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Gomory Hu Tree and Pendant Pairs of a Symmetric Submodular System

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Abstract. Let $\mathcal{S} = (V, f)$, be a symmetric submodular system. For two distinct elements s and l of V , let $\Gamma(s, l)$ denote the set of all subsets of V which separate s from l . By using every Gomory Hu tree of \mathcal{S} we can obtain an element of $\Gamma(s, l)$ which has minimum value among all the elements of $\Gamma(s, l)$. This tree can be constructed iteratively by solving $|V| - 1$ minimum sl -separator problem. An ordered pair (s, l) is called a pendant pair of \mathcal{S} if $\{l\}$ is a minimum sl -separator. Pendant pairs of a symmetric submodular system play a key role in finding a minimizer of this system. In this paper, we obtain a Gomory Hu tree of a contraction of \mathcal{S} with respect to some subsets of V only by using contraction in Gomory Hu tree of \mathcal{S} . Furthermore, we obtain some pendant pairs of \mathcal{S} and its contractions by using a Gomory Hu tree of \mathcal{S} .

Keywords: Symmetric submodular system, Contraction of a system, Pendant pair, Maximum adjacency ordering, Gomory-Hu tree.

1 Introduction

Let V be a finite set. A set function $f : 2^V \mapsto \mathbb{R}$ is called a submodular function if and only if for all $X, Y \in 2^V$, we have

$$f(X) + f(Y) \geq f(X \cup Y) + f(X \cap Y). \quad (1)$$

Submodular functions play a key role in combinatorial optimization, see [3] for further discussion. Rank functions of matroids, cut capacity functions and entropy functions are some well known examples of submodular functions. For a given system $\mathcal{S} = (V, f)$, let $f : 2^V \mapsto \mathbb{R}$ be a submodular function. The problem in which we want to find a subset $X \subseteq V$, for which $f(X) \leq f(Y)$ for all $Y \subseteq V$ is called submodular system minimization problem. Minimizing a submodular system is one of the most important problems in combinatorial optimization. Many problems in combinatorial optimization, such as finding minimum cut and minimum st -cut in graphs, or finding the largest common independent set in two matroids can be modeled as a submodular function minimization. Image segmentation [1, 8, 9], speech analysis [11, 12], wireless and power networks

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[20] are only a small part of applications of minimizing submodular functions. Grotchel, Lovasz and Scherijver have developed the first weakly and strongly polynomial time algorithm for minimizing submodular systems in [6] and [13], respectively. Each of them is designed based on the ellipsoid method. Then, nearly simultaneously Scherijver [18] and Iwata, Fleischer, and Fujishige [7] gave a combinatorial strongly polynomial time algorithms for this problem. Later, a faster algorithm for minimizing submodular system was proposed by Orlin [16]. To the best of our knowledge, the fastest algorithm to find a minimizer of a submodular system $\mathcal{S} = (V, f)$ is due to Lee et al [10]. Their algorithm runs in $O(|V|^3 \log^2 |V| \tau + |V|^4 \log^{O(1)} |V|)$ time, where τ is the time taken to evaluate the function.

Stoer and Wagner [19] and Frank [2] independently have presented an algorithm that finds a minimum cut of a graph $G = (V, E)$ in $O(|E||V| + |V|^2 \log |V|)$ time. Their algorithms are based on Nagamochi and Ibaraki's algorithm [15] which finds a minimum cut of an undirected graph. Queyranne [17] developed a faster algorithm to find a minimizer in a special case of a submodular system. This algorithm was proposed to find a minimizer of a symmetric submodular system $\mathcal{S} = (V, f)$. It is a generalization of Stoer and Wagner's algorithm [19] and runs in $O(|V|^3)$ time. This algorithm, similar to Stoer and Wagner's algorithm uses pendant pairs to obtain a minimizer of a symmetric submodular system.

For a given weighted undirected graph $G = (V, E)$, Gomory and Hu constructed a weighted tree, named as Gomory Hu tree [5]. By using a Gomory Hu tree of the graph G , one can solve the all pairs minimum st -cut problem with $|V| - 1$ calls to the maximum flow subroutine instead of the $\binom{|V|}{2}$ calls. Goemans and Ramakrishnan [4] illustrated that for every symmetric submodular system, there exists a Gomory-Hu tree. It is worth to mention that, there is neither an algorithm to construct a Gomory Hu tree of a symmetric submodular system by using pendant pairs nor any method to obtain pendant pairs of a symmetric submodular system by using a Gomory Hu tree of it.

