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A Design Science Oriented Framework for Experimental Research in Information Quality

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Abstract. Design science has been used as a new research paradigm in information quality research. Within design science, experimental validation has been recognized as one of the most important research methodologies. However, as there is a lack of a coherent framework for conducting experimental research, different information quality studies may produce different results, which can be even conflicting. In order to reduce this ambiguity, we have proposed a framework that is used to (1) refine the experimental methodology in information quality research, (2) advance the rigorous information quality research in design science, and (3) demonstrate an exemplary experimental validation in design science.

Keywords: Design science, experimental design, information quality, experimental research methodology, information system framework

1 Introduction

Design science research (DSR) focuses on creations of artificial systems. It addresses research through the *building* and *evaluation* of artifacts designed to meet stated objectives [10]. *Building* refers to the process of constructing an artifact for a specific purpose and *evaluation* assesses how well the artifact meets objectives. Evaluation is considered as the centre of DSR focusing on the output of design science research. Although evaluation strategies and guidelines have been proposed, practical evaluation of design artifacts is still challenging, as many approaches are subjective and designed for a small number of application scenarios or specific projects. Limited contributions have addressed the practicalities of evaluating research outputs that are designed within a complex research environment.

Recent methodological research has confirmed that experimental research is an effective way to evaluate artifacts, however, when incorporating experimental research into practical research scenario, we are yet facing the problem of how to conduct the experiment in design science. Therefore in this paper, we have proposed a framework to refine the experimental research in design science in an application level. We demonstrate the framework in information quality research.

Information quality research has been well developed during the last two decades. DeLone and McLean [3] proposed a comprehensive IS success model that considers

information quality and system quality are the influencing factors to IS use and user satisfaction. In turn they will cause individual and organizational impact. This work not only lead to a large number of validation research on this model but also bring further attention of information quality into the IS community. Afterwards, different information quality research such as information quality dimensions [21, 30], information quality assessment [5, 9, 31] and information quality management [12, 32] has been conducted.

With the emerging research paradigm of design science, more and more researchers are using design science to conduct information quality research [13, 33, 34]. Given the nature that information quality research can be conducted with experimental research methodology in design science, we therefore donate our demonstration in this research area.

2 Design Science

In information system research, researchers distinguished two paradigms: behavioral science research and design science research [10]. The former is understood as a “problem understanding paradigm”, the latter as a “problem solving paradigm”. A key characteristic of DSR is that it resolves an important, previously unsolved problem, for a class of businesses or environments, while making a contribution to the knowledge base [29].

Design researchers investigate the current knowledge and solutions to insure they do not just replicate past work of others. The value of a new solution may come from various activities such as solving a known or expected problem, satisfying needs, or innovating something new. However, the new knowledge comes from “the number of unknowns in the proposed design which when successfully surmounted provides the new information that makes the effort research and assures its value” [26]. The research may involve searching the existing knowledge base, or collecting primary data through empirical work such as case studies, interviews, experiments or surveys. Research should stop if the problem has already been solved, or if it is found to be unimportant for the targeted objectives. Through this research process, the design science researcher satisfies the relevance condition for DSR in IS [11], while also addressing generalizability [1]. Characteristic for DSR is that rich phenomena that emerge from the interaction of people, organizations, and technology may need to be qualitatively assessed to yield an understanding of the phenomena adequate for theory development or problem solving [15]. The process of constructing and exercising innovative IT artifact enable design-science researchers to understand the problem addressed by the artifact and the feasibility of their approach to its solution [19].

It is generally agreed, that design science research develops knowledge that can be used by professionals in the field in question to design innovative solutions to their field problems [25]. To obtain knowledge for innovative solutions, Van de Ven [27] proposed engaged scholarship as a participative form of design science research. It accommodates points of views of key stakeholders to understand complex problems. By exploiting differences between stakeholders, engaged scholarship develops knowledge that is more penetrating and insightful than when researchers work alone.

Sein et al. [22] propose action design research method to interlink the building and evaluation phases and thereby emphasising the organisational context. Illustrating the complexity of developing innovative outputs, Leonard [18] outlines that working across boundaries between disciplines, specializations, or expertise is a key ingredient for most innovative solutions.

Since design is inherently an iterative and incremental activity, the evaluation phase provides essential feedback to the build phase concerning the quality and utility of the design output under development and its design process. Evaluation delivers evidence that an artifact developed achieves the purpose for which it was designed and consequently provides indications for the design process. Experimental research has been recognized as one of the most important methods to evaluate and confirm the artifact.

