

# Undecidability of Multiplicative Subexponential Logic

Kaustuv Chaudhuri

► **To cite this version:**

Kaustuv Chaudhuri. Undecidability of Multiplicative Subexponential Logic. Sandra Alves and Iliano Cervesato. Proceedings of the Third International Workshop on Linearity, Jul 2014, Vienna, Austria. 176, pp.1–8, 2014, Electronic Proceedings in Theoretical Computer Science. <10.4204/EPTCS.176.1>. <hal-00998753>

**HAL Id: hal-00998753**

**<https://hal.inria.fr/hal-00998753>**

Submitted on 2 Jun 2014

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.



# Undecidability of Multiplicative Subexponential Logic

Kaustuv Chaudhuri  
 INRIA, France  
 kaustuv.chaudhuri@inria.fr

[Presented at LINEARITY 2014, July 13, 2014]

## Abstract

Subexponential logic is a variant of linear logic with a family of exponential connectives—called *subexponentials*—that are indexed and arranged in a pre-order. Each subexponential has or lacks associated structural properties of weakening and contraction. We show that classical propositional multiplicative linear logic extended with one unrestricted and two incomparable linear subexponentials can encode the halting problem for two register Minsky machines, and is hence undecidable.

## 1 Introduction

The decision problem for classical propositional multiplicative exponential linear logic (*MELL*), consisting of formulas constructed from propositional atoms using the connectives  $\{\otimes, 1, \wp, \perp, !, ?\}$ , is perhaps the longest standing open problem in linear logic. *MELL* is bounded below by the purely multiplicative fragment (*MLL*), which is decidable even in the presence of first-order quantification, and above by *MELL* with additive connectives (*MAELL*), which is undecidable even for the propositional fragment [5]. This paper tries to make the undecidable upper bound a bit tighter by considering the question of the decision problem for a family of propositional multiplicative *subexponential* logics (*MSEL*) [8, 10], each of which consists of formulas constructed from propositional atoms using the (potentially infinite) set of connectives  $\{\otimes, 1, \wp, \perp\} \cup \bigcup_{\mu \in \Sigma} \{!^\mu, ?^\mu\}$ , where  $\Sigma$  is a pre-ordered set of subexponential *labels*, called a *subexponential signature*, that is a parameter of the family of logics. In particular, we show that a particular *MSEL* with a subexponential signature consisting of exactly three labels can encode a two register Minsky machine (*2RM*), which is Turing-equivalent. This is the same strategy used in [5] to show the undecidability of *MAELL*, but the encoding in *MSEL* is different—simpler—for the branching instructions, and shows that additive behaviour is not essential to implement branching. We use the classical dialect of linear logic to show these results. The intuitionistic dialect has the same decision problem because it is possible to faithfully encode (*i.e.*, linearly simulate the sequent proofs of) the classical dialect in the intuitionistic dialect without changing the signature [2].

This short note is organized as follows: in section 2 we sketch the one-sided sequent formulation of *MSEL* and recall the definition of a *2RM*. In section 3 we encode the transition system of a *2RM* in a *MSEL* with a particular signature. In section 4 we argue that the encoding is *adequate*, *i.e.*, that the halting problem for a *2RM* is reduced to the proof search problem for this *MSEL*-encoding, by appealing to a focused sequent calculus for *MSEL*. The final section 5 discusses some of the ramifications of this result.

## 2 Background

### 2.1 Propositional Subexponential Logic

Let us quickly recall propositional subexponential logic (*SEL*) and its associated sequent calculus proof system. This logic is sometimes called subexponential *linear* logic (*SELL*), but since it is possible for the subexponentials to have linear semantics it is redundant to include both adjectives. Formulas of *SEL* ( $A, B, \dots$ ) are built from *atomic formulas* ( $a, b, \dots$ ) according to the following grammar:

$A, B, \dots ::=$	$a$	$A \otimes B$	$1$	$A \oplus B$	$0$	$!^\mu A$
	$\neg a$	$A \wp B$	$\perp$	$A \& B$	$\top$	$?^\mu A$
	ATOMIC	MULTIPLICATIVE		ADDITIVE		SUBEXPONENTIAL

