to the integration variables. The change of variables which maps x to $1/x$ and w to w/y lowers this degree down to 5. This improves dramatically the computation time. This kind of monomial substitution can be found by random trials and errors. Among the substitutions that lead to degree 5, some are better than others in terms of computation time; but this seems hard to predict.

8.2.2. *Topology #27, polytope v23.289.* For the period (11) with

$$\begin{aligned} f = & \frac{1}{w} + w + \frac{1}{x} + \frac{w}{x} + x + \frac{x}{w} + \frac{1}{y} + \frac{w}{y} + \frac{1}{xy} + \frac{w}{xy} + y + \frac{y}{w} + \frac{xy}{w} \\ & + \frac{1}{z} + \frac{w}{z} + \frac{x}{z} + \frac{1}{yz} + \frac{w}{yz} + \frac{w}{xyz} + z + \frac{z}{w} + \frac{z}{x} + \frac{z}{wx}, \end{aligned}$$

where the first few terms of the power series expansion are

$$F(t) = 1 + 18t^2 + 138t^3 + 2070t^4 + 29040t^5 + 452610t^6 + 7308000t^7 + \mathcal{O}(t^8),$$

I have computed an annihilating operator of order 6 and degree 29, let us denote it \mathcal{L}_6 , which is too large to be reproduced here. The operator is not of order 4 and has not maximally unipotent monodromy. Is it the minimal equation of $F(t)$? Van Hoeij has proved¹¹ that if \mathcal{L}_6 admits a right factor of order 4 then the degree of the coefficients of this factor is at most 88. Thus, admitting that \mathcal{L}_6 is indeed an annihilating operator of $F(t)$, if the minimal annihilating operator of $F(t)$ has order 4, it would have degree at most 88. Zero being the only solution to the system of linear equations

$$\sum_{i=0}^4 \sum_{j=0}^{88} a_{i,j} t^j f^{(i)}(t) = \mathcal{O}(t^{405}),$$

where the unknowns are the $a_{i,j}$, this shows that the minimal annihilating operator of $F(t)$ is not of order 4. The argument holds for orders 1, 2, 3 and 5 with respective degree bounds 10, 16, 45 and 125. This is rather surprising since it contradicts the claims of Batyrev and Kreuzer. The topology #17, polytope v18.16766, shows the same behavior with a minimal equation of order 6. This has been first reported by Almkvist [1], referring to a computation by Straten, Metelitsyn and Schömer. As Almkvist wrote about topology #17, “this example leaves some doubts about the reflexive polytopes.” I can only corroborate. The remaining operators have not been studied in depth yet, but it seems that only one of the 137 newly computed periods has a minimal equation of order 4.

REFERENCES

- [1] Gert Almkvist. “The art of finding Calabi-Yau differential equations”. In: *Gems in experimental mathematics*. Vol. 517. Contemp. Math. Providence, RI: Amer. Math. Soc., 2010, pp. 1–18.
- [2] Gert Almkvist, Christian van Enckevort, Duco van Straten, and Wadim Zudilin. *Tables of Calabi–Yau equations*. arXiv:math/0507430. 2010.
- [3] Moa Apagodu and Doron Zeilberger. “Multi-variable Zeilberger and Almkvist-Zeilberger algorithms and the sharpening of Wilf-Zeilberger theory”. In: *Adv. in Appl. Math.* 37.2 (2006), pp. 139–152.
- [4] Victor Batyrev and Maximilian Kreuzer. “Constructing new Calabi-Yau 3-folds and their mirrors via conifold transitions”. In: *Adv. Theor. Math. Phys.* 14.3 (2010), pp. 879–898.
- [5] Victor Batyrev and Duco van Straten. “Generalized hypergeometric functions and rational curves on Calabi-Yau complete intersections in toric varieties”. In: *Comm. Math. Phys.* 168.3 (1995), pp. 493–533.
- [6] Frits Beukers. “Irrationality of π^2 , periods of an elliptic curve and $\Gamma_1(5)$ ”. In: *Diophantine approximations and transcendental numbers (Luminy, 1982)*. Vol. 31. Progr. Math. Mass.: Birkhäuser Boston, 1983, pp. 47–66.

¹¹Using methods introduced in [30], personal communication.

