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# The impact of climate change on the potential suitable distribution of major crops in Zambia and the Countermeasures

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**Abstract.** Climate change, as an inevitable process, will aggravate food shortage of the already vulnerable agriculture systems in Zambia. Rain-fed agriculture supports the livelihood of majority of smallholders in Zambia. To effectively adapt to foreseeable climate change, and to decrease risk of the food crisis, we analyzed potential suitable distribution of major crops (white maize (*Zea mays*), cassava (*Manihot esculenta*) and sorghum (*Sorghum bicolor*)) in Zambia under current and future (2080s) climates using the MCE-GIS (multi-criteria evaluation -geographical information system) Planting Ecological Adaptability model. The simulation results indicate that climate change will change the potential suitable area for maize from 66.8% to 48.6%; and that of cassava from 65% to 84%. The suitable regions of sorghum move northward although the total areas will not change. We conclude that future climate change will have different effects on various crops. Our modeling results can be used to make appropriate management decisions and to provide farmers with alternative options for their farming system in responding to climate change.

**Keywords:** climate change, agriculture crisis, Zambia, MCE-GIS Planting Ecological Adaptability model, potential suitability, adaptation countermeasures

## 1 Introduction

About 80% of the variability of agriculture production is due to the variability in weather conditions, especially for rain-fed agriculture[1]. The lack of adaptive strategies to cope with this variability makes sub-Saharan Africa countries highly vulnerable to extreme weather events and climate change [2-5]. There is a growing number of evidence showing that in tropic and subtropical Africa, where crops have reached their maximum tolerance [1, 6], crops suitability and yields are likely to decrease due to the increased temperature [7, 8]. Thus, the declined crops yield

eventually will cause substantial impact on food security of poor rain-fed rural communities in sub-Saharan Africa countries [1, 7].

Zambia is a landlocked country in central southern Africa. It has advantages of agricultural production, such as vast untapped agricultural lands, favorable climate conditions and adequate water resources [9, 10]. Despite all of these advantages, Zambia has a very low food supply, and it is one of the 15 countries with the lowest food security worldwide [10]. Its farming system is depend on rainfall, and is characterized by low fertilizer inputs, low productivity and undeveloped markets [11]. Most of Zambia's farmers (75%) are smallholders who consume most of their own productions[10]. White maize is the leading crop in Zambia, accounting for about 70% of cereals planting area [12-14]. Cassava is the sixth world food crop for more than 500 million people in tropical and sub-tropical Africa, Asia and America [15]. Sorghum is an indigenous cereal from Africa that is well adapted to African semi-arid and sub-tropical agronomic conditions, and also a kind of main source of protein crop in Zambia. Thus it is important to determine the potential distribution of white maize and other crops cultivation zones under future climatic scenarios. Even though there have been many studies concerned the impacts of climate change on the agricultural system of Zambia [2, 10, 13], those studies have not focused on the response of individual crops to climate change.

In this study, we will use the MCE-GIS (multi-criteria evaluation -geographical information system) model to simulate suitable planting areas of three crops in Zambia. This model is particularly well suited to evaluate the potential suitability of the studied species in a given area according to its weather and geographical conditions, and to determine the crop potential suitable planting areas [16-18].

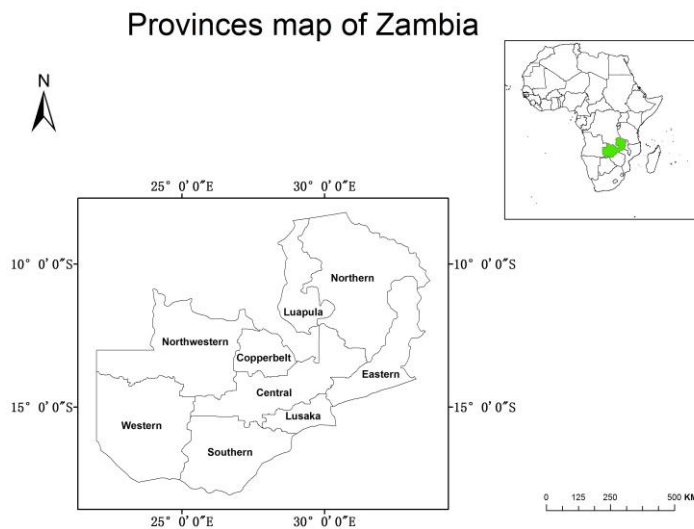
Our objectives are to: (1) rate the climatic suitability of white maize, cassava and sorghum cultivation distribution under current climate conditions in Zambia; (2) predict and simulate the white maize, cassava and sorghum suitable planting areas under future (2080s) climate conditions in Zambia; (3) analyze the variability of these three crops suitability and planting structure changes caused by climate change. It is expected that studies like this can provide a rational for better crop planning,

improved land use and sustainable crop yields [3, 19], in the context of national scale adaptation to climate change [2, 13].