Each column in the grammar above is a De Morgan dual pair. A *positive formula* (depicted with  $P$  or  $Q$  when relevant) is a formula belonging to the first line of the grammar, and a *negative formula* (depicted with  $N$  or  $M$ ) is a formula belonging

$$\begin{array}{c}
\frac{}{\vdash a, \neg a} \text{init} \quad \frac{\vdash \Gamma, A \quad \vdash \Delta, B}{\vdash \Gamma, \Delta, A \otimes B} \otimes \quad \frac{}{\vdash \top} \top \quad \frac{\vdash \Gamma, A}{\vdash \Gamma, A \oplus B} \oplus_1 \quad \frac{\vdash \Gamma, B}{\vdash \Gamma, A \oplus B} \oplus_2 \quad \text{no rule for } 0 \\
\frac{\vdash \Gamma, A, B}{\vdash \Gamma, A \wp B} \wp \quad \frac{\vdash \Gamma}{\vdash \Gamma, \perp} \perp \quad \frac{\vdash \Gamma, A \quad \vdash \Gamma, B}{\vdash \Gamma, A \& B} \& \quad \frac{}{\vdash \Gamma, \top} \top \quad \frac{\vdash \Gamma, A}{\vdash \Gamma, ?^u A} ? \\
\frac{(u \leq_{\Sigma} \vec{v}) \quad \vdash_{\Sigma} ?^{\vec{v}} \vec{A}, C}{\vdash_{\Sigma} ?^{\vec{v}} \vec{A}, !^u C} ! \quad \frac{(u \in U_{\Sigma}) \quad \vdash_{\Sigma} \Gamma}{\vdash_{\Sigma} \Gamma, ?^u A} \text{weak} \quad \frac{(u \in U_{\Sigma}) \quad \vdash_{\Sigma} \Gamma, ?^u A, ?^u A}{\vdash_{\Sigma} \Gamma, ?^u A} \text{contr}
\end{array}$$

Figure 1: Inference rules for a cut-free one-sided sequent calculus formulation of *SEL*. Only the rules on the last line are sensitive to the signature.

to the second line. The *labels*  $(u, v, \dots)$  on the subexponential connectives  $!^u$  and  $?^u$  belong to a *subexponential signature* defined below. The additive fragment of this syntax is just used in this section for illustration; we will not be using the additives in our encodings. The fragment without the additives will be called *multiplicative subexponential logic (MSEL)*.

**Definition 1.** A *subexponential signature*  $\Sigma$  is a structure  $\langle \Lambda, U, \leq \rangle$  where:

- $\Lambda$  is a countable set of *labels*;
- $U \subseteq \Lambda$ , called the *unbounded labels*; and
- $\leq \subseteq \Lambda \times \Lambda$  is a pre-order on  $\Lambda$ — *i.e.*, it is reflexive and transitive—and  $\leq$ -upwardly closed with respect to  $U$ , *i.e.*, for any  $u, v \in \Lambda$ , if  $u \in U$  and  $u \leq v$ , then  $v \in U$ .  $\dashv$

We will assume an ambient signature  $\Sigma$  unless we need to disambiguate particular instances of *MSEL*, in which case we will use  $\Sigma$  in subscripts. For instance,  $MSEL_{\Sigma}$  is a particular instance of *MSEL* for  $\Sigma$ .

The true formulas of *MSEL* are derived from a *sequent calculus* proof system consisting of sequents of the form  $\vdash A_1, \dots, A_n$  (with  $n > 0$ ) and abbreviated as  $\vdash \Gamma$ . The *contexts*  $(\Gamma, \Delta, \dots)$  are multi-sets of formulas of *SEL*, and  $\Gamma, \Delta$  and  $\Gamma, A$  stand as usual for the multi-set union of  $\Gamma$  with  $\Delta$  and  $\{A\}$ , respectively. The inference rules for *SEL* sequents are displayed in figure 1. Most of the rules are shared between *SEL* and linear logic and will not be elaborated upon here. The differences are with the subexponentials, for which we use the following definition.

**Definition 2.** For any  $n \in \mathbb{N}$  and lists  $\vec{u} = [u_1, \dots, u_n]$  and  $\vec{A} = [A_1, \dots, A_n]$ , we write  $?^{\vec{u}} \vec{A}$  to stand for the context  $?^{u_1} A_1, \dots, ?^{u_n} A_n$ . For  $\vec{v} = [v_1, \dots, v_n]$ , we write  $u \leq \vec{v}$  to mean that  $u \leq v_1, \dots$ , and  $u \leq v_n$ .

The rule for  $!$ , sometimes called *promotion*, has a side condition that checks that the label of the principal formula is less than the labels of all the other formulas in the context. This rule cannot be used if there are non- $?$ -formulas in the context, nor if the labels of some of the  $?$ -formulas are strictly smaller or incomparable with that of the principal  $!$ -formula. Both these properties will be used in the encoding in the next section. The structural rules of weakening and contraction apply to those principal  $?$ -formulas with unbounded labels.

