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Canonical Selection of Colimits

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Abstract. Colimits are a powerful tool for the combination of objects in a category. In the context of modeling and specification, they are used in the institution-independent semantics (1) of instantiations of parameterised specifications (e.g. in the specification language CASL), and (2) of combinations of networks of specifications (in the OMG standardised language DOL).
The problem of using colimits as the semantics of certain language constructs is that they are defined only up to isomorphism. However, the semantics of a complex specification in these languages is given by a signature and a class of models over that signature – not by an isomorphism class of signatures. This is particularly relevant when a specification with colimit semantics is further translated or refined. The user needs to know the symbols of a signature for writing a correct refinement.
Therefore, we study how to usefully choose one representative of the isomorphism class of all colimits of a given diagram. We develop criteria that colimit selections should meet. We work over arbitrary inclusive categories, but start the study how the criteria can be met with $\mathbb{S}et$-like categories, which are often used as signature categories for institutions.

1 Introduction

> "Given a species of structure, say widgets, then the result of interconnecting a system of widgets to form a super-widget corresponds to taking the *colimit* of the diagram of widgets in which the morphisms show how they are interconnected." [7]

Motivation The notion of colimit provides a natural way to abstract the idea that some objects of interest, which can be e.g. logical theories, software specifications or semiotic systems, are combined while taking into account the way they are related. Specification languages whose semantics involves colimits are CASL [16] (for instantiations of parameterised specifications) and its extension DOL (see [14,17] and `http://dol-omg.org`) (for combination of networks of specifications). Specware [24] provides a tool computing colimits of specifications that has been successfully used in industrial applications; [22] makes a strong case for the use of colimits in formal software development. The Heterogeneous Tool Set (HETS, [13]) also supports the computation of colimits, covering even the heterogeneous case [4]. Colimits have been used for ontology alignment [25]

and database integration [21]. Recently, colimits have provided the base mechanism for concept creation by blending existing concepts [10]. Moreover, colimits provide the basis for a good behaviour of parameterisation in a specification language [6].[4]

The problem that arises naturally when using colimits is that they are not unique, but only unique up to isomorphism. By contrast, the semantics of a specification involves a specific signature, which must be selected from this isomorphism class. Also, any implementation of colimit computation in a tool must make an according choice of how the colimiting object actually looks, in particular when it comes to the names of its symbols. Otherwise, users have no control over the well-formedness of further specifications built from the colimit: Referring to symbols of the colimit is only possible with knowledge about the actual symbol names appearing in the colimit. To be useful in practice, it is desirable that such a choice appears natural to the user. For example, the names of original symbols should be preserved whenever possible.

Contribution Our contribution is two-fold. Firstly, we develop a suite of properties that can be used to evaluate and classify different colimit selections. All of these are motivated by the desire that parameterisation and combination of networks enjoy good properties. We show that these properties, although all desirable, cannot be realised at once. Secondly, we give solutions for systematically selecting colimits in various signature categories that provide good trade-offs between these conflicting properties.

Related work The semantics of CASL [1,12] provides some method for the computation of specific pushouts. However, the chosen institutional framework (institutions with a lot of extra infrastructure) is rather complicated, while we use the much more natural framework of inclusive categories. Moreover, desirable properties of pushouts are only discussed casually. Rabe [18] discusses three desirable properties of selected pushouts and conjectures that they are not reconcilable. We shed light on this conjecture and provide a total selection of pushouts, while [18] only provides a partial selection. In the context of Specware, colimits are computed as equivalence classes [22]. The systematic investigation of selected colimits (i.e. beyond pushouts) is new to our knowledge.

Overview In Section 2, we recall some preliminaries as well as language constructs from CASL and DOL that involve colimits in their semantics. Then we develop criteria for elegant colimit selection in Section 3. In Section 4, we give colimit selections for various categories. We will also see that not every category admits a selection that satisfies all desirable properties. Therefore, we pursue a second goal in Section 5, namely to find useful categories for which we can give particularly elegant selections.

[4] When we use the term *specification*, our theory applies equally to *ontologies* and *models*, provided these have a formal semantics as theories of some institution.

Some proofs have been omitted here. They were available during review. The full version of the paper can be found under https://arxiv.org/abs/1705.09363.

2 Preliminaries

2.1 Categories with Symbols

The large variety of logical languages in use can be captured at an abstract level using the concept of *signature categories*. The objects of such a category are signatures which introduce syntax for the domain of interest, and the signature morphisms capture relations between signatures such as changes of notation, extensions, or translations. For example, signature categories feature heavily in the framework of *institutions* [8], where they are the starting point for abstractly capturing the semantics of logical systems and developing results independently of the specific features of a logical system.