## 2 Material and Methods

### 2.1 Study Area

This study is conducted in Zambia, located in central southern Africa (15° S, 30° E) (Fig.1). Although the country has a tropical climate, temperatures remain relatively cool throughout the year due the high altitudes of the East Africa Plateau. There are two main seasons. The rainy season (November to April) corresponding to summer has rainfall 150mm to 300mm per month, and the daily highest seasonal temperature in this season are 22°C to 27°C [20, 21]. Another is dry season (May to October), corresponding to winter (mean daily temperature is 15°C to 20°C). The dry season is subdivided into cool dry season (May to August) and hot dry season (September to October). These months are very dry, receiving almost no rainfall between [21].



**Fig. 1.** Provincial boundary map of Zambia

The main crops in Zambia are white maize (*Zea mays*), sorghum (*Sorghum bicolor*),

millet (*Pennisetum glaucum*), cassava (*Manihot esculenta*), wheat (*Triticum aestivum*), groundnut (*Arachis hypogaea*) and cotton (*Gossypium herbaceum*) [10]. The main crop growing season lasts from November to April, and almost entirely dependent on rains. There is a minor cropping season from June to September, in this dry season commercial farmers use irrigation to plant wheat. However no analysis is conducted for this minor season in this study.

## **2.2 Climate indices and meteorological data**

The climate indices affecting the distribution of cultivation zones of the three crops are listed below (all the meteorological data is for growth period from November to April): accumulative daily mean temperature above 10°C (AT); monthly average maximum temperature (Max-T); monthly average minimum temperature (Min-T); and monthly precipitation (PRE)[15, 22-25].

Meteorological data (50 year normal of 1950-2000) is downloaded from the Website of WorldClim-Global Climate Data (<http://www.worldclim.org>) with 1 00square kilometer resolution [26]. It was interpolations of observed data.

Future conditions of the meteorological data (2080s) is the downscaled global climate model (GCM) data from Fourth Coupled Model Intercomparison Project (CMIP4) [19, 27]. We choose Coupled Global Climate Model (CGCM3) outputs under SRA1B emissions scenarios. The model was developed by Canadian Centre for Climate Modeling and Analysis (CCCma) [27]. This model produces a good simulation for future conditions meteorological especially for Sub-Saharan Africa [28]. These data sets are often used for environmental, agriculture and biological sciences [26]. The A1 scenario family describes a future world of very rapid economic growth, global population that peaks in mid-century and declines afterwards, and rapid introduction of new and more efficient technologies [19, 29]. The A1 scenario family divided into three groups(A1FI, A1B, A1T ) describes an alternative directions of technological change in the energy system [19]. The three A1 groups are distinguished by their technological emphasis. The A1B is defined as not relying too

heavily on one particular energy source and on the assumption that similar improvement rates apply to all energy supply and end-use technologies [19, 29]. The resolution of the data is 30 seconds.

### 2.3 Soil and elevation data

The soil data is from Harmonized World Soil Database, including soil pH (PH) data and soil texture data (Soil-T). (<http://webarchive.iiasa.ac.at/Research/LUC/External-World-soil-database/HTML/>).

We obtained the field moisture capacity data using equation 1 [30].

$$\text{FMC} = 0.003075 \times \text{Sand} + 0.005886 \times \text{Silt} + 0.008039 \times \text{Clay} + 0.002208 \times \text{OM} - 0.14340 \times \text{Bulk\_Density}. \quad (1)$$

Where:

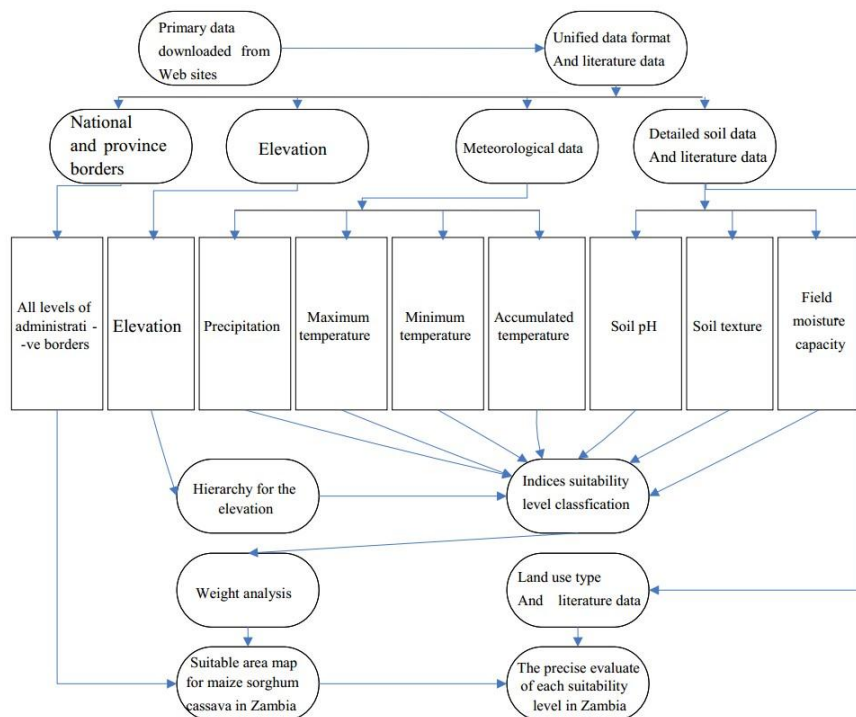
FMC- field moisture capacity; Sand- soil sand content (%), the US system; Silt-soil silt content (%), the US system; Clay-soil clay content (%), the US system; OM-soil organic matter (SOM) content (%); Bulk\_Density-soil bulk density( $\text{g}/\text{cm}^3$ ).

The elevation data is downloaded from the website of ASTER Global Digital Elevation Model (ASTER GDEM)[31] (<http://www.jspacesystems.or.jp/ersdac/GDEM/E/4.html>). The resolution of the data is 30m. The land-use data in this study is downloaded from Web-site Center for Earth System Science of Tsinghua University, (<http://www.cess.tsinghua.edu.cn/publish/essen/index.html>).

### 2.4 MCE-GIS Planting Ecological Adaptability model

The MCE-GIS Planting Ecological Adaptability model has been effectively used to predict the crop distribution and evaluate land suitability [17, 18, 32, 33]. It is based on geographical information system (GIS) and the multi-criteria evaluation (MCE) [16, 34]. MCE can be defined as a set of systematic procedures that used to analyzing complex decision problems. This model transforms geographical data into resultant decision by using the regular criteria and an evaluation matrix. It also provides

function to visualize the model outputs using GIS tool [35, 36]. All operations carried out in GIS and MATLAB software. A diagram of the methods used is shown in Fig.2. We run the MCE-GIS Planting Ecological Adaptability model for Zambia[37]. Some important procedures are further described below.



**Fig. 2.** The MCE-GIS Planting Ecological Adaptability model procedures

Firstly, we use eight indices data, ( i.e. the accumulative of daily mean temperature above 10°C (AT), monthly mean maximum temperature (Max-T), monthly mean minimum temperature (Min-T), monthly precipitation (PRE), elevation, soil texture (Soil-T), soil pH (PH) and field moisture capacity (FMC))to generate various thematic layers using GIS software. These indices were classified into five levels according to suitability (table.1; table.2; table.3). In this study, we adopted the FAO classification system for crops, which allows for land suitability rating based on soil and environmental characters. The FAO system has five classes of suitability rating

for certain crops. The five classes are very high, high, medium, low and very low[38]. The specific suitability level of each index was defined based on experts' advice and literature reviews [15, 22-25, 39].

Secondly, we calculated weight of each of the indices using pair-wise comparison matrix known as analytical hierarchy process (AHP). The ratings were provided on a nine-point scale. The comparison concerns the relative importance of each two indices involved in determining the suitability of the stated objective, and the value ranges from 9 to 1/9. The weight coefficient is calculated with MATAB software (talbe.4). [15, 22-25, 39].

Finally, we simulated and derived the final potential suitability level maps of each crop using the indices and weight factors.

