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# Predicate-aware, makespan-preserving software pipelining of scheduling tables<sup>1</sup>

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We propose a software pipelining technique adapted to specific hard real-time scheduling problems. Our technique optimizes both computation throughput and execution cycle makespan, with makespan being priority. It also takes advantage of the predicated execution mechanisms of our embedded execution platform. To do so, it uses a reservation table formalism allowing the manipulation of the execution conditions of operations. Our reservation tables allow the double reservation of a resource at the same dates by two different operations, if the operations have exclusive execution conditions. Our analyses can determine when double reservation is possible even for operations belonging to different iterations.

## 1. INTRODUCTION

In this paper, we take inspiration from a classical compilation technique, namely software pipelining, in order to improve the system-level task scheduling of a specific class of embedded systems.

*Software pipelining.* Compilers are expected to improve code speed by taking advantage of micro-architectural instruction level parallelism [Hennessy and Patterson 2007]. *Pipelining* compilers usually rely on *reservation tables* to represent an efficient (possibly optimal) static allocation of the computing resources (execution units and/or registers) with a timing precision equal to that of the hardware clock. Executable code is then generated that enforces this allocation, possibly with some timing flexibility. But on *VLIW architectures*, where each instruction word may start several operations, this flexibility is very limited, and generated code is virtually identical to the reservation table. The scheduling burden is mostly supported here by the compilers, which include *software pipelining* techniques [Rau and Glaeser 1981; Allan et al. 1995] designed to increase the throughput of loops by allowing one loop cycle to start before the completion of the previous one.

*Static (offline) real-time scheduling.* A very similar picture can be seen in the system-level design of safety-critical real-time embedded control systems with *distributed (parallel, multi-core)* hardware platforms. The timing precision is here coarser, both for starting dates, which are typically given by timers, and for durations, which are characterized with worst-case execution times (WCET). However, safety and efficiency arguments [Fohler et al. 2008] lead to the increasing use of tightly synchronized *time-triggered* architectures and execution mechanisms, defined in well-established standards such as TTA, FlexRay [Rushby 2001], ARINC653 [ARINC653], or AUTOSAR [AUTOSAR]. Systems based on these platforms typically have hard real-time constraints, and their correct functioning must be guaranteed by a schedulability analysis. In this paper, we are interested in *statically scheduled* systems where resource allocation can be described under the form of a *reservation/scheduling table* which constitutes, by itself, a proof of schedulability. Such systems include:

- Periodic time-triggered systems [Caspi et al. 2003; Zheng et al. 2005; Monot et al. 2010; Eles et al. 2000; Potop-Butucaru et al. 2010] that are naturally mapped over ARINC653, AUTOSAR, TTA, or FlexRay.
- Systems where the scheduling table describes the reaction to some sporadic input event (meaning that the table must fit inside the period of the sporadic event). Such systems

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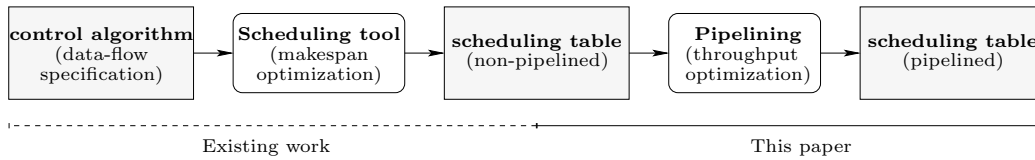


Fig. 1. Proposed pipelined scheduling flow

can be specified in AUTOSAR, allowing, for instance, the modeling of computations synchronized with engine rotation events [André et al. 2007].

- Some systems with a mixed event-driven/time-driven execution model, such as those synthesized by SynDEx [Grandpierre and Sorel 2003].

Synthesis of such systems starts from specifications written in domain-specific formalisms such as Simulink or SCADE [Caspi et al. 2003]. These formalisms allow the description of concurrent data computations and communications that are *conditionally activated* at each cycle of the embedded control algorithm depending on the current *input* and *state* of the system.

*The problem.* The optimal scheduling of such specifications onto platforms with multiple, heterogeneous execution and communication resources (distributed, parallel, multi-core) is NP-hard regardless of the optimality criterion (throughput, makespan, etc.) [Garey and Johnson 1979]. Existing scheduling techniques and tools [Caspi et al. 2003; Zheng et al. 2005; Grandpierre and Sorel 2003; Potop-Butucaru et al. 2010; Eles et al. 2000] *heuristically* solve the simpler problem of synthesizing a scheduling table of *minimal length* which implements one generic cycle of the embedded control algorithm. In a hard real-time context, minimizing table length (*i.e.* the makespan, as defined in the glossary of Fig. 2) is often a good idea, because in many applications it bounds the response time after a stimulus.

But optimizing makespan alone relies on an execution model where execution cycles cannot overlap in time (no pipelining is possible), even if resource availability allows it. At the same time, most real-time applications have both makespan and throughput requirements, and in some cases achieving the required throughput is only possible if a new execution cycle is started before the previous one has completed.

This is the case in the electronic control units (ECU) of combustion engines. Starting from the acquisition of data for a cylinder in one engine cycle, an ECU must compute the ignition parameters before the ignition point of the same cylinder in the next engine cycle (a makespan constraint). It must also initiate one such computation for each cylinder in each engine cycle (a throughput constraint). On modern multiprocessor ECUs, meeting both constraints requires the use of pipelining [André et al. 2007]. Another example is that of systems where a faster rate of sensor data acquisition results in better precision and improved control, but optimizing this rate must not lead to the non-respect of requirements on the latency between sensor acquisition and actuation. *To allow the scheduling of such systems we consider here the static scheduling problem of optimizing both makespan and throughput, with makespan being priority.*

*Contribution.* To (heuristically) solve this optimization problem, we use a two-phase scheduling flow that can be seen as a form of *decomposed software pipelining* [Wang and Eisenbeis 1993; Gasperoni and Schwiegelshohn 1994; Calland et al. 1998]. As pictured in Fig. 1, the first phase of this flow consists in applying one of the previously-mentioned makespan-optimizing tools. The result is a scheduling table describing the execution of one generic execution cycle of the embedded control algorithm with no pipelining.

The second phase uses a novel software pipelining algorithm, introduced in this paper, to significantly improve the throughput without changing the makespan and while preserv-

Concept	Description
scheduling table	These are essentially modulo reservation tables [Lam 1988], extended to allow the representation of conditional/multiple reservations. We use a different name to identify our specific internal formalism where reservations are the complex scheduled operations defined below.
initiation interval	The length of a schedule table is also called its initiation interval (II).
execution cycle	One iteration of either a scheduling table or the control algorithm before scheduling.
non-pipelined vs. pipelined	In classical software pipelining, reservation tables are used to represent the pipelined schedule. In our case, a reservation table is also used to represent the input of the pipelining algorithm. To avoid ambiguity, uses of “scheduling table”, “initiation interval”, “throughput”, <i>etc.</i> will be qualified with “non-pipelined” or “pipelined” whenever necessary. For instance, the pipelined scheduling table is usually known in software pipelining as the <i>kernel</i> .
makespan	Worst-case duration of one execution cycle of the control algorithm, from the start of its first operation, to the end of its last operation. In our approach, by construction, it is the same in both the non-pipelined and pipelined scheduling tables. It is equal to the initiation interval of the non-pipelined scheduling table.
throughput	The number of execution cycles of the control algorithm executed per time unit. It is defined as the inverse of the initiation interval.
inter-cycle dependency	Data dependencies between operations of different execution cycles of the non-pipelined scheduling table.
scheduled operation	Complex data structure defining a resource reservation in a scheduling table (a time interval on one or several resources), but providing at the same time information on the operation (real-time task) to be executed inside this reservation (input and output variables, execution condition).

Fig. 2. Glossary of terms used in this paper. All notions are formally defined later

ing the *periodic* nature of the system. The approach has the advantage of simplicity and generality, allowing the use of existing makespan-optimizing tools.

The proposed software pipelining algorithm is a very specific and constrained form of *modulo scheduling* [Rau 1996]. Like all modulo scheduling algorithms, it determines a shorter *initiation interval* for the execution cycles (iterations) of the control algorithm, subject to resource and inter-cycle data dependency constraints. Unlike previous modulo scheduling algorithms, however, it starts from an already scheduled code (the non-pipelined scheduling table), and preserves all the intra-cycle scheduling decisions made at phase 1, in order to preserve the makespan unchanged. In other words, our algorithm computes the best *initiation interval* for the non-pipelined scheduling table and re-organizes resource reservations into a *pipelined scheduling table*, whose length is equal to the new initiation interval, and which accounts for the changes in memory allocation.

We have implemented our algorithm into a pipelining tool that is used, as we desired, in conjunction with an existing makespan-optimizing scheduling tool. The resulting two-phase flow gives good results on architectures without temporal partitioning [ARINC653], like the previously-mentioned AUTOSAR or SynDEX-generated applications and, to a certain extent, applications using the FlexRay dynamic segment.

For applications mapped onto partitioned architectures (ARINC 653, TTA, or FlexRay, the static segment) or where the non-functional specification includes multiple release date, end-to-end latency, or periodicity constraints, separating the implementation process in two phases (scheduling followed by pipelining) is not a good idea. We therefore developed a single-phase pipelined scheduling technique documented elsewhere [Carle et al. 2012], but which uses (with good results) the same internal representation based on scheduling tables to allow a simple mapping of applications with execution modes onto heterogeneous architectures with multiple processors and buses.