In this paper, we obtain a Gomory Hu tree of a contraction of a symmetric submodular system $\mathcal{S} = (V, f)$, under some subsets of V only by using a Gomory Hu tree of \mathcal{S} . In other words, without solving any minimum st -separator problem of the contracted system, we obtain a Gomory Hu tree of it only by contracting the Gomory Hu tree of the original system. Furthermore, we obtain some pendant pairs of a symmetric submodular system \mathcal{S} and its contractions by using a Gomory Hu tree of \mathcal{S} .

The outline of this paper is as follows. Section 2 provides preliminaries and basic definitions. In Section 3, we obtain some pendant pairs of a symmetric submodular system by using a Gomory Hu tree of the system. In Section 4, we construct a Gomory Hu tree of a contracted system by using a Gomory Hu tree of the original system.

2 Preliminaries

Let V be a finite nonempty set. A function $f : 2^V \mapsto \mathbb{R}$ is called a set function on V . For every $X \subseteq V$, $x \in X$ and $y \in V \setminus X$, we use $X + y$ and $X - x$ instead of $X \cup \{y\}$ and $X \setminus \{x\}$, respectively. Also, for $x \in V$ we use $f(x)$ instead of $f(\{x\})$.

A pair $\mathcal{S} = (V, f)$, is called a system if f is a set function on V . A system $\mathcal{S} = (V, f)$ is called a submodular system if for all $X, Y \subseteq V$, we have

$$f(X) + f(Y) \geq f(X \cup Y) + f(X \cap Y). \quad (2)$$

Furthermore, it is called a symmetric system if for every $X \subseteq V$, we have

$$f(X) = f(V \setminus X). \quad (3)$$

Consider a symmetric submodular system $\mathcal{S} = (V, f)$. Suppose that A and B are two disjoint subsets of V . A subset $X \subseteq V$ is called an AB -separator in \mathcal{S} , if $X \cap (A \cup B) = A$ or $X \cap (A \cup B) = B$. Let $\Gamma(A, B)$ denote the set of all AB -separators in \mathcal{S} . A subset $X \in \Gamma(A, B)$ is called a minimum AB -separator in \mathcal{S} if $f(X) = \min_{Y \in \Gamma(A, B)} f(Y)$. If A and B are singletons $\{a\}$ and $\{b\}$, then we use ab -separator and $\Gamma(a, b)$ instead of $\{a\}\{b\}$ -separator and $\Gamma(\{a\}, \{b\})$, respectively.

Let $G = (V, E)$ be a weighted undirected graph with the weight function $w : E \mapsto \mathbb{R}^+ \cup \{0\}$. Suppose that X is a nonempty proper subset of V . The set of all edges connecting X to $V \setminus X$, is called the cut associated with X and is denoted by $\delta(X)$. The capacity of $\delta(X)$ is denoted by $C(X)$ and defined by

$$C(X) = \sum_{e \in \delta(X)} w(e). \quad (4)$$

By setting $C(\emptyset) = C(V) = 0$, (V, C) is a symmetric submodular system [14]. For two distinct vertices u and v of G , every minimum uv -separator of (V, C) is a minimum uv -cut of G .

Let $T = (V, F)$ be a tree and uv be an arbitrary edge of it. By $T - uv$, we mean the forest obtained from T by removing uv . The set of vertices of two components of $T - uv$ which respectively contain u and v , is denoted by $V_u(T - uv)$ and $V_v(T - uv)$. Also, for $uv \in F$, define $\mathcal{F}_u(T - uv) = \{X | u \in X \subseteq V_u(T - uv)\}$, $\mathcal{F}_v(T - uv) = \{X | v \in X \subseteq V_v(T - uv)\}$.

Suppose that X is a nonempty subset of vertices of a given graph $G = (V, E)$. We denote by $G_{>X<}$ the graph obtained from G by contracting all the vertices in X into a single vertex.

Let $\mathcal{S} = (V, f)$ be a symmetric submodular system. Suppose that $T = (V, F)$ is a weighted tree with the weight function $w : E \mapsto \mathbb{R}^+$. If for all $u, v \in V$, the minimum weight of the edges on the path between u and v in T is equal to minimum uv -separator in \mathcal{S} , then T is called a flow equivalent tree of \mathcal{S} . Also, we say that T has the cut property with respect to \mathcal{S} if $w(e) = f(V_u(T - uv)) = f(V_v(T - uv))$ for every $e = uv \in F$. A flow equivalent tree of \mathcal{S} is called a Gomory Hu tree of \mathcal{S} if it has cut property with respect to \mathcal{S} .

