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# A chaotic random convex hull†

Olivier Devillers<sup>1</sup> and Marc Glisse<sup>2</sup> and Rémy Thomasse<sup>1</sup>

<sup>1</sup>INRIA Sophia Antipolis - Méditerranée

<sup>2</sup>INRIA Saclay - Île de France

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**Abstract.** The asymptotic behavior of the expected size of the convex hull of uniformly random points in a convex body in  $\mathbb{R}^d$  is polynomial for a smooth body and polylogarithmic for a polytope. We construct a body whose expected size of the convex hull oscillates between these two behaviors when the number of points increases.

**Keywords:** convex hull, random point distribution, geometric probability

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## 1 Introduction

Consider a sequence of points in a convex body in dimension  $d$  whose convex hull is dynamically maintained when the points are inserted one by one, the convex hull size may increase, decrease, or remain constant when a new point is added. Studying the expected size of the convex hull when the points are evenly distributed in the convex is a classical problem of probabilistic geometry that yields some surprising facts. For example, although it seems quite natural to think that the expected size of the convex hull is increasing with  $n$  the number of points, this fact is only formally proven for  $n$  big enough Devillers et al. (2013). The asymptotic behavior of the expected size is known to be polylogarithmic for a polytopal body and polynomial for a smooth one. If for a polytope or a smooth body, the asymptotic behavior is *somehow* "nice", for "most" convex bodies the behavior is unpredictable (Bárány, 1989, corollary 3). It is possible to construct strange convex objects that have no such nice behaviors and this note exhibits a convex body, such that the behavior of the expected size of a random polytope oscillates between the polytopal and smooth behaviors when  $n$  increases.

More formally, let  $K$  be a convex body in  $\mathbb{R}^d$  and  $(x_1, \dots, x_n)$  a sample of  $n$  points chosen uniformly and independently at random in  $K$ . Let  $K_n$  be the convex hull of these points and  $f_0(K_n)$  the number of vertices of  $K_n$ .

It is well known Bárány (2008); Reitzner (2010) that if  $P$  is a polytope, then

$$\mathbb{E}f_0(P_n) = c_{d,P} \log^{d-1} n + o(\log^{d-1} n) \quad (1)$$

and if  $K$  is a smooth convex body (i.e with  $\mathcal{C}^2$  boundary with a positive Gaussian curvature), then

$$\mathbb{E}f_0(K_n) = c_{d,K} n^{\frac{d-1}{d+1}} + o(n^{\frac{d-1}{d+1}}) \quad (2)$$

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where  $c_{d,P}$  and  $c_{d,K}$  are constants depending only on  $d$  and on the convex body.

These are the two extreme behaviors : every random polytope of a convex body in  $\mathbb{R}^d$  has a behavior between (1) and (2) for  $n$  large enough (Bárány, 1989, corollary 3).

For general convex bodies, we cannot expect such a beautiful formula.

**Theorem 1 (Bárány-Larman, 1988)** *For any function  $G(n) \rightarrow_{n \rightarrow \infty} \infty$  and for most (in the Baire category sense) convex bodies  $K$  in  $\mathbb{R}^d$ ,*

$$G(n) \log^{d-1} n > \mathbb{E}f_0(K_n)$$

for infinitely many  $n$  and

$$G(n)^{-1} n^{\frac{d-1}{d+1}} < \mathbb{E}f_0(K_n)$$

for infinitely many  $n$ .

Note that this "most" does not contain convex polytopes and smooth convex bodies, which are the most used in practice.

In this paper, we present an explicit example of a convex body which has this chaotic behavior.

**Notations** Let's introduce some notations used in this paper:

- $\mathcal{V}(K)$  will denote the  $d$ -dimensional volume of the convex body  $K$ ;
- $K \oplus L$  will denote the Minkowski sum of  $K$  and  $L$ , defined as

$$J \oplus K = \{x + y \mid x \in J, y \in K\};$$

- $d_H(J, K)$  will denote the Hausdorff distance of the convex bodies  $K$  and  $L$ , defined as

$$d_H(J, K) = \min\{r \in \mathbb{R}^+ \mid J \subset K \oplus B_r, K \subset J \oplus B_r\}$$

where  $B_r$  is the Euclidean ball centered in 0 with radius  $r$  in  $\mathbb{R}^d$ .