### Outline

The remainder of the paper is structured as follows. Section 2 reviews related work. Section 3 formally defines scheduling tables. Sections 4 and 5 present the pipelining technique and provide a complex example. Section 5 deals with data dependency analysis. Section 6 gives experimental results, and Section 7 concludes.

## 2. RELATED WORK AND ORIGINALITY

This section reviews related work and details the originality points of our paper. Performing this comparison required us to relate concepts and techniques belonging to two fields: software pipelining and real-time scheduling. To avoid ambiguities when the same notion has different names depending on the field, we define in Fig. 2 a glossary of terms that will be used throughout the paper.

### 2.1. Decomposed software pipelining.

Closest to our work are previous results on *decomposed software pipelining* [Wang and Eisenbeis 1993; Gasperoni and Schwiegelshohn 1994; Calland et al. 1998]. In these papers, the software pipelining of a sequential loop is realized using two-phase heuristic approaches with good practical results. Two main approaches are proposed in these papers.

In the first approach, used in all 3 cited papers, the first phase consists in solving the loop scheduling problem while ignoring resource constraints. As noted in [Calland et al. 1998], existing decomposed software pipelining approaches solve this loop scheduling problem by using *retiming* algorithms. Retiming [Leiserson and Saxe 1991] can therefore be seen as a very specialized form of pipelining targeted at cyclic (synchronous) systems where each operation has its own execution unit. Retiming has significant restrictions when compared with full-fledged software pipelining:

- It is oblivious of resource allocation. As a side-effect, it cannot take into account execution conditions to improve allocation, being defined in a purely data-flow context.
- It requires that the execution cycles of the system do not overlap in time, so that one operation must be completely executed inside the cycle where it was started.

Retiming can only change the execution order of the operations inside an execution cycle. A typical retiming transformation is to move one operation from the end to the beginning of the execution cycle in order to shorten the duration (critical path) of the execution cycle, and thus improve system throughput. The transformation cannot decrease the makespan but may increase it.

Once retiming is done, the second transformation phase takes into account resource constraints. To do so, it considers the acyclic code of one generic execution cycle (after retiming). A list scheduling technique ignoring inter-cycle dependences is used to map this acyclic code (which is actually represented with a *directed acyclic graph*, or *DAG*) over the available resources.

The second technique for decomposed software pipelining, presented in [Wang and Eisenbeis 1993], basically switches the two phases presented above. Resource constraints are considered here in the first phase, through the same technique used above: list scheduling of

DAGs. The DAG used as input is obtained from the cyclic loop specification by preserving only some of the data dependences. This scheduling phase decides the resource allocation and the operation order inside an execution cycle. The second phase takes into account the data dependences that were discarded in the first phase. It basically determines the fastest way a specification-level execution cycle can be executed by several successive pipelined execution cycles without changing the operation scheduling determined in phase 1 (preserving the throughput unchanged). Minimizing the makespan is important here because it results in a minimization of the memory/register use.

## 2.2. Originality.

In this paper, we propose a third decomposed software pipelining technique with two significant originality points, detailed below.

**2.2.1. Optimization of both makespan and throughput.** Existing software pipelining techniques are tailored for optimizing only one real-time performance metric: the processing *throughput* of loops [Yun et al. 2003] (sometimes besides other criteria such as register usage [Govindarajan et al. 1994; Zalamea et al. 2004; Huff 1993] or code size [Zhuge et al. 2002]). In addition to throughput, we also seek to optimize *makespan*, with makespan being prioritary. Recall that throughput and latency (makespan) are antagonistic optimization objectives during scheduling [Benoît et al. 2007], meaning that resulting schedules can be quite different (an example will be provided in Section 4.1.2).

To optimize makespan we employ in the first phase of our approach existing scheduling techniques that were specifically designed for this purpose [Caspi et al. 2003; Zheng et al. 2005; Grandpierre and Sorel 2003; Potop-Butucaru et al. 2010; Eles et al. 2000]. But the main contribution of this paper concerns the second phase of our flow, which takes the scheduling table computed in phase 1 and optimizes its throughput while keeping its makespan unchanged. This is done using a new algorithm that conserves all the allocation and intra-cycle scheduling choices made in phase 1 (thus conserving makespan guarantees), but allowing the optimization of the throughput by increasing (if possible) the frequency with which execution cycles are started.

Like retiming, this transformation is a very restricted form of modulo scheduling software pipelining. In our case, it can only change the initiation interval (changes in memory allocation and in the scheduling table are only consequences). By comparison, classical software pipelining algorithms, such as the iterative modulo scheduling of [Rau 1996], perform a full mapping of the code involving both execution unit allocation and scheduling. Our choice of transformation is motivated by three factors:

- It preserves makespan guarantees.
- It gives good practical results for throughput optimization.
- It has low complexity.

It is important to note that our transformation is not a form of retiming. Indeed, it allows for a given operation to span over several cycles of the pipelined implementation, and it can take advantage of conditional execution to improve pipelining, whereas retiming techniques work in a pure data-flow context, without predication (an example will be provided in Section 4.1.2).

**2.2.2. Predication.** For an efficient mapping of our conditional specifications, it is important to allow an independent, predicated (conditional) control of the various computing resources. However, most existing techniques for software pipelining [Allan et al. 1995; Warter et al. 1993; Yun et al. 2003] use hardware models that significantly constrain or simply prohibit predicated resource control. This is due to limitations in the target hardware itself. One common problem is that two different operations cannot be scheduled at the same date on a given resource (functional unit), even if they have exclusive predicates (like the branches



of a test). The only exception we know to this rule is *predicate-aware scheduling (PAS)* [Smelyanskyi et al. 2003].

By comparison, the computing resources of our target architectures are not a mere functional units of a CPU (as in classical predicated pipelining), but full-fledged processors such as PowerPC, ARM, *etc.* The operations executed by these computing resources are large sequential functions, and not simple CPU instructions. Thus, each computing resource allows unrestricted predication control by means of conditional instructions, and the timing overhead of predicated control is negligible with respect to the duration of the operations. This means that our architectures satisfy the PAS requirements. The drawback of PAS is that sharing the same resource at the same date is only possible for operations of the same cycle, due to limitations in the dependency analysis phase. Our technique removes this limitation (an example will be provided in Section 4.1.3).

To exploit the full predicated control of our platform we rely on a new intermediate representation, namely *predicated and pipelined scheduling tables*. By comparison to the modulo reservation tables of [Lam 1988; Rau 1996], our scheduling tables allow the explicit representation of the execution conditions (predicates) of the operations. In turn, this allows the double reservation of a given resource by two operations with exclusive predicates.

### 2.3. Other aspects

A significant amount of work exists on applying software pipelining or retiming techniques for the efficient scheduling of tasks onto coarser-grain architectures, such as multi-processors [Kim et al. 2012; Yang and Ha 2009; Chatha and Vemuri 2002; Chiu et al. 2011; Caspi et al. 2003; Morel 2005]. To our best knowledge, these results share the two fundamental limitations of other software pipelining algorithms: Optimizing for only one real-time metric (throughput) and not fully taking advantage of conditional execution to allow double allocation of resources.

Minor originality points of our technique, concerning code generation and dependency analysis will be discussed and compared with previous work in Sections 4.4 and 5.

## 3. SCHEDULING TABLES

This section defines the formalism used to represent the non-pipelined static schedules produced by phase 1 of our scheduling flow and taken as input by phase 2. Inspired from [Potop-Butucaru et al. 2010; Grandpierre and Sorel 2003], our scheduling table formalism remains at a significantly lower abstraction level. The models of [Potop-Butucaru et al. 2010; Grandpierre and Sorel 2003] are fully synchronous: Each variable has at most one value at each execution cycle, and moving one value from a cycle to the next can only be done through explicit *delay* constructs. In our model, each variable (called a memory cell) can be assigned several times during a cycle, and values are by default passed from one execution cycle to the next.

The lower abstraction level means that time-triggered executable code generated by *any* of the previously-mentioned scheduling tools can directly be used as input for the pipelining phase. Integration between the scheduling tools and the pipelining algorithm defined next is thus facilitated. The downside is that the pipelining technique is more complex, as detailed in Section 4.4.2.

### 3.1. Architecture model

We model our multi-processor (distributed, parallel) execution architectures using a very simple language defining *sequential execution resources*, *memory blocks*, and their *interconnections*. Formally, an architecture model is a bipartite undirected graph  $\mathcal{A} = \langle \mathcal{P}, \mathcal{M}, \mathcal{C} \rangle$ , with  $\mathcal{C} \subseteq \mathcal{P} \times \mathcal{M}$ . The elements of  $\mathcal{P}$  are called *processors*, but they model all the computation and communication devices capable of independent execution (CPU cores, accelerators, DMA and bus controllers, etc.). We assume that each processor can execute only one oper-

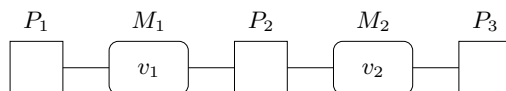


Fig. 3. Simple architecture

ation at a time. We also assume that each processor has its own sequential or time-triggered program. This last assumption is natural on actual CPU cores. On devices such as DMAs and accelerators, it models the assumption that the cost of control by some other processor is negligible.<sup>2</sup>

The elements of  $\mathcal{M}$  are RAM blocks. We assume each RAM block is structured as a set of disjoint *cells*. We denote with  $Cells$  the set of all memory cells in the system, and with  $Cells_M$  the set of cells on RAM block  $M$ . Our model does not explicitly specify memory size limits. To limit memory usage in the pipelined code we rely instead on the mechanism detailed in Section 4.4.3.