## 2.2 Two Register Minsky Machines

Like Turing machines, Minsky register machines have a finite state diagram and transitions that can perform I/O on some unbounded storage device, in this case a bank of registers that can store arbitrary natural numbers. We shall limit ourselves to machines with two registers (*2RM*)  $a$  and  $b$ , which are sufficient to encode Turing machines.

**Definition 3.** A *2RM* is a structure  $\langle Q, *, C, \rightarrow \rangle$  where:

- $Q$  is a non-empty finite set of *states*;
- $*$   $\in Q$  is a distinguished *halting state*;
- $C$  is a set of *configurations*, each of which is a structure of the form  $\langle q, v \rangle$ , with  $q \in Q$  and  $v : \{a, b\} \rightarrow \mathbb{N}$ , that assigns values (natural numbers) to the registers  $a$  and  $b$  in state  $q$ ;
- $\rightarrow \subseteq C \times I \times C$  is a deterministic labelled transition relation between configurations where the label set  $I = \{\text{halt}, \text{incra}, \text{incrb}, \text{decra}, \text{decrb}, \text{isza}, \text{iszb}\}$  (called the *instructions*).

By usual convention, we write  $\rightarrow$  infix with the instruction atop the arrow. We require that every element of  $\rightarrow$  fits one of the following schemas, where in each case  $q, r \in Q$  and  $q \neq r$ :

$$\begin{array}{ccc}
\langle q, v \rangle & \xrightarrow{\text{halt}} & \langle *, \{a : 0, b : 0\} \rangle & (\text{with } q \neq *) \\
\langle q, \{a : m, b : n\} \rangle & \xrightarrow{\text{incra}} & \langle r, \{a : m + 1, b : n\} \rangle & \\
\langle q, \{a : m, b : n\} \rangle & \xrightarrow{\text{incrb}} & \langle r, \{a : m, b : n + 1\} \rangle & \\
\langle q, \{a : m + 1, b : n\} \rangle & \xrightarrow{\text{decra}} & \langle r, \{a : m, b : n\} \rangle & (1) \\
\langle q, \{a : m, b : n + 1\} \rangle & \xrightarrow{\text{decrb}} & \langle r, \{a : m, b : n\} \rangle & \\
\langle q, \{a : 0, b : n\} \rangle & \xrightarrow{\text{isza}} & \langle r, \{a : 0, b : n\} \rangle & \\
\langle q, \{a : m, b : 0\} \rangle & \xrightarrow{\text{iszb}} & \langle r, \{a : m, b : 0\} \rangle &
\end{array}$$

For a trace  $\vec{i} = [i_1, \dots, i_n]$ , we write  $\langle q_0, v_0 \rangle \xrightarrow{\vec{i}} \langle q_n, v_n \rangle$  if  $\langle q_0, v_0 \rangle \xrightarrow{i_1} \dots \xrightarrow{i_n} \langle q_n, v_n \rangle$ . The 2RM halts from an initial configuration  $\langle q_0, v_0 \rangle$  if there is a trace  $\vec{i}$  such that  $\langle q_0, v_0 \rangle \xrightarrow{\vec{i}} \langle *, \{a : 0, b : 0\} \rangle$ . (The configuration  $\langle *, \{a : 0, b : 0\} \rangle$  will be called the *halting configuration*.) The *halting problem* for a 2RM is the decision problem of whether the machine halts from an initial configuration.  $\lrcorner$

The requirement that  $\rightarrow$  be deterministic amounts to:  $\langle q, v \rangle \xrightarrow{i} \langle q_1, v_1 \rangle$  and  $\langle q, v \rangle \xrightarrow{j} \langle q_2, v_2 \rangle$  imply that  $i = j$ ,  $q_1 = q_2$ , and  $v_1 = v_2$ . Note that a trace that does not end with a halting configuration will not be considered to be halting, even if there is no possible successor configuration. It is an easy exercise to transform a given 2RM into one where every configuration has a successor except for the halting configuration.

**Theorem 4** ([7]). *The halting problem for 2RMs is recursively unsolvable.*  $\square$

### 3 The Encoding

For a given 2RM, which we fix in this section, we will encode its halting problem as the derivability of a particular MSEL sequent that encodes its labelled transition system and the initial configuration. We will use the following subexponential signature in the rest of this section.

