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On economical set representations of graphs[†]

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In this paper we discuss the bounds of and relations among various kinds of intersection numbers of graphs. Especially, we address extremal graphs with respect to the established bounds. The uniqueness of the minimum-size intersection representations for some graphs is also studied. In the course of this work, we introduce a superclass of chordal graphs, defined in terms of a generalization of simplicial vertex and perfect elimination ordering.

Keywords: chordal graph, diamond-free elimination graph, edge clique graph, fractional intersection number, Helly property, intersection graph, intersection number, purple graph, maximal clique, maximal clique irreducible graph, ST weighting algorithm, unique representability.

1 Introduction

We consider only finite undirected graphs without parallel edges or self-loops. Let G be a graph. A *clique* of G is one of its complete subgraphs. A clique is *trivial* provided it has no edges. A *maximal clique* is a clique which is not contained in any other one. We denote by \mathcal{C}_G the set of all cliques of G and by $\mathcal{C}(G)$ the set of all maximal cliques of G . For $S \subseteq V(G)$, let $G[S]$ denote the subgraph of G induced by S . When $S = \emptyset$, we often regard $G[S]$ as a really trivial clique which has neither edges nor vertices.

Let \mathcal{S} be a collection of sets. We say that G is the *intersection graph* of \mathcal{S} if there is a mapping f from $V(G)$ to \mathcal{S} such that any two different vertices $u, v \in V(G)$ are adjacent in G if and only if $f(u)$ and $f(v)$ have a nonempty intersection [20, 26, 32, 51]. We call f a *multifamily representation* of G and say that $f(v)$ represents v for each $v \in V(G)$ in the representation. Sometimes, a multifamily representation is also referred to as an *intersection representation* or a *set representation*. The *underlying set* of the representation f is $\bigcup_{v \in V(G)} f(v)$ and the *size* of f is defined to be the size of its underlying set. The *intersection number* of a graph G is the minimum size of a multifamily representation of G , denoted $i(G)$. Note that intersection number is a function which is additive on the connected components of a graph. The study of intersection numbers plays a major part in various researches and has thus attracted much attention [13, 14, 15, 16, 27, 28, 31, 33, 36, 38, 44, 47, 48, 49, 52, 53]. We mention especially

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that *competition number*, an important graph parameter, is a close variant of the intersection number and many researches on it could be translated as researches on intersection number as well and vice versa [19, 32, 52].

Our paper is devoted to the economical set representation problem, namely the problem of minimizing the size of some restricted set representations of a given graph. The background of this problem can be illustrated as follows. On the one hand, as in many branches of mathematics, a useful approach to understand the structure of a graph is to study its representations, usually with some natural constraints. On the other hand, many practical problems can be formulated in terms of intersection models and thus reduce to solving some optimization problems on certain classes of intersection graphs. For some purposes, like storing a graph efficiently, we would like to determine how small the representations could be, how to identify such small representations, when they can be constructed efficiently and when the smallest representation is essentially unique. For more information on many related topics in intersection graph theory, we refer to [5, 26, 32, 51].

Let us identify various constraints for an intersection representation f . If f assigns distinct sets to different vertices of G , f is called a *family representation* of G . f is a *Helly multifamily representation* of G if f satisfies the Helly property [18], namely for any clique K of G , it holds $\bigcap_{v \in V(K)} f(v) \neq \emptyset$. A family representation which satisfies the Helly property is named a *Helly family representation*. It is not hard to realize that each graph G possesses intersection representations which are family representations, multifamily representations, Helly multifamily representations, or Helly family representations, respectively. A good explanation of this fact can be found in Section 1.3 and Section 1.4 of [32]. We note that Gavril [23] shows that every graph G is the intersection graph of a Helly multifamily of subtrees of a graph without triangles, namely it has a very special Helly multifamily representation.

We use *family intersection number*, *Helly multifamily intersection number* and *Helly family intersection number*, denoted $i^*(G)$, $i_h(G)$ and $i_h^*(G)$, respectively, to be the minimum sizes of family representations, Helly multifamily representations and Helly family representations of G .

Lemma 1.1 (Erdős, Goodman, and Pósa [20]) [32, Theorem 1.6] *The intersection number of a graph G is exactly the minimum number of cliques covering the edges of G .*

In view of Lemma 1.1, for any graph G , $i(G)$ turns out to be the solution $\alpha_I(G)$ to the following integer linear programming problem.

Covering Problem I (CPI)

$$\text{Minimize: } \sum_{C \in \mathcal{C}_G} w(C)$$

$$\text{Subject To: } \sum_{e \in E(C), C \in \mathcal{C}_G} w(C) \geq 1 \quad \text{for each } e \in E(G)$$

$$\text{With: } w(C) \in \{0, 1\} \quad \text{for each } C \in \mathcal{C}_G.$$

CPI can be relaxed to a linear programming problem without the integral constraints as follows.

Covering Problem II (CPII)

$$\text{Minimize: } \sum_{C \in \mathcal{C}_G} w(C)$$

$$\text{Subject To: } \sum_{e \in E(C), C \in \mathcal{C}_G} w(C) \geq 1 \quad \text{for each } e \in E(G)$$

$$\text{With: } w(C) \geq 0 \quad \text{for each } C \in \mathcal{C}_G.$$

Let $\alpha_{II}(G)$ stand for the solution to CPII. Scheinerman and Trenk [44] define $\alpha_{II}(G)$ to be the *fractional intersection number* of a graph G , written as $i_f(G)$. It is immediate that

$$i(G) = \alpha_I(G) \geq \alpha_{II}(G) = i_f(G). \quad (1)$$

We know that the determination of $i(G)$ is NP-hard in general [29]. But there are efficient algorithms to solve a linear programming problem and hence $i_f(G)$ serves as a computable lower bound for $i(G)$ when we have all the necessary clique information as input to CPII. It is noteworthy that the number of maximal cliques might be exponential in terms of $|V| + |E|$ and to report all these cliques is an important and difficult problem in combinatorial optimization [8].

Recall that a chordal graph is a graph with no induced cycles of length at least four [26, 51]. For any given chordal graph G , Scheinerman and Trenk [44] present a weighting algorithm, which assigns $\{0, 1\}$ weights to $E(G) \cup \mathcal{C}_G$. They show that via this algorithm they can get a number which must be equal to both $i(G)$ and $i_f(G)$, thus yielding the following theorem.

Theorem 1.2 (Scheinerman and Trenk) [44, Theorem 5] *If G is a chordal graph, then $i(G) = i_f(G)$.*

Given an intersection representation f of G and any $A \subseteq V(G)$, we write $f(A)$ for $\bigcup_{v \in A} f(v)$ and $\bar{f}(A)$ for $\bigcap_{v \in A} f(v)$, respectively. Two intersection representations f_1 and f_2 of G will be regarded as the same provided there is a bijection π from $f_1(V(G))$ to $f_2(V(G))$ such that $\pi \circ f_1 = f_2$. A graph G is said to be *uniquely (intersection) representable with respect to family representation (ui)* if it has only one family representation f satisfying $|f(V(G))| = i^*(G)$. Analogously, we can define *uniquely representable with respect to multifamily representation (uim)*, *uniquely representable with respect to Helly multifamily representation (uimh)* and *uniquely representable with respect to Helly family representation (uih)*, respectively. We note that characterizing uniquely representable graphs or/and giving a recognition algorithm has been pointed out by Golombic as the first research problem in his book [26, p. 20].

Here is a very basic result on the uniqueness of minimum-size family intersection representation.

Theorem 1.3 (Alter and Wang [2]) [31, p. 156] *Complete graphs with more than one vertex are not uniquely representable with respect to family representation.*

The set consisting of all the vertices adjacent to a vertex v in G is referred to as the *open neighborhood* of it in G and denoted by $N_G(v)$, or merely $N(v)$ if no confusion can arise. We say that two vertices u and v are *twins* if $uv \in E(G)$ and $N(v) = N(u)$, and in such a case we write $u\mathcal{R}v$. Clearly, \mathcal{R} is an equivalence relation on $V(G)$. Each \mathcal{R} -equivalence class is called a *twin set*. A graph is said to be *twin-free* provided each twin set consists of only one vertex. The graph obtained by deleting an edge from K_4 as shown in Fig. 1 is called a *diamond*.

Mahadev and Wang [31] study among diamond-free graphs the unique representability problem. Note that in their study of intersection representations, they require that no empty set can be used to represent a vertex. But it is easy to check that their result below also holds in our current framework.

Theorem 1.4 (Mahadev and Wang) [31, Theorem 3.8] *If a graph G is diamond-free, then the following are equivalent:*

- (i) G is uniquely representable with respect to family representation;
- (ii) G is twin-free;

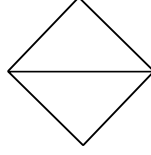


Fig. 1: The diamond.