The elements of  $\mathcal{C}$  are the interconnections. Processor  $P$  has direct access to memory block  $M$  whenever  $(P, M) \in \mathcal{C}$ . All processors directly connected to a memory block  $M$  can access  $M$  at the same time. Therefore, care must be taken to prohibit concurrent read-write or write-write access by two or more processors to a single memory cell, in order to preserve functional determinism (we will assume this is ensured by the input scheduling table, and will be preserved by the pipelined one).

The simple architecture of Fig. 3 has 3 processors ( $P_1$ ,  $P_2$ , and  $P_3$ ) and 2 memory blocks ( $M_1$  and  $M_2$ ). Each of the  $M_i$  blocks has only one memory cell  $v_i$ .

### 3.2. Scheduling tables

On such architectures, Phase 1 scheduling algorithms perform static (offline) allocation and scheduling of embedded control applications under a *periodic* execution model. The result is represented with scheduling tables, which are finite time-triggered activation patterns. This pattern defines the computation of one period (also called execution cycle) of the control algorithm. The infinite execution of the embedded system is the infinite succession of periodically-triggered execution cycles. Execution cycles do not overlap in time (there is no pipelining).

Formally, a scheduling table is a triple  $\mathcal{S} = \langle p, \mathcal{O}, Init \rangle$ , where  $p$  is the *activation period* of execution cycles,  $\mathcal{O}$  is the set of *scheduled operations*, and  $Init$  is the *initial state* of the memory.

The activation period gives the (fixed) duration of the execution cycles. All the operations of one execution cycle must be completed before the following execution cycle starts. The activation period thus sets the *length* of the scheduling table, and is denoted by  $len(\mathcal{S})$ .

The set  $\mathcal{O}$  defines the operations of the scheduling table. Each scheduled operation  $o \in \mathcal{O}$  is a tuple defining:

- $In(o) \subseteq Cells$  is the set of memory cells read by  $o$ .
- $Out(o) \subseteq Cells$  is the set of cells written by  $o$ .
- $Guard(o)$  is the execution condition of  $o$ , defined as a predicate over the values of memory cells.
- We denote with  $GuardIn(o)$  the set of memory cells used in the computation of  $Guard(o)$ . There is no relation between  $GuardIn(o)$  and  $In(o)$ .
- $Res(o) \subseteq \mathcal{P}$  is the set of processors used during the execution of  $o$ .
- $t(o)$  is the start date of  $o$ .

<sup>2</sup>This assumption is common for coarse-grain real-time applications.



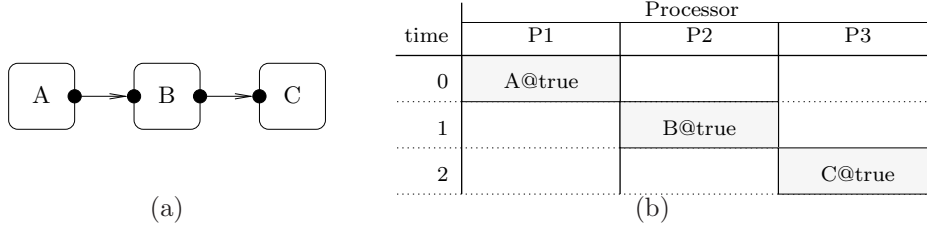


Fig. 4. Simple dataflow specification (a) and (non-pipelined) scheduling table for this specification (b)

—  $d(o)$  is the duration of  $o$ . The duration is viewed here as a time budget the operation must not exceed. This can be statically ensured through a worst-case execution time analysis.

All the resources of  $Res(o)$  are exclusively used by  $o$  after  $t(o)$  and for a duration of  $d(o)$  in cycles where  $Guard(o)$  is true. The sets  $In(o)$  and  $Out(o)$  are not necessarily disjoint, to model variables that are both read and updated by an operation. For lifetime analysis purposes, we assume that input and output cells are used for all the duration of the operation. The cells of  $GuardIn(o)$  are all read at the beginning of the operation, but we assume the duration of the computation of the guard is negligible (zero time).<sup>3</sup>

To cover cases where a memory cell is used by one operation before being updated by another, each memory cell can have an *initial value*. For a memory cell  $m$ ,  $Init(m)$  is either *nil*, or some constant.

**3.2.1. A simple example.** To exemplify, we consider the simple data-flow synchronous specification of Fig. 4(a), which we map onto the architecture of Fig. 3. Depending on the non-functional requirements given as input to the scheduling tool of Phase 1 (allocation constraints, WCETs, *etc.*) one possible result is the scheduling table pictured in Fig. 4. We assumed here that  $A$  is must be mapped onto  $P_1$  (*e.g.* because it uses a sensor peripheral connected to  $P_1$ ), that  $B$  must be mapped onto  $P_2$ , that  $C$  must be mapped onto  $P_3$  and that  $A$ ,  $B$ , and  $C$  have all duration 1.

This table has a length of 3 and contains the 3 operations of the data-flow specification ( $A$ ,  $B$ , and  $C$ ). Operation  $A$  reads no memory cell, but writes  $v_1$ , so that  $In(A) = \emptyset$  and  $Out(A) = \{v_1\}$ . Similarly,  $In(B) = \{v_1\}$ ,  $Out(B) = In(C) = \{v_2\}$ , and  $Out(C) = \emptyset$ . All 3 operations are executed at every cycle, so their guard is *true* (guards are graphically represented with “@true”). The 3 operations are each allocated on one processor:  $Res(A) = \{P_1\}$ ,  $Res(B) = \{P_2\}$ ,  $Res(C) = \{P_3\}$ . Finally,  $t(A) = 0$ ,  $t(B) = 1$ ,  $t(C) = 2$ , and  $d(A) = d(B) = d(C) = 1$ . No initialization of the memory cells is needed (the initial values are all *nil*).

### 3.3. Well-formed properties

The formalism above provides the syntax of scheduling tables, and allows the definition of operational semantics. However, not all syntactically correct tables represent correct implementations. Some of them are non-deterministic due to data races or due to operations exceeding their time budgets. Others are simply un-implementable, for instance because an operation is scheduled on processor  $P$ , but accesses memory cells on a RAM block not connected to  $P$ . A set of correctness properties is therefore necessary to define the well-formed scheduling tables.

However, some of these properties are not important in this paper, because we assume that the input of our pipelining technique, synthesized by a scheduling tool, is already correct. For instance, we assume that all schedules are implementable, with data used by a

<sup>3</sup>The memory access model where an operation reads its inputs at start time, writes its outputs upon completion, and where guard computations take time can be represented on top of our model.

time	P1	P2	P3	
0	A@true iteration 1			Prologue
1	A@true iteration 2	B@true iteration 1		
2	A@true iteration 3	B@true iteration 2	C@true iteration 1	Steady state
3	A@true iteration 4	B@true iteration 3	C@true iteration 2	
	...	...	...	

Fig. 5. Pipelined execution trace for the example of Fig. 4

time	P1	P2	P3
0	A@true $fst(A) = 0$	B@true $fst(B) = 1$	C@true $fst(C) = 2$

Fig. 6. Pipelined scheduling table (kernel) for the example of Fig. 4

processor being allocated in adjacent memory banks. This is why we only formalize here two correctness properties that will need attention in the following sections because pipelining transformations can affect them.

We say that two operations  $o_1$  and  $o_2$  are *non-concurrent*, denoted  $o_1 \perp o_2$ , if either their executions do not overlap in time ( $t(o_1) + d(o_1) \leq t(o_2)$  or  $t(o_2) + d(o_2) \leq t(o_1)$ ), or if they have exclusive guards ( $Guard(o_1) \wedge Guard(o_2) = false$ ). With this notation, the following correctness properties are assumed respected by input (non-pipelined) scheduling tables, and must be respected by the output (pipelined) ones:

*Sequential processors.* No two operations can use a processor at the same time. Formally, for all  $o_1, o_2 \in \mathcal{O}$ , if  $Res(o_1) \cap Res(o_2) \neq \emptyset$  then  $o_1 \perp o_2$ .

*No data races.* If some memory cell  $m$  is written by  $o_1$  ( $m \in Out(o_1)$ ) and is used by  $o_2$  ( $m \in In(o_2) \cup Out(o_2)$ ), then  $o_1 \perp o_2$ .

## 4. PIPELINING TECHNIQUE OVERVIEW

### 4.1. Pipelined scheduling tables

*4.1.1. A simple example.* For the example in Fig. 4, an execution where successive cycles do not overlap in time is clearly sub-optimal. Our objective is to allow the pipelined execution of Fig. 5, which ensures a maximal use of the computing resources.

In the pipelined execution, a new instance of operation  $A$  starts as soon as the previous one has completed, and the same is true for  $B$  and  $C$ . The first two time units of the execution are the *prologue* which fills the pipeline. In the *steady state* the pipeline is full and has a throughput of one computation cycle (of the non-pipelined system) per time unit. If the system is allowed to terminate, then completion is realized by the *epilogue*, not pictured in our example, which empties the pipeline.

