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Longitudinal Parameter Estimation in 3D Electromechanical Models: Application to Cardiovascular Changes in Digestion

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Abstract. Computer models of the heart are of increasing interest for clinical applications due to their discriminative and predictive abilities. However the number of simulation parameters in these models can be high and expert knowledge is required to properly design studies involving these models, and analyse the results. In particular it is important to know how the parameters vary in various clinical or physiological settings. In this paper we build a data-driven model of cardiovascular parameter evolution during digestion, from a clinical study involving more than 80 patients. We first present a method for longitudinal parameter estimation in 3D cardiac models, which we apply to 21 patient-specific hearts geometries at two instants of the study, for 6 parameters (two fixed and four time-varying parameters). From these personalised hearts, we then extract and validate a law which links the changes of cardiac output and heart rate under constant arterial pressure to the evolution of these parameters, thus enabling the fast simulation of hearts during digestion for future patients.

1 Introduction

The main function of the heart is to create the necessary blood flow through the cardiovascular system, so that the oxygen supply of all the organs meets their needs. When an organ or a part of the body needs more energy (such as the muscles during exercise, or the digestive system during digestion), the heart rate and the blood flow increase because the overall demand in oxygen is higher.

The main changes in the cardiac function leading to an increase of the cardiac output are an increased heart rate, a decreased action potential duration and an increased contractility (positive inotropy). When the cardiac output increase is small (such as digestion or a mild exercise), the systolic pressure usually

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increases but the diastolic pressure is constant, the latter being a consequence of the dilation of the arteries which lowers the arterial resistance [1]. Those qualitative changes are well-known, but are rarely quantified in the context of 3D cardiac electromechanical models, in part because most studies only involve personalisations on a single beat only (see [2] for a complete review).

A clinical study was performed in [3] to assess the cardiovascular response to a food stress protocol, involving the ingestion of a high-energy meal after fasting for 12h. From the data of this study, we propose a consistent estimation of patient-specific 3D cardiac electromechanical models at two different instants of the protocol (pre-ingestion and $t+1h$). We first calibrate both the biomechanical parameters which are constant in time (such as the myocardial fibre directions) and time-varying (such as the arterial resistance) from the pre-ingestion measurements and heart motion extracted from the MRI. Then, we re-estimate values of the time-varying parameters (contractility and haemodynamics parameters) to reproduce changes in cardiac output and blood pressure at the second instant.

From these personalised simulations, we analyse the trends of the estimated parameters in relation to known physiological changes during mild exercise [4, 5]. Finally, we build a law of evolution of the biomechanical parameters which leads to arbitrary changes of both the simulated cardiac output and stroke volume, while maintaining the same mean and diastolic pressure. The good accuracy of this law, which we validate with cross-validation over the 21 patients, then opens the door to the fast simulation of hearts during digestion in future patients.

2 Clinical Study and Data

More than 80 patients participated to a clinical study to assess the cardiovascular response after the ingestion of a high-energy (1635 kcal), high-fat (142g) meal after fasting for 12h, following the stress protocol in [3]. Informed consent was obtained from the subjects and the protocol was approved by the local Research Ethics Committee. An objective of the study was to analyze the evolution of blood flow toward the various organs of the body. In particular, a short axis cardiac cine MRI sequence was acquired before the ingestion, as well as measurements of the stroke volume, systolic, diastolic and mean cuff pressures at several time points within 1h of the ingestion of the meal. Two instants are considered in particular: T_1 which is before the meal ingestion, and the latest measurement time T_2 around 1h after ingestion, which also corresponds to the peak of the increased cardiac activity.

Overall (see Table 1), an increase of both the Heart Rate (HR) and the Cardiac Output (CO) of around 17% was observed. There were no significant changes in the values of the Systolic, Diastolic and Mean cuff pressure (SP, DP, MP) during the 1h process of digestion (beyond the intra-patient variability of the measurements). Finally the Stroke Volume (SV) was constant on average but the measurement showed a high inter-patient variability of the evolution (11%).

Additionally, we tracked the boundaries of the endocardium over the entire cine MRI sequence acquired at T_1 , then extracted from this sequence a point at

| | SP (mmHg) | DP (mmHg) | MP (mmHg) | SV (mL) | CO (L/min) | HR (bpm) |
|-------------------|-----------|-----------|-----------|--------------|--------------|--------------|
| Mean | 117.13 | 60.95 | 84.16 | 92.11 | 6.17 | 67.65 |
| Std. | 9.99 | 6.45 | 5.98 | 19.69 | 1.34 | 10.25 |
| Mean Δ (%) | - | - | - | -0.10 | 17.58 | 17.76 |
| Std. Δ (%) | - | - | - | 11.43 | 17.74 | 13.19 |

Table 1: Statistics of the measurements and their evolution Δ between T_1 and T_2 (in percentage of the value at T_1). Systolic, Diastolic and Mean cuff Pressure (SP, DP, MP), Stroke Volume (SV), Cardiac Output (CO) and Heart Rate (HR).

the apex of the left ventricle and one at the top of the left ventricle septum. This was used to calculate the *Septal Shortening* (SS) as the maximal shortening of the distance between these two points during the cycle. It has an average value of -17% and a standard deviation of 3.7% across the population.

