

# Importing SMT and Connection proofs as expansion trees

Giselle Reis

► **To cite this version:**

Giselle Reis. Importing SMT and Connection proofs as expansion trees. PxTP 2015 - Proceedings Fourth Workshop on Proof eXchange for Theorem Proving, Aug 2015, Berlin, Germany. 2015, <10.4204/EPTCS.186.3>. <hal-01208325>

**HAL Id: hal-01208325**

**<https://hal.inria.fr/hal-01208325>**

Submitted on 5 Oct 2015

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Importing SMT and Connection proofs as expansion trees

Giselle Reis

INRIA-Saclay, France

`giselle.reis@inria.fr`

Different automated theorem provers reason in various deductive systems and, thus, produce proof objects which are in general not compatible. To understand and analyze these objects, one needs to study the corresponding proof theory, and then study the language used to represent proofs, on a prover by prover basis. In this work we present an implementation that takes SMT and Connection proof objects from two different provers and imports them both as expansion trees. By representing the proofs in the same framework, all the algorithms and tools available for expansion trees (compression, visualization, sequent calculus proof construction, proof checking, etc.) can be employed uniformly. The expansion proofs can also be used as a validation tool for the proof objects produced.

## 1 Introduction

The field of proof theory has evolved in such a way to create the most various proof abstractions. Natural deduction, sequent calculus, resolution, tableaux, SAT, are only a few of them, and even within the same formalism there might be many variations. As a result, automated theorem provers will generate different proof objects, usually corresponding to their internal proof representation. The use of distinct formats has some disadvantages: provers cannot recognize each others proofs; proofs cannot be easily compared; all analysis and algorithms need to be developed on a prover by prover basis.

GAPT is a framework for proof theory that is able to represent, process and visualize proofs. Currently it implements the sequent calculus LK (with or without equality rules) for first and higher order classical logic, Robinson's resolution calculus [11], the schematic calculus LKS [4] and expansion trees [8]. GAPT also provides algorithms for translating proofs between some of these formats, for cut-elimination (reductive methods à la Gentzen [5] and CERES [2]), and for cut-introduction (proof compression) [6], as well as an interactive proof visualization tool [3]. But all these tools depend on having proofs to operate on.

In this work we show how to parse and translate SMT and Connection proofs from veriT and lean-CoP, respectively, into expansion proofs in GAPT. SMT are unsatisfiability proofs with respect to some theory and, in veriT, these are represented by resolution refutations of a set including (instances of) the axioms of the theory considered and the negation of the input formula. Connection proofs decide first-order logic formulas by connecting literals of opposite polarity in the clausal normal form of the input. These different conceptions of proofs will be unified under the form of expansion proofs, which can be considered a compact representation of sequent calculus proofs.

The advantages of this work is three-fold. First of all, the use of expansion proofs provides a compact representation for otherwise big and hard to grasp proof objects. Using this representation and GAPT's visualization tool, it is easy to see the theorem that was proved and the instances of quantified formulas used. Second of all, the use of a common representation facilitates the comparison of proofs and makes it possible to run and analyse algorithms developed for this representation without the need to adapt it to different formats. In particular, we have been using the imported proofs for experimenting proof compression via introduction of cuts [6]. Finally, it provides a simple sanity-check procedure and the possibility of building LK proofs.

This paper is organized as follows. Section 2 defines basic concepts and extends the usual definition of expansion trees to accommodate polarities. Section 3 explains how to extract the necessary information from both formats and how it is then used to build expansion trees. Section 4 presents the results of the transformation applied to a database of proofs in the considered formats. It also discusses the advantages of having the proofs as expansion trees. Section 5 discusses some related work and, finally, Section 6 concludes the paper pointing to future work.

## 2 Expansion proofs

We will work in the setting of first-order classical logic. We introduce now a few basic concepts.