We represent the pipelined system schedule using the *pipelined scheduling table* pictured in Fig. 6. Its length is 1, corresponding to the throughput of the pipelined system. The operation set contains the same operations  $A$ ,  $B$ , and  $C$ , but there are significant changes. The start dates of  $B$  and  $C$  are now 0, as the 3 operations are started at the same time in each pipelined execution cycle. A non-pipelined execution cycle spans over several pipelined cycles, and each pipelined cycle starts one non-pipelined cycle.

To account for the prologue phase, where operations progressively start to execute, each operation is assigned a *start index*  $fst(o)$ . If an operation  $o$  has  $fst(o) = n$ , it will first be executed in the pipelined cycle of index  $n$  (indices start at 0). Due to pipelining, the instance

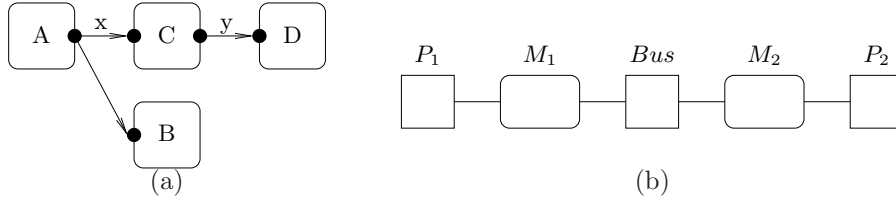


Fig. 7. Example 2: Dataflow specification (a) and a bus-based implementation architecture (b)

time	P1	Bus	P2
0	A@true		
1	B@true	x@true	
2			
3			C@true
4			
5			
6			D@true

(a)

time	P1	Bus	P2
0	A@true fst(A) = 0		C@true fst(C) = 1
1	B@true fst(B) = 0	x@true fst(SND)=0	D@true fst(D) = 1
2			
3			C@true fst(C) = 0
4			

(b)

Fig. 8. Example 2: Non-pipelined scheduling table produced by phase 1 of our technique (a) and pipelined table produced by phase 2 (b) for the example of Fig. 7

of  $o$  executed in the pipelined cycle  $m$  belongs to the non-pipelined cycle of index  $m - fst(o)$ . For instance, operation  $C$  with  $fst(C) = 2$  is first executed in the 3<sup>rd</sup> pipelined cycle (of index 2), but belongs to the first non-pipelined cycle (of index 0).

**4.1.2. Example 2: makespan vs. throughput optimization .** The example of Fig. 7 showcases how the different optimization objectives of our technique lead to different scheduling results, when compared with existing software pipelining techniques.

The architecture is here more complex, involving a *communication bus* that connects the two identical processors. Communications over the bus are synthesized during the scheduling process, as needed. Note that the bus is modeled as a processor performing communication operations. This level of description, defined in Section 3.1, is accurate enough to support our pipelining algorithms. The makespan-optimizing scheduling algorithms used in phase 1 use more detailed architecture descriptions (outside the scope of this paper).

The functional specification is also more complex, involving parallelism and different durations for the computation and communication operations. The durations of A, B, C, and D on the two processors are respectively 1, 2, 4, and 1, and transmitting over the bus any of the data produced by A or C takes 1 time unit.

Fig. 8(a) provides the non-pipelined scheduling table produced for this example by the makespan-optimizing heuristics of [Potop-Butucaru et al. 2009]. Operations A and B have been allocated on processor  $P1$  and operations C and D have been allocated on  $P2$ . One communication is needed to transmit data  $x$  from  $P1$  to  $P2$ . The makespan is here equal to the table length, which is 7. The throughput is the inverse of the makespan ( $1/7$ ).

When this scheduling table is given to our pipelining algorithm, the output is the pipelined scheduling table of Fig. 8(b). The makespan remains unchanged (7), but the table length is now 5, so the throughput is  $1/5$ . Note that the execution of operation  $C$  starts in one

time	P1	Bus	P2
0	A@true fst(A) = 0		D@true fst(D) = 1
1	B@true fst(B) = 0	x@true fst(SND)=0	
2			C@true fst(C) = 0
3			
4			
5			

(a)

time	P1	Bus	P2
0	A@true fst(A) = 0		C@true fst(C) = 1
1	B@true fst(B) = 0	x@true fst(x)=0	
2		y@true fst(y)=1	C@true fst(C) = 0
3	D@true fst(D) = 1		

(b)

Fig. 9. Example 2: At left, the result of retiming the scheduling table of Fig. 8(a). At right, the result of directly applying throughput-optimizing modulo scheduling onto the specification of Fig. 7.

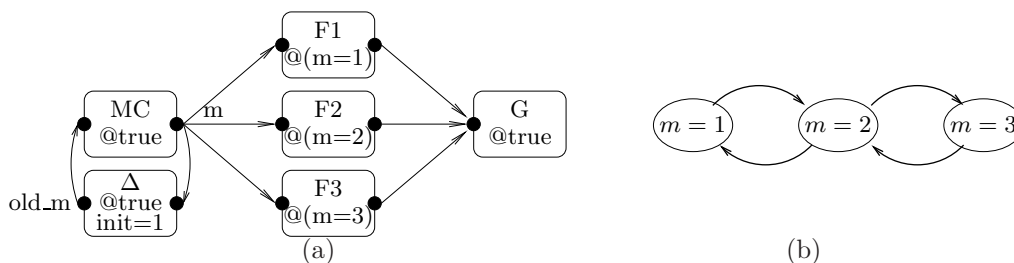


Fig. 10. Example 3: Dataflow specification with conditional execution (a) and possible mode transitions (b)

pipelined execution cycle (at date 2), but ends in the next, at date 1. Thus, operation  $C$  has two reservations, one with  $fst(C) = 0$ , and one with  $fst(C) = 1$ .

Operations spanning over multiple execution cycles are not allowed in retiming-based techniques. Thus, if we apply retiming to the scheduling table of Fig. 8(a), we obtain the pipelined scheduling table of Fig. 9(a). For this example, the makespan is not changed, but the throughput is worse than the one produced by our technique ( $1/6$ ).

But the most interesting comparison is between the output of our pipelining technique and the result of throughput-only optimization. Fig. 9(b) provides a pipelined scheduling table that has optimal throughput. In this table, operation  $D$  is executed by processor  $P1$ , so that the bus must perform 2 communications. The throughput is better than in our case ( $1/4$  vs.  $1/5$ ), but the makespan is worse (8 vs. 7), even though we chose a schedule with the best makespan among those with optimal throughput.

**4.1.3. Example 3: Predication handling.** To explain how predication is handled in our approach, consider the example of Fig. 10. We only picture here the functional specification. As architecture, we consider two processors  $P1$  and  $P2$  connected to a shared memory  $M1$  (a 2-processor version of the architecture in Fig. 3(b)).

Example 3 introduces new constructs. First of all, it features a *delay*, labeled  $\Delta$ . Delays are used in our data-flow formalism to represent the system state, and are a source of *inter-iteration dependences*. Each delay has an initial value, which is given as output in the first execution cycle, and then outputs at each cycle the value received as input at the previous cycle. The data-flow formalism, including delays, is formally defined in [Potop-Butucaru et al. 2009].

The functional specification also makes use of conditional (predicated) execution. Operations  $F1$ ,  $F2$ , and  $F3$  (of length 3, 2, and 1, respectively) are executed in those execution

time	P1			P2	
0	MC@true				
1			F3 @m=3		
2	F1 @m=1	F2 @m=2			
3					G @m=3
4				G @m=2	
5			G @m=1		
6					

Fig. 11. Example 3: non-pipelined scheduling table

cycles where the output  $m$  of operation  $MC$  equals respectively 1, 2, or 3. Operations  $MC$  and  $G$  are executed in all cycles.

The output  $m$  of operation  $MC$  (for *mode computation*) is used here to represent the *execution mode* of our application. This mode is recomputed in the beginning of each execution cycle by  $MC$ , based on the previous mode and on unspecified inputs directly acquired by  $MC$ . We assume that the application has only 3 possible modes (1, 2, and 3), and that transition between these modes is only possible as specified by the transitions of Fig. 10(b). This constraint is specified with a predicate over the inputs and outputs of operation  $MC$ . All data-flow operations can be associated such predicates, which will be used in the analyses of the next sections. Assuming that the input port of operation  $MC$  is called  $old\_m$  and that the output port is called  $m$ , the predicate associated to  $MC$  is:

$$(old\_m = m) \text{ or } (old\_m = 1 \text{ and } m = 2) \text{ or } (old\_m = 2) \text{ or } (old\_m = 3 \text{ and } m = 2)$$

This predicate states that either there is no state change ( $old\_m = m$ ), or there is a transition from state 1 to state 2 ( $old\_m = 1$  and  $m = 2$ ), or that the old state is 2, so the new state can be any of the 3 ( $old\_m = 2$ ), or that there is a transition from state 3 to state 2 ( $old\_m = 3$  and  $m = 2$ ).

We assume that operations  $MC$ ,  $F1$ ,  $F2$ , and  $F3$  are executed on processor  $P1$ , and that  $G$  is executed on  $P2$ . Under these conditions, one possible non-pipelined schedule produced by Phase 1 is the one pictured in Fig. 11. Note that this table features 3 conditional reservations for operation  $G$ , even if  $G$  does not have an execution condition in the data-flow graph. This allows  $G$  to start as early as possible in every given mode.