3 Patient-Specific Cardiac Modelling

3.1 3D Electromechanical Cardiac Model

We performed 3D cardiac modelling for 21 of these patients. A high-resolution biventricular tetrahedral mesh of the patient’s heart morphology was extracted as in [6] from the pre-ingestion MRI at T_1 , made of around 15 000 nodes. On this mesh, a *myocardial fibre direction* can be defined at each node of the mesh (see Fig 1a), by varying the elevation angles of the fibre across the myocardial wall from α_1 on the epicardium to α_2 degrees on the endocardium. In this paper, α_2 is set at the default value of 90° and α_1 is a variable parameters in our experiments.



Fig 1a: 3D heart geometry with myocardial fiber direction

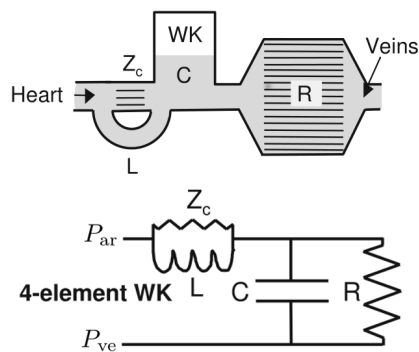


Fig 1b: Schema and rheological model and of the windkessel model (figure from [7])

The depolarization times across the myocardium were computed with the Multi-front Eikonal method [8]. The APD is set from the Heart Rate with classical values of the restitution curve and default values of conductivities are used as in [9]. Myocardial forces are computed based on the Bestel-Clement-Sorine model as detailed in [10]. It models the forces as the combination of an active contraction force in the direction of the fibre, in parallel with a passive anisotropic hyperelasticity driven by the Mooney-Rivlin strain energy. In this paper, we only consider two main parameters of the model: the *Maximal Contractility* σ and the *Passive Stiffness* c_1 . Finally for the haemodynamics, the pressure in the cardiac chambers are described by global values, and the mechanical equations are coupled with a circulation model implementing the 4 phases of the cardiac cycle [11].

In particular the pressure of the aortic artery P_{ar} (cardiac after-load) is modeled with a 4-parameter Windkessel model [7], which describes the evolution of arterial blood pressure with the second-order equation of an electric circuit (see Fig 1b). The blood inertia is modeled by the inductance L , the arterial compliance by a capacity C and the proximal and distal (peripheral) resistances respectively by a resistance Z_C and R (see Fig 1b). Finally, the venous pressure P_{ve} models the mean pressure in the venous system. In the following, Z_C and L are fixed at a default value (see [11]) while C , R and P_{ve} are variable parameters.

3.2 Longitudinal Parameter Estimation

After building the heart mesh geometry, *parameter estimation* is the next step in order to have model simulations which reproduce the available data. Considering a set of simulated quantities called the "outputs" O (such as the Stroke Volume or the Mean Pressure for example), and a set of model parameters P , it consists in finding adequate values \mathbf{x} of the parameters such that the output values $O(\mathbf{x})$ in the 3D model simulation fit the "target values" \hat{O} available in the data. This is done by performing an optimization of the parameter values \mathbf{x} in order to minimize a distance $S(x, \hat{O}) = \|O(x) - \hat{O}\|_S$ between the simulated values $O(\mathbf{x})$ and the target values \hat{O} (normalised to compare quantities with different units).

For each patient, we have here measurements of different *varying* quantities at the two instants T_1 and T_2 (such as the stroke volume and the heart rate), so we need to estimate different values for some cardiac model parameters (in particular the haemodynamic parameters) at these two instants. On the other hand, during the time-scale of the study (1h on average), some parameters of the cardiac model can be considered *constant*. This is the case of the Epicardial Fibre Elevation Angle α_1 for example, or the cardiac stiffness c_1 . In order to have consistent sets of estimated parameters at these two different instants, we need to use the same values for these parameters at these two instants.