(iii) *The intersection number and the fractional intersection number of G are equal.*

The rest of this paper is organized as follows. The next section, Section 2, makes some elementary observations on the Helly multifamily intersection numbers. In Section 3, we put forward a sufficient condition for the validity of $i(G) = i_f(G)$, which will play a key role in this work. Then we move on in the subsequent two sections to further explore some graph classes satisfying $i(G) = i_f(G)$ by making use of this general criterion. In Section 4, as a direct generalization of Theorem 1.2, we discuss those graphs whose edge clique graphs are perfect; particularly, the so-called diamond-free elimination graphs are introduced there as a generalization of chordal graphs and are shown to satisfy $i(G) = i_f(G)$. In Section 5, we address the so-called maximal clique irreducible graphs, which turn out to be those graphs with $i(G) = i_f(G) = i_h(G)$; in particular, we identify a hierarchy of subclasses of maximal clique irreducible graphs, each of them is characterized by having some special intersection representations. Subsequently, in Section 6 we present a greedy algorithm to generate a set representation for any given graph. We take a closer look at the diamond-free elimination graphs and show that there is a polynomial time recognition algorithm for them and the greedy algorithm can be used to produce a minimum-size intersection representation for them in polynomial time. In Section 7 we are concerned with various lower bounds for $i^*(G)$ and $i_h^*(G)$ and extremal graphs with respect to these parameters. We define a purple graph to be a sort of extremal graphs with respect to the Helly family intersection number. Then Section 8 is devoted to some intersection representation problems for purple graphs, including a generalization of the (ii) \Leftrightarrow (iii) part of Theorem 1.4. Finally, we discuss in Section 9 the uniqueness of economical set representations and obtain generalizations of Theorem 1.3 as well as the (i) \Leftrightarrow (iii) and the (i) \Leftrightarrow (ii) parts of Theorem 1.4.

2 Helly multifamily intersection number

It is straightforward from the definitions that

$$i(G) \leq i_h(G) \leq i_h^*(G); \quad i(G) \leq i^*(G) \leq i_h^*(G). \quad (2)$$

To say more about the relationship among these intersection numbers, we examine some basic facts about the Helly multifamily intersection number.

For each set representation f of G , let $\xi(f) = \{G[V_x] : x \in f(V(G))\}$, where $V_x = \{v : x \in f(v)\}$ for each $x \in f(V(G))$.

Theorem 2.1 (Roberts and Spencer [41]) [32, Lemma 1.11] *An intersection representation f of a graph G satisfies the Helly property if and only if $\mathcal{C}(G) \subseteq \xi(f)$.*

Lemma 2.2 *The equality $i_h(G) = |\mathcal{C}(G)|$ holds for any graph G .*

Proof: A Helly multifamily representation f of size $|\mathcal{C}(G)|$ can be obtained by letting $\mathcal{S} = \mathcal{C}(G)$ and $f(v) = \{C \in \mathcal{C}(G) : v \in V(C)\}$ for each $v \in V(G)$. But Theorem 2.1 implies that $|\mathcal{C}(G)| \leq i_h(G)$. This finishes the proof. \square

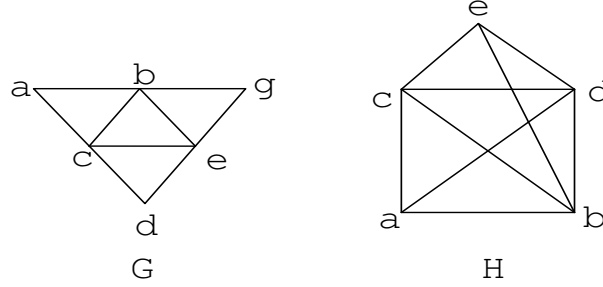


Fig. 2: i_h and i^* are incomparable.

Example 2.3 *For the graphs G and H in Fig. 2, $\{f(a) = \{1\}, f(b) = \{1, 2\}, f(c) = \{1, 3\}, f(d) = \{3\}, f(e) = \{2, 3\}, f(g) = \{2\}\}$ and $\{f'(a) = \{2, 3\}, f'(b) = \{1, 3\}, f'(c) = \{1, 2\}, f'(d) = \{1, 2, 3\}, f'(e) = \{1\}\}$ give minimum-size family intersection representations of them, respectively. By Lemma 2.2, for the graph G we have $i_h(G) = 4 > 3 = i^*(G)$; while for the graph H we have $i_h(H) = 2 < 3 = i^*(H)$.*

Erdős, Goodman and Pósa [20] establish that $i(G) \leq \lfloor \frac{|V(G)|^2}{4} \rfloor$. But $i_h(G)$ can be exponentially large, as can be seen from the forthcoming example, which then tells us that $i_h(G)$ can be much larger than $i(G)$.

Example 2.4 [34, 35] *Let $n \geq 2$ and G be a graph with n vertices. Then we have*

$$|\mathcal{C}(G)| \leq \begin{cases} 3^{n/3}, & \text{if } n \equiv 0 \pmod{3}; \\ 4 \times 3^{\lfloor n/3 \rfloor - 1}, & \text{if } n \equiv 1 \pmod{3}; \\ 2 \times 3^{\lfloor n/3 \rfloor}, & \text{if } n \equiv 2 \pmod{3}. \end{cases} \quad (3)$$

Equality in (3) holds if and only if $V(G)$ can be partitioned into disjoint subsets where each of them has three vertices with at most either one exception which has two or four vertices or two exceptions which both have two vertices and any two vertices are joined by an edge if and only if they come from different subsets.

For some classes of graphs we have more knowledge of their clique distributions and hence can get some better upper bounds for $i_h(G)$. For example, we can deduce from the existence of a perfect elimination ordering [5, 17, 21, 32, 42] that a chordal graph G has at most $|V(G)|$ maximal cliques. We also

know that a graph of boxicity k has at most $O(|V(G)|^k)$ maximal cliques [5, 40]. We mention that to detect/enumerate the maximal cliques of various graph classes is a problem arising in many important applications [7].

3 Main result

Following Theorem 1.2, we will develop a more general sufficient condition for a graph to have equal fractional intersection number and intersection number.

First we need to introduce some more concepts. The *independence number* $\alpha(G)$ of a graph G is the maximum size of an independent set of G . The minimum number of cliques in G needed to cover $V(G)$ is referred to be its *clique cover number* and denoted by $\theta(G)$. The *edge clique graph* of a graph G , denoted $K_e(G)$, is the one whose vertices are the edges of G and two vertices are adjacent if and only if when regarded as edges of G they belong to a clique of G [1, 9, 10, 12, 29, 38, 39].

Next we present our main result, which confirms that edge clique graph is a natural context in investigating intersection numbers.

Theorem 3.1 *Let G be a graph. If $\theta(K_e(G)) = \alpha(K_e(G))$, then $i(G) = i_f(G)$.*

To prove Theorem 3.1, we transform CPI and CPII to their dual maximization problems as below.

Packing Problem I (PPI)

$$\begin{aligned} \text{Maximize: } & \sum_{e \in E(G)} w(e) \\ \text{Subject To: } & \sum_{e \in E(C)} w(e) \leq 1 \quad \text{for each } C \in \mathcal{C}_G \\ \text{With: } & w(e) \in \{0, 1\} \quad \text{for each } e \in E(G). \end{aligned}$$

Packing Problem II (PPII)

$$\begin{aligned} \text{Maximize: } & \sum_{e \in E(G)} w(e) \\ \text{Subject To: } & \sum_{e \in E(C)} w(e) \leq 1 \quad \text{for each } C \in \mathcal{C}_G \\ \text{With: } & w(e) \geq 0 \quad \text{for each } e \in E(G). \end{aligned}$$

Note that both PPI and PPII are feasible and thus have optimal solutions, which we denote by $\beta_I(G)$ and $\beta_{II}(G)$, respectively. It follows at once that

$$\beta_{II}(G) \geq \beta_I(G), \tag{4}$$

as PPI becomes PPII after directly dropping the integral assumption. Invoking the Duality Theorem of Linear Programming [22], we arrive at

$$\alpha_{II}(G) = \beta_{II}(G). \tag{5}$$

Putting together Eqs. (1), (4) and (5), we have

$$\alpha_I(G) \geq \alpha_{II}(G) = \beta_{II}(G) \geq \beta_I(G). \tag{6}$$

Next, we consider the relationship between a graph and its edge clique graph. For any clique C of G , the edge set of C corresponds to the vertex set of a clique in $K_e(G)$, denoted by $\gamma(C)$; conversely, for any clique C' of $K_e(G)$, the set of edges of G corresponding to vertices of C' must lie in the edge set of a clique of G and among all such cliques there is a unique minimal one, say $\gamma'(C')$. The maps γ and γ' are order-preserving and induce a one-to-one correspondence between the cliques of $K_e(G)$ and those cliques C of G which satisfy $C = \gamma'\gamma(C)$. In particular, this leads to the following result.

Lemma 3.2 (Albertson and Collins [1]) [10, Proposition 1] *There exists a one-to-one correspondence between nontrivial maximal cliques (intersection of nontrivial maximal cliques) of G and maximal cliques (intersection of maximal cliques) of $K_e(G)$. Moreover, if C is a nontrivial maximal clique (intersection of maximal cliques) of G , then the corresponding clique of $K_e(G)$ is formed by the vertices which correspond to the edges of G with both endpoints in C .*

Moreover, the correspondence between the cliques of G and $K_e(G)$ as described before implies the lemma below.

Lemma 3.3 $\theta(K_e(G)) = \alpha_I(G)$ and $\alpha(K_e(G)) = \beta_I(G)$.

From here it is a short step to finishing the proof of Theorem 3.1.