This table clearly features the reservation of the same resource, at the same time, by multiple operations. For instance, operations  $F1$ ,  $F2$ , and  $F3$  share  $P1$  at date 1. Of course, each time this happens the operations must have exclusive predicates, meaning that there is no conflict at runtime.

Pipelining this table using the algorithms of the following sections produces the scheduling table of Fig. 12. The most interesting aspect of this table is that the reservations  $G@m=1, fst=1$  and  $G@m=3, fst=0$ , which belong to different execution cycles of the non-pipelined table, are allowed to overlap in time. This is possible because the dependency analysis of Section 5 determined that the two operations have exclusive execution conditions. In our case, this is due to the fact that  $m$  cannot change its value directly from 1 to 3 when moving from one non-pipelined cycle to the next.

When relations between execution conditions of operations belonging to different execution cycles are not taken into account, the resulting pipelining is that of Fig. 13. Here, reservations for  $G$  cannot overlap in time if they have different  $fst$  values.

time	P1			P2		
0	MC@true					G @m=3 fst=1
1	F1 @m=1 fst=0	F2 @m=2 fst=0	F3 @m=3 fst=0	G @m=1 fst=1	G @m=2 fst=1	
2						G @m=3 fst=0
3				G @m=2 fst=0		G @m=3 fst=0

Fig. 12. Example 3: Pipelined scheduling table produced by our technique

time	P1			P2		
0	MC@true			G @m=1 fst=1	G @m=2 fst=1	
1	F1 @m=1 fst=0	F2 @m=2 fst=0	F3 @m=3 fst=0			
2						G @m=3 fst=0
3				G @m=1 fst=0	G @m=2 fst=0	
4						

Fig. 13. Example 3: Pipelined scheduling table where inter-cycle execution condition analysis has not been used to improve sharing.

The current implementation of our algorithms can only analyze predicates with Boolean arguments. Thus, our 3-valued mode variable  $m$  needs re-encoding with 2 Boolean variables. In other words, in the version of Example 3 that can be processed by our tool, the operation  $MC$  actually has 2 Boolean inputs and 2 Boolean outputs, and the predicate above is defined using these 4 variables.

#### 4.2. Construction of the pipelined scheduling table

The prologues of our pipelined executions are obtained by incremental activation of the steady state operations, as specified by the  $fst$  indices (this is a classical feature of modulo scheduling pipelining approaches). Then, the pipelined scheduling table can be fully built using Algorithm 1 starting from the non-pipelined table and from the pipelined initiation interval. The algorithm first determines the start index and new start date of each operation by folding the non-pipelined table onto the new period. Algorithm *AssembleSchedule* then determines which memory cells need to be replicated due to pipelining, using the technique provided in Section 4.4.

**Input:**  $\mathcal{S}$  : non-pipelined scheduling table

$\hat{p}$  : pipelined initiation interval

**Output:**  $\hat{\mathcal{S}}$  : pipelined schedule table

**for all**  $o$  in  $\mathcal{O}$  **do**

$fst(o) := \lfloor \frac{t(o)}{\hat{p}} \rfloor$

$\hat{t}(o) := t(o) - fst(o) * \hat{p}$

**end for**

$\hat{\mathcal{S}} := AssembleSchedule(\mathcal{S}, \hat{p}, fst, \hat{t})$

**Algorithm 1: BuildSchedule**

#### 4.3. Dependency graph and maximal throughput

The period of the pipelined system is determined by the data dependences between successive execution cycles and by the resource constraints. If we follow the classification of [Hennessy and Patterson 2007], we are interested here in true data dependences, and not in *name dependences* such as *anti-dependences* and *output dependences*. A true data dependency exists between two operations when one uses as input the value computed by the other. Name dependencies are related to the reuse of variables, and can be eliminated by variable renaming. For instance, consider the following C code fragment:

```
x := y + z; y := 10; z := y;
```

Here, there is a true data dependence (on variable  $y$ ) between statements 2 and 3. There is also an anti-dependence (on variable  $y$ ) between statements 1 and 2 (they cannot be re-ordered without changing the result of the execution). Renaming variable  $y$  in statements 2 and 3 removes this anti-dependence and allows re-ordering of statements 1 and 2:

```
x := y + z; y2:= 10; z := y2;
```

In our case, not needing the analysis of name dependences is due to the use of the rotating register files (detailed in Section 4.4) which remove anti-dependences, and to the fact that output dependences are not semantically meaningful in our systems whose execution is meant to be infinite.

We represent data dependences as a *Data Dependency Graph (DDG)* – a formalism that is classical in software pipelining based on *modulo scheduling* techniques [Allan et al. 1995]. In this section we define DDGs and we explain how the new period is computed from them. The computation of DDGs is detailed in Section 5.

Given a scheduling table  $\mathcal{S} = \langle p, \mathcal{O}, \text{Init} \rangle$ , the DDG associated to  $\mathcal{S}$  is a directed graph  $DG = \langle \mathcal{O}, \mathcal{V} \rangle$  where  $\mathcal{V} \subseteq \mathcal{O} \times \mathcal{O} \times \mathbb{N}$ . Ideally,  $\mathcal{V}$  contains all the triples  $(o_1, o_2, n)$  such that there exists an execution of the scheduling table and a computation cycle  $k$  such that operation  $o_1$  is executed in cycle  $k$ , operation  $o_2$  is executed in cycle  $k + n$ , and  $o_2$  uses a value produced by  $o_1$ . In practice, any  $\mathcal{V}$  including all the arcs defined above (any over-approximation) will be acceptable, leading to correct (but possibly sub-optimal) pipelinings.

The DDG represents all possible dependences between operations, both inside a computation cycle (when  $n = 0$ ) and between successive cycles at distance  $n \geq 1$ . Given the static scheduling approach, with fixed dates for each operation, the pipelined scheduling table must respect *unconditionally* all these dependences.

For each operation  $o \in \mathcal{O}$ , we denote with  $t_n(o)$  the date where operation  $o$  is executed in cycle  $n$ , if its guard is true. By construction, we have  $t_n(o) = t(o) + n * p$ . In the pipelined scheduling table of period  $\hat{p}$ , this date is changed to  $\hat{t}_n(o) = t(o) + n * \hat{p}$ . Then, for all  $(o_1, o_2, n) \in \mathcal{V}$  and  $k \geq 0$ , the pipelined scheduling table must satisfy  $\hat{t}_{k+n}(o_2) \geq \hat{t}_k(o_1) + d(o_1)$ , which implies:

$$\hat{p} \geq \max_{(o_1, o_2, n) \in \mathcal{V}, n \neq 0} \left\lceil \frac{t(o_1) + d(o_1) - t(o_2)}{n} \right\rceil$$

Our objective is to build pipelined scheduling tables satisfying this lower bound constraint and which are well-formed in the sense of Section 3.3.

#### 4.4. Memory management issues

Our pipelining technique allows multiple instances of a given variable, belonging to successive non-pipelined execution cycles, to be simultaneously live. For instance, in the example of Figures 4 and 5 both  $A$  and  $B$  use memory cell  $v_1$  at each cycle. In the pipelined table,  $A$  and  $B$  work in parallel, so they must use two different copies of  $v_1$ . In other words, we must provide an implementation of the *expanded virtual registers* of [Rau 1996].

The traditional software solution to this problem is the modulo variable expansion of [Lam 1988]. However, this solution requires loop unrolling, which would increase the size of our scheduling tables. Instead, we rely on a purely software implementation of *rotating register files* [Rau et al. 1992], which requires no loop unrolling, nor special hardware support. Our implementation of rotating register files includes an extension for identifying the good register to read in the presence of predication. To our best knowledge, this extension (detailed in Section 4.4.2) is an original contribution.

**4.4.1. Rotating register files for stateless systems.** Assuming that  $\hat{\mathcal{S}}$  is the pipelined version of  $\mathcal{S}$ , we denote with  $max\_par = \lceil len(\mathcal{S}) / len(\hat{\mathcal{S}}) \rceil$  the maximal number of simultaneously-



active computation cycles of the pipelined scheduling table. Note that  $max\_par = 1 + \max_{o \in \mathcal{O}} fst(o)$ .

In the example of Figures 4 and 5 we must use two different copies of  $v_1$ . We will say that the replication factor of  $v_1$  is  $rep(v_1) = 2$ . Each memory cell  $v$  is assigned its own replication factor, which must allow concurrent non-pipelined execution cycles using different copies of  $v$  to work without interference. Obviously, we can bound  $rep(v)$  by  $max\_par$ . We use a tighter margin, based on the observation that most variables (memory cells) have a limited lifetime inside a non-pipelined execution cycle. We set  $rep(v) = 1 + lst(v) - fst(v)$ , where:

$$fst(v) = \min_{v \in In(o) \cup Out(o)} fst(o) \quad lst(v) = \max_{v \in In(o) \cup Out(o)} lst(o)$$

Through replication, each memory cell  $v$  of the non-pipelined scheduling table is replaced by  $rep(v)$  memory cells, allocated on the same memory block as  $v$ , and organized in an array  $\bar{v}$ , whose elements are  $\bar{v}[0], \dots, \bar{v}[rep(v)-1]$ . These new memory cells are allocated cyclically, in a static fashion, to the successive non-pipelined cycles. More precisely, the non-pipelined cycle of index  $n$  is assigned the replicas  $\bar{v}[n \bmod rep(v)]$  for all  $v$ . The computation of  $rep(v)$  ensures that if  $n_1$  and  $n_2$  are equal modulo  $rep(v)$ , but  $n_1 \neq n_2$ , then computation cycles  $n_1$  and  $n_2$  cannot access  $v$  at the same time.

