Reference-free detection of isolated SNPs

Additional File 1

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DATA SIMULATION AND EVALUATION PROTOCOLS

Data simulation

In the main paper, we present the results for several datasets that were simulated from two distinct data sources:

- Human chromosome 1 (GRCh37/hg19 reference assembly version), ≈ 249 million base pairs. To obtain a realistic distribution of SNPs and genotypes, SNP positions are extracted from two vcf files produced by the “1000 genome project” (phase 1 release). In detail, two vcf files were retrieved from the “1000 genome project” (phase 1 release), corresponding to the human chromosome 1 of two individuals: HG00096 and HG00100. We then generated the genome sequences for the two diploids, i.e. two sequences per individual, by placing the substitutions listed in the vcf files on the human reference sequence (GRCh37/hg19 reference assembly version). In the case of a homozygous SNP, the same nucleotide was placed on the two sequences, while for a heterozygous SNP, one sequence was the same nucleotide was placed on the two sequences, and the second

- Bacterial Escherichia coli K-12, MG1655 strain, ≈ 4.6 million base pairs. From this reference sequence, we generated 30 Escherichia coli individuals by simulating SNPs based on a site frequency spectrum pattern, i.e. most SNPs are in one sample, half as many in two samples, a third in three samples, and so on. More precisely we introduced $X_i = \max(S_k - 1)$ SNPs occurring in $i$ of the 30 genomes (for $i$ in $[1, 29]$), with $S$ being the total number of sites that were mutated, i.e. 69,600 sites in our case. SNPs were distributed along each read with a fixed probability (1%).

We then used our own read simulator, Mutareads, to simulate an Illumina sequencing for the two human diploids, and for the 30 bacterial individuals. The sequencing simulation was carried out by sampling equal-length reads (100 bp) from each sequence, with a uniform probability distribution, on a 40x coverage basis (2x20x for the diploid individuals). Substitution errors were uniformly distributed along each read with a fixed probability (1%).

DISCO SNP, as well as other reference-free SNP calling tools, were run on the following datasets: one diploid individual (HG00096), the two diploid individuals (HG00096 and HG00100), two haploids (among the 30 Escherichia coli individuals), three haploids and so on, up to 30.

Precision and recall computation

For the tests on simulated data, both in the main paper and in this file, we provide recall and precision measures. For this purpose, we produce a multi-fasta file for each simulated dataset, ref_snps.fa. These files are formatted as the DISCO SNP output and contain all the isolated SNPs among the complete set of generated SNPs for the given dataset. A SNP is considered as isolated if among the whole subset of considered simulated genomes, no other SNPs are simulated in the $k-1$ positions before and after the SNP loci. They will be subsequently used as exhaustive and exact reference lists to compute precision and recall for each dataset.

More specifically, a ref_snps.fa file contains pairs of sequences, where each pair represents an isolated SNP (one sequence corresponds to one path of the SNP, and the second corresponds to the other path). Every such sequence (or path) has a $2k-1$ length, where the first $k-1$ and the last $k-1$ characters are identical between the two paths of a SNP, while the two characters placed on the $k$th position correspond to the mutation. Predicted SNPs are then mapped to these reference SNP sequences using GASSST. This enables to validate the predicted SNPs, i.e. the $2k-1$ sequences corresponding

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to the SNPs detected by DISCO SNP (or by any other tool) are mapped on the reference SNP sequences; a predicted SNP is validated if both its paths map perfectly and entirely (with 100% identity) the two paths of one of the SNPs present in this ref_snps.fa file.

Precision and recall are then computed as follows. The results of the mapping enable to compute the true positives (TP), i.e. predicted SNPs whose both paths are perfectly mapped on two paths of the ref_snps.fa file, and the false positives (FP), i.e. the other predicted SNPs. We call false negatives (FN) the non mapped SNPs from the reference list.

Finally, the precision is computed as the number of TP divided by the total number of SNPs found by DISCO SNP, while the recall is given as the number of TP divided by the total number of SNPs in the reference list.

In the case when more than two genomes are compared and some branchings are allowed in the bubbles, some non-isolated SNPs can be found by DISCO SNP. This happens when there exists at least two genomes (or individuals) among the compared ones with distinct genotypes for the considered SNPs but the same genotype for the other close SNPs. Such a SNP can be detected by DISCO SNP, but as we focus on isolated SNPs only, it would be considered as a false positive by our evaluation process. To compute more relevant precision values, only predictions that do not correspond to any simulated SNP (isolated or not) were considered as false positives. This was used in the main paper for the two human (chromosome 1) diploids experiment when using DISCO SNP or BUBBLEPARSE with parameters allowing for some branchings inside the bubbles (parameters -b 1 and depth=1 respectively).