In institutions and similar frameworks, the signature category is abstract, i.e., it is an arbitrary category. In practice, some properties of signature categories have emerged that are satisfied by the over-whelming majority of logical systems, and that are very helpful for establishing generic results.

For colimits, two properties are particularly important:

Definition 1 ([3]). *An* ***inclusive category*** *consists of a category* $\mathbf{C}$ *with a broad subcategory*[5] *that is a partially ordered class.*

The morphisms of the broad subcategory are called ***inclusions****, and we write* $A \hookrightarrow B$ *if there is an inclusion from* A *to* B*. We denote the (quasi-)category of inclusive categories and inclusion preserving functors by* $\mathbb{I}\mathbb{C}at$*.*

In particular, $\mathbb{S}et$ is an inclusive category via the standard inclusions $A \hookrightarrow B$ iff $A \subseteq B$. Arbitrary categories can be recovered by using the identity relation as the partial order.

Definition 2. *An (inclusive)* category with symbols *consists of an (inclusive) category* $\mathbf{C}$ *and an (inclusion-preserving) functor* $|_| : \mathbf{C} \to \mathbb{S}et$ *We call* $|A|$ *the set of symbols of* A*.*

In particular, $\mathbb{S}et$ is an inclusive category with symbols via $|A| = A$.

The intuition behind these definitions is that very often signatures can be seen as sets of named declarations. Then the subset relation defines the inclusion relation, and the names of the declarations define the set of symbols.

Signature categories are usually such that signatures that differ only in the choice of names are isomorphic. Then a key difficulty about colimits lies in selecting the set of names to be used in the colimit.[6]

[5] That is, with the same objects as $\mathbf{C}$.

[6] For inclusive categories for which the symbol functor uniquely lifts colimits, solving colimit selection for $\mathbb{S}et$ already suffices. However, the inclusive categories studied in Sect. 4.3 typically do not enjoy this property.

2.2 Specification Operators with Colimit Semantics

The power of the abstraction provided by institutions and related systems is best illustrated by the fact that languages like CASL and DOL provide syntax and semantics of specifications *in an arbitrary institution*. This is done by defining operators on specifications and morphisms.

A *basic specification* consists of a signature and a set of sentences[7]—called the *axioms*—over it. A kernel language of specification operators has been introduced in [19]. It includes union, renaming and hiding. CASL and DOL provide many further constructs.

The semantics of many of these operators can be defined as the colimit of a certain diagram. Therefore, such operators are often defined only up to isomorphism. In the sequel we recall important examples from CASL (parameterisation) and DOL (combination of networks).

Parametrisation Many specification languages, including CASL, allow specifications to be generic. A generic specification consists of a (formal) parameter specification P and a body specification B extending the formal parameter, i.e. $P \hookrightarrow B$. We make P explicit by writing $B[P]$.

A typical example is the specification $List[Elem]$ for lists parametrised by the specification $Elem$ which declares a sort $elem$.

Given an actual parameter specification A and a specification morphism $\sigma : P \to A$, we write the instantiation of $B[P]$ with A via σ as $B[A \textbf{ fit } \sigma]$. Its semantics is given by the pushout on the left below:

$$\begin{array}{ccc} P & \hookrightarrow & B \\ {\scriptstyle\sigma}\downarrow & & \downarrow \\ A & \hookrightarrow & B[A \textbf{ fit } \sigma] \end{array} \qquad \text{e.g.} \qquad \begin{array}{ccc} Elem & \hookrightarrow & List[Elem] \\ {\scriptstyle\sigma}\downarrow & & \downarrow \\ Nat & \hookrightarrow & List[Nat \textbf{ fit } elem \mapsto nat] \end{array}$$

The right hand side above gives a typical example where the specification Nat declares a sort nat that is used to instantiate the sort $elem$. Note that the above is also an example of how a pushout of an inclusion can often be selected as an inclusion again. We will get back to that in Def. 12.

A natural requirement is that the instantiated body $B[A \textbf{ fit } \sigma]$ extends the actual parameter A in much the same way as the body B extends the formal parameter P. For example, a sort $list$ introduced in the specification $List$ should be kept (and not renamed) within the instantiation $List[Nat \textbf{ fit } elem \mapsto nat]$. Technically, this means that the semantics should not be an *arbitrary* colimit. Similarly, the user would expect that any symbols declared in the body should appear verbatim in the instantiated body, unless they have been renamed by σ.

[7] It is straightforward but not essential here to make the notion of sentence precise.

Networks of Specifications In DOL, a network of specifications (called distributed specification in [15]) is a graph. Its nodes are labelled with pairs (O, SP) where SP is a specification and O its name. The edges are theory morphisms $(O_1, SP_1) \xrightarrow{\sigma} (O_2, SP_2)$, either induced by the import structure of the specifications, or by refinements.