**Table 1.** Each index suitability level classification of white maize in Zambia

Index	Level of suitability				
	Very high	High	Medium	Low	Very low
Accumulative temperature $\geq 10^{\circ}\text{C}$	3800-4300	4300-4800	3600-3800	4800-5000	<3600 or >5000
Precipitation (mm)	850-1050	1050-1200 or 750-850	1200-1300 or 650-750	1300-1500	<650 or >1500
Max Temp( $^{\circ}\text{C}$ )	28-31	31-32 or 26-28	32-33	33-34 or 24-26	<24 or >34
Min Temp( $^{\circ}\text{C}$ )	16-19	19-20 or 15-16	14-15	20-21	<14 or >21
Field moisture capacity (%)	0.30-0.35	0.25-0.30 or 0.35-0.4	0.23-0.25 or 0.4-0.45	0.18-0.23	<0.18 or >0.45
Soil pH	6.3-7.0	5.5-6.3 or 7.0-7.5	7.5-8.0	5.0-5.5	<5 or >8
Elevation (m)	<1200	1200-1300	1300-1500	1500-1800	>1800
Soil texture	Loam	Sand	Clay	---	Other

**Table 2.** Each index suitability level classification of cassava in Zambia

Index	Level of suitability
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	Very high	High	Medium	Low	Very low
Accumulative temperature $\geq 10^{\circ}\text{C}$	4200-5000	3900-4200	5000-5300	3700-3900	<3700 or >5300
Precipitation (mm)	600-1000	500-600 or 1000-1200	1200-1400	1400-1500	<500 or >1500
Min Temp ( $^{\circ}\text{C}$ )	27-31	25-27 or 31-33	33-34	24-25 34-35	<24 or >35
Max Temp ( $^{\circ}\text{C}$ )	17-21	16-17 or 21-22	22-23	15-16	<15 or >23
Field moisture capacity (%)	0.3-0.38	0.25-0.3 or 0.38-0.42	0.42-0.45	0.18-0.25	<0.18 or >0.45
Soil pH	6-7	5-6	4.5-5	3.8-4.5 or 7-7.8	<3.8 or >7.8
Elevation	<1000	1000-1300	1300-1400	1400-1600	>1600
Soil texture	loam	sand	_____	clay	other

**Table 3.** Each index suitability level classification of sorghum in Zambia

Index	Level of suitability				
	Very high	High	Medium	Low	Very low
Accumulative temperature $\geq 10^{\circ}\text{C}$	4200-4900	3900-4200	4900-5200	3600-3900	<3600 or >5200
Precipitation (mm)	700-900	500-700 or 900-1050	1050-1200	1200-1400	<500 or >1400
Max Temp( $^{\circ}\text{C}$ )	28-31	25-28	31-33	33-35 24-25	<24 or >35
Min Temp( $^{\circ}\text{C}$ )	17-20	15-17 or 20-21	14-15	21-23	<14 or >23
Field moisture capacity	0.3-0.36	0.25-0.3 or 0.36-0.4	0.4-0.45	0.18-0.25	<0.18 or >0.45
Soil pH	6.5-7.5	6-6.5	5.0-6.0 or 7.5-8.0	>8.0	<5.0
Elevation(m)	<1000	1000-1200	1200-1300	1300-1600	>1600
Soil texture	Loam	Sand	Clay	_____	Other

**Table 4.** Each index weight coefficient of crops using AHP

Index crop	AT	PRE	Max-T	Min-T	Eleva- tion	PH	FMC	Soil-T	total
White maize	0.1818	0.2045	0.1591	0.1364	0.0455	0.0682	0.1136	0.0909	1
Cassava	0.2045	0.1818	0.1591	0.1364	0.0455	0.0682	0.1136	0.0909	1
Sorghum	0.2222	0.1975	0.1728	0.1235	0.0494	0.0617	0.0988	0.0741	1

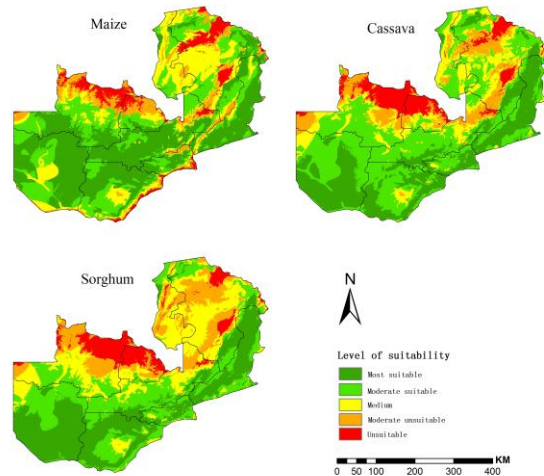
AHP- analytical hierarchy process; AT- Accumulative temperature $\geq 10^{\circ}\text{C}$ ; PRE- Precipitation; Max-T- monthly mean maximum temperature; Min-T- monthly mean minimum temperature; PH- soil pH; FMC- field moisture capacity; Soil-T- soil texture