Consider a system $\mathcal{S} = (V, f)$. A pair of elements (x, y) of V is called a pendant pair for \mathcal{S} , if $\{y\}$ is a minimum xy -separator in \mathcal{S} .

Let $\mathcal{S} = (V, f)$ be a symmetric submodular system. Suppose that $\rho = (v_1, v_2, \dots, v_{|V|})$ is an ordring of the elements of V , where v_1 can be chosen arbitrarily. If for all $2 \leq i \leq j \leq |V|$, we have

$$f(V_{i-1} + v_i) - f(v_i) \leq f(V_{i-1} + v_j) - f(v_j), \quad (5)$$

where $V_i = \{v_1, v_2, \dots, v_i\}$, then ρ is called a maximum adjacency ordering (MA-ordering) of \mathcal{S} .

For a symmetric submodular system $\mathcal{S} = (V, f)$, Queyranne [17] showed that the last two elements $(v_{|V|-1}, v_{|V|})$ of an MA-ordering of \mathcal{S} , is a pendant pair of this system.

Let $\mathcal{S} = (V, f)$ be a system and X be an arbitrary subset of V . By $\varphi(X)$, we mean a single element obtained by unifying all elements of X . The contraction of \mathcal{S} with respect to a subset $A \subseteq V$ is denoted by $\mathcal{S}_A = (V_A, f_A)$ and defined by $V_A = (V \setminus A) + \varphi(A)$ and

$$f_A(X) = \begin{cases} f(X) & \text{if } \varphi(A) \notin X \\ f((X - \varphi(A)) \cup A) & \text{if } \varphi(A) \in X. \end{cases} \quad (6)$$

Suppose that A and B are two nonempty disjoint subsets of V . We denote by $(\mathcal{S}_A)_B$, the contraction of \mathcal{S}_A with respect to B .

3 Obtaining Pendant Pairs from a Gomory Hu tree

Stoer and Wagner [19] obtained a pendant pair of a weighted undirected graph $G = (V, E)$ by using MA-ordering in $O(|E| + |V| \log |V|)$ time. By generalizing their algorithm to a symmetric submodular system $\mathcal{S} = (V, f)$, Queyranne [17] obtained a pendant pair of this system in $O(|V|^2)$ time.

In this section, by using the fact that there exists a Gomory Hu tree for a symmetric submodular system $\mathcal{S} = (V, f)$, we obtain some pendant pairs of it from a Gomory Hu tree of this system. Also, we show that a Gomory Hu tree of a symmetric submodular system can be constructed by pendant pairs. Firstly, we prove the following lemma.

Lemma 1. *Let $T = (V, F)$ be a flow equivalent tree of a symmetric submodular system $\mathcal{S} = (V, f)$ with the weight function $w : E \mapsto \mathbb{R}^+$. If $e = uv$ is an arbitrary edge of T , then for every $A \in \mathcal{F}_u(T - uv)$ and $B \in \mathcal{F}_v(T - uv)$, we have $w(e) \leq \min\{f(X) | X \in \Gamma(A, B)\}$.*

Proof. Since T is a flow equivalent tree of \mathcal{S} , then the value of a minimum uv -separator in \mathcal{S} is equal to $w(e)$. In other words $w(e) = \min\{f(X) | X \in \Gamma(u, v)\}$. Since $\mathcal{F}_u(T - uv)$ and $\mathcal{F}_v(T - uv)$ are two subsets of $\Gamma(u, v)$, then $w(e) \leq \min\{f(X) | X \in \Gamma(A, B)\}$.

Theorem 1. *Let $T = (V, F)$ be a Gomory Hu tree of a symmetric submodular system $\mathcal{S} = (V, f)$ with the weight function $w : E \mapsto \mathbb{R}^+$. If $e = uv$ is an arbitrary edge of T , then for every $A \in \mathcal{F}_u(T - uv)$ and $B \in \mathcal{F}_v(T - uv)$, $V_v(T - uv)$ is a minimum AB -separator in \mathcal{S} .*

Proof. Since T has the cut property, then $w(e) = f(V_v(T - uv))$. Now, according to Lemma 1 we have $f(V_v(T - uv)) \leq \min\{f(X) | X \in \Gamma(A, B)\}$. On the other hand, $V_v(T - uv)$ is one of the elements of $\Gamma(A, B)$ then $f(V_v(T - uv)) = \min\{f(X) | X \in \Gamma(A, B)\}$, and the proof is completed.

The following theorem is immediate from Theorem 1.