Proof of Theorem 3.1: By Lemma 3.3, we deduce from $\theta(K_e(G)) = \alpha(K_e(G))$ that $\alpha_I(G) = \beta_I(G)$, which combined with inequality (6) leads to $i(G) = \alpha_I(G) = \alpha_{II}(G) = i_f(G)$, as claimed. \square

Example 3.4 Raychaudhuri [39] demonstrates that any four-wheel-free transitively orientable graph G satisfies $\theta(K_e(G)) = \alpha(K_e(G))$. Thus Theorem 3.1 immediately implies that any four-wheel-free transitively orientable graph G satisfies $i(G) = i_f(G)$.

4 Graphs whose edge clique graphs are perfect

We begin with an immediate corollary of Theorem 3.1.

Theorem 4.1 *Each graph G whose edge clique graph is perfect satisfies $i(G) = i_f(G)$.*

In view of Theorem 4.1, it is of interest to investigate those graphs whose edge clique graphs are perfect.

Example 4.2 Albertson and Collins [1] show that if G is planar and 3-colorable, then $K_e(G)$ is perfect.

Example 4.3 It is known that the edge clique graph of a chordal graph is still chordal [1, 38, 39] and hence perfect. Note that this means that Theorem 4.1 is a generalization of Theorem 1.2.

Our remaining objective in this section is to generalize the observation made in Example 4.3. To this end, we need a bit more terminology.

We think of an *ordering* σ of $V(G)$ as a bijection from $V(G)$ to $\{1, 2, \dots, |V(G)|\}$. Put $N(v, \sigma) = \{u \in N(v) : \sigma(u) > \sigma(v)\}$. An ordering σ is a *perfect elimination ordering (peo)* if for each $v \in V(G)$, $N(v, \sigma)$ induces a clique of G . Recall that a graph is *chordal* if and only if it possesses a *peo* [5, Theorem 1.2.2] [17, 21, 42]. Generalizing the concept of chordal graphs, we define a *diamond-free elimination graph* to be a graph whose vertex set has a *generalized perfect elimination ordering (gpeo)*, namely an ordering σ with the property that $G[N(v, \sigma)]$ is a vertex-disjoint union of cliques for each $v \in V(G)$. It is easy to see that a diamond-free elimination graph G has at most $|E(G)|$ maximal cliques.

A simple characterization of *gpeo* is as follows.

Lemma 4.4 *An ordering σ of $V(G)$ is a gpeo if and only if for each $v \in V(G)$ and each $u \in N(v, \sigma)$, $N(v, \sigma) \cap N(u)$ induces a clique of G . In particular, if G has a gpeo and if there is $v \in V(G)$ satisfying that $N(v) \cap N(u)$ induces a clique of G for each $u \in N(v)$, then $G - v$ also has a gpeo.*

For any ordering σ of $V(G)$, there is a unique ordering σ' of $V(K_e(G))$ such that $\sigma'(uv) < \sigma'(xy)$ if and only if either $\min(\sigma(u), \sigma(v)) < \min(\sigma(x), \sigma(y))$ or $\min(\sigma(u), \sigma(v)) = \min(\sigma(x), \sigma(y))$ but $\max(\sigma(u), \sigma(v)) < \max(\sigma(x), \sigma(y))$. It is not hard to see the following result which indicates the connection between chordal graphs and diamond-free elimination graphs.

Lemma 4.5 *σ is a gpeo of G if and only if σ' is a peo of $K_e(G)$. Hence the edge clique graph of a diamond-free elimination graph is chordal.*

We are now ready to show that any diamond-free elimination graph G fulfils $i(G) = i_f(G)$. Note that we will show in Section 6 that a diamond-free elimination graph can be recognized in polynomial time and there is a greedy algorithm to determine its intersection number directly, rather than compute its fractional intersection number via solving a linear programming problem.

Theorem 4.6 *If G is a diamond-free elimination graph, then $i(G) = i_f(G)$.*

Proof: It is well-known that chordal graphs are perfect [26, 30, 51]. So, the result comes from Theorem 4.1 and Lemma 4.5, as desired. \square

Let us look around for some examples of diamond-free elimination graphs.

Example 4.7 *As a class of graphs including all bipartite graphs, diamond-free graphs have attracted much attention. Mahadev and Wang [31, Proposition 2.8] show that a graph G is diamond-free if and only if every edge of G lies in a unique maximal clique. We thus know that the class of diamond-free graphs is a subclass of diamond-free elimination graphs. An application of Theorem 4.6, or the remark preceding Theorem 4.6, says that the intersection numbers of these graphs are easy to determine.*

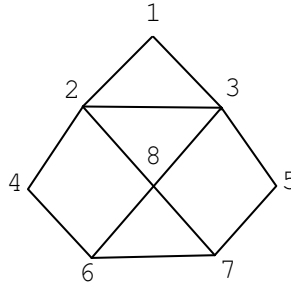


Fig. 3: A diamond-free elimination graph.

Example 4.8 *The graph displayed in Fig. 3 is neither chordal nor diamond-free. But it has a gpeo as indicated in the figure and thus is a diamond-free elimination graph.*

The following example says that the converse of the second part of Lemma 4.5 is not valid. Indeed, an edge clique graph $K_e(G)$ is chordal exactly means that it has a *peo*, say τ , and it may happen that there is no ordering σ of G such that $\tau = \sigma'$.

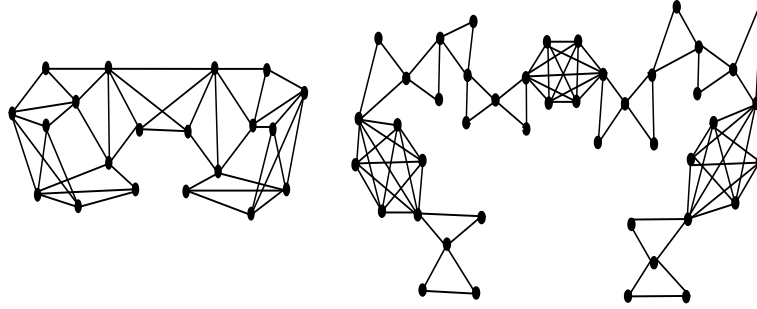


Fig. 4: A graph and its edge clique graph.

Example 4.9 Let G be the graph as depicted on the left of Fig. 4. Since $N_G(v)$ does not induce a vertex-disjoint union of cliques for any $v \in V(G)$, G is not a diamond-free elimination graph. However, its edge clique graph, as exhibited on the right of Fig. 4, is a chordal graph.

5 Graphs satisfying $i(G) = i_f(G) = i_h(G)$

5.1 Maximal clique irreducible graphs

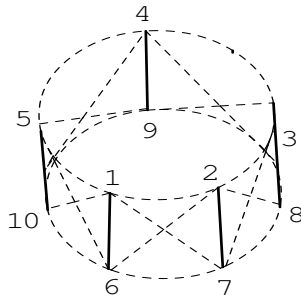


Fig. 5: The Tsuchiya graph T_{10} and the set F_5 .

Example 5.1 For $t \geq 3$, the Tsuchiya graph T_{2t} [49] is specified by setting $V(T_{2t}) = \{1, 2, \dots, 2t\}$ and $E(T_{2t}) = \{(i, i + 1), (t + i, t + i + 1), (i, i + t + 1), (i + 1, t + i), (i, i + t) : i \in \mathbb{Z}_t\}$. For any odd integer $t \geq 5$, we take $F_t \subseteq V(K_e(T_{2t}))$ to be $\bigcup_{i \in \mathbb{Z}_t} \{(i, i + t)\}$. See Fig. 5 for an illustration of T_{10} and F_5 . We can check that F_t induces a chordless odd cycle of length at least 5 in $K_e(T_{2t})$ and hence $K_e(T_{2t})$ is not

a perfect graph. This means that we cannot use Theorem 4.1 directly to tell whether or not the Tsuchiya graph T_{2t} has equal intersection number and fractional intersection number.

Besides the work in Section 4, we will develop in this subsection another consequence of Theorem 3.1. Among many applications of this result, it will enable us to find out in the next subsection that the intersection number, the fractional intersection number and the Helly intersection number of any given Tsuchiya graph are all equal; see Corollary 5.8.

A *special vertex* of a graph G is a vertex which is contained in only one maximal clique of G and a *special edge* of G is one edge which only appears in one maximal clique of G . Wallis and Zhang [32, 50] define a graph G to be *maximal clique irreducible* if each nontrivial maximal clique of G contains a special edge. We remark that G is maximal clique irreducible if and only if $\mathcal{C}(K_e(G))$ is an *irreducible covering* of $K_e(G)$ [46]. We are ready to present the main theorem of this subsection.

Theorem 5.2 *If G is maximal clique irreducible, then $i(G) = i_f(G)$.*

Proof: By means of Lemma 3.2, the cliques in $\mathcal{C}(K_e(G))$ are in one-to-one correspondence with the nontrivial cliques from $\mathcal{C}(G)$. Since every nontrivial clique in $\mathcal{C}(G)$ has a special edge, correspondingly, each member of $\mathcal{C}(K_e(G))$ contains a special vertex in $K_e(G)$. Taking from each clique in $\mathcal{C}(K_e(G))$ one special vertex we obtain an independent set of size $|\mathcal{C}(K_e(G))|$ and thus $\alpha(K_e(G)) \geq |\mathcal{C}(K_e(G))| \geq \theta(K_e(G))$ follows. On the other hand, by the pigeon-hole principle we know that $\alpha(K_e(G)) \leq \theta(K_e(G))$. The conjunction of these two inequalities then implies that $\theta(K_e(G)) = \alpha(K_e(G))$ and so we can apply Theorem 3.1 to get $i(G) = i_f(G)$. \square

We proceed with an investigation of maximal clique irreducible graphs. As we shall see, this class of graphs is characterized by $i(G) = i_f(G)$. We will arrive at such a conclusion by taking things step by step.