**Definition 5.** Let  $\Xi$  stand for the signature  $\langle \{\infty, a, b\}, \{\infty\}, \leq \rangle$  where  $\leq$  is the reflexive-transitive closure of  $\leq_0$  defined by  $a \leq_0 \infty$  and  $b \leq_0 \infty$ .  $\lrcorner$

**Definition 6** (encoding configurations). For  $c = \langle q, v \rangle$ , we write  $\mathcal{E}(c)$  for the following MSEL $_{\Xi}$  context:

$$\underbrace{?^a \neg ra, ?^a \neg ra, \dots, ?^a \neg ra}_{\text{length} = v(a)}, \underbrace{?^b \neg rb, ?^b \neg rb, \dots, ?^b \neg rb, \neg q}_{\text{length} = v(b)} \quad \lrcorner$$

**Definition 7** (encoding transitions). The transitions (1) of the 2RM are encoded as a context  $\Pi$  with:

- to represent  $\langle q, v \rangle \xrightarrow{\text{halt}} \langle *, \{a : 0, b : 0\} \rangle$ , the elements:  $q \otimes \neg h, h \otimes !^a ra \otimes \neg h, h \otimes !^b rb \otimes \neg h, h \otimes !^{\infty} 1$  (for some  $h \notin Q$ );
- to represent  $\langle q, \{a : m, b : n\} \rangle \xrightarrow{\text{incra}} \langle r, \{a : m + 1, b : n\} \rangle$ , the element  $q \otimes (\neg r \wp ?^a \neg ra)$ ;
- to represent  $\langle q, \{a : m, b : n\} \rangle \xrightarrow{\text{incrb}} \langle r, \{a : m, b : n + 1\} \rangle$ , the element:  $q \otimes (\neg r \wp ?^b \neg rb)$ ;
- to represent  $\langle q, \{a : m + 1, b : n\} \rangle \xrightarrow{\text{decra}} \langle r, \{a : m, b : n\} \rangle$ , the element:  $q \otimes !^a ra \otimes \neg r$ ;
- to represent  $\langle q, \{a : m, b : n + 1\} \rangle \xrightarrow{\text{decrb}} \langle r, \{a : m, b : n\} \rangle$ , the element:  $q \otimes !^b rb \otimes \neg r$ ;
- to represent  $\langle q, \{a : 0, b : n\} \rangle \xrightarrow{\text{isza}} \langle r, \{a : 0, b : n\} \rangle$ , the element:  $q \otimes !^b \neg r$ ; and
- to represent  $\langle q, \{a : m, b : 0\} \rangle \xrightarrow{\text{iszb}} \langle r, \{a : m, b : 0\} \rangle$ , the element:  $q \otimes !^a \neg r$ .

Note that  $\Pi$  contains a finite number of elements.  $\lrcorner$

**Definition 8** (encoding the halting problem). If  $\Gamma$  is  $A_1, \dots, A_n$ , then let  $?^u \Gamma$  stand for  $?^u A_1, \dots, ?^u A_n$ . The encoding of the halting problem for the 2RM from the initial configuration  $c_0 = \langle q_0, v_0 \rangle$  is the MSEL $_{\Xi}$  sequent  $\vdash ?^{\infty} \Pi, \mathcal{E}(c_0)$ .

**Theorem 9.** *If the 2RM halts from  $c_0$ , then  $\vdash_{\Xi} ?^{\infty} \Pi, \mathcal{E}(c_0)$  is derivable.*

*Proof.* We will show that if  $c = \langle q_1, v_1 \rangle \xrightarrow{i} \langle q_2, v_2 \rangle = d$  (for some  $i$ ), then the following MSEL $_{\Xi}$  rule is derivable:

$$\frac{\vdash ?^{\infty} \Pi, \mathcal{E}(d)}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)}$$

This is largely immediate by inspection. Here are three representative cases.

- The case of  $i = \text{incra}$ : it must be that  $v_2(a) = v_1(a) + 1$  and  $v_2(b) = v_1(b)$ , so  $\mathcal{E}(d) = \mathcal{E}(c) \setminus \{\neg q_1\}, \neg q_2, ?^a ra$ . Moreover,  $q_1 \otimes (\neg q_2 \wp ?^a \neg ra) \in \Pi$ . So:

$$\frac{\frac{\frac{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q_1\}, \neg q_2, ?^a \neg ra}{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q_1\}, \neg q_2 \wp ?^a \neg ra} \wp}{\vdash ?^{\infty} \Pi, \mathcal{E}(c), q_1 \otimes (\neg q_2 \wp ?^a \neg ra)} \otimes}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)} \text{contr. ?}$$