**Definition 1** (Polarity in a sequent). *Let  $S = A_1, \dots, A_n \vdash B_1, \dots, B_m$  be a sequent. We will say that formulas on the left side of  $\vdash$ , i.e.,  $A_1, \dots, A_n$  have negative polarity while formulas on the right, i.e.,  $B_1, \dots, B_m$  have positive polarity.*

**Definition 2** (Polarity). *Let  $F$  be a formula and  $F'$  a sub-formula of  $F$ . Then we can define the polarity of  $F'$  in  $F$ , i.e.,  $F'$  can be positive or negative in  $F$ , according to the following criteria:*

- *If  $F \equiv F'$ , then  $F'$  has the same polarity as  $F$ .*
- *If  $F \equiv A \wedge B$  or  $F \equiv A \vee B$  or  $F \equiv \forall x.A$  or  $F \equiv \exists x.A$  and  $F$  is positive (negative), then  $A$  and  $B$  are positive (negative).*
- *If  $F \equiv A \rightarrow B$  and  $F$  is positive (negative), then  $A$  is negative (positive) and  $B$  is positive (negative).*
- *If  $F \equiv \neg A$  and  $F'$  is positive (negative) then  $A$  is negative (positive).*

Throughout this document we will use 0 for negative polarity, 1 for positive polarity and  $\bar{p}$  to denote the opposite polarity of  $p$ , for  $p \in \{0, 1\}$ .

**Definition 3** (Strong and weak quantifiers). *Let  $F$  be a formula. If  $\forall x$  occurs positively (negatively) in  $F$ , then  $\forall x$  is called a strong (weak) quantifier. If  $\exists x$  occurs positively (negatively) in  $F$ , then  $\exists x$  is called a weak (strong) quantifier.*

*Strong* quantifiers in a sequent will be those introduced by the inferences  $\forall_r$  and  $\exists_l$  in a sequent calculus proof.

Expansion proofs are a compact representation for first and higher order sequent calculus proofs. They can be seen as a generalization of Gentzen's mid-sequent theorem to formulas which are not necessarily prenex [8]. Expansion proofs are composed by expansion trees. An expansion tree of a formula  $F$  has this formula as its root. Leaves are atoms occurring in  $F$  and inner nodes are connectives or a quantified sub-formula of  $F$ . The edges from quantified nodes to its children are labelled with terms that were used to instantiate the outer-most quantifier. We extend the original definition with the notion of formula polarity and use  $\Pi$  and  $\Lambda$  for strong and weak quantifiers respectively in expansion trees.

**Definition 4** (Expansion tree). *Expansion trees and a function  $\text{Sh}(E, p)$  (for shallow), that maps an expansion tree  $E$  to a formula with polarity  $p \in \{0, 1\}$ , are defined inductively as follows:*

- *If  $A$  is an atom, then  $A$  is an expansion tree with top node  $A$  and  $\text{Sh}(A, p) = A$  for any choice of  $p$ .*
- *If  $E_0$  is an expansion tree, then  $E = \neg E_0$  is an expansion tree with  $\text{Sh}(E, \bar{p}) = \neg \text{Sh}(E_0, p)$ .*
- *If  $E_1$  and  $E_2$  are expansion trees and  $\circ \in \{\wedge, \vee\}$ , then  $E = E_1 \circ E_2$  is an expansion tree with  $\text{Sh}(E, p) = \text{Sh}(E_1, p) \circ \text{Sh}(E_2, p)$ .*
- *If  $E_1$  and  $E_2$  are expansion trees, then  $E = E_1 \rightarrow E_2$  is an expansion tree with  $\text{Sh}(E, p) = \text{Sh}(E_1, \bar{p}) \rightarrow \text{Sh}(E_2, p)$ .*

- If  $\{t_1, \dots, t_n\}$  is a set of terms and  $E_1, \dots, E_n$  are expansion trees with  $\text{Sh}(E_i, p) = A[x/t_i]$ , then  $E = \Lambda x.A +^{t_1} E_1 \dots +^{t_n} E_n$  (denoting a node with  $n$  children) is an expansion tree with  $\text{Sh}(E, 0) = \forall x.A$  and  $\text{Sh}(E, 1) = \exists x.A$ .
- If  $E_0$  is an expansion tree with  $\text{Sh}(E_0, p) = A[x/\alpha]$  for an Eigenvariable  $\alpha$ , then  $E = \Pi x.A +^\alpha E_0$  is an expansion tree with  $\text{Sh}(E, 0) = \exists x.A$  and  $\text{Sh}(E, 1) = \forall x.A$ .