As was observed in Eq. (2), we have $i(G) \leq i_h(G)$ for any graph G . In this regard, the next lemma shows that maximal clique irreducible graphs are some sort of extremal graphs.

Lemma 5.3 *G is maximal clique irreducible if and only if $i(G) = i_h(G)$.*

Proof: We prove the backward direction by contradiction. Assume that there exists a clique $C \in \mathcal{C}(G)$ which has no special edge. This means that the union of cliques in $\mathcal{C}(G) \setminus \{C\}$ covers $E(G)$. As a consequence of Lemmas 1.1 and 2.2, we then find that $i(G) < |\mathcal{C}(G)| = i_h(G)$, contrary to our assumption.

Now consider the forward direction. Let $\mathcal{C}(G) = \{Q_1, \dots, Q_{|\mathcal{C}(G)|}\}$. For each nontrivial $Q_i \in \mathcal{C}(G)$, let $S_i \neq \emptyset$ be the set of its special edges. If there is a family of cliques of size less than $|\mathcal{C}(G)|$ whose union covers $E(G)$, then we can find a clique in this family intersecting with at least two different S_i 's, which is impossible. \square

Theorem 5.4 *If $i(G) = i_h(G)$, then $i(G) = i_f(G) = |\mathcal{C}(G)|$.*

Proof: This is a consequence of Theorem 5.2 and Lemma 5.3. \square

Lemma 5.3 together with Theorem 5.4 goes through to deduce the following result.

Theorem 5.5 *G is maximal clique irreducible if and only if $i(G) = i_f(G) = i_h(G) = |\mathcal{C}(G)|$.*

Example 5.6 Each triangle-free graph is a diamond-free graph and each edge of a diamond-free graph is a special edge. Thus, Theorem 5.5 says that each triangle-free graph G , or more generally, each diamond-free graph G , satisfies $i(G) = i_h(G) = i_f(G)$. This strengthens our former assertion in Example 4.7.

5.2 A hierarchy of maximal clique irreducible graphs

For a graph G , an ordering π of $\mathcal{C}(G)$ is a way to label exactly one clique from $\mathcal{C}(G)$ as C_i for each $i = 1, \dots, |\mathcal{C}(G)|$; we express this fact by writing $\pi = (C_1, \dots, C_{|\mathcal{C}(G)|})$. An ordering π of $\mathcal{C}(G)$ is *circular* provided that the maximal cliques containing any given vertex appear in a circular consecutive order in π – imagine the ordering as wrapped around a circle. A *Helly circular-arc graph* is the one whose set of maximal cliques has a circular ordering [5, 24, 26, 32]. We denote the class of Helly circular-arc graphs by \mathcal{HCA} . From this definition it is easy to see that the class of interval graphs belong to \mathcal{HCA} [21]. For any given graph we can recognize whether or not it belongs to \mathcal{HCA} in polynomial time [5, 24].

Corollary 5.7 If $G \in \mathcal{HCA}$, then G is maximal clique irreducible and $i(G) = i_h(G) = i_f(G)$.

Proof: Let $\pi = \{C_1, \dots, C_{|\mathcal{C}(G)|}\}$ be a circular ordering of $\mathcal{C}(G)$. For each $i \in \mathbb{Z}_{|\mathcal{C}(G)|}$, choose a vertex $u_i \in C_i \setminus C_{i+1}$ and a vertex $w_i \in C_i \setminus C_{i-1}$ and then we can check that $u_i w_i \in E(C_i)$ is a special edge. By appealing to Theorem 5.5, the result follows. \square

Evidently, all Tsuchiya graphs T_{2t} posed in Example 5.1 with $t > 3$ are contained in \mathcal{HCA} . So by Corollary 5.7, we have the following result.

Corollary 5.8 Each Tsuchiya graph T_{2t} with $t > 3$ satisfies $i(T_{2t}) = i_h(T_{2t}) = i_f(T_{2t})$.

To gain more understanding of the graphs satisfying $i(G) = i_h(G) = i_f(G)$, namely maximal clique irreducible graphs, we proceed to discuss a hierarchy of some subclasses of them and provide characterizations of them from the viewpoint of intersection representations. The bottom of this hierarchy will be the class of Helly circular-arc graphs mentioned above and the top will be the class of maximal clique irreducible graphs.

A family \mathcal{F} of sets is said to have the *strong Helly property* [6] if for every pairwise intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ there exist $S_1, S_2 \in \mathcal{F}'$ such that $\bigcap_{S \in \mathcal{F}'} S = S_1 \cap S_2$. Weakening slightly this definition, we assert that a family \mathcal{F} of sets has the *semistrong Helly property* if for every maximal pairwise intersecting subfamily $\mathcal{F}' \subseteq \mathcal{F}$ there exist $S_1, S_2 \in \mathcal{F}'$ such that $\bigcap_{S \in \mathcal{F}'} S = S_1 \cap S_2$. An intersection representation f where $\{f(v) : v \in V(G)\}$ has the strong (semistrong) Helly property is called a *strong (semistrong) Helly representation*. We designate the class of graphs that have a strong Helly representation as \mathcal{SH} and those having a semistrong Helly representation as \mathcal{SSH} , respectively. We remark that a graph belongs to \mathcal{SH} if and only if every induced subgraph of it belongs to \mathcal{SSH} . It is also noteworthy that \mathcal{SH} is nothing but the line graphs of strong Helly hypergraphs [32].

Recall that maximal clique irreducible graphs are identified by a special property of their clique structure. In contrast, \mathcal{SSH} is characterized by the possession of a special kind of set representations. We now show that they are merely the same object defined from different points of view.

Theorem 5.9 \mathcal{SSH} is exactly the class of maximal clique irreducible graphs. Hence, by Theorems 5.5, for any graph G , $i(G) = i_h(G) = i_f(G)$ if and only if $G \in \mathcal{SSH}$.

Proof: First assume that G has a semistrong Helly representation f and take arbitrarily a nontrivial maximal clique C of G . It suffices to show that C has a special edge. The semistrong Helly property of f guarantees the existence of two vertices $u, w \in V(C)$ such that $\bar{f}(V(C)) = f(u) \cap f(w)$. We can assume $u \neq w$; otherwise we simply use any other vertex from $V(C) \setminus \{u\} \neq \emptyset$ to be the new w . We claim that the edge uw is a special edge. Actually, for any maximal clique C' which includes both u and w , we have $\emptyset \neq \bar{f}(V(C')) \subseteq f(u) \cap f(w) = \bar{f}(V(C))$ and hence $\emptyset \neq \bar{f}(V(C) \cup V(C'))$ follows. Since f is an intersection representation of G , we know that $G[V(C) \cup V(C')]$ must be a clique and then infer from the maximality of C and C' that $C = C'$. Of course, this means that uw is a special edge, as asserted.

For the reverse direction, assign to each $v \in V(G)$ the set $f(v) = \{C \in \mathcal{C}(G) : v \in V(C)\}$. It is easy to verify that f is an intersection representation of G . Suppose that $C \in \mathcal{C}(G)$ is trivial, say $C = \{x\}$. Clearly, $f(x) = \{C\}$. Hence, taking $u = w = x$, we can write $\bar{f}(V(C)) = f(u) \cap f(w)$. We then take up the case that $C \in \mathcal{C}(G)$ is nontrivial. For now, C has a special edge, say uw , that is to say, $f(u) \cap f(w) = \{C\}$. But for any $v \in V(C)$ we surely have $C \in f(v)$. This immediately leads to $\bar{f}(V(C)) = f(u) \cap f(w) = \{C\}$. Thus we have come to the conclusion that f is a semistrong Helly representation of G and the proof is done. \square

It is obvious that $\mathcal{SH} \subseteq \mathcal{SSH}$. Currently, we are not aware of any existing interesting graph class which lies in $\mathcal{SSH} \setminus \mathcal{SH}$. Anyway, we do know that $\mathcal{SH} \neq \mathcal{SSH}$, as indicated by the example below.

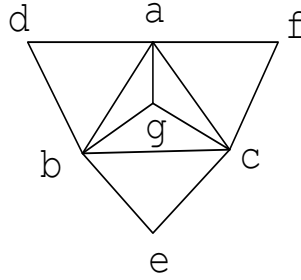


Fig. 6: A member of $\mathcal{SSH} \setminus \mathcal{SH}$.

Example 5.10 Consider the graph G demonstrated in Fig. 6 which has three size-3 maximal cliques and one size-4 maximal clique. Since each maximal clique of G has a special edge, we conclude that $i(G) = i_h(G)$ and hence $G \in \mathcal{SSH}$. We now verify that $G \notin \mathcal{SH}$. Suppose otherwise that f is a strong Helly representation of G . Then for the clique $C = \{a, b, c\}$ we have, w.l.o.g., $\bar{f}(V(C)) = f(a) \cap f(b)$, namely $f(a) \cap f(b) \subseteq f(c)$. But $\{d, a, b\}$ is a clique and so $\emptyset \neq f(d) \cap f(a) \cap f(b) \subseteq f(d) \cap f(c)$. This is impossible as there is no edge in G connecting c and d .