To exploit replication, the code generation scheme must be modified by replacing  $v$  with  $\bar{v}[(cid - fst(o)) \bmod rep(v)]$  in the input and output parameter lists of every operation  $o$  that uses  $v$ . Here,  $cid$  is the index of the current pipelined cycle. It is represented in the generated code by an integer. When execution starts,  $cid$  is initialized with 0. At the start of each subsequent pipelined cycle, it is updated to  $(cid + 1) \bmod R$ , where  $R$  is the least common multiple of all the values  $rep(v)$ .

This simple implementation of rotating register files allows code generation for systems where no information is passed from one non-pipelined execution cycle to the next (no inter-cycle dependences). Such systems, such as the example in Figures 4 and 5, are also called state-less.

**4.4.2. Extension to stateful predicated systems.** In stateful systems, one (non-pipelined) execution cycle may use values produced in previous execution cycles. In these cases, code generation is more complicated, because an execution cycle must access memory cells that are not its own.

For certain classes of applications (such as systems without conditional control or affine loop nests), the cells to access can be statically identified by offsets with respect to the current execution cycle. For instance, the *MC* mode change function of Example 3 (Section 4.1.3) always reads the variable  $m$  produced in the previous execution cycle.

But in the general case, in the presence of predicated execution, it is impossible to statically determine which cell to read, as the value may have been produced at an arbitrary distance in the past. This is the case if, for instance, the data production operation is itself predicated. One solution to this problem is to allow the copying of one memory cell onto another in the beginning of pipelined cycles. But we cannot accept this solution due to the nature of our data, which can be large tables for which copying implies large timing penalties.

Instead, we modify the rotating register file as follows: Storage is still ensured by the  $\bar{v}$  circular buffer, which has the same length. However, its elements are not directly addressed through the modulo counter  $(cid - fst(v)) \bmod rep(v)$  used above. Instead, this counter points in an array  $src_v$  whose cells are integer indices pointing towards cells of  $\bar{v}$ . This allows operations from several non-pipelined execution cycles (with different  $cid$  and  $fst(o)$ ) to read the same cell of  $\bar{v}$ , eliminating the need for copying.

The full implementation of our register file requires two more variables: An integer  $next_v$  and an array of Booleans  $write\_flag_v$  of length  $rep(v)$ . Since  $\bar{v}$  is no longer directly addressed through the modulo counter,  $next_v$  is needed to implement the circular buffer policy of  $\bar{v}$

by pointing to the cell where a newly-produced value can be stored next. One cell of  $\bar{v}$  is allocated (and  $next_v$  incremented) whenever a non-pipelined execution cycle writes  $v$  for the first time. Subsequent writes of  $v$  from the same non-pipelined cycle use the already allocated cell. Determining whether a write is the first from a given non-pipelined execution cycle is realized using the flags of  $write\_flag_v$ . Note that the use of these flags is not needed when a variable can be written at most once per execution cycle. This is often the case for code used in embedded systems design, such as the output of the Scade language compiler, or the output of the makespan-optimizing scheduling tool used for evaluation. If a given execution cycle does not write  $v$ , then it must read the same memory cell of  $next_v$  that was used by the previous execution cycle.

The resulting code generation scheme is precisely described by the following rules:

- (1) At application start, for every memory cell (variable)  $v$  of the initial specification,  $src_v[0]$ ,  $write\_flag_v[0]$ ,  $next_v$  are initialized respectively with 0, *false*, and 1. If  $Init(v) \neq nil$  then  $\bar{v}[0]$  (instead of  $v$ ) is initialized with this value.
- (2) At the start of each pipelined cycle, for memory cell (variable)  $v$  of the initial scheduling table, assign to  $src_v[(cid - fst(v)) \bmod rep(v)]$  the value of  $src_v[(cid - fst(v) - 1) \bmod rep(v)]$ , and set  $write\_flag_v[(cid - fst(v)) \bmod rep(v)]$  to *false*.
- (3) In all operations  $o$  replace each input and output cell  $v$  with  $\bar{v}[src_v[(cid - fst(o)) \bmod rep(v)]]$ . The same must be done for all cells used in the computation of execution conditions.
- (4) When an operation  $o$  has  $v$  as an output parameter, then some code must be added before the operation (inside its execution condition). There are two cases. If  $v$  is not an input parameter of  $o$ , then the code is the following:
  - 1: **if not**  $write\_flag_v[(cid - fst(o)) \bmod rep(v)]$  **then**
  - 2:      $write\_flag_v[(cid - fst(o)) \bmod rep(v)] = true$
  - 3:      $src_v[(cid - fst(o)) \bmod rep(v)] = next_v$
  - 4:      $next_v = (next_v + 1) \bmod rep(v)$
  - 5: **end if**

If  $v$  is also an input parameter of  $o$ , then only line 2 is needed from the previous code.

**4.4.3. Accounting for book keeping costs.** The software implementation of the rotating register files induces a timing overhead of its own. This overhead is formed of two components:

- Per operation costs, which can be conservatively accounted for in the WCET of the operations, as it is provided to the Phase 1 of our scheduling flow:
  - For each operation and for each input and output parameter, the cost of the indirection of point (3) above. This amounts to 2 indirections, one addition, and one modulo operation.
  - For each operation and for each output parameter, the cost of the bookkeeping operations defined at point (4) above.
- Per iteration costs, associated to point (2) above, and which must be added to the length of the pipelined scheduling table. This amounts to updating the  $src_v$  and  $write\_flag_v$  data structures for all  $v$ . These costs are also bounded and can be accounted for with worst-case figures in Phase 1.

One important remark here is that our operations are large-grain tasks, meaning that these costs are often considered negligible, even for hard real-time applications.

The use of rotating registers also results in memory usage overheads. These overheads come from the replication of memory cells and from the pointer arrays  $src_v$  which must be stored on the same memory bank as  $v$  for all memory cell  $v$ . We will assume that the cost of  $src$  is negligible, and only be concerned with the cost of replication, especially for large data.

time	P1	P2	P3
0	A@true		
1		B@true	
2			C@true
3	D@true		

Fig. 14. Dependency analysis example, non-pipelined

time	P1	P2	P3
0	A@true $fst(A) = 0$		C@true $fst(C) = 1$
1	D@true $fst(D) = 1$	B@true $fst(B) = 0$	

Fig. 15. Dependency analysis example, pipelined

If the replication of a large piece of data is a concern, then we can prohibit it altogether by requiring that all accesses to that memory cell are sequenced. This is done by adding a “sequencer” processor to the architecture model, and requiring all accesses to that memory cell to use the sequencer processor (this requires modifications to both the architecture and the functional specification). The introduction of sequencers may limit the efficiency of our pipelining algorithms. However, being able to target specific memory cells means that we can limit efficiency loss to what is really necessary on our memory-constrained embedded platforms. This simple approach satisfies our current needs.

## 5. DEPENDENCY ANALYSIS AND MAIN ROUTINE

Dependency analysis is a mature discipline, and powerful algorithms have been used in practice for decades [Muchnick 1997]. However, previous research on inter-iteration dependency analysis has mostly focused on exploiting the regularity of code such as affine loop nests. To the best of our knowledge, existing algorithms are unable to analyze specifications such as our Example 3 (Section 4.1.3) with the precision we seek. Doing this requires that inter-iteration dependency analysis deals with data-dependent mode changes (which are a common feature in embedded systems design).

Performing our precise inter-iteration dependency analysis requires the (potentially infinite) unrolling of the non-pipelined scheduling table. But our specific pipelining technique allows us to bound the unrolling, and thus limit the complexity of dependency analysis. By comparison, existing pipelining and predicate-aware scheduling techniques either assume that the dependency graph is fully generated before starting the pipelining algorithm [Rau and Glaeser 1981], or use the predicates for the analysis of a single cycle [Warter et al. 1993].

The core of our dependency analysis consists in the lines 1-10 of Algorithm 3, which act as a driver for Algorithm 2. The remainder of Algorithm 3 uses DDG-derived information to drive the pipelining routine (Algorithm 1).

Both the data dependency analysis and pipelining driver take as input a flag that chooses between two pipelining modes with different complexities and capabilities. To understand the difference, consider the non-pipelined scheduling table of Fig. 14. Resource  $P_1$  has an idle period between operations  $A$  and  $D$  where a new instance of  $A$  can be started. However, to preserve a periodic execution model,  $A$  should not be restarted just after its first instance (at date 1). Indeed, this would imply a pipelined throughput of 1, but the fourth instance of  $A$  cannot be started at date 3 (only at date 6). The correct pipelining starts  $A$  at date 2, and results in the pipelined scheduling table of Fig. 15.

Determining if the reuse of idle spaces between operations is possible consists in determining the smallest integer  $n$  greater than the lower bound of Section 4.3, smaller than the length of the initial table, and such that a *well-formed* pipelined table of length  $n$  can be constructed. This computation is performed by lines 14-19 of Algorithm 3. We do not provide here the code of function *WellFormed*, which checks the respect of the well-formed properties of Section 3.3.