Expansion trees can be mapped to a quantifier free formula via the *deep* function, which we also redefine taking the polarities into account.

**Definition 5.** We define the function  $\text{Dp}(\cdot, p)$  (for *deep*),  $p \in \{0, 1\}$ , that maps an expansion tree to a quantifier free formula of polarity  $p$  as:

- $\text{Dp}(A, p) = A$  for an atom  $A$ .
- $\text{Dp}(\neg A, p) = \neg \text{Dp}(A, \bar{p})$
- $\text{Dp}(A \circ B, p) = \text{Dp}(A, p) \circ \text{Dp}(B, p)$  for  $\circ \in \{\wedge, \vee\}$
- $\text{Dp}(A \rightarrow B, p) = \text{Dp}(A, \bar{p}) \rightarrow \text{Dp}(B, p)$
- $\text{Dp}(\Lambda x.A +^{t_1} E_1 \dots +^{t_n} E_n, 0) = \bigwedge_{i=1}^n \text{Dp}(E_i, 0)$
- $\text{Dp}(\Lambda x.A +^{t_1} E_1 \dots +^{t_n} E_n, 1) = \bigvee_{i=1}^n \text{Dp}(E_i, 1)$
- $\text{Dp}(\Pi x.A +^\alpha E, p) = \text{Dp}(E, p)$

**Definition 6** (Expansion sequent). An expansion sequent  $\varepsilon$  is denoted by  $E_1, \dots, E_n \vdash F_1, \dots, F_m$  where  $E_i$  and  $F_i$  are expansion trees. Its *deep* sequent is the sequent  $\text{Dp}(E_1, 0), \dots, \text{Dp}(E_n, 0) \vdash \text{Dp}(F_1, 1), \dots, \text{Dp}(F_m, 1)$  and its *shallow* sequent is  $\text{Sh}(E_1, 0), \dots, \text{Sh}(E_n, 0) \vdash \text{Sh}(F_1, 1), \dots, \text{Sh}(F_m, 1)$ .

An expansion sequent may or may not represent a proof. To decide whether this is the case, we need to reason on the *dependency relation* in the sequent.

**Definition 7** (Domination). A term  $t$  is said to *dominate* a node  $N$  in an expansion tree if it labels a parent node of  $N$ .

**Definition 8** (Dependency relation). Let  $\varepsilon$  be an expansion sequent and let  $<_\varepsilon^0$  be the binary relation on the occurrences of terms in  $\varepsilon$  defined as:  $t <_\varepsilon^0 s$  if there is an  $x$  free in  $s$  that is an eigenvariable of a node dominated by  $t$ . Then  $<_\varepsilon$ , the transitive closure of  $<_\varepsilon^0$ , is called the *dependency relation* of  $\varepsilon$ .

**Definition 9** (Expansion proof). An expansion sequent is considered an *expansion proof* if its *deep* sequent is a tautology and the *dependency relation* is acyclic.

Intuitively, the dependency relation gives an ordering of quantifier inferences in a sequent calculus proof of the shallow sequent of  $\varepsilon$ . That is,  $t <_\varepsilon s$  means that the existential quantifiers instantiated with  $t$  must occur lower in the proof than those instantiated with  $s$ . Using this relation it is possible to build an LK proof from an expansion proof [8].

### 3 Importing

GAPT<sup>1</sup> is a framework for proof transformations implemented in the programming language Scala. It supports different proof formats, such as LK (with or without equality) for first and higher order logic, Robinson's resolution calculus [11], the schematic calculus LKS [4] and, more recently, expansion trees. It provides various algorithms for proofs, such as reductive cut-elimination [5], cut-elimination by resolution [2], cut-introduction [6], Skolemization, and translations between the proof formats. GAPT also comes with `prooftool` [3], an interactive proof visualization tool supporting all these formats.