A network is specified by giving a list of specifications O_i, morphisms M_i between them and sub-networks N_i, with the intuition that the graph of the network is the union of the graphs of all its elements.

`network N =`
$N_1, \ldots, N_m,$
$O_1, \ldots, O_n,$
$M_1, \ldots, M_p$

Now the operator **combine** takes a network and produces the specification given by the colimit of the graph.

Example 1. In the example below, the network N3 consists of the nodes S, T2, and U2 and two automatically added edges, which are the inclusions from S to T2 and U2. Thus, N3 is a span, and **combine N3** yields its pushout. Indeed, both occurrences of sort s from S are identified in the pushout.

In the network N4, we exclude one of the automatically added inclusions. Thus, N4 is a graph with one isolated node for T2 and one inclusion edge from S to U2. **combine N4** yields the disjoint union of T2 and U2. That means that the two occurrences of sort s from S are kept seperate.

```
spec S = sort s end
spec T2 = S then sort t end
spec U2 = S then sort u end
network N3 = S, T2, U2 end
network N4 = N3 excluding S -> T2 end
```

3 Desirable Properties of Colimit Selections

The central definition regarding colimit selection is the following:

Definition 3. *Given a category* $\mathbf{C}$*, a* selection of colimits *is a partial function* $\boldsymbol{sel}$ *from* $\mathbf{C}$*-diagrams* D *to cocones on* D *such that* $\boldsymbol{sel}(D)$*, if defined, is a colimit for* D*. If* $\boldsymbol{sel}$ *is only defined for pushouts, we speak of a selection of pushouts, and so on.*

While it is trivial to give *some* selection of colimits (e.g., by using the axiom of choice or by randomly generating names), it turns out that selecting colimits *elegantly* is a non-trivial task. For example, selecting a colimit may require inventing new names, or there can be multiple conflicting strategies for selecting names. [18] conjectures that it is not possible to select pushouts in a way that the selected pushouts are total, coherent, and enjoy natural names.

In this section, we introduce a suite of criteria for colimit selection. We work with an arbitrary inclusive category $\mathbf{C}$ with symbols.

3.1 Symbols of a Diagram

We are interested in selecting a colimit (C, μ_i) for a diagram $D : \mathbf{I} \to \mathbf{C}$. In most practically relevant signature categories, the construction of a colimit can be reduced to the construction of the colimit in $\mathbb{S}et$ of the corresponding sets of symbols. Because the colimit in $\mathbb{S}et$ amounts to taking a quotient of a disjoint union, we introduce the following auxiliary concept:

Definition 4 (Symbols of a Diagram). *Given a diagram $D : \mathbf{I} \to \mathbf{C}$, we define the set $\mathbf{Sym}(D)$ by*

$$\mathbf{Sym}(D) := \biguplus_{i \in |\mathbf{I}|} |D(i)| := \{(i, x) \mid i \in \mathbf{I}, x \in |D(i)|\}.$$

Moreover, we define the preorder $\leq_D$ on $\mathbf{Sym}(D)$ by

$$(i, x) \ \leq_D \ (j, |D(m)|(x)) \text{ for any } m : i \to j \in \mathbf{I}.$$

and we define $\sim_D$ to be the least equivalence relation containing $\leq_D$.

Given any colimit (C, μ_i) of D, we embed $\mathbf{Sym}(D)$ into $|C|$ by defining

$$\mu_D(i, x) := |\mu_i|(x)$$

Intuitively, $\mathbf{Sym}(D)$ contains the symbols of all nodes of D. $\sim_D$ defines which symbols must definitely be identified in the colimit:

Proposition 1. *$\sim_D$ is a subset of the kernel of μ_D.*

Proof. This follows from μ being a cocone. □

In some categories such as $\mathbb{S}et$, we even have $\sim_D = \ker(\mu_D)$.

In principle, a natural property to desire of the selected colimit is that $|\mathbf{sel}(D)|$ is a quotient of $\mathbf{Sym}(D)$, in particular $|\mathbf{sel}(D)| = \mathbf{Sym}(D)/\sim_D$ if $\sim_D = \ker(\mu_D)$. However, that is often impractical, e.g., in the typical case where $|\Sigma|$ is intended to be a set of strings that serve as user-friendly names. In particular, we do not want to see the indices $i \in I$ creep into the symbol names in $|\mathbf{sel}(D)|$. Therefore, we define:

Definition 5 (Names and Name-Clashes). *For every equivalence class $X \in \mathbf{Sym}(D)/\sim_D$, let $\boldsymbol{Nam}(X) = \{x | (i, x) \in X\}$.*