3 Experimental Research in Design Science

Researchers identified a number of methods that can be used for evaluation of design science artifact. Hevner, et al. [10] proposed five classes of evaluation methods: (1) Observational methods include case study and field study. (2) Analytical methods include static analysis, architecture analysis, optimization, and dynamic analysis. (3) Experimental methods include controlled experiment and simulation. (4) Testing methods include functional testing and structural testing. (5) Descriptive methods include informed argument and scenarios.

As a further study, Venable [28] divides evaluation into artificial and naturalistic. Artificial evaluation includes laboratory experiments, field experiments, simulations, criteria-based analysis, theoretical arguments, and mathematical proofs. It evaluates a solution in a contrived and non-realistic way. Naturalistic evaluation explores the performance of a solution in its real environment. By performing evaluation in a real environment (real people, real systems, and real settings [23], naturalistic evaluation embraces all of the complexities of human practice in real organizations. This approach is always empirical, and includes methods such as case studies, field studies, surveys, and action research [29]. While the dominance of the naturalistic paradigm brings to naturalistic DSR evaluation the benefits of stronger internal validity [8], limited research has been done on the artificial evaluation such as laboratory and field experiments.

Experimental research involves directly manipulating a small number of variables and identifying the relationship between these variables. Using quantitative analysis, we can use the analysis results to test hypotheses or validate the artifact. An ideal experiment is designed to control all other possible factors affecting the experimental outcome and show how independent variables affect dependent variables [17]. It has been found that laboratory experiments are an effective methodology in addressing the cause and effect relationship [2, 6, 14], especially in investigating the cause and effect relationship between attributes of the decision environment, characteristics of information system and decision performance [4].

One critical concern in experimental research is the validity. Experiment validity can be divided into internal validity and external validity. The lack of internal validity

means the experimental result is affected by uncontrolled factors. To improve internal validity, Field and Hole [6] proposed eight factors potentially threatening internal validity: group threats, regression to mean, time threat, history, maturation, instrument change, different mortality, reactivity, and experimenter effects. The above threats can be resolved or minimized by experimental controls, such as providing monetary incentive to subjects and selecting appropriate subjects at random. External validity tests how well the research findings generalize to other populations and circumstances. Two threats are associated with external validity: over-use of the special participants and restricted numbers of participants [6]. Considering the two threats, external validity can be increased by carrying out empirical tests across different participants and situations.

4 Experimental Research Framework in Design Science

In order to develop the experimental research framework, we have firstly reviewed the methodological issues that may occur in experimental research. Jarvenpaa et al. [14] proposed four open methodological issues in experimental information system research: research strategy, measuring instruments, research design, and experimental task. Research strategy emphasizes that the research program should be performed under a theory, a model or a framework. Two issues are related to the research strategy: a lack of theories for guiding the research [24], and studies which fail to build upon the work of others [14]. Measuring instruments focus on the reliability and validity of the measurements. Research designs concentrate on two issues: the importance of the research and the absence of experimental control [14]. Experimental task refers to a work that is taken by subjects in the experiment. The task is considered inappropriate when it is ambiguous or excessively complex. An ambiguous task might consist of inconsistent, incomplete and incorrect problems. An overly complex task may foster in the subjective influences such as preference, experience and even gambling.

Table 1. Methodological issues in experimental research

Methodological issues in experimental research [14]	
Research Strategy	<ul style="list-style-type: none"> • Lack of theories for guiding the research • Studies without building upon other's work
Measuring Instrument	<ul style="list-style-type: none"> • Reliability • Validity
Research design	<ul style="list-style-type: none"> • The importance of the research • The lacking of the experimental control
Experimental task	<ul style="list-style-type: none"> • Ambiguous • Overly complex

Considering the methodological issues mentioned in Jarvenpaa et al. [14], we have proposed a framework as shown in Figure 1. This framework consists of three components, which are artifact, experiment and data analysis. Along with each

component, we provided a set of guidelines to deal with the methodological issues in experimental research.