**HUMAN FULL RESULTS**

<table>
<thead>
<tr>
<th>Tool</th>
<th>Parameters</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISCO SNP</td>
<td>b=0, c=4, k=31</td>
<td>96.98</td>
<td>71.99</td>
</tr>
<tr>
<td>BUBBLEPARSE</td>
<td>d0, c=3, k=31</td>
<td>95.78</td>
<td>72.71</td>
</tr>
<tr>
<td>CORTEX</td>
<td>k31, c=auto</td>
<td>96.64</td>
<td>68.30</td>
</tr>
<tr>
<td>CORTEX</td>
<td>k61, c=auto</td>
<td>97.22</td>
<td>69.70</td>
</tr>
<tr>
<td>DISCO SNP</td>
<td>d1, c=4, k=31</td>
<td>92.3 (88.10)</td>
<td>79.22</td>
</tr>
<tr>
<td>BUBBLEPARSE</td>
<td>d1, c=3, k=31</td>
<td>91.66 (87.47)</td>
<td>76.60</td>
</tr>
<tr>
<td>BUBBLEPARSE</td>
<td>d2, c=4, k=31</td>
<td>86.20 (83.88)</td>
<td>79.80</td>
</tr>
<tr>
<td>BUBBLEPARSE</td>
<td>d3, c=3, k=31</td>
<td>78.70 (74.51)</td>
<td>82.20</td>
</tr>
<tr>
<td>hybrid strategy</td>
<td>unfiltered</td>
<td>95.50</td>
<td>72.91</td>
</tr>
<tr>
<td>hybrid strategy</td>
<td>filtered</td>
<td>96.18</td>
<td>72.86</td>
</tr>
</tbody>
</table>

**Table 1.** Results obtained by various tools with various parameters on human chromosome 1 dataset composed of two individuals (described in Section “Data simulation”). Lines starting with ★ were already indicated in the manuscript. * denotes that precision was computed by considering as false positives only bubbles that do not correspond to any simulated SNP (isolated or not). Note that c=3 for BUBBLEPARSE and c=4 for DISCO SNP are equivalent as they filter out data seen three times or less.

In the paper only best results for each tested tool are presented. The Table 1 presents the full obtained results. The hybrid strategy (SOAP+bowtie2+GATK) provides SNPs with low coverage, which mainly correspond to false positives. As DISCO SNP and other tested tools filter out low covered k-mers (less than 4 times in each dataset), we decided to apply the same filtering for the hybrid strategy, i.e. filtering out SNPs covered less than four times. The command lines that were used for the different tools are indicated in Table 2.

**DISCO SNP**

DISCO SNP was run independently on the three populations E1, E2 and E3, with defaults parameters, except for the c value that was fixed to 11. The c=11 value was chosen with respect to minimal k-mer coverage observed in the data (see Table 3). A first experiment was performed with -b 1 option and another experiment with -b 2. For each experiment, the 16 (8x2) read sets were used collectively. For instance the E1 experiment was performed using the following command line:

```bash
/run_discoSnp.sh -b 1 -c 11 -r
```

**ANALYSIS OF THE ROBUSTNESS OF DISCO SNP RESULTS**

**Simulation protocol**

This section proposes additional results on simulated datasets, when varying the sequencing simulation process (read
DISCO SNP

```
./run_discoSnp.sh -r *individualHG00096_reads.fasta.gz individualHG00100_reads.fasta.gz* -k 31 -c 4 -p res_human
```

BUBBLEPARSE

```
/bin/cortex_bub_31 -k 31 -n 26 -b 70 -c 100 -s 3 -i individualHG00096_reads.fasta.gz individualHG00100_reads.fasta.gz -t fasta -o human1.ctx
/bin/cortex_bub_31 -k 31 -n 26 -b 70 -c 100 -s 3 -i individualHG00096_reads.fasta -t fasta -o human1.ctx
```

CORTEX

```
perl ../../CORTEX_release_v1.0.5.21/scripts/calling/run_calls.pl --first_kmer 31 --last_kmer 61 --kmer_step 30 --fastaq_index INDEX --auto_cleaning yes --bc yes --pd no --outdir "cortex_2humans_no_ref" --outvcf "cortex_2humans_no_ref" --ploidy 2 --genome_size 249250621 --stampy_bin /mnt/cbib/read2snps/stampy-1.0.23/stampy.py --mem_height 25 --mem_width 100 --vcftools_dir /mnt/cbib/read2snps/vcftools_0.1.9/ --do_union yes --ref Absent --workflow joint --logfile log.txt
```