We say that D is name-clash-free *if the sets $\boldsymbol{Nam}(X)$ are pairwise disjoint for all X. We say that D is* fully-sharing *if additionally all sets $\boldsymbol{Nam}(X)$ have size 1.*

Intuitively, name-clash-freeness means that whenever two nodes use the same symbol x, the diagram requires these two symbols to be shared in the colimit. An example will be given in Example 2 below. A particularly common special case arises when both nodes import x from the same node. The following makes that precise:

Proposition 2. *Consider a diagram $D : \mathbf{I} \to \mathbf{C}$. Assume that for all $(i, x), (j, x) \in \mathbf{Sym}(D)$ there are $(k, y) \in \mathbf{Sym}(D)$ and $m : k \to i$ and $n : k \to j$ in $\mathbf{I}$ such that $|m|(y) = |n|(y) = x$.*

Then D is name-clash-free. If additionally all edges in D are inclusions, D is fully-sharing.

The value of name-clash-freeness is the following: for the colimit, we can pick symbols that were already present in D. This allows selecting a colimit whose symbol names are inherited from the diagram (and thus already known to the user who requested the colimit). Moreover, if D is fully-sharing, these representatives are uniquely determined.[8]

3.2 Properties of Colimit Selections

Being thus prepared, we can now define a number of desirable properties that make a particular selection **sel** of colimits elegant.

The most obviously desirable property is that we select a colimit whenever we can:

Definition 6 (Completeness). ***sel*** *is complete if it is defined for every diagram that has a colimit.*

Choosing Symbols Typically, we cannot simply choose $|\mathbf{sel}(D)| = \mathbf{Sym}(D)/\sim_D$ because the choice of symbols is restricted:

Definition 7 (Name-Compliance). *Let* **Symbols** *be some subcategory of $\mathbb{S}et$. We call an object Σ* **Symbols***-compliant if $|\Sigma| \in$* **Symbols***. A diagram is* **Symbols***-compliant if all involved objects are.*

sel preserves **Symbols***-compliance if* ***sel***(D) *is* **Symbols***-compliant whenever D is.*

In practical systems, symbols must be chosen from a fixed set S, e.g., the set of alphanumeric strings. In that case, **Symbols** contains all sets that are subsets of S. If we want a compliance-preserving colimit selection, we have to pick names from S—that can be much more difficult to do canonically than to pick arbitrary symbols.

It is easy to select colimits by picking arbitrary symbols, e.g., by generating a fresh string as the name of any new declaration. But that is undesirable—it is preferable that the symbols of $\mathbf{sel}(D)$ are inherited from D in the following sense:

Definition 8 (Natural Names). ***sel*** *has* natural names *if for every name-clash-free diagram D, the selected colimit* ***sel***$(D) = (C, \mu_i)$ *is such that*

[8] CASL has a mechanism of "compound identifiers" that ensures name-clash-freeness in multiple instantiations of parametrised specifications, such as $List[List[Elem]]$, see [16], p.47f. and p.224f.

– $|C|$ *contains exactly one representative* $r \in \mathbf{Nam}(X)$ *for every equivalence class* X*,*
– $|\mu_i|$ *maps every* x *to the respective representative* r*.*

Note that if D is fully-sharing, natural names fully determine $|C|$. For the general case, we have to choose some r for each equivalence class. There are multiple options for making that choice canonical. For example:

Definition 9 (Origin-Based Names). *Let* ***sel*** *have natural names.*

sel *has* origin*-based symbol names if for every class* X *the chosen representative* r *is such that there is some* i *such that* (i, r) *is minimal in* X *with respect to* $\leq_D$*.*

Definition 10 (Majority-Based Names). *Let* ***sel*** *have natural names.*

sel *has* majority-based *symbol names if for every class* X *the chosen representative* x *maximizes the cardinality of* $\{i \in I | (i, x) \in X\}$*.*

Accordingly, ***sel*** *has* majority-origin-based *symbol names if the above cardinality function is used to choose among multiple minimal elements.*

Example 2. Consider a span D consisting of $A \xleftarrow{\alpha} P \xrightarrow{\beta} B$. We consider multiple situations given by the rows of the following table:

	$\lvert P\rvert$	$\lvert A\rvert$	$\lvert B\rvert$	$\lvert\alpha\rvert$	$\lvert\beta\rvert$
1	$\{\}$	$\{x\}$	$\{x\}$		
2	$\{p\}$	$\{a, a'\}$	$\{b, b'\}$	$p \mapsto a$	$p \mapsto b$
3	$\{p, p'\}$	$\{a\}$	$\{p, p'\}$	$p \mapsto a, p' \mapsto a$	$p \mapsto p, p' \mapsto p'$
4	$\{elem\}$	$\{nat, +\}$	$\{elem, list\}$	$elem \mapsto nat$	$elem \mapsto elem$