Theorem 2. *Let $T = (V, F)$ be a Gomory Hu tree of a symmetric submodular system $\mathcal{S} = (V, f)$. If $e = uv$ is an arbitrary edge of T , then $(\varphi(A), \varphi(V_v(T - uv)))$ for every $A \in \mathcal{F}_u(T - uv)$ is a pendant pair of $(\mathcal{S}_A)_{V_v(T - uv)}$.*

Theorem 2 shows that every Gomory Hu tree of a symmetric submodular system can be obtained by using pendant pairs. We know that every Gomory Hu tree of a symmetric submodular system \mathcal{S} is a flow equivalent tree having the cut property. We show by an example that Theorem 2 is not necessarily true for every flow equivalent tree of \mathcal{S} . Let $V = \{1, 2, 3, 4\}$. Consider the symmetric submodular system $\mathcal{S} = (V, f)$ presented in Table 1.

Table 1. A symmetric submodular system $\mathcal{S} = (V, f)$.

A	$\{1\}$	$\{2\}$	$\{3\}$	$\{4\}$	$\{1, 2\}$	$\{1, 3\}$	$\{1, 4\}$	V
$f(A) = f(V \setminus A)$	4	6	3	5	4	5	9	0

It can be shown that the tree $T = (V, f)$ depicted in Figure 1 is a flow equivalent tree of \mathcal{S} . Suppose that $w : E \mapsto \mathbb{R}^+$ is the weight function of T . By considering the edge $e = 24$ of T , we have $V_4(T - 24) = \{4\}$. Now, choose the element $\{2\}$ from $\mathcal{F}_2(T - 24)$. According to Figure 1, $\{4\}$ is a minimum 24 -cut and $w(24) = 4$. Since T is a flow equivalent tree of \mathcal{S} , then the value of minimum 24 -separator in \mathcal{S} is equal to 4. However, in the given system we have $f(\{4\}) = 5$. Therefore, $(2, 4)$ cannot be a pendant pair of \mathcal{S} .

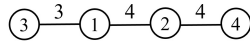


Fig. 1. Flow Equivalent tree of \mathcal{S} .

Theorem 3. *Let $T = (V, F)$ be a flow equivalent tree of a symmetric submodular system $\mathcal{S} = (V, f)$ with the weight function $w : F \mapsto \mathbb{R}^+$. If for every edge $e = uv$*

of T , there exists a set $A \in \mathcal{F}_u(T - uv)$ such that $(\varphi(A), \varphi(V_v(T - uv)))$ is a pendant pair of $(\mathcal{S}_A)_{V_v(T - uv)}$, then T is a Gomory Hu tree.

Proof. Since for every $e = uv$ of T , there exists a subset A in $\mathcal{F}_u(T - uv)$, that $(\varphi(A), \varphi(V_v(T - uv)))$ is a pendant pair of $(\mathcal{S}_A)_{V_v(T - uv)}$ then $w(e) = f(V_v(T - uv))$. Thus, T has the cut property. Therefore, T is a Gomory Hu tree of \mathcal{S} .

In the rest of this section, we will prove some properties of pendant pairs of a system.

Theorem 4. *Let (s, l) be a pendant pair of a system $\mathcal{S} = (V, f)$. For every $A \subseteq V \setminus \{s, l\}$, (s, l) is a pendant pair of \mathcal{S}_A .*

Proof. Since (s, l) is a pendant pair of \mathcal{S} , then $f(l) = \min\{f(X) | X \in \Gamma(s, l)\}$. From (6) we have $f_A(l) = \min\{f_A(X) | X \in \Gamma(s, l)\}$.

Thus, (s, l) is a pendant pair of \mathcal{S}_A . The proof is completed.

Note that, the converse of Theorem 4 is not generally true. Consider the given system in Table 1. Table 2 contains MA-orderings of \mathcal{S} and $\mathcal{S}_{\{1,3\}}$ and also pendant pairs, obtained from these MA-orderings.

Table 2. MA-orderings of \mathcal{S} and $\mathcal{S}_{\{1,3\}}$.

system	MA-ordering	Pendant Pair
\mathcal{S}	4, 2, 1, 3	(1, 3)
$\mathcal{S}_{\{1,3\}}$	13, 2, 4	(2, 4)

It can be observed that (2, 4) is a pendant pair of $\mathcal{S}_{\{1,3\}}$; however, it is not a pendant pair of \mathcal{S} .