To that end, we perform a two-step parameter estimation. First, we estimate values of both the fixed and varying parameters from the data at T_1 . Then we reuse the estimated values of the fixed parameters for T_2 and estimate new values for the varying parameters only, from the data at T_2 . As summarized in Table,

we then have two distinct *Parameter Estimation problems*: the estimation of 6 parameters values in order to fit 4 target output values at T_1 (with the heart rate of the simulations set to its value at T_1). Then the estimation of 4 parameters values in order to fit 3 target output values at T_2 (with the heart rate at T_2).

| Estimated Parameters at T_1 | Target Outputs at T_1 |
|---|---------------------------|
| <i>Passive Stiffness</i> c_1 | Septal Shortening |
| <i>Epicardial Fibre Elevation Angle</i> α_1 | Stroke volume at T_1 |
| <i>Maximal Contractility</i> σ | Aortic Diastolic Pressure |
| <i>Aortic Peripheral Resistance</i> R | Aortic Mean Pressure |
| <i>Aortic Compliance</i> C | |
| <i>Venous Pressure</i> P_{ve} | |
| Estimated Parameters at T_2 | Target Outputs at T_2 |
| <i>Maximal Contractility</i> σ | Stroke volume at T_2 |
| <i>Aortic Peripheral Resistance</i> R | Aortic Diastolic Pressure |
| <i>Aortic Compliance</i> C | Aortic Mean Pressure |
| <i>Venous Pressure</i> P_{ve} | |

Table 2: Estimated Parameters and Target Outputs in the parameter estimations at T_1 and T_2 . Constant parameters whose values are reused for the estimation at T_2 are outlined in bold. The heart rate in the simulations for the estimation at T_1 (resp T_2) correspond to the measured value at T_1 (resp T_2).

The optimisation was performed with an extended version of the framework described in [12]: the main algorithm is the CMA-ES genetic algorithm, which asks at each iteration for the score of a high number of 3D simulations. Instead of actually computing all these 3D simulations, we only compute a few within the parameter space ($2N + 1$ where N is the number of estimated parameters). Then we build a "low-fidelity" surrogate model [13] from these simulations which allows to approximate the outputs of the 3D simulations for many successive iterations of the algorithm, without performing all the 3D simulations. This robust and efficient "multifidelity optimization" allows a very fast exploration of large parameter sets with a low computational cost. In particular for the two problems at T_1 and T_2 , we performed the optimization for the 21 patients simultaneously and the convergence was reached in around two days.

4 Exploitation of Estimated Parameters

4.1 Analysis of Parameter Trends in the Population

Across the 21 patients and the two estimations, the average *fit error* on the target output values are 1.9 mL for the Stroke Volume, 1% for the Septal Shortening, and 0.1 mmHg for both the mean and diastolic pressures, with few outliers. As

a consequence of this step, we now have a population of 21 personalised patient hearts at two instants. For each parameter, we report in Table 3 the mean and standard deviation of its estimated values at T_1 across the 21 patients, as well as the mean of its *evolution* Δ between the instants T_1 and T_2 (difference between the values estimated at T_2 and T_1).

| | c_1 (kPa) | α_1 (°) | σ (MPa) | P_{ve} (mmHg) | R (MPa.m ³ .s) | C (MPa ⁻¹ .m ⁻³) |
|---------------|--------------------|----------------|----------------|-----------------|-----------------------------|---|
| Mean | 54.2e ¹ | -58.7 | 82.6 | 48.3 | 47.4 | 6.23e ⁻³ |
| Std. | 27.7e ¹ | 2.94 | 34.0 | 12.9 | 17.2 | 1.98e ⁻³ |
| Mean Δ | - | - | -1.52% | 6.93% | -14.2% | -7.30% |

Table 3: Statistics of the estimated parameters and of the difference Δ between estimated parameters at T_1 and T_2

The first remark is that on average, the parameters R which models the arterial peripheral resistance decreases by 14%. This was expected and corresponds to findings in [3]. In a clinical setting the peripheral resistance is indeed computed as the ratio between the blood flow and the blood pressure, and a similar relationship can be derived in the model: as shown in Fig 2a the ratio $(MP - P_{ve})/CO$ is almost exactly equal to the peripheral resistance R in our simulations. Across the population, since the cardiac output CO increases by around 17% but the pressures are constant, the peripheral resistance has to decrease by a close number (14.2% here) on average.

We then notice both an average increase of the venous pressure P_{ve} and decrease of the arterial compliance C . These two trends can be explained as to compensate the decrease of the resistance and avoid a drop in the mean blood pressure. Indeed, in the model, a decrease of R leads to a decrease of the "characteristic time" $\tau = RC$ at which the blood pressure decreases from the systolic pressure to the "asymptotic pressure" P_{ve} . A decrease of R only leads then to a decrease of the mean pressure. On the other hand, a decrease of C leads to an increase of the "pulse pressure" (difference between systolic and diastolic pressure) since C links an increase of arterial volume to an increase of arterial pressure with the formula $C\Delta P = \Delta V$ (a less compliant aorta has a higher pulse pressure for the same stroke volume). This contributes to the increase of the mean pressure (see Fig 2b), and it is also the case of the increase of P_{ve} . Interestingly, we can note that these two trends (decrease of the arterial compliance and increase of venous pressure) in parameters correspond to actual cardiovascular phenomena which are commonly observed during exercise [4, 5].