## 2 Approximations of convex bodies

In this section, we present an intermediate lemma about random polytopes of close (in terms of Hausdorff distance) convex bodies. Let  $K$  be a convex body in  $\mathbb{R}^d$  and  $K_n$  be a random polytope in  $K$ . We want to show that if  $L$  is an approximation of  $K$  with small Hausdorff distance,  $L_n$  is approximating the asymptotic behavior of  $K_n$  for some value of  $n$ .

Let's assume that the expected size of  $K_n$  is in  $c_{d,K}g(n, d) + o(g(n, d))$ , where  $c_{d,K}$  is a constant and  $g$  some function.

Then, for every close-enough compact set  $L$  containing  $K$ ,  $L_n$  has an expected size as close as we want from  $c_{d,K}g(n, d)$  for values of  $n$  as big as we want.

The idea of this lemma is very simple: if  $L$  is very close to  $K$ , the volume in  $L \setminus K$  is small, and points chosen uniformly in  $L$  are very unlikely to be in  $L \setminus K$ . Then, even if asymptotically the expected size of  $L_n$  is different from the size of  $K_n$ , there exists some  $n$  where the expected size of  $L_n$  is as close as we want to  $c_{d,K}g(n, d)$ .

**Lemma 2** Let  $K$  be a convex body in  $\mathbb{R}^d$  such that

$$\mathbb{E}f_0(K_n) = c_{d,K}g(n, d) + o(g(n, d)). \quad (3)$$

Let  $\varepsilon > 0$  and  $N \in \mathbb{N}$ .

Then, there exist  $p > N$  and  $\alpha > 0$  such that for any compact set  $L$  containing  $K$  with  $d_H(K, L) < \alpha$ ,

$$\frac{\mathbb{E}f_0(L_p)}{c_{d,K}g(p, d)} \in [1 - \varepsilon, 1 + \varepsilon].$$

**Proof:** First, for all  $n \in \mathbb{N}^*$

$$\mathbb{E}f_0(L_n) = \mathbb{P}(L_n \subset K)\mathbb{E}(f_0(L_n)|L_n \subset K) + \mathbb{P}(L_n \not\subset K)\mathbb{E}(f_0(L_n)|L_n \not\subset K).$$

As the points are uniformly distributed,  $\mathbb{E}(f_0(L_n)|L_n \subset K) = \mathbb{E}(K_n)$ .

Using (3), let's choose  $p$  such that

$$\frac{\mathbb{E}f_0(K_p)}{c_{d,K}g(p, d)} \in \left[1 - \frac{\varepsilon}{2}, 1 + \frac{\varepsilon}{2}\right], \quad (4)$$

then

$$\frac{\mathbb{P}(L_p \subset K)\mathbb{E}(f_0(L_p)|L_p \subset K)}{c_{d,K}g(p, d)} \leq 1 + \frac{\varepsilon}{2}.$$

As

$$\mathbb{P}(L_p \not\subset K) = 1 - \mathbb{P}(L_p \subset K) = 1 - \left(\frac{\mathcal{V}(K)}{\mathcal{V}(L)}\right)^p$$

we get

$$\mathbb{P}(L_p \not\subset K)\mathbb{E}(f_0(L_p)|L_p \not\subset K) \leq \left(1 - \left(\frac{\mathcal{V}(K)}{\mathcal{V}(L)}\right)^p\right)p.$$