A graph G is a *hereditary clique-Helly graph* if $\mathcal{C}(G)$ satisfies the strong Helly property [37, 50]. Following Prisner [37], we use the notation \mathcal{HCH} for this class of graphs. We define the *clique graph operator* $K(\cdot)$ such that, for any graph H , $K(H)$ is the intersection graph of the maximal cliques of H . A graph is a *clique graph* if it is isomorphic to $K(H)$ for some graph H .

Two interesting results about \mathcal{HCH} are as follows.

Lemma 5.11 (Prisner [37]) [32, p. 129] *A graph is a hereditary clique-Helly graph if and only if each of its vertex-induced subgraphs is maximal clique irreducible.*

Lemma 5.12 (Prisner [37]) [32, Theorem 7.34] *A graph is a hereditary clique-Helly graph if and only if $G = K(H)$ where H is another hereditary clique-Helly graph.*

We are now prepared to show that \mathcal{HCH} and \mathcal{SH} are the same graph class.

Theorem 5.13 $\mathcal{HCH} = \mathcal{SH}$.

Proof: For any $G \in \mathcal{HCH}$, by Lemma 5.12 we know that G is the clique graph of some hereditary clique-Helly graph H . According to the definition of a hereditary clique-Helly graph, $\mathcal{C}(H)$ is a family with the strong Helly property, thus implying $G \in \mathcal{SH}$. This completes the proof of $\mathcal{HCH} \subseteq \mathcal{SH}$.

Conversely, suppose G is a member of \mathcal{SH} and f is a strong Helly representation of G . We aim to deduce that $G \in \mathcal{HCH}$ from which the theorem then follows. By Lemma 5.11, it is enough to prove that each of its vertex-induced subgraphs G' is maximal clique irreducible. Let $f|_{G'}$ be the representation f restricted to $V(G')$. Clearly, $f|_{G'}$ is an intersection representation of G' which satisfies the strong Helly property. By Theorem 5.9, we get that G' is maximal clique irreducible, as was to be shown. \square

We now go back to \mathcal{HCA} . We will show that $\mathcal{HCA} \subseteq \mathcal{HCH}$, thus strengthening Corollary 5.7. An equivalent definition of Helly circular-arc graph will be needed: A Helly circular-arc graph is the intersection graph of a Helly family of circular arcs on a circle [5, 24, 32]. We will also make use of a simple result which we single out as a lemma. A class of graphs is said to be *induced-hereditary* if each induced subgraph of a member of it also belongs to it [4]. It follows readily from the definition of \mathcal{HCA} as described above that \mathcal{HCA} is induced-hereditary.

Lemma 5.14 *An induced-hereditary class of graphs belongs to \mathcal{HCH} if and only if it is a subclass of \mathcal{SSH} .*

Proof: This is an application of the combination of Theorem 5.9 and Lemma 5.11. \square

Theorem 5.15 $\mathcal{HCA} \subseteq \mathcal{HCH}$.

Proof: Corollary 5.7 combined with Theorem 5.9 asserts that $\mathcal{HCA} \subseteq \mathcal{SSH}$. Further applying Lemma 5.14 and our remark prior to it, this enables us to draw the conclusion, as required. \square

Example 5.16 *It is surely true that if the size of no $C \in \mathcal{C}(G)$ exceeds 2 then each clique in $\mathcal{C}(G)$ has a special edge. This observation together with Lemma 5.11 yields that the Petersen graph demonstrated in Fig. 7 is a member of \mathcal{HCH} . But we can easily check that the maximal cliques of Petersen graph do not possess any circular ordering. This means that the Petersen graph does not belong to \mathcal{HCA} . Consequently, we arrive at $\mathcal{HCH} \setminus \mathcal{HCA} \neq \emptyset$.*

Summing up what we have obtained in this subsection, we can state the following result.

Theorem 5.17 $\mathcal{HCA} \subsetneq \mathcal{HCH} = \mathcal{SH} \subsetneq \mathcal{SSH}$.

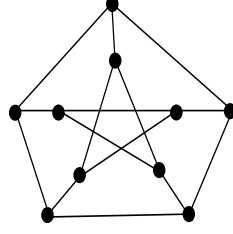


Fig. 7: The Petersen graph.

6 The ST weighting algorithm

In this section we will present a greedy algorithm for any given graph, which can output a set of cliques covering every edge of it. Surely, this then easily leads to a set representation of the graph and an upper bound of its intersection number. We will show that with the knowledge of a *gpeo* of a given diamond-free elimination graph, what comes out from this greedy algorithm is a minimum-size set representation.

Adapting the weighting algorithm of Scheinerman and Trenk [44] as mentioned in Section 1, we give the following algorithm, which we call the *ST weighting algorithm*.

ST Weighting Algorithm:

Input: A graph G and a vertex ordering σ of G .

Initialize: Let $w(C) = w(e) = 0$ for every clique C and edge e of G . Mark all edges as “uncovered”. Set $G_1 = G$.

For $i = 1$ **to** $|V(G)| - 1$ **do:**

(1) Let $\{C_{i,1}, \dots, C_{i,k_i}\} = \{C \in \mathcal{C}(G_i) : \sigma^{-1}(i) \in V(C)\}$.

For $j = 1$ **to** k_i **do:**

If there is an edge e of $C_{i,j}$ incident to $\sigma^{-1}(i)$ that is marked “uncovered”, **then**

(a) Let $w(C_{i,j}) = w(e) = 1$.

(b) Mark all edges in $C_{i,j}$ “covered”.

End of for loop

(2) Let $G_{i+1} = G_i - \sigma^{-1}(i)$.

End of for loop

Output: The weight function w from $E(G) \cup \mathcal{C}_G$ to $\{0, 1\}$.

For convenience, the outer **For** loop in the ST weighting algorithm is called the *i*th *pass*. During the *i*th pass there are still k_i inner **For** loops which we will call *iterations*.

Lemma 6.1 *Let σ be a vertex ordering of graph G . If w is the weight function arising from the ST weighting algorithm with σ as an input, we can produce an intersection representation of G of size $\sum_{C \in \mathcal{C}_G} w(C)$.*

Proof: First we show that $\sum_{C \in \mathcal{C}_G} w(C)$ is a feasible solution to the linear program **CPII**, *i.e.*, for each $e \in E(G)$, we have $\sum_{\substack{C \in \mathcal{C}_G \\ e \in E(C)}} w(C) \geq 1$.

Notice that for $i = 1, \dots, n - 1$, all edges incident to $\sigma^{-1}(i)$ will be marked “covered” at the end of the i th pass. Hence all edges of G are marked by the ST weighting algorithm. Accordingly, for any given edge e , there are i and j such that e is marked by the operation (b) during the j th iteration of the i th pass. In the same iteration, the operation (a) then assigns weight 1 to a clique C satisfying $e \in E(C)$. Since the weights given to cliques in \mathcal{C}_G are from $\{0, 1\}$, this verifies our statement.

Let \mathcal{Q}_σ be the set of cliques of G receiving weight 1 at the end of the ST weighting algorithm. Since $\sum_{C \in \mathcal{C}_G} w(C)$ is a feasible solution to **CPII**, we get that the union of the cliques in \mathcal{Q}_σ covers $E(G)$. It is now a simple and well-known procedure to yield the required intersection representation f of size $|\mathcal{Q}_\sigma| = \sum_{C \in \mathcal{C}_G} w(C)$: for each $v \in V(G)$, let $f(v) = \{C \in \mathcal{Q}_\sigma : v \in V(C)\}$. \square

Since we can find an intersection representation for any graph and any vertex ordering with the help of the ST weighting algorithm, it is natural to consider the following question.

Question 6.2 *For any graph G and its vertex ordering σ , can we estimate the difference between $i(G)$ and the size of the intersection representation obtained by the ST weighting algorithm? For which kind of graph classes can we have some ordering with which the ST weighting algorithm can produce a minimum-size set representation? How to search for such a good ordering efficiently? For which class of graphs can the ST weighting algorithm lead to an approximation algorithm for deciding the intersection number and what is its performance guarantee?*

In Section 4 we have seen that any chordal graph is a diamond-free elimination graph. Indeed, to prove the next theorem we follow the idea of Scheinerman and Trenk [44] in deducing Theorem 1.2.

Theorem 6.3 *Let G be a diamond-free elimination graph and σ a generalized perfect elimination ordering of G . If w is the weight function arising from the ST weighting algorithm with σ as an input, we have $\sum_{C \in \mathcal{C}_G} w(C) = i(G) = i_f(G)$.*

Proof: It is sufficient to prove that $\sum_{C \in \mathcal{C}_G} w(C)$ is the optimal solution to the linear program **CPI**.

First observe that each time we give a clique weight 1 in applying the ST weighting algorithm, we exactly assign one edge a weight 1. Thus we get that $\sum_{e \in E(G)} w(e)$ and $\sum_{C \in \mathcal{C}_G} w(C)$ are equal, say W .