Depending on the situation, different colimit selections are possible:

1. The diagram is not name-clash-free, and we cannot inherit names.
2. The diagram is name-clash-free but not fully sharing. The sets $\mathbf{Nam}(_)$ are $\{p, a, b\}$, $\{a'\}$, and $\{b'\}$. Thus, there are three possible colimits that have natural names. All three satisfy the majority condition. The origin condition allows uniquely selecting $|\mathbf{sel}(D)| = \{p, a', b'\}$.
3. The only set $\mathbf{Nam}(_)$ is $\{p, p', a, p, p'\}$ (where we repeat elements to indicate how often they occur in the corresponding equivalence class). We can have natural names, but neither majority nor origin yield a unique choice.
4. This is a typical case of instantiating a parametric specification (here: lists with a parameter for the type of elements) with an actual parameter (here: the set of natural numbers). The sets $\mathbf{Nam}(-)$ are $\{elem, elem, nat\}$, $\{list\}$, and $\{+\}$. We can have natural names, and both origin and majority uniquely yield $|\mathbf{sel}(D)| = \{elem, +, list\}$. However, neither is elegant: The desired choice would be $\{nat, +, list\}$.

Pushouts along Inclusions Pushouts along inclusions are of particular importance because they provide the semantics of parametrization. As in Section 2.2, D is a diagram as given on the right.

$$\begin{array}{ccc} P & \hookrightarrow & B \\ \downarrow{\scriptstyle \sigma} & & \\ A & & \end{array}$$

The following property is motivated by the desire that instantiating parameterised specifications should always be defined:

Definition 11 (Total pushouts). ***sel*** *has* total pushouts *if it is defined for all spans where one arrow is an inclusion.*

Moreover, it is desirable that the instantiation extends A in the same way in which P extends B. The following definitions make this precise:

Definition 12 (Pushout-stable Inclusions). *Let* ***sel*** *have total pushouts.*

sel *has* pushout-stable *inclusions if the pushout selection preserves the inclusion, i.e.,* ***sel***(D) *is of the form*

$$\begin{array}{ccc} P & \hookrightarrow & B \\ \downarrow{\scriptstyle \sigma} & & \downarrow{\scriptstyle \sigma^B} \\ A & \hookrightarrow & \sigma(B) \end{array}$$

Definition 13 (Pushout-Stable Names). *Let* ***sel*** *have pushout-stable inclusions.*

sel *has* pushout-stable names *if for every selected pushout*

$$\begin{array}{ccc} P & \hookrightarrow & B \\ \downarrow{\scriptstyle \sigma} & & \downarrow{\scriptstyle \sigma^B} \\ A & \hookrightarrow & \sigma(B) \end{array} \qquad \begin{array}{ccc} |P| & \hookrightarrow & |B| \\ \downarrow{\scriptstyle |\sigma|} & & \downarrow{\scriptstyle |\sigma^B|} \\ |A| & \hookrightarrow & |\sigma(B)| \end{array}$$

we have $|\sigma(B)| \setminus |A| = |B| \setminus |P|$ *and* $|\sigma^B|$ *is the identity on that set.*

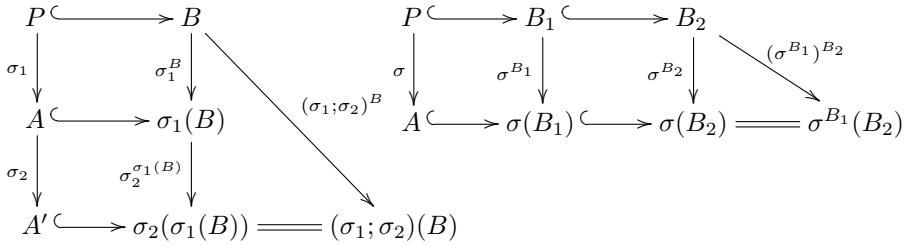
The aim of pushout-stable inclusions is that we can have
- (vertically) $_^B$ as a functor $(P \downarrow \mathbf{C}) \to (B \downarrow \mathbf{C})$,
- (horizontally) $\sigma(_)$ as functor $(P \downarrow \mathbf{C}) \to (A \downarrow \mathbf{C})$ mapping extensions of P to extensions of A.