The cases for  $\text{incrb}$ ,  $\text{decra}$ , and  $\text{decrb}$  are similar.

$$\begin{array}{c}
\frac{(\vec{u} \in U_\Sigma)}{\vdash_\Sigma ?^{\vec{u}} \vec{A}, \neg a, [a]} \text{[init]} \quad \frac{(\vec{u} \in U_\Sigma) \quad \vdash_\Sigma ?^{\vec{u}} \vec{A}, \Omega_1, [B] \quad \vdash_\Sigma ?^{\vec{u}} \vec{A}, \Omega_2, [C]}{\vdash_\Sigma ?^{\vec{u}} \vec{A}, \Omega_1, \Omega_2, [B \otimes C]} \text{[}\otimes\text{]} \quad \frac{(\vec{u} \in U_\Sigma)}{\vdash_\Sigma ?^{\vec{u}} \vec{A}, [1]} \text{[1]} \\
\frac{\vdash_\Sigma \Omega, [A]}{\vdash_\Sigma \Omega, [A \oplus B]} \text{[}\oplus_1\text{]} \quad \frac{\vdash_\Sigma \Omega, [B]}{\vdash_\Sigma \Omega, [A \oplus B]} \text{[}\oplus_2\text{]} \quad \text{no rule for } 0 \quad \frac{(u \leq_\Sigma \vec{v}) \quad \vdash_\Sigma ?^{\vec{v}} \vec{A}, C}{\vdash_\Sigma ?^{\vec{u}} \vec{A}, [!^u C]} \text{[!]} \quad \frac{\vdash_\Sigma \Omega, N}{\vdash_\Sigma \Omega, [N]} \text{[blur]} \\
\text{.....} \\
\text{Rules } \exists, \perp, \&, \top \text{ shared with the unfocused system} \\
\frac{\vdash_\Sigma \Omega, [P]}{\vdash_\Sigma \Omega, P} \text{decide} \quad \frac{(u \notin U_\Sigma) \quad \vdash_\Sigma \Omega, [A]}{\vdash_\Sigma \Omega, ?^u A} \text{ldecide} \quad \frac{(u \in U_\Sigma) \quad \vdash_\Sigma \Omega, ?^u A, [A]}{\vdash_\Sigma \Omega, ?^u A} \text{udecide}
\end{array}$$

Figure 2: Inference rules for a focused sequent calculus formulation of *SEL*.

- The case of  $i = \text{isza}$ : it must be that  $v_2(\mathbf{a}) = v_1(\mathbf{a}) = 0$  and  $v_2(\mathbf{b}) = v_1(\mathbf{b})$ . Hence,  $\mathcal{E}(d) = \mathcal{E}(c) \setminus \{\neg q_1\}, \neg q_2$  and  $?^a \mathbf{ra} \notin \mathcal{E}(c) \cup \mathcal{E}(d)$ . Moreover,  $q_1 \otimes !^b \neg q_2 \in \Pi$ . So:

$$\frac{\frac{\frac{}{\vdash \neg q_1, q_1} \text{init}}{\vdash ?^\infty \Pi, \mathcal{E}(c) \setminus \{\neg q_1\}, \neg q_2} !} \frac{\vdash ?^\infty \Pi, \mathcal{E}(c) \setminus \{\neg q_1\}, !^b \neg q_2}{\vdash ?^\infty \Pi, \mathcal{E}(c), q_1 \otimes !^b \neg q_2} \otimes}{\vdash ?^\infty \Pi, \mathcal{E}(c)} \text{contr, ?}$$

The instance of  $!$  is justified because  $\mathbf{b} \leq \infty$  and  $\mathbf{b} \leq \mathbf{b}$ , and there are no  $?$ -formulas labelled  $\mathbf{a}$  or non- $?$  formulas in the sequent. The case of  $\text{iszb}$  is similar.

- The case of  $i = \text{halt}$ . Here, we know that  $q_1 \otimes \neg \mathbf{h} \in \Pi$ , so:

$$\frac{\frac{}{\vdash \neg q_1, q_1} \text{init}}{\vdash ?^\infty \Pi, \mathcal{E}(c) \setminus \{\neg q_1\}, \neg \mathbf{h}} \otimes}{\vdash ?^\infty \Pi, \mathcal{E}(c), q_1 \otimes \neg \mathbf{h}} \text{contr, ?}$$