This complex computation can be avoided when idle spaces between two operations are excluded from use at pipelining time. This can be done by creating a dependency between

any two operations of successive cycles that use a same resource and have non-exclusive execution conditions. In this case, the pipelined system period is exactly the lower bound of Section 4.3, and the output scheduling table is produced with a single call to Algorithm 1 (*BuildSchedule*) in line 12 of Algorithm 3. Of course, Algorithm 2 needs to consider (in lines 10-16) the extra dependences.

Excluding the idle spaces from pipelining also has the advantage of supporting a sporadic execution model. In sporadic systems the successive computation cycles can be executed with the maximal throughput specified by the pipelined table, but can also be triggered arbitrarily less often, for instance to tolerate timing variations, or to minimize power consumption in systems where the demand for computing power varies. On the contrary, using the idle spaces during pipelining imposes synchronization constraints between successive execution cycles. For instance, in the pipelined system of Fig. 15, the computation cycle of index  $n$  cannot complete before operation  $A$  of cycle  $n + 1$  is completed.

The remainder of this section details the dependency analysis phase. The output of this analysis is the lower bound defined in Section 4.3, computed as *period\_lbound*. The analysis is organized around the **repeat** loop which incrementally computes, for  $cycle \geq 1$ , the DDG dependences of the type  $(o_1, o_2, cycle)$ . The computation of the DDG is not complete: We bound it using a loop termination condition derived from our knowledge of the pipelining algorithm. This condition is based on the observation that if  $period\_lbound * k \geq len(\mathcal{S})$  then execution cycles  $n$  and  $n + k$  cannot overlap in time (for all  $n$ ).

The DDG computation works by incrementally unrolling the non-pipelined scheduling table. At each unrolling step, the result is put in the SSA<sup>4</sup>-like data structure  $\overline{\mathcal{S}}$  that allows the computation of (an over-approximation of) the dependency set. Unrolling is done by annotating each instance of an operation  $o$  with the cycle  $n$  in which it has been instantiated. The notation is  $o^n$ . Putting in SSA-like form is based on splitting each memory cell  $v$  into one version per operation instance producing it ( $v_o^n$ , if  $v \in Out(o)$ ), and one version for the initial value ( $v_{init}$ ). Annotation and variable splitting is done on a per-cycle basis by the *Annotate* routine (not provided here) which changes for each operation  $o$  its name to  $o^n$ , and replaces  $Out(o)$  with  $\{v_o^n \mid v \in Out(o)\}$  ( $n$  is here the cycle index parameter). Instances of  $\mathcal{S}$  produced by *Annotate* are then assembled into  $\overline{\mathcal{S}}$  by the *Concat* function which simply adds to the date of every operation in the second argument the length of its first argument.

Recall that we are only interested in dependences between operations in different cycles. Then, in each call to Algorithm 2 we determine the dependences between operations of cycle 0 and operations of cycle  $n$ , where  $n$  is the current cycle. To determine them, we rely on a symbolic execution of the newly-added part of  $\overline{\mathcal{S}}$ , *i.e.* the operations  $o^k$  with  $k = n$ . Symbolic execution is done through a traversal of list  $l$ , which contains all operation start and end events of  $\mathcal{S}$ , and therefore  $\overline{\mathcal{S}}$ , ordered by increasing date. For each operation  $o$  of  $\mathcal{S}$ ,  $l$  contains two elements labeled  $start(o)$  and  $end(o)$ . The list is ordered by increasing event date using the convention that the date of  $start(o)$  is  $t(o)$ , and the date of  $end(o)$  is  $t(o) + d(o)$ . Moreover, if  $start(o)$  and  $end(o')$  have the same date, the  $start(o)$  event comes first in the list.

At each point of the symbolic execution, the data structure *curr* identifies the possible producers of each memory cell. For each cell  $v$  of the initial table,  $curr(v)$  is a set of pairs  $w@C$ , where  $w$  is a version of  $v$  of the form  $v_o^k$  or  $v_{init}$ , and  $C$  is a predicate over memory cell versions. In the pair  $w@C$ ,  $C$  gives the condition on which the value of  $v$  is the one corresponding to its version  $w$  at the considered point in the symbolic simulation. Intuitively, if  $v_o^k@C \in curr(v)$ , and we symbolically execute cycle  $n$ , then  $C$  gives the condition under which in any real execution of the system  $v$  holds the value produced by  $o$ ,  $n - k$  cycles before. The predicates of the elements in  $curr(v)$  provide a partition of true. Initially,  $curr(v)$

<sup>4</sup>SSA stands for Static Single Assignment representation form [Muchnick 1997].

**Inputs:**  $\mathcal{S}$  : non-pipelined scheduling table  
 $l$  : the list of events of  $\mathcal{S}$   
 $n$  : integer (cycle index)  
 $fast\_pipelining\_flag$  : boolean

**InputOutputs:**  $\overline{\mathcal{S}}$  : annotated scheduling table  
 $curr$  : current variable assignments  
 $DDG$  : Data Dependency Graph

```

1:  $\overline{\mathcal{S}} := Concat(\overline{\mathcal{S}}, Annotate(\mathcal{S}, n))$ 
2: while  $l$  not empty do
3:    $e := head(l)$  ;  $l := tail(l)$ 
4:   if  $e = start(o)$  then
5:     Replace  $Guard(o^n)$  by  $\bigvee_{w_i @ C_i \in curr(v_i), i=1, \dots, k} (C_1 \wedge \dots \wedge C_k) \wedge g_o(w_1, \dots, w_k)$ 
       where  $Guard(o) = g_o(v_1, \dots, v_k)$ .
6:   for all  $p$  operation in  $\mathcal{S}$ ,  $u \in Out(p)$ ,  $v \in In(o)$  do
7:     if  $u_p^0 @ C \in curr(v)$  and  $\neg Exclusive(C, Guard(o^n))$  then
8:        $DDG := DDG \cup \{(p, o, n)\}$ 
9:     end if
10:    if  $fast\_pipelining\_flag$  then
11:      if  $Res(o) \cap Res(p) \neq \emptyset$  then
12:        if  $\neg Exclusive(Guard(o^n), Guard(p^0))$  then
13:           $DDG := DDG \cup \{(p, o, n)\}$ 
14:        end if
15:      end if
16:    end if
17:  end for
18:  else
19:    /*  $e = end(o)$  */
20:    for all  $v \in Out(o)$  do
21:       $new\_curr := \{v_o^n @ Guard(o^n)\}$ 
22:      for all  $v_p^k @ C \in curr(v)$  do
23:         $C' := C \wedge \neg Guard(o^n)$ 
24:        if  $\neg Exclusive(C, Guard(o^n))$  then
25:           $new\_curr := new\_curr \cup \{v_p^k @ C'\}$ 
26:        end if
27:      end for
28:       $curr(v) := new\_curr$ 
29:    end for
30:  end if
31: end while

```

### Algorithm 2: DependencyAnalysisStep

is set to  $\{v_{init}@true\}$  for all  $v$ . This is changed by Algorithm 2 (lines 20-29), and by the call to  $InitCurr$  in Algorithm 3. We do not provide this last function, which performs the symbolic execution of the nodes of  $\overline{\mathcal{S}}$  annotated with 0. Its code is virtually identical to that of Algorithm 2, lines 1 and 6-17 being excluded.

At each operation start step of the symbolic execution,  $curr$  allows us to complete the SSA transformation by recomputing the guard of the current operation over the split variables (line 5 of Algorithm 2). In turn, this allows the computation of the dependences (lines 6-17). Guard comparisons are translated into predicates that are analyzed by a SAT solver. This translation into predicates also considers the predicates relating inputs and outputs of the

data-flow operations, as intuitively explained in Section 4.1.3. The translation and the call to SAT are realized by the *Exclusive* function, not provided here.

**Input:**  $\mathcal{S}$  : non-pipelined schedule table  
 $fast\_pipelining\_flag$  : boolean  
**Output:**  $\widehat{\mathcal{S}}$  : pipelined schedule table

- 1:  $l := BuildEventList(\mathcal{S})$
- 2:  $period\_lbound := 0$
- 3:  $cycle := 0$
- 4:  $\overline{\mathcal{S}} := Annotate(\mathcal{S}, cycle)$
- 5:  $curr := InitCurr(\overline{\mathcal{S}})$
- 6: **repeat**
- 7:    $cycle := cycle + 1$
- 8:    $(\overline{\mathcal{S}}, curr, DDG) := DependencyAnalysisStep(\mathcal{S}, l, cycle, fast\_pipelining\_flag, \overline{\mathcal{S}}, curr, DDG)$
- 9:    $period\_lbound := \max(period\_lbound, \max_{(o_1, o_2, cycle) \in DDG} \lceil \frac{t(o_1) + d(o_1) - t(o_2)}{cycle} \rceil )$
- 10: **until**  $period\_lbound * cycle \geq len(\mathcal{S})$
- 11: **if**  $fast\_pipelining\_flag$  **then**
- 12:    $\widehat{\mathcal{S}} := BuildSchedule(\mathcal{S}, period\_lbound)$
- 13: **else**
- 14:   **for**  $new\_period := period\_lbound$  to  $len(\mathcal{S})$  **do**
- 15:      $\widehat{\mathcal{S}} := BuildSchedule(\mathcal{S}, new\_period)$
- 16:     **if**  $WellFormed(\widehat{\mathcal{S}})$  **then**
- 17:       **goto** 21
- 18:     **end if**
- 19:   **end for**
- 20: **end if**
- 21: **return**

**Algorithm 3: PipeliningDriver**

### 5.1. Complexity considerations

The pipelining algorithm *per se* consists in Algorithm 1 and its driver (lines 11-20 of Algorithm 3). The complexity of Algorithm 1 is linear in the number of operations in the scheduling table. As explained above, the complexity of the driver routine (lines 11-20 of Algorithm 3) depends on the value of  $fast\_pipelining\_flag$ . When it is set to *true*, a single call to Algorithm 1 is performed. When it is set to *false*, the number of calls to Algorithm 1 is bounded by  $len(\mathcal{S})$ .