Now, as  $1 \geq \frac{\mathcal{V}(K)}{\mathcal{V}(L)} \geq \frac{\mathcal{V}(K)}{\mathcal{V}(K \oplus B_\alpha)} \rightarrow_{\alpha \rightarrow 0} 1$  we can choose  $\alpha$  such that

$$\left(\frac{\mathcal{V}(K)}{\mathcal{V}(L)}\right)^p \geq \max\left(1 - c_{d,K} \frac{g(p, d) \varepsilon}{p}, 1 - \frac{\varepsilon}{2}\right). \quad (5)$$

Finally,

$$\mathbb{E}(f_0(L_p)) \leq c_{d,K}g(p, d) \left(1 + \frac{\varepsilon}{2}\right) + c_{d,K}g(p, d) \frac{\varepsilon}{2} = c_{d,K}g(p, d)(1 + \varepsilon). \quad (6)$$

For the lower bound, using (4) and (5) we get

$$\begin{aligned} \mathbb{E}f_0(L_p) &\geq \mathbb{P}(L_p \subset K)\mathbb{E}(f_0(L_p)|L_p \subset K) \\ &\geq c_{d,K}g(p, d) \left[\left(\frac{\mathcal{V}(K)}{\mathcal{V}(L)}\right)^p \left(1 - \frac{\varepsilon}{2}\right)\right] \\ &\geq c_{d,K}g(p, d) \left[\left(\frac{\mathcal{V}(K)}{\mathcal{V}(L)}\right)^p - \frac{\varepsilon}{2}\right] \\ &\geq c_{d,K}g(p, d) \left(1 - \frac{\varepsilon}{2} - \frac{\varepsilon}{2}\right) \\ &= c_{d,K}g(p, d)(1 - \varepsilon). \end{aligned} \quad (7)$$

Inequalities (7) and (6) prove the lemma.  $\square$

### 3 Construction of the convex body

Given an increasing function  $G$ , we want to construct a convex body in  $\mathbb{R}^d$  where the size of a convex hull of random points has a chaotic behavior between  $\log^{d-1} n$  and  $n^{\frac{d-1}{d+1}}$  on some values arbitrarily big. More formally,

**Theorem 3** *Let  $G : \mathbb{N}^* \rightarrow \mathbb{R}_+^*$  an increasing function such that  $G(n) \rightarrow_{n \rightarrow \infty} \infty$ . We can construct a convex body  $K$  such that:*

*For all  $N \in \mathbb{N}^*$ , there exist  $M_1, M_2 > N$ , where*

$$\mathbb{E}f_0(K_{M_1}) < G(M_1) \log^{d-1} M_1$$

and

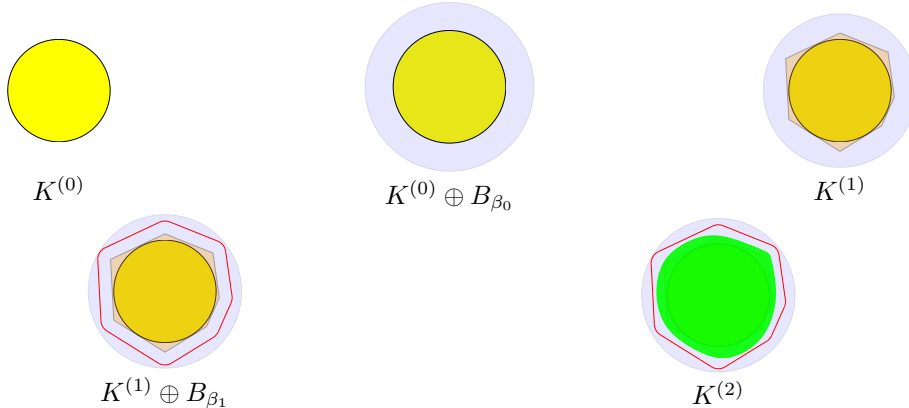
$$\mathbb{E}f_0(K_{M_2}) > G(M_2)^{-1} M_2^{\frac{d-1}{d+1}}.$$

**Proof:** The main idea of the proof is, starting from a convex body  $K^{(0)}$ , to iterate smooth and polytopal approximations. Lemma 2 will give us some number of points where the behavior of the random convex body will be very close to  $n^{\frac{d-1}{d+1}}$  (which is the behavior for smooth convex bodies) or very close to  $\log^{d-1} n$  (which is the behavior for polytopes).

