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# Relaxed Two-Coloring of Cubic Graphs

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We show that any graph of maximum degree at most 3 has a two-coloring, such that one color-class is an independent set while the other color induces monochromatic components of order at most 189. On the other hand for any constant  $C$  we exhibit a 4-regular graph, such that the deletion of any independent set leaves at least one component of order greater than  $C$ . Similar results are obtained for coloring graphs of given maximum degree with  $k + \ell$  colors such that  $k$  parts form an independent set and  $\ell$  parts span components of order bounded by a constant. A lot of interesting questions remain open.

**Keywords:** Vertex coloring, bounded size components

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## 1 Introduction

In this paper we consider a relaxation of proper coloring by allowing “errors” of certain controlled kind. We say that a coloring of a graph is  $C$ -relaxed if all monochromatic components have order at most  $C$ . With this definition, 1-relaxed is equivalent to proper coloring. It is easy to see that any graph of maximum degree at most 3 has a 2-relaxed two-coloring. Alon, Ding, Oporowski and Vertigan [1] proved that every graph of maximum degree 4 has a 57-relaxed two-coloring. They also gave a construction of a 6-regular graph for arbitrary  $C$ , which does *not* admit a  $C$ -relaxed coloring. Haxell, Szabó and Tardos [4] established that even a 6-relaxed two-coloring of graphs of maximum degree 4 is possible and proved that every graph of maximum degree 5 has a  $C$ -relaxed two-coloring with some constant  $C$  (In fact  $C < 20000$ ).

Earlier work related to relaxed colorings were focusing on special kinds of graphs, like line-graphs of cubic graphs [2, 5]. These works culminated in the result of Thomassen [8], who proved that there exists a two-coloring of the edges of any cubic graph such that not only every monochromatic component is bounded, but is a *path* of length at most five.

## 2 Two-coloring cubic graphs

In this paper we are concerned about the asymmetric version of the relaxation of proper two-coloring. Namely, we allow larger components in only one of the color classes, the other one has to be an independent set. Obviously, any 2-regular graph has a two-coloring where one of the color-classes is an independent set, and the other induces monochromatic components of order at most 2. Our main theorem claims that a similar statement holds for graphs of maximum degree 3 as well.

**Theorem 1** *Let  $G$  be a graph of maximum degree at most 3. There exists a partition of the vertex set of  $G$  into subsets  $I$  and  $B$  where  $I$  is an independent set and every component of  $G[B]$  is of order at most 189.*

We prove Theorem 1 in several steps. Our argument is quite lengthy, here we only give brief synopsis.

## 2.1 Synopsis of the proof of Theorem 1

After some initial simplification we break the graph  $G$  into two pieces: one containing vertices which don't participate in a triangle, the other containing vertices from triangles. We solve our problem separately for each piece, then we finish the proof of Theorem 1 by combining the two-coloring of the two pieces through a series of modifications.

More formally, first we show that Theorem 1 (with a better constant) holds if  $G$  is triangle-free.

**Theorem 2** *For any triangle-free graph  $G$  with  $\Delta(G) \leq 3$ , there exists a partition of the vertex set into  $I$  and  $B$  where  $I$  is an independent set and no component of  $G[B]$  is larger than 6.*

The key point in the proof of this statement is to define an appropriate auxiliary graph and apply the following useful lemma from [4] about *matching transversals*.

**Lemma 1** [4, Corollary 4.3] *Let  $H$  be a graph with  $\Delta(H) \leq 2$ . Suppose that  $V(H)$  is partitioned into subsets of size two,  $V(H) = W_1 \cup \dots \cup W_m$ ,  $|W_i| = 2$  for  $i = 1, \dots, m$ . Then there exists a “matching transversal”, i.e. a subset  $T \subseteq V(H)$  of the vertices such that  $|W_i \cap T| = 1$  for every  $i = 1, \dots, m$  and  $\Delta(G[T]) \leq 1$ .*

For graphs whose vertex set is the union of vertex disjoint triangles one can also show that Theorem 1 (with a better constant) holds.

**Lemma 2** *For any graph  $G$  in which every vertex participates in a triangle, and  $\Delta(G) \leq 3$ , there exists a partition of the vertex set into  $I$  and  $B$  where  $I$  is an independent set and no component of  $G[B]$  is larger than 8.*

The proof utilizes the following theorem of Thomassen about certain edge-two-coloring of cubic graphs.

**Theorem 3** [8, Theorem 2.] *Let  $H$  be a graph of maximum degree at most 3. Then the edge set of  $H$  has a red/blue coloring and an orientation of the edges such that*

- (i) *each monochromatic component is a directed path of length at most 5, and*
- (ii) *each vertex of degree 2 is either an interior vertex of a monochromatic directed path or the endpoint of a monochromatic directed path of length at most 3.*

The third part of the proof of our main theorem, containing the process of combining Theorem 2 and Lemma 2, is quite technical. It starts by taking a “good” two-coloring of the triangle-free part of  $G$  (Theorem 2) and the part containing only vertices from triangles. Then we perform a series of small modifications to ensure that each  $B$ -component of the “triangle-full” part is joined to at most one  $B$ -component of the triangle-free part. In particular we need to use the following strengthened version of Lemma 2, which provides us with the flexibility needed to stick together the two “good” two-colorings. The flexibility is represented by the set  $X$ , which can be included in both the “independent” and the “bounded-component” part with some sacrifice in the constant.