VeriT and leanCoP are automated theorem provers that produce unsatisfiability (in the shape of a resolution refutation) and connection proofs respectively. Both output the proof objects to a structured

<sup>1</sup><https://github.com/gapt/gapt>

text file, having in common the fact that all inferences are listed with the operands and the conclusion. We have implemented parsers (using Scala's parser combinators) for both formats in GAPT. By taking the necessary information of each proof file and processing it accordingly, we can build expansion proofs. We explain the kind of processing needed for each format in Sections 3.1 and 3.2.

The expansion tree of a formula with associated substitutions to its bound variables can be defined as follows:

**Definition 10.** *Let  $F$  be a formula in which all bound variables have pairwise distinct names,  $\Sigma$  a set of substitutions for these variables and  $p \in \{0, 1\}$  a polarity. Assume that each strong quantifier in  $F$  is bound to exactly one term in  $\Sigma$ . We define the function  $\text{ET}(F, \Sigma, p)$  that translates a formula to an expansion tree as follows:*

- $\text{ET}(A, \Sigma, p) = A$ , where  $A$  is an atom.
- $\text{ET}(\neg A, \Sigma, p) = \neg \text{ET}(A, \Sigma, \bar{p})$ .
- $\text{ET}(A \circ B, \Sigma, p) = \text{ET}(A, \Sigma, p) \circ \text{ET}(B, \Sigma, p)$ , for  $\circ \in \{\wedge, \vee\}$ .
- $\text{ET}(A \rightarrow B, \Sigma, p) = \text{ET}(A, \Sigma, \bar{p}) \rightarrow \text{ET}(B, \Sigma, p)$ .
- $\text{ET}(\forall x.A, \Sigma, 0) = \Lambda x.A +^{t_1} \text{ET}(A\sigma_1, \{\sigma_1\}, 0) \dots +^{t_n} \text{ET}(A\sigma_n, \{\sigma_n\}, 0)$ , where  $\sigma_i$  is the substitution in  $\Sigma$  mapping  $x$  to  $t_i$  ( $n$  is the number of times the weak quantifier was instantiated).
- $\text{ET}(\forall x.A, \Sigma, 1) = \Pi x.A +^\alpha \text{ET}(A\sigma', \{\sigma'\}, 1)$  where  $\sigma'$  is the substitution in  $\Sigma$  mapping  $x$  to  $\alpha$ .
- $\text{ET}(\exists x.A, \Sigma, 0) = \Pi x.A +^\alpha \text{ET}(A\sigma', \{\sigma'\}, 0)$  where  $\sigma'$  is the substitution in  $\Sigma$  mapping  $x$  to  $\alpha$ .
- $\text{ET}(\exists x.A, \Sigma, 1) = \Lambda x.A +^{t_1} \text{ET}(A\sigma_1, \{\sigma_1\}, 1) \dots +^{t_n} \text{ET}(A\sigma_n, \{\sigma_n\}, 1)$ , where  $\sigma_i$  is the substitution in  $\Sigma$  mapping  $x$  to  $t_i$  ( $n$  is the number of times the weak quantifier was instantiated).

Note that the term  $\alpha$  used for the strong quantifiers is determined by the substitution set  $\Sigma$ . If the eigenvariable condition is not satisfied in these substitutions, then the resulting expansion tree will not be a proof of the formula.

Using the  $\text{ET}(F, \sigma, p)$  transformation, it is also possible to define the expansion sequent  $\varepsilon$  from a sequent  $S$ .