**Iterations** We create an increasing sequence of convex bodies starting from the unit ball, made of polytopal and smooth approximations.

Let's define  $K^{(0)}$  as the unit ball.

For all  $n \in \mathbb{N}^*$ ,  $K^{(n)}$  is an approximation of  $K^{(n-1)}$  where  $d_H(K^{(n-1)}, K^{(n)}) < \beta_{n-1}$ , with  $(\beta_i)_{i \in \mathbb{N}}$  some decreasing sequence, as shown in Figure 1.



**Fig. 1:** Iterations are made of polygonal and smooth approximations

- If  $n$  is odd,  $K^{(n-1)}$  is a smooth convex body, so  $K^{(n)}$  is a convex polytope. Let's choose  $q_n > n$ , such that

$$\frac{\mathbb{E}f_0(K^{(n-1)})}{q_n^{\frac{d-1}{d+1}}} > \frac{2}{G(q_n)}.$$

We define

$$\varepsilon_n := 1 - \frac{2}{c_{d,K^{(n-1)}} G(q_n)}. \quad (8)$$

Using Lemma 2, with  $\varepsilon = \varepsilon_n$ , there exist  $\alpha_{n-1}$  and  $p_n > q_n$  such that for every compact set  $L$  containing  $K^{(n-1)}$  with  $d_H(K^{(n-1)}, L) < \alpha_{n-1}$ ,

$$\mathbb{E}f_0(L_{p_n}) > c_{d,K^{(n-1)}} p_n^{\frac{d-1}{d+1}} (1 - \varepsilon_n). \quad (9)$$

Therefore,

$$\begin{aligned} \mathbb{E}f_0(L_{p_n}) &> c_{d,K^{(n-1)}} p_n^{\frac{d-1}{d+1}} (1 - \varepsilon_n) \\ &> p_n^{\frac{d-1}{d+1}} G(q_n)^{-1} \\ &> p_n^{\frac{d-1}{d+1}} G(p_n)^{-1}. \end{aligned} \quad (10)$$

Now let's define  $\beta_{n-1} := \min(\frac{\alpha_{n-1}}{2}, \frac{\beta_{n-2}}{2})$  if  $n > 1$  and  $\beta_0 := \frac{\alpha_0}{2}$ . We define  $K^{(n)}$  as a convex polytope with  $d_H(K^{(n-1)}, K^{(n)}) < \beta_{n-1}$ , so (10) works for  $L = K^{(n)}$ .

- If  $n$  is even,  $K^{(n-1)}$  is a convex polytope, so  $K^{(n)}$  is a smooth approximation.

Let's choose  $q_n$  such that

$$\frac{\mathbb{E}f_0(K^{(n-1)})}{\log^{d-1} q_n} < \frac{G(q_n)}{2}$$

and define

$$\varepsilon_n := \frac{G(q_n)}{2c_{d,K^{(n-1)}}} - 1.$$

Using Lemma 2 with  $\varepsilon = \varepsilon_n$ , there exist  $\alpha_{n-1}$  and  $p_n > q_n$  such that for every compact set with  $d_H(K^{(n-1)}, L) < \alpha_{n-1}$ ,

$$\mathbb{E}f_0(L_{p_n}) < c_{d,K^{(n-1)}} \log^{d-1}(p_n)(1 + \varepsilon_n).$$

Finally,

$$\begin{aligned} \mathbb{E}f_0(L_{p_n}) &< G(q_n) \log^{d-1}(p_n) \\ &< G(p_n) \log^{d-1}(p_n). \end{aligned} \quad (11)$$

Again, we define  $\beta_{n-1} = \min(\frac{\alpha_{n-1}}{2}, \frac{\beta_{n-2}}{2})$ . We define  $K^{(n)}$  as a smooth approximation of  $K^{(n-1)}$  such that  $d_H(K^{(n-1)}, K^{(n)}) < \beta_{n-1}$ , so (11) works for  $L = K^{(n)}$ .