However, in general, the functoriality laws only hold up to isomorphism. Therefore, we want to impose an additional condition, which is adapted from [18]:

Definition 14 (Coherent Pushouts). *Let* ***sel*** *have pushout-stable inclusions. Then* ***sel*** *has* coherent pushouts *if the following coherence conditions hold:*

1. $id_P(B) = B$ *and* $id_P^B = id_B$,
2. $\sigma(P) = A$ *and* $\sigma^P = \sigma$,
3. $(\sigma_1;\sigma_2)(B) = \sigma_2(\sigma_1(B))$ *and* $(\sigma_1;\sigma_2)^B = \sigma_1^B;\sigma_2^{\sigma_1(B)}$ *and finally*
4. *for* $P \hookrightarrow B_1 \hookrightarrow B_2$, $\sigma(B_2) = \sigma^{B_1}(B_2)$ *and* $\sigma^{B_2} = (\sigma^{B_1})^{B_2}$

where two conditions refer to the following diagrams



and ensure that pushouts compose vertically and horizontally.

Coherence The coherence conditions for pushouts can be generalized to arbitrary diagrams. The general idea is that if there are multiple ways to construct a colimit step-by-step, then it should not matter in which order the construction proceeds. Here step-by-step means that we first construct a colimit of a subdiagram of D and then add that colimit to D and construct a colimit of the resulting bigger diagram, and so on.

A formal definition for the general case is rather difficult. The following special case is adapted from [2]:

Definition 15 (Interchange). ***sel*** *has* interchange *if given a name-clash-free diagram $D : \mathbf{I} \times \mathbf{J} \to \mathbf{C}$ (seen as a bifunctor) involving inclusions only*

$$\boldsymbol{sel}_{i \in \mathbf{I}}(\boldsymbol{sel}_{j \in \mathbf{J}} D(i,j)) = \boldsymbol{sel}_{j \in \mathbf{J}}(\boldsymbol{sel}_{i \in \mathbf{I}} D(i,j))$$

With an isomorphism instead of equality, this condition always holds.

To state the coherence condition in full generality, we need a few auxiliary definitions:

Definition 16. *Consider a category I with an object i such that every I-object has at most one arrow into i.*

We write $I \setminus i$ for the subcategory of I formed by removing i. We write $I^{\to i}$ for the subcategory of I formed by removing i and all nodes that have no arrow into i. For a diagram $D : I \to \mathbf{C}$, we write $D \setminus i$ and $D^{\to i}$ for the corresponding restrictions of D.

We say that i is a colimit node *of D if $D(i)$ and the set of all morphisms $D(m)$ for I-arrows m into i are a colimit of $D^{\to i}$. If additionally that colimit is equal to $\boldsymbol{sel}(D^{\to i})$, we call i a* ***sel****-colimit node.*

The intuition behind colimit nodes is that they arise by taking a colimit of a subdiagram and can be ignored when forming a colimit of the entire diagram. For example, in the two commuting diagrams of Def. 14, the nodes $\sigma_1(B)$ and $\sigma(B_1)$ are colimit nodes. They arise as the intermediate results of constructing the pushout in two steps. In general, they arise when constructing a colimit step-by-step:

Proposition 3. *Consider a diagram $D : I \to \mathbf{C}$ with a colimit node i. Then D and $D \setminus i$ have the same colimits.*

Proof. For every D-colimit we obtain a $D \setminus i$-cone by removing the injection from i. Vice versa, every $D \setminus i$-colimit (C, μ) can be uniquely extended to a D-cone with the unique factorization $\mu_i : D(i) \to C$ for the colimit $D(i)$.

In both cases, the colimit properties are shown by diagram chase. □

Now we can define that coherence means that we can indeed ignore colimit nodes when selecting a colimit:

Definition 17. ***sel*** *is* coherent *for the diagram D if for every* ***sel****-colimit node i we have that* ***sel***(D) *and* ***sel***$(D \setminus i)$ *are equal (apart from the former additionally containing the uniquely determined injection μ_i).*

By iterating the coherence property, we can remove or add **sel**-colimit nodes from/to a diagram without affecting the selected colimit.

4 Colimit Selections for Typical Signature Categories

4.1 Sets

As the simplest possible signature category, we consider the category $\mathbb{S}et$ (with standard inclusions and the identity symbol functor).

We first provide a positive result that gives a large sets of desirable properties that can be realised at once:

Theorem 1. *$\mathbb{S}et$ has a selection of colimits that has completeness, pushout-stable inclusions, total pushouts, interchange, and majority-origin-based names.*

Moreover, for name-clash-free diagrams, this selection has natural names, pushout-stable names, coherent pushouts.

Proof. (Sketch) If name-clash-freeness is satisfied and the diagram consists of inclusions only, just take the union as colimit, which ensures that interchange holds.