**Definition 11.** *Let  $S : A_1, \dots, A_n \vdash B_1, \dots, B_m$  be a sequent with pairwise distinct bound variables and  $\sigma$  a set of substitutions for those variables such that each strongly quantified variable is bound to exactly one term. Then we define  $\text{ET}(S, \sigma)$  as the expansion sequent  $\text{ET}(A_1, \sigma, 0), \dots, \text{ET}(A_n, \sigma, 0) \vdash \text{ET}(B_1, \sigma, 1), \dots, \text{ET}(B_m, \sigma, 1)$ .*

Definitions 10 and 11 show how to build an expansion sequent from a sequent and a set of substitutions. The requirement of pairwise distinct variables can be easily satisfied by a variable renaming. The second requirement, that each variable of a strong quantifier is bound only once, might not be true for arbitrary proofs. Fortunately, it holds for the proofs we are dealing with, either because the input problem contains no strong quantifiers, or because the end-sequent is skolemized. On the second case, it is possible to deduce unique Eigenvariables for each strong quantifier and obtain the expansion tree of the un-skolemized formula.

**Lemma 1.**  $\text{Sh}(\text{ET}(F, \sigma, p), p) = F$

*Proof.* Follows from the definition of  $\text{ET}(F, \sigma, p)$  and  $\text{Sh}(E, p)$ . □

**Theorem 1.** *A sequent  $S$  with substitutions  $\sigma$ , such that each strongly quantified variable in  $S$  is bound exactly once, is valid iff the expansion sequent  $\text{ET}(S, \sigma)$  is an expansion proof.*

*Proof.* By the soundness and completeness of expansion sequents [8], we know that an expansion sequent  $\varepsilon$  is an expansion proof iff its shallow sequent is valid. From Lemma 1 we have that the shallow sequent of  $\text{ET}(S, \sigma)$  is  $S$ . Therefore,  $S$  is valid iff  $\text{ET}(S, \sigma)$  is an expansion proof.  $\square$

This theorem provides a “sanity-check” for the expansion sequents extracted from proof objects. If it is an expansion proof, we know that, at least, the end-sequent with the given substitutions is a tautology. Note that this does not provide a check for the proof, as it is not validating each inference applied, but only if the claimed instantiations *can* actually lead to a proof.

### 3.1 SMT proofs

SMT (*Satisfiability Modulo Theory*) is a decision procedure for first-order formulas with respect to a background theory. It can be seen as a generalization of SAT problems. VeriT<sup>2</sup> is an open-source SMT-solver which is complete for quantifier-free formulas with uninterpreted functions and difference logic on reals and integers. For this work we have used the proof objects produced by VeriT on the QF\_UF (quantifier-free formulas with uninterpreted function symbols) problems of the SMT-LIB<sup>3</sup>. The background theory in this case was the equality theory composed by the axioms (symmetry and reflexivity are implicit):

$$\begin{aligned} &\forall x_0 \dots \forall x_n. (x_0 = x_1 \wedge \dots \wedge x_{n-1} = x_n \rightarrow x_0 = x_n) \\ &\forall x_0 \dots \forall x_n \forall y_0 \dots \forall y_n. ((x_0 = y_0 \wedge \dots \wedge x_n = y_n \rightarrow f(x_0, \dots, x_n) = f(y_0, \dots, y_n)) \\ &\forall x_0 \dots \forall x_n \forall y_0 \dots \forall y_n. (x_0 = y_0 \wedge \dots \wedge x_n = y_n \wedge p(x_0, \dots, x_n) \rightarrow p(y_0, \dots, y_n)) \end{aligned}$$

The proofs generated are composed of CNF transformations and a resolution refutation, whose leaves are either one of the quantifier-free formulas from the input problem or an instance of an equality axiom. The proof object consists of a comprehensive list of labelled clauses used in the resolution proof and their origin. They are either an input clause, without ancestors, or the result of an inference rule on other clauses, which is specified via the labels. VeriT’s proof is purely propositional and no substitutions are involved, since the axioms are quantifier-free and contain no free-variables.