Now, as long as there are any occurrences of  $?^a \mathbf{ra}$  or  $?^a \mathbf{rb}$  in  $\mathcal{E}(c)$ , we can apply one of the decrementing rules  $\mathbf{h} \otimes !^a \mathbf{ra} \otimes \neg \mathbf{h}$  or  $\mathbf{h} \otimes !^b \mathbf{rb} \otimes \neg \mathbf{h} \in \Pi$ . The general case looks something like this, where  $\Delta_{\mathbf{ra}} = \{\neg \mathbf{ra}, \dots, \neg \mathbf{ra}\}$  and  $\Delta_{\mathbf{rb}} = \{\neg \mathbf{rb}, \dots, \neg \mathbf{rb}\}$ .

$$\frac{\frac{\frac{\frac{}{\vdash \neg \mathbf{ra}, \mathbf{ra}} \text{init}}{\vdash ?^a \neg \mathbf{ra}, \mathbf{ra}} ?} \frac{\vdash \mathbf{h}, \neg \mathbf{h}}{\vdash ?^a \neg \mathbf{ra}, !^a \mathbf{ra}} !} \frac{\vdash ?^\infty \Pi, \mathcal{E}(c) \setminus \{\neg q_1, ?^a \Delta_{\mathbf{ra}}, ?^b \Delta_{\mathbf{rb}}, ?^a \neg \mathbf{ra}\}, \neg \mathbf{h}} \otimes, \otimes}{\vdash ?^\infty \Pi, \mathcal{E}(c) \setminus \{\neg q_1, ?^a \Delta_{\mathbf{ra}}, ?^b \Delta_{\mathbf{rb}}, ?^a \neg \mathbf{ra}\}, ?^a \neg \mathbf{ra}, \neg \mathbf{h}, \mathbf{h} \otimes !^a \mathbf{ra} \otimes \neg \mathbf{h}} \text{contr, ?}$$

There is a symmetric case for contracting the  $\mathbf{h} \otimes !^b \mathbf{rb} \otimes \neg \mathbf{h}$ . Eventually, the right branch just becomes  $\vdash ?^\infty \Pi, \neg \mathbf{h}$ , at which point we have:

$$\frac{\frac{\frac{}{\vdash \neg \mathbf{h}, \mathbf{h}} \text{init}}{\vdash ?^\infty \Pi, \neg \mathbf{h}, \mathbf{h} \otimes !^\infty 1} \otimes}{\vdash ?^\infty \Pi, \neg \mathbf{h}} \text{contr, ?}$$

□

## 4 Adequacy of the Encoding via Focusing

By the contrapositive of theorem 9, if the sequent  $\vdash_{\Xi} ?^\infty \Pi, \mathcal{E}(c_0)$  is not derivable, then the *2RM* does not halt from  $c_0$ . This gives half of the reduction. For the converse of theorem 9, we need to show how to recover a halting trace by searching for proofs of a *MSEL* $_{\Xi}$  encoding of a halting problem. The best way to do this is to build a focused proof which will have the derived inference rules in the above proof as the only possible *synthetic* rules, in a sense made precise below. We will begin by sketching the focused proof system for *SEL* that is sound and complete for the unfocused system of figure 1, and then show how the synthetic rules for the encoding are in bijection for all instructions (with a small correction needed for *halt*).

Focusing is a general technique to restrict the non-determinism in a cut-free sequent proof system. Though originally defined for classical linear logic in [1], it is readily extended to many other logics [3, 4, 8]. This section sketches the basic design of a focused version of the rules of figure 1, and omits most of the meta-theoretic proofs of soundness and

completeness, for which the general proof techniques are by now well known [3, 6, 13]. To keep things simple, we will define a focused calculus by adding to the unfocused system a new kind of *focused sequent*,  $\vdash \Omega, [A]$ , where the formula  $A$  is *under focus*. Contexts written with  $\Omega$ , which we call *neutral contexts*, can contain only positive formulas, atoms, negated atoms, and ?-formulas. The rules of the focused proof system for *SEL* are depicted in figure 2.

Focused sequents are created—reading from conclusion upwards to premises—from unfocused sequents with neutral contexts by means of the rules *decide*, *ldecide*, or *udecide*. In a focused sequent, only the formula under focus can be principal, and the focus persists on the immediate subformulas of this formula in the premises, with the exception of the rule [!]. In the base case, for [init], the focused atom must find its negation in the context, while all formulas in the context must be ?-formulas with unbounded labels. When the focused formula is negative, the focus is released with the [blur] rule, at which point any of the unfocused rules  $\{\wp, \perp, \&, \top\}$  of figure 1 can be used to decompose the formula and its descendants further. Eventually, when there are no more negative descendants—*i.e.*, the whole context has the form  $\Omega$ —a new focused phase is launched again and the cycle repeats. Note that the structural rules *contr* and *weak* of the unfocused calculus are removed in the focused system. Instead, weakening is folded into [init] and [!], and contraction is folded into  $\otimes$  and *udecide*. The rules *contr* and *weak* remain admissible for either sequent form in the focused calculus.