Hybrid approach

```
#Soap and filtering
SOAPdenovo-63mer pregraph -s soap.config -o soapNARHUM -K 31 -d 5
SOAPdenovo-63mer contig -g soap NARHUM
python filter_fasta_by_length.py soapNARHUM.contig NARHUM_ref.contigs.fa 100
#bowtie
bowtie2-build -f NARHUM_ref.contigs.fa NARHUM_ref.contigs.fa_index ;
bowtie2 -f --non-deterministic --threads 8 --rg-id "readref" --rg "SM:readref" --rg "PL:Illumina" --rg "LB:simumima" -x NARHUM_ref.contigs.fa_index -U individualHG00096_reads.fasta.gz -- | samtools view -bS - > NARHUM_bw2.bam;
bowtie2 -f --non-deterministic --threads 8 --rg-id "readref" --rg "SM:readref" --rg "PL:Illumina" --rg "LB:simumima" -x NARHUM_ref.contigs.fa_index -U individualHG00100_reads.fasta.gz -- | samtools view -bS - > NARHUM_bw2r.bam;
#GATK analysis
java -Xmx4g -jar ./CreateSequenceDictionary.jar R=NARHUM_ref.contigs.fa O=NARHUM_ref.contigs.dict
java -Xmx8g -jar GenomeAnalysisTK.jar -R NARHUM_ref.contigs.fa -T UnifiedGenotyper -glm SNP -t NARHUM_bw2.bam -I NARHUM_bw2r.bam -o NARHUM.snp.vcf
```

Table 2. Command lines for DISCO SNP, BUBBLEPARSE, CORTEX and for the hybrid approach, which were used on the human dataset described in Section “Data simulation”. However, some of BUBBLEPARSE scripts needed further manual tuning in order to be run.

Results when varying the simulation parameters

Effect of the read simulation method

Knowing that SNP detection methods can be misled by sequencing errors, as they potentially generate false positives, we checked the robustness of DISCO SNP with respect to the read simulator. Besides Mutareads, five simulation tools were tested: Art (4), GemSim (5), Metasim (6), SimSeq (7), and WGSim from the Samtools package (8), each of them implementing a specific error profile. The six simulators were applied on the two E. coli individuals, as described in Section “Simulation protocol”. Results are summarized in Table 5. These results show that, except for Art, DISCO SNP produces similar results regardless the simulation tool. Poor results in Art case are most certainly due to its high error rate, i.e. it simulates more than six errors per read on average, which is not consistent with what is happening in real data. Moreover, GemSim was, in average, 100 times slower than the other read simulators, thus making it unusable on large datasets such as human data.

For the human experiment, due the previously exposed reasons, we excluded Art and GemSim simulators. Once again,
results in Table 6 show that DISCO SNP produces similar results regardless the simulation method.

Table 6. DISCO SNP results (with \( c = 5 \) and \( k = 31 \)), on data simulated from human chromosome 1 with several read simulators.

<table>
<thead>
<tr>
<th>Read simulator</th>
<th>Recall (%)</th>
<th>Precision (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Metasim</td>
<td>87.1</td>
<td>90.3</td>
</tr>
<tr>
<td>Mutareads</td>
<td>86.6</td>
<td>90.5</td>
</tr>
<tr>
<td>SimSeq</td>
<td>87</td>
<td>90.6</td>
</tr>
<tr>
<td>WGSim</td>
<td>87</td>
<td>90.5</td>
</tr>
</tbody>
</table>

As we showed that DISCO SNP results are independent of the read simulator that is used, we chose Mutareads to perform the simulation experiments presented in the paper and in this file, as it is the fastest among the tested simulators.

Influence of the sequencing depth

In order to estimate the effect of the read coverage on DISCO SNP results, we performed simulations with Mutareads on the human chromosome 1 sequence by using a range of coverage values. The results presented in Table 7 show that the read coverage influences the result quality. As expected, the higher the read coverage, the better the precision/recall results. However, these results suggest that even for coverage values as low as 20x, DISCO SNP calls 79.5% of SNPs, while maintaining a good precision (89.9%).

Table 7. DISCO SNP precision and recall results on simulated datasets generated from human chromosome 1, with increasing sequencing depths (using \( k = 31 \)).