The input problem is propositional, therefore the only substitutions needed were the ones instantiating the (weak) quantifiers of the equality axioms<sup>4</sup>. These are found by collecting the ground instances of these axioms occurring on the leaves of the resolution proof and using a first-order matching algorithm. By matching the instances with the appropriate axiom (without the quantifiers), we can obtain the substitutions for the quantified variables. Given those substitutions and the quantified axioms, we can build the expansion trees. It is worth noting that the quantified equality axioms (i.e., transitivity, symmetry, reflexivity, etc.) are build internally in GAP<sup>5</sup>, since these are not part of the proof object. Also, the reflexivity instances needed are computed separately, since these are implicit in VeriT. The expansion tree of the (propositional) input formula can be built with an empty set of substitutions. Since these are unsatisfiability proofs, all expansion trees will be on the left side of the expansion sequent.

### 3.2 Connection proofs

Connection calculi is a set of formalisms for deciding first-order classical formulas which consists on connecting unifiable literals of opposite polarities from the input. Proof search in these calculi is characterized as goal-oriented and, in general, non-confluent. LeanCoP<sup>5</sup> is a connection based theorem prover that implements a series of techniques for reducing the search space and making proof search feasible

<sup>2</sup><http://www.verit-solver.org/>

<sup>3</sup><http://smt-lib.org/>

<sup>4</sup>Observe that we do not need any information from the inference steps.

<sup>5</sup><http://leancop.de/>

[10]. Although its strategy is incomplete, it achieves very good performance in practice. For this work, leanCoP 2.2 was used. It can be obtained from the CASC24 competition website<sup>6</sup> or, alternatively, executed online at SystemOnTPTP<sup>7</sup>.

Given an input problem (a set of axioms and conjectures in the language of first-order logic), leanCoP will negate the axioms, skolemize the formulas and translate them into a disjunctive normal form (DNF). It works with a positive representation of the problem and uses a special DNF transformation that is more suitable for connection proof search [10]. The prover also adds equality axioms when necessary. LeanCoP is able to produce proof objects in four different formats. For this work, we have used `leantptp`, which is closer to the TPTP (thousands of problems for theorem provers) specification [12]. The output file is divided in three parts: (1) input formulas; (2) clauses generated from the DNF transformation of the input and equality axioms; and (3) proof description. Each part is described using a set of predicates with the relevant information.

In part (1), the formulas from the input file are listed and named. Their variables are renamed such that they are pairwise distinct. Moreover, formulas are annotated with respect to their role, e.g, axiom or conjecture. Part (2) contains the clauses, in the form of a list of literals, that resulted from the disjunctive normal form transformation. This can either be the regular naive DNF translation or a *definitional clausal form transformation*, which assigns new predicates to some formulas. Each clause is numbered and associated with the name of the formula that generated it. Equality axioms are labelled with a special keyword, since they do not come from any transformation on the input formulas. The proof *per se* is in part (3), where each line is an inference rule. It contains the number of the clause to which the inference was applied, the bindings used (if any) and the resulting clause.

For building the expansion trees of the input formulas we need the substitutions used in the proof and the Skolem terms introduced during Skolemization. The substitutions will be the terms of the expansion tree's weak quantifiers and the Skolem terms, translated to variables, will be the expansion tree's strong quantifier terms. In the leanCoP proofs, Skolem terms have a specific syntax, so they can be identified and parsed as "Eigenvariables". We use this approach to get an expansion proof of the original problem, instead of the skolemized problem. Since each strong quantifier is replaced by exactly one Skolem term, the condition for the set of substitutions in Definition 10 is satisfied.

The collection of terms used for the weak quantifiers is a bit more involved due to variable renaming. The quantified variables in the input formula are renamed during the clausal normal form transformation. This means that the sets of variables occurring in the original problem and in the clauses are disjoint. The substitutions used in the proof are given with respect to the clauses' variables, but we are interested in building expansion trees of the input formulas. We need therefore to find a way to map the variables in the clauses to the variables in the input formulas.