Given the nature of design science, this paradigm is used to solve the practical problems, thus artifact should intend to solve a real-world problem. In order to build the artifact with theoretical basis, certain theory should be used to support for building this artifact. To highlight the novelty and importance of the artifact, an extensive literature review needs to be conducted. The artifact building will provide basis for the experimental design. In the experimental design, a validation of measuring instrument is needed, this is to intensify the experiment validity. The external factors that may influence the experiment should be kept under control. From the participant's perspective, the experiment should be easy to understand and easy to operate. After collecting the experimental data, we need to firstly understand which type of data is collected such as nominal data, ordinal data, interval data or ratio data, based on the data type, the according data analysis can be carried out. In turn, the data analysis can used to validate, evaluate and improve the artifact.

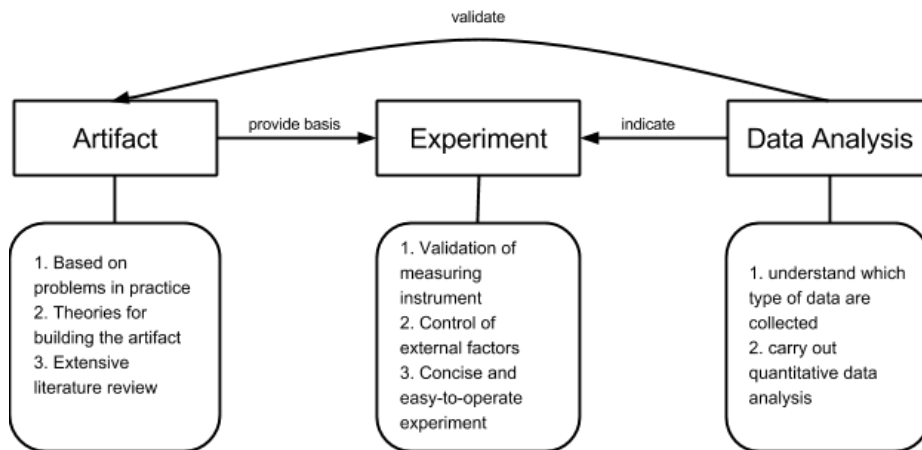


Fig. 1. Experimental Research Framework in Design Science

5 Validation with Information Quality Research

In order to demonstrate the usage of our framework, we have conducted an empirical information quality research design. In today's organizations, one important factor concerning information quality is that it directly influences decision-making. Owing to this, recent information quality research shows an increasing tendency to study the relationship between information quality and decision-making. Although their research findings confirmed that making correct decisions is dependent upon high quality information, exactly how information quality affects decision-making is still not entirely understood [7].

Case studies concerning poor information quality in decision support system are frequently documented in recent years and relate to a broad range of domains. Information quality issues may not only cause errors in business operations but also

potentially impact society and wider aspects. For example, in 1986 NASA lost the space shuttle Challenger with seven astronauts onboard. The Presidential Commission investigated the Challenger accident and found that NASA's decision-making process was based on incomplete and misleading data. Just 2 years later the US Navy Cruiser USS Vincennes shot accidentally an Iranian commercial aircraft with 290 passengers onboard. Officials who investigated the Vincennes accident admitted that poor-quality information was a major factor. Yet not only in the space and military industries but also in our daily decision, certain information quality problems can lead to severe results; for instance, Pirani [20] reported that one piece of wrong biopsy information caused a patient's death in an Australian hospital. Real-world examples such as these illustrate cases in which poor information quality has significant impact on decision-making and may lead to irreversible damages.

From different case studies, we can conclude a real-world problem: "*How to build a decision support system with high quality information?*" To investigate this research question, one key question is to find out how information quality affects decision-making. As we have mentioned in Section 3, experimental research is an effective way to address the cause and effect relationship. We therefore use experiment to conduct this research. As we mainly focus on the experimental research in design science, we in the following only detail the research design related to the experiment part.

To start the design science procedure, first we need to define our artifact. As derived from the practical case study, our artifact is a *decision support system with high quality information*. To build this artifact, we use DeLone and McLean IS success theory to guide the design. It can be seen that high quality information can affect the decision-making (*Use*) and user satisfaction, and it will in turn generate individual impact and organizational impact. Literature across the domain information system, information management and information quality is related to this research work.

The experimental design is based on a well-known management game, the BeerGame. This game is a role-playing simulation, which involves managing supply and demand in a beer supply chain. The concept for this game was first developed at the Massachusetts Institute of Technology in the 1960s. Since then, several extensions and modifications have been suggested. Kaminsky and Simchi-Levi [16] identified several weaknesses in this traditional game and consequently developed the computerized Beer Game.