Let  $V_i$  be the set of vertices of degree  $i$ .

**Lemma 3** For any  $G$ , which is the vertex disjoint union of triangles, and  $\Delta(G) \leq 3$ , there exists a partition of the vertex set  $V(G)$  into three sets  $I$ ,  $B$  and  $X$ , such that

- (i)  $I \subseteq V_3$ ,  $X \subseteq V_2$ ,  $I \cup X$  is an independent set and no component of  $G[B \cup X]$  is larger than 21.
- (ii) every component of  $G[B \cup X]$  contains at most three vertices from  $B \cap V_2$ , all of which are contained in the same triangle. Any component of  $G[B \cup X]$  containing exactly one vertex from  $B \cap V_2$  is of order at most 8, and any component containing two or three vertices from  $B \cap V_2$  is fully contained in a triangle.

### 3 4-regular graphs

To complement Theorem 1 we prove that a similar statement cannot hold for 4-regular graphs.

**Theorem 4** For any constant  $C$  there exists a 4-regular graph  $G$  such that for any independent set  $I \subseteq V(G)$ ,  $G[V(G) \setminus I]$  has a component of order larger than  $C$ .

### 4 More than two colors

We also investigate relaxed colorings of graphs with more than two colors. For this we need the following definition. A graph  $G$  is called  $C$ -relaxed  $(k, \ell)$ -colorable if there exists a  $C$ -relaxed  $(k + \ell)$ -coloring of  $G$  such that each of the first  $k$  color classes are independent sets. A set of graphs  $\mathcal{G}$  is called  $(k, \ell)$ -colorable if there exists an absolute constant  $C$ , such that every member  $G \in \mathcal{G}$  admits a  $C$ -relaxed  $(k, \ell)$ -coloring. Obviously,  $(k, 0)$ -colorability is the same as the usual  $k$ -colorability. The main result of [4] could be formulated as the family of 5-regular graphs is  $(0, 2)$ -colorable. Our main results state that cubic graphs are  $(1, 1)$ -colorable, while 4-regular graphs are not.

In [4] the maximum degree condition for  $(0, k)$ -colorability is investigated. We define  $\Delta(k, \ell)$  to be the smallest integer  $\Delta$  such that the family of graphs with maximum degree  $\Delta$  is not  $(k, \ell)$ -colorable. In [4] it is shown that there exists a constant  $\delta > 0$ , such that for large  $\ell$ ,  $3 + \delta < \Delta(0, \ell)/\ell < 4$ ,

Here we give bounds on  $\Delta(k, \ell)$  and raise several open questions.

**Theorem 5** Let  $\ell > 0$ . For any constant  $C$  there exists a graph of maximum degree  $\Delta = 2(k + 2\ell - 1)$  which is not  $C$ -relaxed  $(k, \ell)$ -colorable. That is  $\Delta(k, \ell) \leq 2k + 4\ell - 2$ .

Theorem 4 is a special case of Theorem 5 with  $k = \ell = 1$ . The construction of Alon, Ding, Oporowski and Vertigan [1] is a special case with  $k = 0, \ell = 2$ .

**Theorem 6** Let  $k, \ell$  be nonnegative integers. The family of graphs of maximum degree at most  $k + 3\ell - 1$  is  $(k, \ell)$ -colorable. That is  $\Delta(k, \ell) > k + 3\ell - 1$ .

This statement is a consequence of a theorem of [4], a lemma from [7] and our Theorem 1.

### 5 Open Problems

One would like to know more about the behavior of the function  $\Delta(k, \ell)$  in general, or at least tighten the existing asymptotic gap. The following are two important special cases.

**Maximum degree condition for  $(0, \ell)$ -colorability.** The main theorem of [4] states that  $\Delta(0, 2) = 6$ . One of the outstanding questions of the topic is to determine the asymptotics of  $\Delta(0, \ell)/\ell$ . In [4] it is shown that there exists  $\delta > 0$ , such that for large  $\ell$ ,  $3 + \delta < \Delta(0, \ell)/\ell < 4$ . It would be of great interest to determine asymptotically  $\Delta(0, \ell)$ .

**Maximum degree condition for  $(k, 1)$ -colorability.** Our main result in this paper states that  $\Delta(1, 1) = 4$ . The value of  $\Delta(2, 1)$  is either 5 or 6. Asymptotically,  $\Delta(k, 1)$  is between  $k$  and  $2k$ . We conjecture the lower bounds are (closer to) the truth.

**Density version.** A natural way to weaken the maximum degree condition is by rather bounding the maximum average degree of the graph, which allows a few very large degree vertices.

Let  $\mu(G) = \max\{2|E(G[W])|/|W| : W \subseteq V(G)\}$ . For non-negative integers  $k, \ell$  what is the supremum value  $\alpha(k, \ell)$ , such that every graph  $G$  with  $\mu(G) < \alpha(k, \ell)$  has a  $C$ -relaxed  $(k + \ell)$ -coloring with some constant  $C$ . Obviously  $\alpha(k, \ell) \leq \Delta(k, \ell)$ . In [4] the determination of  $\alpha(0, 2)$  was raised. The *wheel* graph shows that  $\alpha(0, 2) \leq 4$ , while Kostochka [6] proved a lower bound of 3. The greedy coloring implies that  $\alpha(k, 0) = k$ , for any  $k$ . We would be very much interested in the value of  $\alpha(1, 1)$ .

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