### 3 Results and Discussion

#### 3.1 Simulation of white maize, sorghum and cassava suitability under current climate conditions

Under current climate conditions, maize is widely suitable in Zambia; the potential suitable provinces for white maize include Western, Eastern, Central, Southern and Lusaka provinces and part of Northwest province. The suitable area occupies more than 66.8% of the total country area and counts for  $5.03 \times 10^7$  ha (Fig.3, maize). Cassava potential suitability area (65% of the total country area or  $4.90 \times 10^7$  ha) is lower than maize, and covers Western, Eastern, Central, Southern and Lusaka provinces and part of Northwest province (Fig.3, cassava). Sorghum is the thirdly most suitable crop in Zambia (55.2% of total country area or  $4.90 \times 10^7$  ha; Fig.3, sorghum). Most of potential suitable areas of sorghum are located in Western, Lusaka, Southern and Center provinces.

Current potential suitable level map for maize, cassava and sorghum in Zambia



**Fig. 3.** White maize, cassava and sorghum potential suitable distribution area under current climate in Zambia

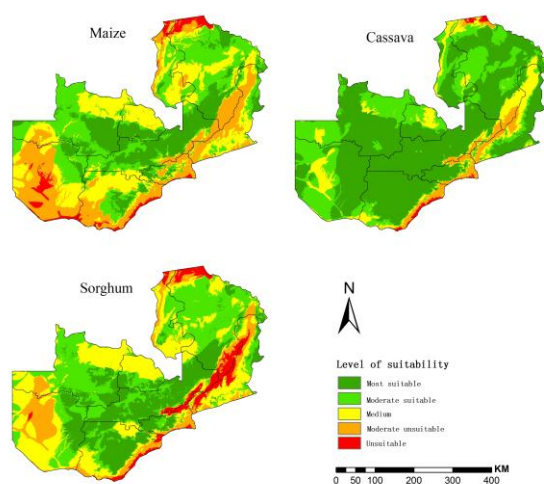
### 3.2 Simulation of white maize, sorghum and cassava suitability under future climate conditions

Under future climate conditions, the accumulated temperature will increase, the precipitation will increase particularly in the northeast of Zambia, monthly average maximum temperature and monthly average minimum temperature will increase about 3°C. In the southern and western regions of Zambia warmer rate is slightly more rapid than that in northern and eastern regions of Zambia.

With climate change, the maize suitable area decreases from 66.8% to 48.6% ( $3.66 \times 10^7$  ha) of the total country area (Fig.4), and the potential suitable region changes into most of the Central, Northwest and Northern provinces (Table.5). Cassava will benefit from increased temperature. It will become the most suitable one among these three crops in Zambia (84% of the total country area or  $6.33 \times 10^7$  ha; Fig.4), covers almost the whole country except for part of the north border strip of Zambia (Table.5). There is little change on potential suitability percentage in whole country of sorghum (55.5% of the total country areas or  $4.18 \times 10^7$  ha; Fig.4), however, potential suitable region moves northward. The southwest part of Western province

becomes not suitable for sorghum, whereas, the Northern province from unsuitable becomes potential suitable area for sorghum planting (Table.5).

Future potential suitable level map for maize, cassava and sorghum in Zambia



**Fig. 4.** White maize, cassava and sorghum potential suitable distribution area under future climate in Zambia

**Table 5.** Simulated planting area percentage for three crops in the current and the future climate conditions in each province of Zambia

Province Name	Potential planting area percent for maize (%)		Potential planting area percent for sorghum(%)		Potential planting area percent for cassava(%)	
	current	future	current	future	current	future
	Northwestern	56.31	69.14	21.12	64.57	35.07
Luapula	32.45	35.96	14.20	35.54	45.38	83.86
Eastern	87.16	23.91	96.04	24.80	96.98	59.40
Northern	40.95	59.28	24.23	65.85	43.29	83.80
Western	87.38	23.40	93.41	36.68	93.69	88.11
Lusaka	71.33	34.32	92.38	49.54	94.90	61.06
Southern	76.74	29.06	92.35	59.73	93.52	80.73
Copperbelt	39.91	51.79	25.71	47.66	13.04	81.16
Central	83.24	82.82	63.90	84.74	72.83	91.17

The results obtained under current climate conditions are consistent with previous studies [10, 13]. They indicate that climate warming will have negative impact on the suitability of white maize, but will have positive impacts on cassava. Sorghum suitable planting area will move to the high altitude areas of Zambia. This result is in agreement with the IPCC report, which stated if the temperature increases 1°C, the crops suitable altitude will move up 100m[5]. Furthermore, there is a generally agreement that in the Mediterranean, tropical and sub-tropical climatic zones, all the crop suitability will decrease with the warming trend of climate change, which contrasts with the increased suitability with global warming at higher latitudes[25]. In order to adapt to climate change, planting structure should be adjusted.