**Theorem 10.** *The SEL sequent  $\vdash \Gamma$  is provable in the unfocused system of figure 1 iff it is provable in the focused system of figure 2.*

*Sketch.* Straightforward adaptation of existing proofs of the soundness and completeness of focusing, such as [3, 6, 13]. An instance for *SEL* can be found in [8, chapter 5].  $\square$

**Theorem 11.** *The 2RM halts from  $c_0$  if  $\vdash_{\Xi} ?^{\infty} \Pi, \mathcal{E}(c_0)$  is derivable.*

*Proof.* We will show instead that the 2RM halts from  $c_0$  if the sequent  $\vdash_{\Xi} ?^{\infty} \Pi, \mathcal{E}(c_0)$  is derivable in the focused calculus, and we will moreover extract the halting trace from such a focused proof. The required result will then follow immediately from theorem 10, since any provable *SEL* sequent has a focused proof.

Let a focused proof of  $\vdash_{\Xi} ?^{\infty} \Pi, \mathcal{E}(c)$  (for  $c = \langle q, v \rangle$ ) be given. We proceed by induction on the lowermost instance of *udecide* in this proof. Note that the *MSEL* $_{\Xi}$  context  $?^{\infty} \Pi, \mathcal{E}(c)$  is neutral; moreover, all the elements of  $\mathcal{E}(c)$  are either negated atoms or ?-prefixed negated atoms with bounded labels. So, the only rules of the focusing system that apply to this sequent are *ldecide* or *udecide*. However, if we use *ldecide*, then the premise becomes unprovable, as there is no way to remove an occurrence of  $\neg ra$  or  $\neg rb$  from a context that also contains  $\neg q$ . Thus, the only possible rule will be an instance of *udecide*, with the focused formula in the premise being one of the  $\Pi$ . First, consider the case where the focused formula does not contain **h**, *i.e.*, it corresponds to one of the instructions in  $I \setminus \{\text{halt}\}$ . In each of these cases, the focused phase that immediately follows is deterministic. As a characteristic case, suppose the focused formula is  $q \otimes !^b \neg r$ ; then we have:

$$\frac{\frac{\frac{}{\vdash \neg q, q} \text{init}}{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q\}, \neg r} \text{[!]}}{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q\}, [!^b \neg r]} \text{[!]} \quad \frac{}{\vdash ?^{\infty} \Pi, \mathcal{E}(c), [q \otimes !^b \neg r]} \text{[!]} \quad \frac{}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)} \text{udecide}}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)} \otimes$$

The right premise is now itself neutral and an encoding of a different configuration. We can appeal to the inductive hypothesis to find a halting trace for it, to which we can prepend the instruction *isza* to get the halting trace from  $c$ . A similar argument can be used for the other instructions in  $I \setminus \{\text{halt}\}$ .

This leaves just the formulas involving **h** for the lowermost *udecide*. We cannot select any formula but  $q \otimes \neg h$  from  $\Pi$ , for the derivation would immediately fail because  $h \notin Q$  and there is no  $\neg h$  in  $\mathcal{E}(c)$  to use with [init]. So, as the formula selected is  $q \otimes \neg h$ , we have:

$$\frac{\frac{\frac{}{\vdash \neg q, [q]} \text{[init]}}{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q\}, \neg h} \text{[!]} \quad \frac{}{\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q\}, [\neg h]} \text{[blur]} \quad \frac{}{\vdash ?^{\infty} \Pi, \mathcal{E}(c), [q \otimes \neg h]} \otimes}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)} \text{udecide}}{\vdash ?^{\infty} \Pi, \mathcal{E}(c)} \otimes$$

The context of the right premise is now neutral, so the only rule that applies to it is *udecide*. A simple nested induction will show that sequents of this form  $\vdash ?^{\infty} \Pi, \mathcal{E}(c) \setminus \{\neg q\}, \neg h$  are always derivable in the focused calculus. Therefore, the trace that corresponds to the configuration  $c$  is just the singleton *halt*.  $\square$

**Corollary 12.** *The derivability of *MSEL* $_{\Xi}$  sequents is recursively unsolvable.*

*Proof.* Directly from theorems 4, 9, and 11.  $\square$

## 5 Conclusion and Perspectives

We have given a fairly obvious encoding of a  $2RM$  in a suitable instance of  $MSEL$  containing a three element subexponential signature. The encoding of the  $2RM$  halting problem is very similar to that of [5] for  $MAELL$ ; the main difference is in the encoding of the  $isz$  transitions where we can directly check for emptiness of the relevant zone instead of making an additive copy of the world and checking this property in the copy. Additives are therefore not necessary for undecidability.