Proposition 1. *If (s, l) is a pendant pair of a system $\mathcal{S} = (V, f)$, then (l, s) is a pendant pair of \mathcal{S} iff $f(l) = f(s)$.*

Proof. Let (l, s) be a pendant pair of \mathcal{S} . Thus, $f(l) = \min\{f(X) | X \in \Gamma(s, l)\}$. Since (s, l) is also a pendant pair of \mathcal{S} , then $f(s) = f(l)$. Now, suppose that $f(s) = f(l)$. Since (s, l) is a pendant pair of \mathcal{S} , then (l, s) is also a pendant pair of \mathcal{S} .

4 Gomoru Hu Tree of the Contraction of a System

Let $T = (V, F)$ be a tree. A subset $X \subseteq V$ is called a T -connected subset of V , if the graph induced by X in T is a subtree. The following theorem shows that by having a flow equivalent tree T of a symmetric submodular system $\mathcal{S} = (V, f)$, we can easily obtain a flow equivalent tree of \mathcal{S}_X for every T -connected subset of V .

Theorem 5. *Let $T = (V, F)$ be a flow equivalent tree of a symmetric submodular system $\mathcal{S} = (V, f)$. If X is a T -connected subset of V , then $T_{>X<}$ is a flow equivalent tree of $\mathcal{S}_X = (V_X, f_X)$.*

Proof. Let u and v be two distinct elements of V_X . Consider the path P_{uv} connecting u and v in T . Suppose that $T' = (V', F')$ is the induced subtree by X in T . Let E' be the set of edges in P_{uv} with the minimum weight. If $E' \not\subseteq F'$, then there is nothing to prove. Now, suppose that E' is a subset of F' . Assume that $m_1 = \min\{f_X(A) | A \in \Gamma(u, \varphi(X))\}$, $m_2 = \min\{f_X(A) | A \in \Gamma(\varphi(X), v)\}$ and $m^* = \min\{f_X(A) | A \in \Gamma(u, v)\}$. Obviously, the values of the minimum $u\varphi(X)$ -cut, the minimum $\varphi(X)v$ -cut and the minimum uv -cut in tree $T_{>X<}$ are equal to m_1 , m_2 and $\min\{m_1, m_2\}$, respectively. Thus, to show that $T_{>X<}$ is a flow equivalent tree of \mathcal{S}_X it suffices to prove that $m^* = \min\{m_1, m_2\}$. Let T' be a flow equivalent tree of \mathcal{S}_X and P'_{uv} be a path connecting u and v in T' . Now, we have two cases: case (i), $\varphi(X)$ is appeared in P'_{uv} . Therefore, the value of uv -separator in \mathcal{S}_X is equal to $\min\{m_1, m_2\}$ which is equal to the value of minimum uv -cut in $T_{>X<}$. Case (ii), if $\varphi(X)$ is not appeared in P'_{uv} , then we can easily conclude that the value of minimum uv -cut in T' and $T_{>X<}$ are equal. The proof is completed.

Theorem 6. *Let $T = (V, F)$ be a Gomory Hu tree of a symmetric submodular system $\mathcal{S} = (V, f)$. If X is a T -connected subset of V , then $T_{>X<}$ is a Gomory Hu tree of $\mathcal{S}_X = (V_X, f_X)$.*

Proof. According to Theorem 5, $T_{>X<}$ is a flow equivalent of \mathcal{S}_X . Furthermore, from (6), $T_{>X<}$ has the cut property. Then, $T_{>X<}$ is a Gomory Hu tree of \mathcal{S}_X .

Then, by having a Gomory Hu tree of a symmetric submodular system $\mathcal{S} = (V, f)$, we can find a Gomory Hu tree of the contracted system, with respect to a connected set X , without finding any minimum st -separators in \mathcal{S}_X . Also, we can deduce that $T_{>V_v(T-uv)<}$ is a Gomory Hu tree of $\mathcal{S}_{V_v(T-uv)}$.

Corollary 1. *Let $T = (V, f)$ be a Gomory Hu tree of a symmetric submodular system $\mathcal{S} = (V, f)$ and uv be an arbitrary edge of T . For every $A \in \mathcal{F}_u(T-uv)$, for which A is a connecting set in T , the tree $T_{>A \cup V_v(T-uv)<}$ is a Gomory Hu tree of $(\mathcal{S}_A)_{V_v(T-uv)}$.*

5 Conclusion

In this paper, we obtained some pendant pairs of a symmetric submodular system by using its Gomory Hu tree. Furthermore, for a contraction of \mathcal{S} with respect to a connected set, we constructed a Gomory Hu tree only by contracting the connected set in Gomory Hu tree of \mathcal{S} .

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