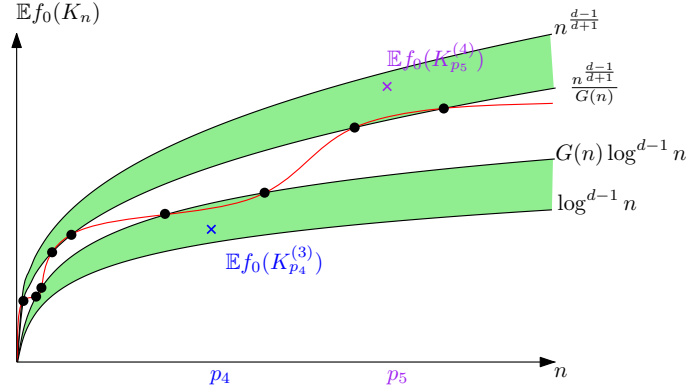
Note that for all  $m > n \in \mathbb{N}$ ,

$$\begin{aligned} d_H(K^{(n)}, K^{(m)}) &\leq \sum_{k=n}^{m-1} d_H(K^{(k)}, K^{(k+1)}) < \sum_{k=n}^{m-1} \beta_k \\ &\leq \sum_{k=0}^{m-n-1} \frac{\beta_n}{2^k} \leq 2\beta_n \leq \alpha_n. \end{aligned}$$

That means for all  $m > n$ , the property (10) or (11) (depending on the evenness of  $n$ ) are also true for  $K^{(m)}$ .

Now, defining  $K = \overline{\cup_{i=0}^{\infty} K^{(i)}}$ , the property (10) and (11) are true for arbitrary  $n \in \mathbb{N}$  with  $L = K$ , by considering  $K^{(n)}$  and  $K^{(n+1)}$ .

As we can choose  $q_n$  as big as we want for any  $n$  (it will just decrease  $\alpha_{n-1}$ ), we can choose this sequence to be increasing. As a result,  $\mathbb{E}f_0(K_n)$  will have a chaotic behavior within  $n^{\frac{d-1}{d+1}}/G(n)$  and  $G(n) \log^{d-1} n$ , as shown in Figure 2. □



**Fig. 2:** The expected size of the random polytope of  $K$ .

**Concluding remarks** We have constructed a convex body  $K$  such that the expected size of the convex hull of a random polytope in  $K$  has a chaotic behavior. This construction is the limit of a sequence of bodies  $(K^{(i)})$  that alternate polytopes and smooth shapes so it is difficult to provide an explicit description of  $K$ , in this note we just show that constructing such a sequence is possible by a repeated application of Lemma 2 but there is no obstacle, except long and painful computations, to a more constructive version with explicit description of the sequence. Notice that in such a case the complexity of  $K^{(i)}$  will be

increasing quite rapidly. Actually, since  $K^{(i)}$  is constrained in a slab of width  $\beta_i$  around  $K^{(i-1)}$ , the size of  $K^{(i)}$  can be lower bounded for polytopes, see Böröczky Jr. (2000):  $|K^{(i)}| = \Omega\left(\beta_i^{-\frac{d-1}{2}}\right)$  and since  $\beta_i < \frac{\alpha_0}{2^i}$  we get, at least, an exponential behavior for the size of  $K^{(i)}$ . Even with a constructive description of the  $K^{(i)}$ , the description of  $K$  as the limit of the  $K^{(i)}$  will remain quite abstract, but will allow to develop a membership test, given a point  $p$ ,  $p \in \text{int}(K)$  can be decided by computing the sequence  $K^{(i)}$  up to an index where  $p \in K^{(i)}$  or  $p \notin K^{(i)} \oplus B_{\beta_i}$ .

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