The solution found was to implement in GAPTE the definitional clausal form transformation, trying to remain as faithful as possible to the one leanCoP uses, but without the variable renaming. After applying our transformation to the input formulas, we try to match the clauses obtained to the clauses from the proof object. The first-order matching algorithm returns a substitution if a match is found. Such substitution maps strongly quantified variables to "Eigenvariables" (the result of parsing Skolem terms), and weakly quantified variables to their renamed versions used in the clauses. By composing this substitution with the ones obtained from the bindings in the proof, we are able to correctly identify the terms used for each quantified variable in the input formulas.

---

<sup>6</sup><http://pages.cs.miami.edu/~tptp/CASC/24/Systems.tgz>

<sup>7</sup><http://pages.cs.miami.edu/~tptp/cgi-bin/SystemOnTPTP>

## 4 Results

We were able to import as expansion trees all the 142 proof objects provided to us by the veriT team, and all but one under one minute. The expansion sequents generated have been used as input for the cut-introduction algorithm [6] and some of their features (e.g. high number of instances) have motivated improvements to the algorithm. As for leanCoP, our database consists of 3043 proofs of problems from the TPTP library [12]. Of those, we can successfully import 1224 as expansion sequents. Some errors still occur while parsing and matching (e.g. our generated clauses do not have the same literal ordering as the clauses in the proof file), but we are working to increase the success rate.

Getting proofs from various theorem provers in the shape of expansion sequents allows us to do a number of interesting things. First of all, one can visualize the end-sequent and the instances used of each quantified formula. This is much more comfortable and easier to grasp than a raw text file. It is also possible to check whether the instances used lead indeed to a proof of the end-sequent. This is reduced to checking if the deep sequent of the expansion sequent is a tautology (which can be done, as this sequent is propositional) and if the dependency relation is acyclic. In case the expansion sequent is a proof, we can build an LK proof from it, using the dependency relation to decide the order in which quantifiers are introduced [8]. Finally, one can attempt proof compression and discovery of lemmas using the cut-introduction algorithm [6].

All of these functionalities are implemented in GAPT. The system comes with an interactive command line where commands for loading proofs, opening `prooftool`, introducing cuts, eliminating cuts, building an LK proof from an expansion sequent, among others, can be issued. Some examples of proofs imported and their visualizations can be found at <https://www.logic.at/staff/giselle/examples.pdf>.

## 5 Related Work

Other projects and tools also address the issues of proof visualization and checking. For proofs in the TPTP language in particular, there is IDV [13], which provides an interactive interface for manipulating the DAG representing a derivation. This tool focuses solely on visualization of proofs in the TPTP format. Our work aims on a more general framework, of which visualization is only a small part. We are also capable to import different proof objects, not only those in the TPTP language.

As for proof checking, [7] proposes a check of leanCoP proofs in HOL Light while [1] shows how to check SAT and SMT proofs using Coq. The former paper involved re-implementing leanCoP's kernel in HOL Light, which differs a lot from our approach of simply parsing the outputs of theorem provers. In the latter, proofs produced by SAT/SMT theorem provers are certified by Coq. We must clarify that, given the information needed to produce expansion proofs, it is not fair to claim we are checking proof objects, but we merely have a sanity check that the instances used by the theorem prover actually lead to a proof of the proposed theorem. Such compromise makes sense if we want a framework general enough to deal with different proof objects, without asking any change on the side of theorem provers.

Finally, it is worth mentioning ProofCert [9], a research project with the aim of developing a theoretical framework for proof representation. In order not to make such compromise, and actually check each step of each proof for various different proof objects, a solid foundation of proof specification needs to be developed. While this does not happen, this work shows how it is still possible to combine existing proof objects into one representation.

## 6 Conclusion

We have shown how SMT and Connection proofs can be both imported as expansion sequents. The information needed from the proof objects is just the end-sequent being proven and a set of instances



used for the quantified formulas. For both cases presented we relied on a first-order matching algorithm, but this requirement can be lifted if all substitutions are provided directly in the proof object.

The representation using expansion sequents serves various purposes. It provides an easy proof visualization, a simple checking procedure, LK proof construction and introduction of cuts.