Yet, this conclusion is not entirely satisfactory. If  $MSEL_{\exists}$  can simulate Turing machines, then it can obviously simulate a theorem prover that implements a complete search procedure for  $MAELL$ . Thus, in an indirect fashion, this paper establishes that additive behaviour can be encoded using subexponentials and multiplicatives alone. It would be interesting to build this encoding of additives more directly as an embedding of  $MAELL$ —or even just  $MALL$ —in  $MSEL$ .

This work leaves open the questions of decidability of an arbitrary  $MSEL$  with a two-element signature or a one-element signature; the latter is equivalent to the decidability of  $MELL$  itself. We also conjecture that the decision problem for an arbitrary  $MSEL$  with no unbounded subexponentials is PSPACE-hard, because it is very likely possible to polynomially and soundly encode a  $MALL$  sequent in such an  $MSEL$ .

Finally, this undecidability result should be taken as a word of caution for the increasingly popular uses of  $SEL$  as a logical framework for the encodings of other systems, such as [11, 12]. If one is to avoid encoding a decidable problem in terms of an undecidable one, subexponentials must be used very carefully.

## References

- [1] J.-M. Andreoli. Logic programming with focusing proofs in linear logic. *J. of Logic and Computation*, 2(3):297–347, 1992.
- [2] K. Chaudhuri. Classical and intuitionistic subexponential logics are equally expressive. In *CSL 2010: Computer Science Logic*, volume 6247 of *LNCS*, pages 185–199. Springer, Aug. 2010.
- [3] K. Chaudhuri, F. Pfenning, and G. Price. A logical characterization of forward and backward chaining in the inverse method. *J. of Automated Reasoning*, 40(2-3):133–177, Mar. 2008.
- [4] C. Liang and D. Miller. Focusing and polarization in linear, intuitionistic, and classical logics. *Theoretical Computer Science*, 410(46):4747–4768, 2009.
- [5] P. Lincoln, J. Mitchell, A. Scedrov, and N. Shankar. Decision problems for propositional linear logic. *Annals of Pure and Applied Logic*, 56:239–311, 1992.
- [6] D. Miller and A. Saurin. From proofs to focused proofs: a modular proof of focalization in linear logic. In J. Duparc and T. A. Henzinger, editors, *CSL 2007: Computer Science Logic*, volume 4646 of *LNCS*, pages 405–419. Springer, 2007.
- [7] M. Minsky. Recursive unsolvability of Post’s problem of ‘tag’ and other topics in the theory of Turing machines. *Annals of Mathematics*, 74(3):437–455, 1961.
- [8] V. Nigam. *Exploiting non-canonicity in the sequent calculus*. PhD thesis, Ecole Polytechnique, Sept. 2009.
- [9] V. Nigam. On the complexity of linear authorization logics. In *LICS 2012*, pages 511–520. IEEE, 2012.
- [10] V. Nigam and D. Miller. Algorithmic specifications in linear logic with subexponentials. In *ACM SIGPLAN Conference on Principles and Practice of Declarative Programming (PPDP)*, pages 129–140, 2009.
- [11] V. Nigam, C. Olarte, and E. Pimentel. A general proof system for modalities in concurrent constraint programming. In *24th International Conference on Concurrency Theory (CONCUR)*, volume 8052 of *LNCS*, pages 410–424. Springer, 2013.
- [12] V. Nigam, E. Pimentel, and G. Reis. Specifying proof systems in linear logic with subexponentials. *Electronic Notes in Theoretical Computer Science*, 269:109–123, 2011.
- [13] R. J. Simmons. Structural focalization. *ACM Transactions on Computational Logic*, 2014. To appear; preprint available at <http://arxiv.org/abs/1109.6273>.

**Historical note:** The undecidability result presented here is from an unpublished paper from 2009, cited as the source of the result in Nigam’s Ph.D. thesis from the same year [8, p. 103]. Nigam has also published an indirect proof in [9], using the same strategy and roughly the same encoding, but this version also uses the additive unit  $\mathbf{T}$  for halting states and is therefore not strictly in  $MSEL$ .