<table>
<thead>
<tr>
<th>Coverage</th>
<th>min coverage value (c)</th>
<th>Recall %</th>
<th>Precision %</th>
</tr>
</thead>
<tbody>
<tr>
<td>10x</td>
<td>1</td>
<td>65.9</td>
<td>73.7</td>
</tr>
<tr>
<td>20x</td>
<td>2</td>
<td>79.5</td>
<td>89.9</td>
</tr>
<tr>
<td>30x</td>
<td>3</td>
<td>84.5</td>
<td>90.3</td>
</tr>
<tr>
<td>40x</td>
<td>4</td>
<td>86.0</td>
<td>90.4</td>
</tr>
<tr>
<td>50x</td>
<td>5</td>
<td>86.6</td>
<td>90.5</td>
</tr>
</tbody>
</table>

Influence of the SNP density

In order to estimate the influence of the SNP density on DISCO SNP results, we generated datasets with densities varying from 0.06% (based on (9)), to 0.1%, and to an over-estimation of 1% of SNPs.

In the results presented in Table 8, precision varies from 85.8% to 98.3%. The higher the SNP density, the higher the precision. This reveals that the number of false positives is stable regardless the SNP simulation method (190 088 ± 2%), while the number of true positives grows linearly with the number of isolated SNPs.

Table 8. DISCO SNP results on uniformly simulated SNP sets, with different SNP densities, from the human chromosome 1.

<table>
<thead>
<tr>
<th>SNP density</th>
<th>SNP number</th>
<th>DISCO SNP</th>
</tr>
</thead>
<tbody>
<tr>
<td>all</td>
<td>isolated</td>
<td></td>
</tr>
<tr>
<td>1%</td>
<td>2,253,177</td>
<td>1,248,158</td>
</tr>
<tr>
<td>0.1%</td>
<td>224,876</td>
<td>211,492</td>
</tr>
<tr>
<td>0.06%</td>
<td>138,383</td>
<td>133,621</td>
</tr>
</tbody>
</table>

Results when varying DISCO SNP parameters

Influence of the k value

Any algorithmic method based on de Bruijn graphs is highly dependent on the k-mer size. In order to analyze the influence of the k-value on DISCO SNP results, we performed an experiment on human chromosome 1. The results that are shown in Figure 1 were produced with DISCO SNP for k-values going from 15 to 45.

These results confirm that the k-value influences the quality of the results. For small k-values, due to the increasing number of branching k-mers, a larger number of branching bubbles are discarded, which leads to a low recall. Precision is also affected as there is an increasing number of bubbles that are generated by inexact repeats. Indeed, an inexact repeat of length \( 2k − 1 \) generates a bubble, and the frequency of such repeats in the genomes, increases when k decreases. On the other hand, the recall decreases for large k values (>37), as there are fewer reads that overlap on at least k characters.

With current NGS reads, a good trade-off value for k is \( \approx 31 \). As presented in Figure 1, the best precision/recall is reached with k = 37. However, values that are larger or equal to 32 are usable at the cost of either larger RAM consumption or longer data structure creation times. This study also shows that, even if the k value influences DISCO SNP results, any choice of k between 25 and 39 provides high quality results (with precision varying from 88.1% to 92.2% and recall varying from 82.3% to 86.7%).

Influence of the minimal coverage value
DISCO SNP offers the possibility to filter out $k$-mers whose number of occurrences in all read set is below a user-defined threshold, thus enabling to discard $k$-mers that are most probably due to sequencing errors. The results that are presented in Figure 2 are obtained with DISCO SNP ($k = 31$) on the 50x dataset simulated from human chromosome 1.

These results show that for large minimal coverage values ($\geq 6$), recall decreases due to low covered SNPs, while precision slightly decreases as the proportion of SNPs due to inexact repeats increases. With no filter ($c = 1$), sequencing errors are not filtered out, and a high ratio of the reported SNPs are due to sequencing errors. Moreover, recall is slightly poorer as the graph is more complex, and more bubbles are discarded by DISCO SNP (as they are branching).

Figure 2. DISCO SNP recall and precision results on the human chromosome 1 dataset, with respect to the minimal coverage value.

RUNNING TIMES FOR THE MULTIPLE INDIVIDUALS STUDY

Here, we present the comparative running times of DISCO SNP, CORTEX and the hybrid approach when analyzing an increasing number of read sets. The results, presented in Figure 3, were obtained in the study concerning the 30 haploid datasets simulated from E. coli. They show that running times grow linearly with respect to the number of individuals regardless the method, and that DISCO SNP runs faster than the two other methods.

REFERENCES