We next intend to show that W is a feasible solution to the linear program **PPII**, *i.e.*, $\sum_{e \in E(C)} w(e) \leq 1$ for each $C \in \mathcal{C}_G$. Assume that there is at least one weight-1 edge in $E(C)$. Since at each iteration, at most one edge is assigned weight 1, we can assume that at the j th iteration of the i th pass the first edge from $E(C)$, say xy , receives weight 1. Since we only give weight 1 to edges incident to $\sigma^{-1}(i)$ in the i th pass, we can further assume that $x = \sigma^{-1}(i)$. Clearly, when the $(i - 1)$ th pass terminates, all edges in $E(C) \setminus E(G_i) = \{uv \in E(C) : \min(\sigma(u), \sigma(v)) < i\}$ have been marked “covered” and will keep a weight 0 from then on. The assumption that σ is a *gpeo* tells us that for each edge from $E(C) \cap E(G_i)$ there is a unique $1 \leq j \leq k_i$ such that this edge lies in $E(C_{i,j})$, and the value j for this edge must be the same as for xy . Consequently, all edges $e \neq xy$ of $E(C) \cap E(G_i)$ will be marked “covered” at the j th iteration of the i th pass and keep a weight 0 since then. This then proves that xy is the only edge of $E(C)$ that receives a weight 1.

Finally, recall that in course of the proof of Lemma 6.1, we already show that W is a feasible solution to **CPII**.

Taking all things together, we know that W must be the optimal solution to **CPII** which then gives $W = i_f(G)$. Since the weight function only takes value from $\{0, 1\}$, W is also the optimal solution to the integer linear program **CPI**, from which $W = i(G)$ follows. \square

Lemma 6.1 in combination with Theorem 6.3 implies that, for any diamond-free elimination graph, if a *gpeo* is already known, we can produce an intersection representation of minimum size for the graph by running the ST weighting algorithm. But is it easy to tell whether or not a *gpeo* exists and construct a *gpeo* in the case that it exists? Again, we can devise a very naive way to do it: Search for a vertex v such that each set of the form $N(u) \cap N(v)$, $u \in N(v)$, induces a clique of G ; eliminate v and all the edges incident to it; repeat the same step on the remaining graph until no vertex remained can be removed; record the sequence of vertices being deleted in order. If the input graph has a *gpeo*, Lemma 4.4 guarantees that we will find one when the algorithm terminates; otherwise, the algorithm halts before we delete every vertex of the graph and we can assert that there is no *gpeo* for the graph. It is not hard to see that this gives a $O(|V(G)|^5)$ time algorithm for the recognition of diamond-free elimination graphs. Note that there are linear time recognition algorithms for chordal graphs [5, 32, 43, 45, 51] and there are good algorithms to enumerate all *peos* of a chordal graph [11]. It will be interesting if those kind of work can be generalized to produce some clever algorithms for diamond-free elimination graphs.

We have shown how to find a *gpeo* for a diamond-free elimination graph G and how to generate a minimum-size intersection representation of G with the help of ST weighting algorithm. We claim that the whole process can be completed in polynomial time. Indeed, when implementing the i th pass of the ST weighing algorithm on G with one of its *gpeo*, say σ , as an input, we deal with the graph with vertex set $\{\sigma^{-1}(j) : j \geq i\}$ and edge set of uncovered edges then. Each time we find a maximal clique containing $\sigma^{-1}(i)$ we cover (delete) all those edges in this clique. The number of cliques thus arise is exactly the intersection number of the graph. A bit thinking shows that the ST weighting algorithm can get the intersection number in $O(|V(G)|^2)$ time.

Recall that, for any diamond-free elimination graph G , Lemma 4.5 says that the edge clique graph $K_e(G)$ is chordal. This enables us to use the algorithm of Gavril [25] to obtain the value of $\theta(K_e(G)) = \alpha(K_e(G))$, which is exactly $i(G)$ by Theorem 3.1. Though the construction of $K_e(G)$ may be costly, this is another way to calculate the intersection number of a diamond-free elimination graph.

7 Family intersection number and Helly family intersection number

We use the convention that the maximal cliques of G have been indexed as $\mathcal{C}(G) = \{Q_1, \dots, Q_{|\mathcal{C}(G)|}\}$. We denote by $\mathcal{C}_v(G)$ the subset of $\mathcal{C}(G)$ consisting of those maximal cliques which contain a vertex v and let $\mathcal{C}_Y(G) = \{C \in \mathcal{C}(G) : Y \subseteq V(C)\}$ for any twin set Y .

Let $\mathcal{C}(G) = \mathcal{C}_1(G) \cup \mathcal{C}_2(G) \cup \mathcal{C}_3(G)$ be a partition of the maximal cliques of G , where

$$\mathcal{C}_1(G) = \{Q_i \in \mathcal{C}(G) : Q \text{ has a special vertex}\};$$

$$\mathcal{C}_2(G) = \{Q_i \in \mathcal{C}(G) : Q \text{ has a special edge but no special vertex}\};$$

$$\mathcal{C}_3(G) = \{Q_i \in \mathcal{C}(G) : Q \text{ has neither special edges nor special vertices}\}.$$

We write R_i and S_i , respectively, for the sets of special vertices and special edges in Q_i and let n_i and m_i be their respective cardinalities. Note that there are graphs G with $\mathcal{C}_3(G) = \mathcal{C}(G)$ and hence all n_i and m_i vanish; see the complete n -partite graph $K_{2, \dots, 2}$ with $n \geq 3$, which is also mentioned in Example 2.4.

Our aim in this section is to boil down some knowledge about $\mathcal{C}_1(G)$, $\mathcal{C}_2(G)$ and $\mathcal{C}_3(G)$ to some estimates of $i^*(G)$ and $i_h^*(G)$. We begin with a very easy and well-known result on set systems.

Lemma 7.1 [3, Theorem 1.1.1] *If \mathcal{A} is a collection of distinct subsets of an n -set such that $A_i \cap A_j \neq \emptyset$ for all $A_i, A_j \in \mathcal{A}$, then $|\mathcal{A}| \leq 2^{n-1}$. Further, if $|\mathcal{A}| < 2^{n-1}$, \mathcal{A} can be extended to a collection of 2^{n-1} subsets also satisfying the given intersection property.*

We use \lg for base 2 logarithm. For any complete graph K_m , an implication of Lemma 7.1 is that

$$i^*(K_m) = \lceil \lg(m) \rceil + 1. \quad (7)$$

For diamond-free graphs, Mahadev and Wang [31] directly make the following generalization of Lemma 7.1.

Theorem 7.2 (Mahadev and Wang) [31, Lemma 3.7.] *Let G be a diamond-free graph. Then we have $i^*(G) = c_s + \sum_{n_i \geq 1, Q_i \in \mathcal{C}(G)} \lceil \lg(n_i) \rceil$, where c_s is the number of maximal cliques possessing either special vertices or special edges and n_i is the number of special vertices in the i th maximal clique Q_i .*

Inspired by Theorem 7.2, we now have a look at the lower bounds of the family intersection number $i^*(G)$ and Helly family intersection number $i_h^*(G)$.

We have the following two simple observations, which indicate the connection between twin structure and clique structure.

Lemma 7.3 *xRy if and only if $\mathcal{C}_x(G) = \mathcal{C}_y(G)$.*

Lemma 7.4 *For any $i = 1, \dots, |\mathcal{C}(G)|$, R_i is either empty or a twin set. Moreover, if R_i is nonempty, we have $\mathcal{C}_{R_i}(G) = \{Q_i\}$.*

We deviate a bit to include a necessary condition for $i(G) = i^*(G)$ based on the structure of twin sets.

Theorem 7.5 *Let G be a graph. If $i(G) = i^*(G)$, then each twin set Y of G with $|\mathcal{C}_Y(G)| = 1$ is a singleton set.*

Proof: We shall assume the opposite, namely there exists a twin set Y with $|\mathcal{C}_Y(G)| = 1$ and $|Y| \geq 2$, and derive a contradiction.

Since $|\mathcal{C}_Y(G)| = 1$, there exists a unique $Q \in \mathcal{C}_1(G)$ such that $\mathcal{C}_Y(G) = \{Q\}$. We take two distinct vertices $a, b \in Y$. Let f be a family representation of G satisfying $f(V(G)) = i^*(G)$. Since $f(a) \neq f(b)$, w.l.o.g., we can assume that there exists $x \in f(a) \setminus f(b)$. Clearly, for any $v \in V(G) \setminus V(Q)$, it holds that $(\{x\} \cup f(b)) \cap f(v) = \emptyset$. A consequence of this fact is that for any set A and any $v \in V(G) \setminus V(Q)$, whether or not $f(v) \cap A = \emptyset$ is determined by the relationship between $f(v)$ and $A \setminus (\{x\} \cup f(b))$.

We construct a new mapping f' by

$$f'(v) = \begin{cases} f(b), & \text{for } v \in Y, \\ (f(v) \cup f(b)) \setminus \{x\}, & \text{for } v \in V(Q) \setminus Y, \\ f(v), & \text{for } v \in V(G) \setminus V(Q). \end{cases}$$

From our remark made above we see that f' is a multifamily representation of G satisfying $f'(V(G)) \subseteq f(V(G)) \setminus \{x\}$. This means that $|f'(V(G))| \leq i^*(G) - 1$. So we have $i(G) \leq |f'(V(G))| < i^*(G)$, which is the desired contradiction. \square

Let us switch back to our main line. We next prove that the quantity occurring in Theorem 7.2 is a general lower bound on the family intersection number.

Theorem 7.6 For every graph G , we have

$$i^*(G) \geq c_s + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil, \quad (8)$$

where c_s is the number of maximal cliques with special vertices or special edges and n_i is the number of special vertices in the i th maximal clique Q_i .