Given a span $B \overset{\iota}{\hookleftarrow} P \overset{\sigma}{\to} A$ with σ not an inclusion, let $\sigma(B) := A \cup (B \setminus A) \cup B'$, where $\kappa : B' \cong (B \cap A) \setminus P$ such that $B' \cap (A \cup (B \setminus A)) = \emptyset$. Define

$$\begin{array}{ccc} P & \overset{\iota}{\hookrightarrow} & B = P \cup (B \setminus P) \\ \downarrow{\scriptstyle \sigma} & & \downarrow{\scriptstyle \sigma^B = \sigma \cup \theta} \\ A & \hookrightarrow & \sigma(B) = A \cup ((B \setminus (P \cup A)) \cup B') \end{array}$$

where $\theta : B \setminus P \to (B \setminus (P \cup A)) \cup B'$ is given by

$$\theta(x) = \begin{cases} \kappa^{-1}(x), & \text{if } x \in (B \cap A) \setminus P \\ x, & \text{if } x \in B \setminus (P \cup A) \end{cases}.$$

If D is a name-clash-free diagram not of the above forms, define its colimit $(C, (\mu_i)_{i \in |I|})$ as follows. C is defined by selecting from each equivalence class $X \in \mathbf{Sym}(D)/\sim_D$ a representative $r(X) \in \mathbf{Nam}(X)$ and for each index i, and each $(i, x) \in X$, we define $\mu_i(x) = r(X)$. Use the majority-origin principle. If that does not determine a representative, select one of the candidates randomly.

Finally, for an arbitrary non name-clash-free diagram, select an arbitrary colimit, ensuring completeness. □

Second, we provide a negative result that gives a small set of desirable properties that cannot be realised at once:

Theorem 2. *$\mathbb{S}et$ does not have a selection that has total pushouts, pushout-stable inclusions and names, and coherent pushouts.*

Thm. 1 shows that in $\mathbb{S}et$, we can realise several criteria for colimit selection we have defined so far.

Regarding the choice of names in Thm. 1, we cannot expect to achieve origin-based and majority-based names. In fact, one can show that pushout-stable inclusions and names contradict the origin and majority properties. Moreover, it is evident that origin and majority can contradict each other. Consider e.g.

$$\begin{array}{ccc} \{a\} & \longrightarrow & \{b\} \\ \downarrow & & \downarrow \\ \{b\} & \longrightarrow & \{x\} \end{array}$$

Origin would lead to $x = a$, while majority would lead to $x = b$.

Nevertheless, the property of origin-majority-based names is useful to guide the pushout selection in cases where the other properties do not determine names uniquely.

4.2 Product Categories

Signatures of many logical systems of practical interest are often tuples of sets of symbols of different kind. For example, OWL signatures consist of sets of atomic classes, individuals, object and data properties. To be able to transfer the selection of colimits and its properties defined for $\mathbb{S}et$ to categories of tuples of sets, we make use of a more general result that ensures that the selection of colimits and its properties are stable under products.

Theorem 3. *Let $(\mathbf{C}_j)_{j \in J}$ be a family of inclusive categories with symbols and assume selections of colimits sel_j that have the properties in Thm. 1 or Thm. 1. Then the product $\Pi_{j \in J} C_j$ can be canonically turned into an inclusive category with symbols that also has a selection of colimits **sel** with the same properties.*

Example 3. In the case of multi-sorted logics with function or predicate symbols, we can define a selection function for colimits in a step-wise manner. Let us consider the case of multi-sorted equational logic, that we denote EQL. If we fix a set of sorts S, let $\mathbf{Sign}^{EQL}_S$ be the category of multi-sorted algebraic signatures with sort set S. We can express it as

$$\mathbf{Sign}^{EQL}_S = \Pi_{w \in S^*, s \in S}\ \mathbb{S}et.$$

Objects of this category provide a set of operation symbols $F_{w,s}$ for each string of argument sorts w and result sort s. With the canonical lifting of the symbol functors of the factors (all of which are the identity on $\mathbb{S}et$) to this product, we obtain the symbol functor on $\mathbf{Sign}^{EQL}_S$ given by $|_| = \biguplus_{i \in J} |\pi_j(_)|$, which decorates each operation symbol with argument and result sorts. We write $f : w \to s \in |F|$ instead of $((w,s),f) \in |F|$.