Finally, we can also observe a high correlation between changes in the Maximal Contractility σ and changes in the ejected volume, as shown in Fig 2c. This is also a known phenomenon in cardiac dynamics, in particular at the core of the Starling Effect.

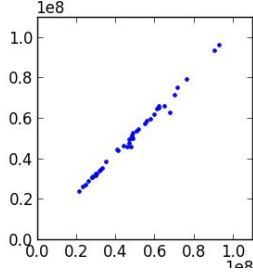


Fig 2a: $(MP-P_{ve})/CO$ as a function of R

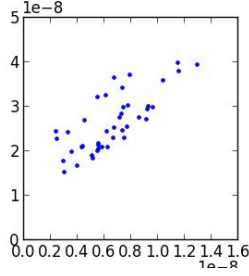


Fig 2b: $SV/(MP-DP)$ as a function of C

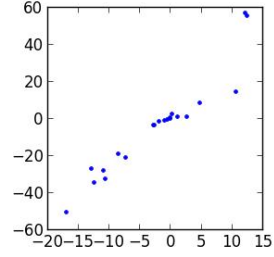


Fig 2c: $\Delta\sigma$ (%) as a function of ΔSV (%)

4.2 Parameter Evolution Law

From this data and the estimated parameters, we then build a law f which, from a given simulation, gives *variations of the electromechanical parameters* σ , P_{ve} , R and C which leads to a new simulation with *prescribed changes in heart period (HP) and stroke volume (SV)* while having **same mean and diastolic pressures**: $f(\Delta HP, \Delta SV) = (\Delta\sigma, \Delta P_{ve}, \Delta R, \Delta C)$

This is done by computing a multivariate regression between the changes (in %) in Heart Rate and Stroke Volume and the changes in the estimated parameters values at the two instants T_1 and T_2 , for the 21 patients. We report in Table 4 the coefficients of this multivariate regression:

Table 4: Coefficient of the multivariate regression f

| | $ \Delta\sigma$ | $ \Delta P_{ve}$ | $ \Delta R$ | $ \Delta C$ |
|-------------|-----------------|------------------|-------------|-------------|
| ΔHP | -0.02 | -0.15 | 1.20 | 0.51 |
| ΔSV | 3.05 | 0.52 | -1.04 | 1.19 |

The predicted variations of parameters with the variations of the heart period (ΔHP) are consistent with the mean variations across the population described earlier. Interestingly with the coefficients of the second row (ΔSV), we can also note how the parameters have to change for an increase in Stroke Volume only with constant pressures.

We finally tested the accuracy of this law with a *leave-one-out* approach: for each patient, we computed the regression f from the data and estimated parameters of all the others patients. Then we changed the baseline parameters (at T_1) of this patient with the parameters predicted from f , and simulated the Pressure and Stroke Volume values at T_2 . The obtained results were accurate: on average, the target Stroke Volume at T_2 was predicted within 1.9 mL and the mean absolute variations in Diastolic and Mean Pressure were within 2.1mmHG, which is beyond the variability of both the intra-patient and population variabilities.

5 Conclusion and Discussion

In this manuscript we performed a consistent longitudinal estimation of cardiac model parameters for 21 patient-specific hearts at two different instants within a 1h time span, from clinical data. This was done through two successive parameter estimation problems: we first estimated 6 parameters to fit the simulated Stroke Volume, the Septal Shortening and the Mean and Diastolic Pressures to their values at the first instant. Then we reused the estimated values of the fixed parameters at this step and performed a second estimation of 4 parameters to fit values of Stroke Volume and Pressures at the second instant. This was done in parallel for the 21 hearts in around two days and a maximum of 150 simulations of the 3D model per patient.

From those personalised hearts, we identified relationships between the estimated parameters and the simulated pressure and volume outputs, and linked their evolution between these two instants to classical physiological phenomena. Then we extracted a law which computes changes of electromechanical parameters from changes of stroke volume and heart rate with constant pressure. This law allows in particular to easily simulate the changes observed between the two instants without having to perform the parameter estimation step at the second instant. This was evaluated in a leave-one-out test and showed that it can predict accurately changes in the model parameters.

A first direct continuation of this work would be to quantify (from further data) to what extent this law holds for changes of cardiac outputs which are more important (digestion can be seen as a 'mild' exercise and it is known for example that blood pressure rises during more intense exercises). Finally, for future patients, it could also be interesting to evaluate to what extent the changes in both the Stroke Volume and the Heart Rate can be predicted, and use our law to simulate the predicted heartbeats.

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