- [7] Wieb Bosma, John Cannon, and Catherine Playoust. “The Magma algebra system. I. The user language”. In: *J. Symbolic Comput.* 24.3-4 (1997). Computational algebra and number theory (London, 1993), pp. 235–265.
- [8] Alin Bostan, Shaoshi Chen, Frédéric Chyzak, and Ziming Li. “Complexity of creative telescoping for bivariate rational functions”. In: *Proceedings of the 35th international symposium on symbolic and algebraic computation*. ISSAC ’10. Munich, Germany: ACM, 2010, pp. 203–210.
- [9] Alin Bostan, Pierre Lairez, and Bruno Salvy. “Creative telescoping for rational functions using the Griffiths–Dwork method”. In: *Proceedings of the 38th international symposium on symbolic and algebraic computation*. ISSAC ’13. Boston, Maine, USA: ACM, 2013, pp. 93–100.
- [10] Nicolas Bourbaki. “Algèbres tensorielles, algèbres extérieures, algèbres symétriques”. In: *Algèbre. Éléments de mathématiques*. Hermann, 1961. Chap. III.
- [11] Mireille Bousquet-Mélou and Marni Mishna. “Walks with small steps in the quarter plane”. In: *Algorithmic probability and combinatorics*. Vol. 520. Contemp. Math. Providence, RI: Amer. Math. Soc., 2010, pp. 1–39.
- [12] Shaoshi Chen, Manuel Kauers, and Michael F. Singer. “Telescopers for Rational and Algebraic Functions via Residues”. In: *Proceedings of the 37th International Symposium on Symbolic and Algebraic Computation*. Ed. by Joris van der Hoeven and Mark van Hoeij. 2012, pp. 130–137.
- [13] Frédéric Chyzak. “An extension of Zeilberger’s fast algorithm to general holonomic functions”. In: *Discrete Math.* 217.1-3 (2000). Formal power series and algebraic combinatorics (Vienna, 1997), pp. 115–134.
- [14] Frédéric Chyzak. *The ABC of Creative Telescoping: Algorithms, Bounds, Complexity*. Mémoire d’habilitation à diriger les recherches. 2014.
- [15] David A. Cox and Sheldon Katz. *Mirror symmetry and algebraic geometry*. Mathematical Surveys and Monographs 68. Providence, RI: American Mathematical Society, 1999.
- [16] David A. Cox, John Little, and Donal O’Shea. *Using algebraic geometry*. GTM. New York, NY, USA: Springer, 1998.
- [17] Pierre Deligne. “Lettre à Bernard Malgrange”. In: Apr. 30, 1991.
- [18] Alexandru Dimca. “On the de Rham cohomology of a hypersurface complement”. In: *Amer. J. Math.* 113.4 (1991), pp. 763–771.
- [19] Alexandru Dimca. “On the Milnor fibrations of weighted homogeneous polynomials”. In: *Compositio Math.* 76.1-2 (1990), pp. 19–47.
- [20] Alexandru Dimca. *Singularities and topology of hypersurfaces*. Universitext. New York: Springer-Verlag, 1992, pp. xvi+263.
- [21] Alexandru Dimca and Morihiko Saito. “A generalization of Griffiths’s theorem on rational integrals”. In: *Duke Math. J.* 135.2 (2006), pp. 303–326.
- [22] Bernard Dwork. “On the zeta function of a hypersurface”. In: *Inst. Hautes Études Sci. Publ. Math.* 12 (1962), pp. 5–68.
- [23] Bernard Dwork. “On the zeta function of a hypersurface: II”. In: *Ann. of Math.* 2nd ser. 80 (1964), pp. 227–299.