For white maize, the potential suitability benefits can usually be expected in cooler or higher elevation locations. The maximum temperature threshold is 34°C, as temperature sharply increasing to more than 4 °C in Western, Southern, Eastern and Lusaka provinces during the growing period of white maize, their temperature will be above the threshold, and this can cause the death of white maize[40]. Most areas of aforementioned provinces will see reduced white maize potential suitable areas. In contrast, Northern and Northwestern provinces at high altitudes, potential suitability areas of white maize will increase as temperature increases to its suitable limit.

Cassava can tolerant to both poor soil and harsh climate conditions[15]. Under current climate conditions, it is mainly grown in marginal, low-fertility acidic soils under variable rain-fed conditions (less than 600 mm precipitation per year). That is due to people's preference for maize, and its remarkable tolerance to nature stress and adverse environments in contrast with input-demanding and capital intensive cereal crops, such as maize and wheat [13]. This leads to no enough excellent farmland for cassava. Given cassava is a root crop, it can be continuously harvested. It can also maintain high photosynthetic potential in aridity environments with sporadic rainfall[15]. Besides, it prefers high temperature [41]. It is hence generally benefit from the increasing temperature caused by changing climate. From the result obtained by simulation model, we concluded that cassava's potential suitability areas expand into almost the whole country as temperature increases, except for the south edge of

Zambia where temperature sharply increases and exceeds the threshold. The expansion scenario is also supported by the sufficient rainfall around the country under future scenarios.

For sorghum, the potential suitability area is decreased as a result of warming in the south and west part of Zambia. In contrast the potential suitability of areas of sorghum in Northern, Northwestern and Central provinces are increased. If temperature raises less than 2°C, rainfall change can modulate the magnitude of the negative impact, but the warmer temperature exceeds 2°C in the whole Zambia, negative impacts caused by temperature rise cannot be mitigated by any rainfall increase [39]. Thus sorghum potential suitability areas northward migration is the result of temperature and precipitation increase caused by climate change.

The impact of climate change on crop planting and production may be a slow process, but the consequence is irreversible [7, 25]. In order to minimize the negative effects of climate, the stakeholders and society should formulate and implement adaptive measures.

There are two aspects of strategies we can take into consideration, one is national level strategies the other is farm level adaptations strategies. The former aspect including rural credit facility strategies, output products strategies, investment technological innovations and expansion of irrigation, and so on. Although relatively costly national strategies may effectively moderate negative impacts and result in biggest benefits, considering the limitations of subsistence farmers, it difficult to implement for smallholders, thus, suggest that small-scale and affordable solutions for subsistence farmers would be more benefit [1, 7, 42]. We focus on the second aspect, some inexpensive strategies that smallholders can implement based on this study. Firstly, switch crops from less potential suitable areas to higher potential suitable areas. Maize should be planted in most of the Northwest, Center and Northern provinces in the future. Sorghum should be planted move to northward, in Northwest, Center, Northern and Southern provinces. Cassava as a hot resistant crop, as temperature increase its suitable area scope will expand to the whole country. Secondly, cassava grows better than other staples including potato, maize, beans, sorghum, millet, banana from 24 climate different prediction models [43]. Cassava as

the underutilized crop, following its high suitability in the future in Zambia, it is recommended to improve cassava availability. We have identified cassava as a key crop for the future from this study also from other former studies [43].

## 4 Conclusions

In this study, we simulated the distribution of white maize, cassava and sorghum under both current and future climate scenarios in Zambia. Our results indicate that, the suitability of these three crops will increase in high altitude regions in the northern Zambia; the growing temperature will have negative impacts on maize whereas cassava will benefit, and sorghum suitable areas will migration to the north of Zambia.

We outlined agricultural adaptation strategies in this study, that is, sorghum should be planted move to northward to the high altitude provinces; cassava as a hot resistant crop, its suitable area should be expanded to the whole country; reduce maize cultivation area.

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