Proof: Let f be a family representation of G of size $i^*(G)$. Clearly, $f(R_i) \cap f(R_j) = \emptyset$ for any two different cliques $Q_i, Q_j \in \mathcal{C}_1(G)$. For any $Q_k \in \mathcal{C}_2(G)$, and any edge in S_k , say ab , we assert that $\bar{f}(\{a, b\}) \neq \emptyset$ must be disjoint from $f(c)$ for any $c \notin Q_k$. Otherwise, a, b, c will appear in some maximal clique of G , which must be different from Q_k for $c \notin Q_k$, contradicting $ab \in S_k$. We denote $\bigcup_{ab \in S_k} \bar{f}(\{a, b\})$ by O_k . Our analysis above leads to the conclusion that $f(R_i), O_k$ are pairwise disjoint for $Q_i \in \mathcal{C}_1(G), Q_k \in \mathcal{C}_2(G)$. It then follows that

$$|f(V(G))| \geq \sum_{Q_k \in \mathcal{C}_2(G)} |O_k| + \sum_{Q_i \in \mathcal{C}_1(G)} |f(R_i)|. \quad (9)$$

Restricting the representation f to the clique $G(R_i)$ induced by R_i , we deduce from Lemma 7.1, or more precisely, Eq. (7), that $|f(R_i)| \geq i^*(G(R_i)) = \lceil \lg(n_i) \rceil + 1$. Also, we surely have $|O_k| \geq 1$ for each $Q_k \in \mathcal{C}_2(G)$. Thus, Eq. (9) gives

$$\begin{aligned} i^*(G) &= |f(V(G))| \\ &\geq |\mathcal{C}_2(G)| + \sum_{n_i \geq 1} (\lceil \lg(n_i) \rceil + 1) \\ &= |\mathcal{C}_1(G)| + |\mathcal{C}_2(G)| + \sum_{n_i \geq 1} (\lceil \lg(n_i) \rceil) \\ &= c_s + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil, \end{aligned} \quad (10)$$

as required. \square

Theorem 7.2 means that diamond-free graphs attain the lower bound given by Theorem 7.6. We cannot characterize all such graphs which have the family intersection number as $c_s + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil$. But for the Helly family representations, we can do a bit better.

Theorem 7.7 For every graph G ,

$$i_h^*(G) \geq |\mathcal{C}(G)| + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil, \quad (11)$$

with equality if and only if

$$\prod_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} 2^{\lceil \lg(n_i) \rceil} \geq |Y| \quad (12)$$

for each twin set Y of G , where n_i is the number of special vertices in the i th maximal clique Q_i and we set $\prod_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} 2^{\lceil \lg(n_i) \rceil}$ as 1 when $\mathcal{C}_Y(G) \cap \mathcal{C}_1(G) = \emptyset$.

Proof: Consider a Helly family representation f of G . For any $Q_i \in \mathcal{C}(G)$, we deduce from the Helly property of f that $\bar{f}(V(Q_i)) \neq \emptyset$. Moreover, since $\mathcal{C}(G)$ is the set of maximal cliques, the

sets $\bar{f}(V(Q_i))$, $i = 1, \dots, |\mathcal{C}(G)|$, are pairwise disjoint. Observe that for any $Q_i \in \mathcal{C}_1(G)$, we have $f(R_i) \cap (\bigcup_{j \neq i} \bar{f}(V(Q_j))) = \emptyset$. In addition, Lemma 7.1 implies $|f(R_i)| \geq \lceil \lg(n_i) \rceil + 1$. Therefore, we have

$$\begin{aligned} i_h^*(G) &\geq \sum_{Q_i \in \mathcal{C}(G) \setminus \mathcal{C}_1(G)} |\bar{f}(V(Q_i))| + \sum_{Q_i \in \mathcal{C}_1(G)} |f(R_i)| \\ &\geq \sum_{Q_i \in \mathcal{C}(G) \setminus \mathcal{C}_1(G)} 1 + \sum_{n_i \geq 1} (\lceil \lg(n_i) \rceil + 1) \\ &= |\mathcal{C}_2(G)| + |\mathcal{C}_3(G)| + \sum_{n_i \geq 1} (\lceil \lg(n_i) \rceil + 1), \\ &= |\mathcal{C}(G)| + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil, \end{aligned} \quad (13)$$

establishing Eq. (11).

Assume now

$$i_h^*(G) = |\mathcal{C}(G)| + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil, \quad (14)$$

which means that the equality holds throughout Eq. (13). This then gives

- (i) $|\bar{f}(V(Q_i))| = 1$ for $Q_i \in \mathcal{C}(G) \setminus \mathcal{C}_1(G)$ – This condition also follows from (ii) and (iii) below, therefore it will not be used in the sequel;
- (ii) $|f(R_i)| = \lceil \lg(n_i) \rceil + 1$ for $Q_i \in \mathcal{C}_1(G)$;
- (iii) $f(V(G)) = (\bigcup_{Q_i \in \mathcal{C}(G) \setminus \mathcal{C}_1(G)} \bar{f}(V(Q_i))) \cup (\bigcup_{Q_i \in \mathcal{C}_1(G)} f(R_i))$.

Consider a twin set Y . For any $v \in Y$, since f satisfies the Helly property, we deduce from (iii) that

$$\Gamma \subseteq f(v) \subseteq \Gamma \cup \left(\bigcup_{Q_i \in \mathcal{C}_1(G) \cap \mathcal{C}_Y(G)} f(R_i) \setminus (\bar{f}(R_i)) \right), \quad (15)$$

where

$$\Gamma = \left(\bigcup_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} \bar{f}(R_i) \right) \cup \left(\bigcup_{Q_i \in \mathcal{C}_Y(G) \setminus \mathcal{C}_1(G)} (\bar{f}(V(Q_i))) \right).$$

Note that the statement (ii) implies that $\bar{f}(R_i)$ cannot have more than one element, as a set of $\lceil \lg(n_i) \rceil - 1$ elements cannot have $n_i > 2^{\lceil \lg(n_i) \rceil - 1}$ different subsets. Thus, by virtue of the Helly property, we get $|f(R_i) \setminus \bar{f}(R_i)| = \lceil \lg(n_i) \rceil$. This in turn tells us that for each i , $f(R_i) \setminus \bar{f}(R_i)$ has totally $2^{\lceil \lg(n_i) \rceil}$ distinct subsets. Finally, by Eq. (15) and the fact that $f(R_i) \cap f(R_j) = \emptyset$ for any $i \neq j$, to ensure the representing sets of vertices in Y be pairwise distinct, we find then that Eq. (12) must hold.

To prove that Eq. (12) guarantees the validity of Eq. (14), we trace the argument above in the opposite direction. We construct a representation f whose underlying set is

$\{1, 2, \dots, |\mathcal{C}(G)|\} \cup (\bigcup_{Q_i \in \mathcal{C}_1(G)} \{x_{i,1}, \dots, x_{i, \lceil \lg(n_i) \rceil}\})$ and its cardinality matches the lower bound of $i_h^*(G)$. We assign the image of f twin set by twin set. For any twin set Y , suppose that $\mathcal{C}_Y(G) = \{Q_{i_1}, \dots, Q_{i_k}\}$. Since $\prod_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} 2^{\lceil \lg(n_i) \rceil} \geq |Y|$, there is an injection from Y to

$2^{\bigcup_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} \{x_{i,1}, \dots, x_{i, \lceil \lg(n_i) \rceil}\}}$, say g_Y . For any $v \in Y$, we put $f(v) = g_Y(v) \cup \{i_1, \dots, i_k\}$. Clearly, for any $u, w \in V(G)$, $f(u) \cap f(w) \neq \emptyset$ if and only if u and w are included in a common maximal clique, thereby showing that f is a representation of G . Moreover, we have

$f(u) \cap (\bigcup_{Q_i \in \mathcal{C}_1(G)} \{x_{i,1}, \dots, x_{i, \lceil \lg(n_i) \rceil}\}) \neq f(w) \cap (\bigcup_{Q_i \in \mathcal{C}_1(G)} \{x_{i,1}, \dots, x_{i, \lceil \lg(n_i) \rceil}\})$ when u and w are twins and $f(u) \cap \{1, 2, \dots, |\mathcal{C}(G)|\} \neq f(w) \cap \{1, 2, \dots, |\mathcal{C}(G)|\}$ when u and w are not twins. Hence, f is a family representation of G . Finally, as a consequence of $i \in \bar{f}(V(Q_i))$, $i = 1, \dots, |\mathcal{C}(G)|$, f must satisfy the Helly property. This completes the proof. \square

8 Purple graphs and intersection numbers

Owing to Theorem 7.7, we define a *purple graph* to be a graph G for which Eq. (14) holds.

Observe that Eq. (8) coincides with Eq. (11) when $\mathcal{C}_3(G) = \emptyset$. It is noteworthy that $\mathcal{C}_3(G) = \emptyset$ if and only if G is maximal clique irreducible, in other words, $G \in \mathcal{SSH}$. So by Theorems 7.6 and 7.7 we have the following result.