This is an ongoing work, and we hope to have many developments in the near future. In particular, the difficulties in importing leanCoP proofs remain to be resolved. This procedure also offers a lot of room for optimization. Once we have a big enough set of parsed leanCoP proofs, we will add those to the benchmark used in the cut-introduction algorithm. As for veriT proofs, we plan to test bigger examples, as the ones provided are only a small subset from the SMT-LIB.

Another future goal is importing other formats from other provers and comparing the different proofs for the same input problem. We also aim on integrating a check for whether the obtained expansion sequent is an expansion proof in the import function.

## References

- [1] Michael Armand, Germain Faure, Benjamin Grgoire, Chantal Keller, Laurent Thry & Benjamin Werner (2011): *A Modular Integration of SAT/SMT Solvers to Coq through Proof Witnesses*. In: *CPP*, Lecture Notes in Computer Science, Springer Berlin Heidelberg, pp. 135–150, doi:10.1007/978-3-642-25379-9\_12.
- [2] Matthias Baaz & Alexander Leitsch (2000): *Cut-elimination and Redundancy-elimination by Resolution*. *Journal of Symbolic Computation* 29(2), pp. 149–176, doi:10.1006/jsc.1999.0359.
- [3] Cvetan Dunchev, Alexander Leitsch, Tomer Libal, Martin Rienner, Mikheil Rukhaia, Daniel Weller & Bruno Woltzenlogel Paleo (2013): *PROOFTOOL: a GUI for the GAPT Framework*. In: *10th UITP, EPTCS* 118, pp. 1–14, doi:10.4204/EPTCS.118.1.
- [4] Cvetan Dunchev, Alexander Leitsch, Mikheil Rukhaia & Daniel Weller (2013): *CERES for First-Order Schemata*. *CoRR* abs/1303.4257, doi:10.1007/978-3-662-46906-4\_8.
- [5] Gerhard Gentzen (1935): *Untersuchungen über das logische Schließen I*. *Mathematische Zeitschrift* 39(1), pp. 176–210, doi:10.1007/BF01201353.
- [6] Stefan Hetzl, Alexander Leitsch, Giselle Reis, Janos Tapolczai & Daniel Weller (2014): *Introducing Quantified Cuts in Logic with Equality*. In: *7th IJCAR, Lecture Notes in Computer Science* 8562, Springer, pp. 240–254, doi:10.1007/978-3-319-08587-6\_17.
- [7] Cezary Kaliszyk, Josef Urban & Jiří Vyskočil (2015): *Certified Connection Tableaux Proofs for HOL Light and TPTP*. *CPP '15*, ACM, New York, NY, USA, pp. 59–66, doi:10.1145/2676724.2693176.
- [8] Dale Miller (1987): *A compact representation of proofs*. *Studia Logica* 46(4), pp. 347–370, doi:10.1007/BF00370646.
- [9] Dale Miller (2011): *ProofCert: Broad Spectrum Proof Certificates*. ERC Advanced Grant 2012-2016.
- [10] Jens Otten (2010): *Restricting backtracking in connection calculi*. *AI Commun.* 23(2-3), pp. 159–182, doi:10.3233/AIC-2010-0464.
- [11] J. A. Robinson (1965): *A Machine-Oriented Logic Based on the Resolution Principle*. *J. ACM* 12(1), pp. 23–41, doi:10.1145/321250.321253.
- [12] G. Sutcliffe (2009): *The TPTP Problem Library and Associated Infrastructure: The FOF and CNF Parts, v3.5.0*. *Journal of Automated Reasoning* 43(4), pp. 337–362, doi:10.1007/s10817-009-9143-8.
- [13] Steven Trac, Yury Puzis & Geoff Sutcliffe (2007): *An Interactive Derivation Viewer*. *Electronic Notes in Theoretical Computer Science* 174(2), pp. 109 – 123, doi:10.1016/j.entcs.2006.09.025. Proceedings of the 7th Workshop on User Interfaces for Theorem Provers (UITP 2006).