In our experiments,  $fast\_pipelining\_flag$  is set for all examples, and the pipelining time is negligible.

But the main source of theoretical complexity in our pipelining technique is hidden in the dependency analysis implemented by Algorithm 2 and its driver (lines 1-10 or Algorithm 3). In Algorithm 3, the number of iterations in the construction of the DDG is up-bounded by  $len(\mathcal{S})$  (which can be large). Algorithm 2 is polynomial (quadratic) in the number of operations of the scheduling table, but involves comparisons of predicates with Boolean arguments (instances of the Boolean satisfiability problem SAT). Hence, our algorithm is overall NP-complete.

In practice, however, DDG construction time is negligible for all examples, real-life and synthesized. There are 2 reasons to this:



- In examples featuring predicated execution, the predicates remain simple, so that SAT instances are solved in negligible time.
- The number of iterations in the construction of the DDG is bounded by the condition in line 10 of Algorithm 3. In our experiments the maximal number of iterations is 2.

## 6. EXPERIMENTAL RESULTS

We have implemented our pipelining algorithms in a prototype tool. We have integrated this tool with an existing makespan-optimizing scheduling tool [Potop-Butucaru et al. 2009] to form the full, two-phase flow of Fig. 1.

We have applied the resulting toolchain on 4 significant, real-life examples from the testbench of the SynDEx scheduling tool [Grandpierre and Sorel 2003]. As no standard benchmarks are available in the embedded world, we have also applied our toolchain on a larger number of automatically synthesized dataflow graphs.

Our objective was to evaluate both the standalone pipelining algorithm, and the two-phase flow as a whole. Comparing with *optimal* scheduling results was not possible.<sup>5</sup> Instead, we rely on comparisons with existing scheduling and pipelining heuristics:

- (1) To evaluate the standalone algorithm, we measure the throughput gains obtained through pipelining, by comparing the initiation intervals of our examples before and after pipelining.
- (2) To evaluate the two-phase flow as a whole, we compare its output to the output of a classical throughput-optimizing software pipelining technique, namely the FRLC algorithm of [Wang and Eisenbeis 1993].

*The testbench.* The largest examples of our testbench (“cycab” and “robucar”) are embedded control applications for the CyCab electric car [Pradalier et al. 2005]. The other two applications are an adaptive equalizer and a simplified model of an automotive knock control application [André et al. 2007].

We have used a script to automatically synthesize 30 examples (of which the first 10 are also presented individually in the result tables). For each example, synthesis is done as follows: We start with a graph containing only one data-flow node and no dependency. We apply a fixed number of expansion steps (3 steps for the examples in Fig. 17). At every step, each node is replaced with either a parallel or a sequential composition of newly-created nodes. The sequential and parallel choices are equiprobable. The number of nodes generated through expansion is chosen with a uniform distribution in the interval [1..5]. Dependences are also generated randomly at each step, and all previously-existing dependences are preserved. We implement these data-flow graphs on an architecture containing 5 processors and one broadcast bus. To model the fact that the architecture is not homogenous, the durations

<sup>5</sup>Providing optimal solutions to our scheduling problem proved intractable even for small systems with 10 blocks and 3 processors.

example	Example size		Scheduling table length (initiation interval)		
	blocks	processors	initial (makespan)	pipelined (kernel)	gain
cycab	40	3	1482	1083	27%
robucar	84	3	1093	1053	8%
ega	67	2	84	79	6%
knock	5	2	6	3	50%

Fig. 16. Pipelining gains for the real-life applications. Durations are in time units whose actual real-time length depends on the application (*e.g.* milliseconds).



of the data-flow blocks on the various processors are assigned randomly (uniform distribution in an interval), and we randomly create a small number of placement constraints. We also assume that one of the processors performs input acquisition and another processor controls actuators. This implies placement constraints on data-flow blocks with no inputs and no outputs, respectively.

Example	Example size		Scheduling table length (initiation interval)		
	blocks	processors	initial (makespan)	pipelined (kernel)	gain
synth1	34	5	463	430	7%
synth2	35	5	315	290	7.9%
synth3	11	5	171	142	16.9%
synth4	52	5	840	793	5%
synth5	23	5	375	348	7%
synth6	46	5	433	310	28%
synth7	14	5	212	191	9.9%
synth8	40	5	622	594	4%
synth9	15	5	351	320	8.8%
synth10	29	5	318	291	8%
<b>Average for 30 examples</b>					<b>9.31%</b>

Fig. 17. Pipelining gains for the synthesized examples

*Pipelining gains.* The pipelining gains for the real-life and synthesized examples are summarized in Fig. 16 and Fig. 17, respectively. The figures show improvements on all examples, with a reduction of 27% in cycle time for the large “cycab” example, and an average reduction of 9.31% on the synthesized examples. We conclude that a pipelining stage such as ours should be part of any static scheduling flow.

At the same time, improvement varies greatly among the examples, from 50% for the knock control to 4% for one of the generated examples. We inspected the examples showing poor performance. Some of them, like “ega” have very tight schedules with little idle CPU time, and therefore little opportunity for pipelining. More interesting was “robucar”, which has significant idle time, but where a critical path in the scheduling table blocks pipelining. For such cases, more powerful pipelining algorithms are needed (as part of future work), able to modify the scheduling of the non-pipelined execution cycles, but without lengthening the makespan.

*Comparison with a classical software pipelining algorithm.* To make this comparison, we have implemented the classical FRLC algorithm of [Wang and Eisenbeis 1993]. We chose this algorithm because of its flexibility. It is easy to extend it to cover aspects taken into account by our tool, such as the presence of operations that have different durations on different functional units, or communication costs. However, we have used here for comparisons its baseline, restricted version. We therefore considered only the synthesized examples, which have a simpler structure, and modified them by removing communication costs and by choosing a single duration for each operation on all processors that can execute it.

On these modified examples we applied our two-phase flow and the FRLC method and compared the results in Fig. 18. For each example and scheduling flow we provide the makespan and the kernel length of the generated code. We have also computed the makespan gain and throughput loss when moving from the FRLC technique to ours.

In these figures the makespan-throughput trade-off is clearly visible (as we expected). On average, our method gains 63.63% in makespan while losing 38.33% in throughput. A less

Example	Our method		[Wang and Eisenbeis 1993]		Makespan	Throughput
	makespan	kernel	makespan	kernel	gain	loss
synth1m	298	276	850	246	64%	12%
synth2m	277	263	570	190	51%	38%
synth3m	142	142	299	142	52%	0%
synth4m	347	328	2380	255	85%	28%
synth5m	155	155	651	155	76%	0%
synth6m	349	288	1774	288	80%	0%
synth7m	130	115	258	115	49%	0%
synth8m	376	357	610	290	38%	23%
synth9m	116	96	198	82	41%	17%
synth10m	300	273	1686	96	82%	184%
<b>Average for 30 examples</b>					<b>63.63%</b>	<b>38.33%</b>

Fig. 18. Comparison with a classical software pipelining algorithm

expected result is that this trade-off can be identified in each example, even though the algorithms under comparison are heuristics. Indeed, our method is always better in makespan, while the FRLC technique of [Wang and Eisenbeis 1993] is never worse in throughput.

Given our optimization objective (makespan first, throughput second), we consider that *our choice of two-phase optimization heuristic is justified*.

Predication is present in 3 of our examples: Example 3, knock, and a variant of the cycab example. In all these examples, predication is used to encode mode-dependent behavior, and the examples showcase different situations where pipelining is necessary in embedded systems design. Mode-dependent behavior is usually specified at the level of full systems or large subsystems, meaning that the number of predicates is usually low, yet each predicate controls a significant part of the operations of the system. Our examples have only two or three predicates (two in knock and cycab, three in Example 3). To evaluate the contribution of predication analysis to the pipelining results, we have also run our algorithms with the predication analysis disabled. The use of predication does not improve pipelining result for the cycab example. On the contrary, it results in significant gains for Example 3 and knock (20% and 40%, respectively).

## 7. CONCLUSION

We have defined a new software pipelining technique adapted for the implementation of hard real-time multi-processor embedded control systems. Our technique re-uses the basic concepts of both modulo scheduling and decomposed software pipelining. At the same time, our technique has two significant originality points, determined by the needs of our real-time implementation problems:

- It is driven by a makespan optimization objective, with throughput as a secondary objective.
- It takes into account relations between (data-dependent) execution conditions of operations, including for operations belonging to different execution cycles.

These two points also require revisiting classical results on rotating register files and data dependence analysis.

We have implemented our pipelining technique into a tool and we evaluated our technique, with good results, on several real-life systems and generated scheduling problems.

The resulting flow satisfies the implementation needs for certain classes of embedded systems. But in other cases (mentioned in Section 1), separating the implementation process in two phases (scheduling followed by pipelining) is not a good idea. For such cases, we

are now developing single-phase scheduling techniques. First results in this direction are documented elsewhere [Carle et al. 2012].

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