Theorem 8.1 *For any maximal clique irreducible graph G , the following statements are equivalent:*

- (i) G is a purple graph;
- (ii) The family intersection number and the Helly family intersection number of G are both $c_s + \sum_{n_i \geq 1} \lceil \lg(n_i) \rceil$, where c_s is the number of maximal cliques with special vertices or special edges and n_i is the number of special vertices in the i th maximal clique Q_i ;
- (iii) Eq. (12) is valid for each twin set $Y \subseteq V(G)$.

In Example 5.6, we observe that all diamond-free graphs are maximal clique irreducible. Here comes an immediate corollary of Theorem 8.1.

Corollary 8.2 *Each diamond-free graph is a purple graph.*

Proof: Let G be a diamond-free graph. By Theorem 8.1 we only need to show that each twin set Y of G satisfies Eq. (12).

If Y is a singleton set, Eq. (12) is trivially true. For the remaining case, as G is diamond-free, the result of Mahadev and Wang reported in Example 4.7 implies that $\mathcal{C}_Y(G)$ has only one element, say Q_j . We deduce from Lemma 7.4 that $R_j = Y$ and hence $Q_j \in \mathcal{C}_1(G)$. This tells us that $|Y| = n_j \leq 2^{\lceil \lg(n_j) \rceil} = \prod_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} 2^{\lceil \lg(n_i) \rceil}$, finishing the proof. \square

We remark that Theorem 7.2 can be easily seen from Theorem 8.1 and Corollary 8.2.

Theorem 8.3 *For any purple graph G , the following statements are equivalent:*

- (i) Each twin set Y with $|\mathcal{C}_Y(G)| = 1$ is a singleton set;
- (ii) G is twin-free;
- (iii) The intersection number and the family intersection number of G are equal.

Proof: (i) \Rightarrow (ii): To prove that G is twin-free, it suffices to show that each twin set Y of G must have size 1. Since G is purple, Theorem 7.7 asserts that Eq. (12) holds in this case. For each clique $Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)$, if any, Lemma 7.4 implies that R_i is a twin set of G and $\mathcal{C}_{R_i}(G) = \{Q_i\}$. So we obtain from statement (i) that $n_i = |R_i| = 1$. By Eq. (12) it follows that $|Y| \leq \prod_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} 2^{\lceil \lg(n_i) \rceil} = 1$. This is the result.

(ii) \Rightarrow (iii): This is trivial.

(iii) \Rightarrow (i): This is Theorem 7.5. \square

In view of Corollary 8.2, the equivalence between statements (ii) and (iii) in Theorem 8.3 generalizes the equivalence of statements (ii) and (iii) in Theorem 1.4. Note that the implications of (ii) \Rightarrow (iii) and (iii) \Rightarrow (i) in Theorem 8.3 do not rely on the fact that G is purple.

9 Unique representability

In this last section we will present some results on the unique representability problem, especially for purple graphs.

By checking the proof of the part of Eq. (12) \Rightarrow Eq. (14) in establishing Theorem 7.7, we can see that all possible minimum-size Helly family representations for a purple graph G have been enumerated there. Actually, the set of such representations is in one-to-one correspondence with the set $\prod_Y \{g_Y\}$ where Y runs over all twin sets of G and g_Y runs over all injections from Y to $2^{\cup_{Q_i \in \mathcal{C}_Y(G) \cap \mathcal{C}_1(G)} \{x_{i,1}, \dots, x_{i, \lfloor \lg(n_i) \rfloor}\}} = T_Y$. Especially, if G is twin-free, each twin set Y consists of only one element and the corresponding T_Y also only consists of one element, namely the empty set. Thus we know that any twin-free purple graph G is uniquely representable with respect to Helly family representation. Clearly, any twin-free graph is uniquely representable with respect to family representation if and only if it is uniquely representable with respect to multifamily representation. It implies that G is also uniquely representable with respect to Helly multifamily representation. We point out below that a more general result holds true and has a simpler proof.

Theorem 9.1 *Every graph is uniquely representable with respect to Helly multifamily representation.*

Proof: For any graph G , by Lemma 2.2 we know that $i_h(G) = |\mathcal{C}(G)|$. Let f be a Helly multifamily representation of size $|\mathcal{C}(G)|$. Since f satisfies the Helly property, $\bar{f}(V(C)) \neq \emptyset$ for each $C \in \mathcal{C}(G)$. Clearly, $\bar{f}(V(Q_i)) \cap \bar{f}(V(Q_j)) = \emptyset$ for any two different cliques $Q_i, Q_j \in \mathcal{C}(G)$. It follows that $|\mathcal{C}(G)| \leq \sum_{C \in \mathcal{C}(G)} |\bar{f}(V(C))| \leq |f(V(G))| = |\mathcal{C}(G)|$, which implies that each $\bar{f}(V(C))$ is a singleton set. Let $\bar{f}(V(C)) = \{f^C\}$. Then we know that $f(v) = \{f^C : v \in V(C), C \in \mathcal{C}(G)\}$ for each $v \in V(G)$. For any two minimum-size Helly multifamily representations f_1 and f_2 of G , let π be the bijection from $f_1(V(G))$ to $f_2(V(G))$ such that $\pi(f_1^C) = f_2^C$ for each $C \in \mathcal{C}(G)$. It is easy to see that $\pi \circ f_1 = f_2$. \square

The following result is an extension of Theorem 1.3. It means that the statement (i) in Theorem 8.3 has a lot to do with the unique representability of graphs. Our proof is similar to that used by Mahadev and Wang [31] in proving Theorem 1.4.

Theorem 9.2 *Let $G = (V, E)$ be a graph. Suppose G has a twin set Y with $|Y| > 1$ and $|\mathcal{C}_Y(G)| = 1$. Then for each family representation f of G , we can find another family representation f' such that $f(V) = f'(V)$ and there is no permutation π of $f(V)$ such that $f' = \pi \circ f$.*

Proof: Since $|\mathcal{C}_Y(G)| = 1$, there exists a unique $Q_i \in \mathcal{C}_1(G)$ such that $\mathcal{C}_Y(G) = \{Q_i\}$ and, in virtue of Lemma 7.4, $R_i = Y$.

If $V(Q_i) = R_i$, then Q_i itself is a connected component of G . In addition, we have $|V(Q_i)| = |Y| > 1$. Thus the result follows from Theorem 1.3.

We turn to consider the case of $V(Q_i) \neq R_i$. Let f be a family representation of G . For any $Q_j \in \mathcal{C}(G) \setminus \{Q_i\}$, we must have $f(R_i) \cap f(V(Q_j)) = \emptyset$. Since $|Y| \geq 2$, we can take two vertices $a, b \in R_i$. As f is a family representation, we can further assume, w.l.o.g., that there is an element $x \in f(a) \setminus f(b)$. Observe that for any element $u \in R_i$, it holds $f(u) \neq \{x\}$, considering that $f(u) \cap f(b) \neq \emptyset$. Consequently, we have $((f(v) \cup f(R_i)) \setminus \{x\}) \cap f(u) \neq \emptyset$ for any $v \in V(Q_i) \setminus R_i$ and $u \in R_i$. Now we

construct a mapping f_1 according to the rule

$$f_1(v) = \begin{cases} f(v), & \text{for } v \in R_i, \\ f(v) \cup f(R_i), & \text{for } v \in V(Q_i) \setminus R_i, \\ f(v), & \text{for } v \in V \setminus V(Q_i), \end{cases}$$

and another mapping f_2 by

$$f_2(v) = \begin{cases} f(v), & \text{for } v \in R_i, \\ (f(v) \cup f(R_i)) \setminus \{x\}, & \text{for } v \in V(Q_i) \setminus R_i, \\ f(v), & \text{for } v \in V \setminus V(Q_i). \end{cases}$$

Then we can check that f_1 and f_2 are both family representations of G sharing a common underlying set with f . However, the assumption $V(Q_i) \neq R_i$ implies that we can pick a vertex $c \in V(Q_i) \setminus R_i$. We clearly have $|f_2(c)| = |f_1(c)| - 1$ and hence we have $|f(c)| \neq |f_1(c)|$ or $|f(c)| \neq |f_2(c)|$. If the former holds, then take $f' = f_1$; otherwise let $f' = f_2$. \square

Now we return to purple graphs.

Theorem 9.3 *A purple graph G is uniquely representable with respect to family representation if and only if it is both twin-free and uniquely representable with respect to multifamily representation.*

Proof: If G is *uim* and twin-free, then G is surely *ui*.

Conversely, taking into account that a graph must be *uim* provided it is both *ui* and twin-free, our task is to show that G is twin-free whenever it is purple and *ui*. Since G is *ui*, Theorem 9.2 implies that each twin set Y of G with $|C_Y(G)| = 1$ is a singleton set, namely statement (i) of Theorem 8.3 is established. Then we apply Theorem 8.3 to the purple graph G and conclude that G is twin-free, finishing the proof. \square

In light of Theorem 8.3 and Theorem 9.3, we have an immediate corollary.

Corollary 9.4 *A purple graph G is uniquely representable with respect to family representation if and only if $i^*(G) = i(G)$ and G is uniquely representable with respect to multifamily representation.*

Mahadev and Wang have proved that each diamond-free graph is uniquely representable with respect to multifamily representation [31, Theorem 3.2]. By this result and Corollary 8.2, we can see that Theorem 9.3 is stronger than asserting the equivalence of statements (i) and (ii) in Theorem 1.4, while Corollary 9.4 is stronger than claiming the equivalence of statements (i) and (iii) in Theorem 1.4, respectively.

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