Based on the computerized Beer Game, we provide various quality levels of marketing and selling information to subjects. Using the given information, subjects are asked to make inventory control decisions. In the experiment, we have adopted a set of validated information quality measurements from [30]. Also we have also considered 10 external factors that may influence the experiment such as task complexity, decision time, expertise, decision strategy, interaction, information overload, information presentation, decision aids, decision model and environment. All the external factors are kept under control. That means keeping the same status of all the external factors for every experimental treatment. By conducting a pilot study, we can find out if the experiment task is clear and easy to operate to the participants.

For this experiment we use a four-component beer supply chain: manufacturer, distributor, retailer and customer. One episode of the experiment includes 10 weeks.

In each week, the order of events is as follows: (1) Manufacturer fills the distributor's demands of last week. (2) Distributor fills the retailer's demands of last week and places an order with manufacturer for next week. (3) Retailer fills the customer's demands of this week and places an order with distributor for next week. If the demands are not catered for, the unsatisfied demands are recorded as back orders. The manufacturer is guaranteed to provide enough products for the distributor. Therefore there is no back order with the manufacturer. At the beginning of the game, there is no back order in each component and the demands of last week are perfectly satisfied.

A software-based system is developed to deliver the experimental scenario (figure 2). Subjects play the role of distributors who place orders to manufacturers and meet demands of retailers. The other three roles are taken over by the computer. To simplify the design of JIT inventory control, no lead time is set between distributors and manufacturers. This is to encourage subjects not to stock any product, accordingly, to achieve zero inventories. In each week, we provide the marketing information and selling history to subjects. According to the given information, subjects are able to make more reliable and reasonable inventory decisions. In one episode, subjects are asked to place 10 orders to manufacturers. Orders which conform to the best decision are recorded as the correct inventory decision. Since the goal of this experiment is to minimize the inventory to zero, the best decision is determined by the order which equals the retailer's need plus existing back orders.

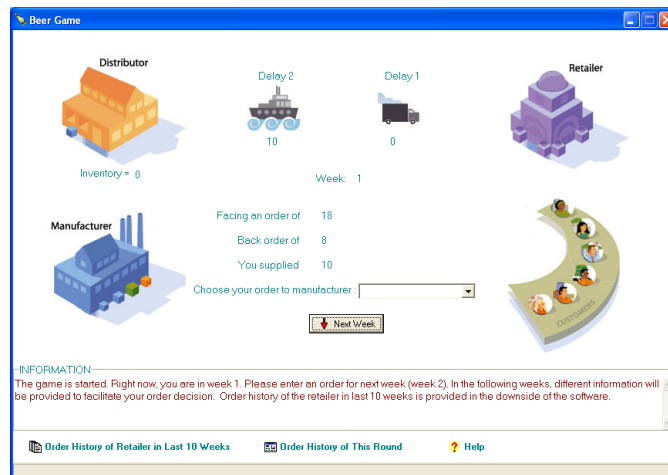


Fig. 2. Experiment of Beer Game inventory control

From the experiment, we can then collect the ratio data from the beer game. Therefore, parametric statistical analysis such as ANOVA is used to analyze the data. Afterwards, the data analysis can validate and evaluate our proposed artifact. A list of detailed design is shown in Table 2.

Table 2. Demonstration in information quality research

Artifact Decision support system with high quality information	Experiment Beer Game experiment from MIT	Data Analysis Parametric statistical analysis
Practical problem: Different case studies have demonstrated that decision support system has information quality problem.	Validation of measuring instrument: Adopted validated measuring instrument from [30]	Data collection: Ratio Data
Theory: DeLone and McLean IS success theory	Control of external factors: A total of 10 external factors such as Task Complexity And decision time are controlled	Data analysis: AVONA and descriptive analysis
Literature review: Papers in information quality, information management and information system.	Concise and easy-to-operate experiment: We adopted a computerized beer game and carried out pilot study	

6 Conclusion

In this paper, we have proposed a framework that can guild the experimental research in design science. Based on the methodological issues in experimental research pointed by [14], we have proposed an experimental framework, which consists of three components: artifact, experiment, and data analysis. In each of the component, we have taken the methodological issues into consideration and proposed a set of detailed guidelines to design the experiment. In order to demonstrate and validate our proposed framework, we have conducted an empirical study in information quality research by using the framework. Under this framework, we can primitively avoid the possible methodological issues for experimental research in design science. The validation has not only shown that it is feasible to apply our framework in empirical information quality research, but also indicated that the framework can enhance more rigorous and quantitative design science oriented experimental research.

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