- [24] Leonhard Euler. “Specimen de constructione aequationum differentialium sine indeterminatarum separatione”. In: *Commentarii academiae scientiarum Petropolitanae* 6 (1733). (Opera omnia, 1^e série, t. XX), pp. 168–174.
- [25] Mary Celine Fasenmyer. “Some generalized hypergeometric polynomials”. In: *Bull. Amer. Math. Soc.* 53 (1947), pp. 806–812.
- [26] Joachim von zur Gathen and Jürgen Gerhard. *Modern computer algebra*. New York: Cambridge University Press, 1999, pp. xiv+753.
- [27] Phillip A. Griffiths. “On the periods of certain rational integrals”. In: *Ann. of Math.* 2nd ser. 90 (1969), pp. 460–541.
- [28] Alexandre Grothendieck. “On the de Rham cohomology of algebraic varieties”. In: *Inst. Hautes Études Sci. Publ. Math.* 29 (1966), pp. 95–103.
- [29] Charles Hermite. “Sur l’intégration des fractions rationnelles”. In: *Ann. Sci. École Norm. Sup.* 2nd ser. 1 (1872), pp. 215–218.
- [30] Mark van Hoeij. “Factorization of differential operators with rational functions coefficients”. In: *J. Symbolic Comput.* 24.5 (1997), pp. 537–561.
- [31] Nicholas M. Katz. “Nilpotent connections and the monodromy theorem: Applications of a result of Turrittin”. In: *Inst. Hautes Études Sci. Publ. Math.* 39 (1970), pp. 175–232.
- [32] Manuel Kauers and Doron Zeilberger. “The computational challenge of enumerating high-dimensional rook walks”. In: *Advances in Applied Mathematics* 47.4 (2011), pp. 813–819.
- [33] Christoph Koutschan. “A fast approach to creative telescoping”. In: *Math. Comput. Sci.* 4.2-3 (2010), pp. 259–266.
- [34] Bernard Malgrange. *Lettre à Pierre Deligne*. Apr. 26, 1991.
- [35] Hideyuki Matsumura. *Commutative algebra*. 2nd ed. Vol. 56. Mathematics Lecture Note Series. Reading, MA, USA: Benjamin/Cummings, 1980, pp. xv+313.
- [36] Pavel Metelitsyn. “How to compute the constant term of a power of a Laurent polynomial efficiently”. In: *CoRR* (2012). arXiv: abs/1211.3959.
- [37] Paul Monsky. “Finiteness of de Rham cohomology”. In: *Amer. J. Math.* 94 (1972), pp. 237–245.
- [38] David R. Morrison. “Picard-Fuchs equations and mirror maps for hypersurfaces”. In: *Essays on mirror manifolds*. Int. Press, Hong Kong, 1992, pp. 241–264.
- [39] David R. Morrison and Johannes Walcher. “D-branes and normal functions”. In: *Adv. Theor. Math. Phys.* 13.2 (2009), pp. 553–598.
- [40] Toshinori Oaku and Nobuki Takayama. “An algorithm for de Rham cohomology groups of the complement of an affine variety via D -module computation”. In: *J. Pure Appl. Algebra* 139.1-3 (1999). Effective methods in algebraic geometry (Saint-Malo, 1998), pp. 201–233.

- [41] Émile Picard. “Sur les intégrales doubles de fonctions rationnelles dont tous les résidus sont nuls”. In: *Bulletin des sciences mathématiques, série 2* 26 (1902).
- [42] Émile Picard and Georges Simart. *Théorie des fonctions algébriques de deux variables indépendantes*. Vol. I. Gauthier-Villars et fils, 1897.
- [43] Émile Picard and Georges Simart. *Théorie des fonctions algébriques de deux variables indépendantes*. Vol. II. Gauthier-Villars et fils, 1906.
- [44] Duco van Straten. *Calabi-Yau Operators Database*. URL: <http://www.mathematik.uni-mainz.de/CYequations/db/>.
- [45] Peter Verbaeten. “The automatic construction of pure recurrence relations”. In: *SIGSAM Bull.* 8.3 (Aug. 1974), pp. 96–98.
- [46] Herbert S. Wilf and Doron Zeilberger. “An algorithmic proof theory for hypergeometric (ordinary and “ q ”) multisum/integral identities”. In: *Invent. Math.* 108.3 (1992), pp. 575–633.
- [47] Doron Zeilberger. “The method of creative telescoping”. In: *J. Symbolic Comput.* 11.3 (1991), pp. 195–204.

INRIA SACLAY, ÉQUIPE SPECFUN, FRANCE

Current address: Pierre Lairez — Fäk. II, Sekr. 3-2 — Technische Universität zu Berlin —
Straße des 17. Juni 136 — 10623 Berlin — Deutschland

E-mail address: pierre@lairez.fr

URL: pierre.lairez.fr