4.3 Split Fibrations

Thm. 3 gives us a selection of colimits for $\mathbf{Sign}^{EQL}_S$. However, our overall goal is to provide such a selection for $\mathbf{Sign}^{EQL}$. Now $\mathbf{Sign}^{EQL}$ is a split fibration $\mathbf{Sign}^{EQL} \to \mathbb{S}et$, with fibres $\mathbf{Sign}^{EQL}_S$. It is well-known that a split fibration can be obtained as Grothendieck construction (flattening) of an indexed category indexing the fibres. Hence, we will construct such an indexed category for EQL. This is achieved by observing that each function $u : S \to S'$ leads to a functor $B_u : \mathbf{Sign}^{EQL}_{S'} \to \mathbf{Sign}^{EQL}_S$ defined as $B_u(F') = F$, where $F_{w,s} = F'_{u(w),u(s)}$. This functor has a left adjoint denoted $L_u : \mathbf{Sign}^{EQL}_S \to \mathbf{Sign}^{EQL}_{S'}$ defined as $L_u(F) = F'$, where $F'_{w',s'} = \uplus_{w \in S^*, s \in S, u(w)=w', u(s)=s'} F_{w,s}$.

We thus obtain an indexed inclusive category $B : \mathbb{S}et^{op} \to \mathbb{IC}at$, and it suffices to show that the selection of colimits and its properties are stable under the Grothendieck construction (flattening, see [23]).

Theorem 4. *Let $B : \mathbf{Ind}^{op} \to \mathbb{IC}at$ be an indexed inclusive category (where* **Ind** *is inclusive itself) such that*

- *B is* locally reversible*, i.e. for each $u : i \to j$ in* **Ind***, $B_u : B_j \to B_i$ has a selected left adjoint $F_u : B_i \to B_j$ (note that we do not require coherence of the F_u),*
- **Ind** *has a selection of colimits $\boldsymbol{sel}_{\mathbf{Ind}}$,*
- *each category B_i has a selection of colimits $\boldsymbol{sel}^i$, for $i \in |\mathbf{Ind}|$.*

Then the Grothendieck category $B^\#$ is itself an inclusive category.[9]

Theorem 5. *Under the assumptions of Thm. 4, let $(|_|\theta) : B \to \mathbb{I}ndSet$ be a (faithful inclusive) oplax indexed functor (where $\mathbb{I}ndSet : \mathbf{Ind}^{op} \to \mathbb{IC}at$ is the constant functor delivering $\mathbb{S}et$).*

[9] Note that this construction extends to institutions, yielding Grothendieck institutions, see [5].

This amounts to, for each B_i, a (faithful inclusive) symbol functor $|_|_i : B_i \to \mathbb{S}et$, and for each $u : i \to j$, $\theta_u : B_u; |_|_i \to |_|_j$ a natural transformation, such that the θ_u are coherent.

Then $B^\#$ can be equipped with a symbol functor as well.

Proof. Define $|(i, A_i)| = |i| \uplus |A_i|_i$, and $|(u : i \to j, \sigma)| = |u| \uplus (|\sigma|_i; (\theta_u)_{A_j})$. □

Theorem 6. *Under the assumptions of Thm. 4 and Thm. 5, extended by:*

- *F_u preserves inclusions, and moreover,*
- *the unit and counit of the adjunction are inclusions.*

If **Ind** *and each B_i have colimit selections enjoying the properties of Thm. 1, then so does $B^\#$.*

We can apply Thm. 6 to $B : \mathbb{S}et^{op} \to \mathbb{I}\mathbb{C}at$ as defined above to obtain a selection of colimits $\mathbf{sel}^{EQL}$ for EQL signatures. By the theorem, $\mathbf{sel}^{EQL}$ has the properties in Thm. 1.

Example 4. We apply these result to EQL, where $B_S = \mathbf{Sign}^{EQL}_S$, using the symbol functors $|_|_S : \mathbf{Sign}^{EQL}_S \to \mathbb{S}et$ ($S \in |\mathbb{S}et|$) defined above. Given $u : S \to S'$, $\theta_u : B_u; |_|_S \to |_|_{S'}$ is defined as $(\theta_u)_{F'} : |B_u(F')| \to |F'|$, acting as $(\theta_u)_{F'}(f : u(w) \to u(s)) = f : w \to s$. Using Thm. 5, we obtain the usual symbol functor for many-sorted signatures, which for any signature delivers the set of sorts plus the set of typed function symbols of form $f : w \to s$.

Again, the symbol selection principles of Thm. 1 carry over.

5 Categories for Improved Colimit Selection

5.1 Named Specifications

An important technique for avoiding name clashes is to use bipartite IRIs as symbols. IRIs are Internationalized Resource Identifiers for identification per IETF/RFC 3987:2005. Symbols using bipartite IRIs consist of

- **namespace**: an IRI that identifies the containing specification, usually ending with `/`[10]
- **local name**: a name (not containing `/`) that identifies a non-logical symbol within a specification.

Let **IRI** be the subcategory of $\mathbb{S}et$ containing only the sets of bipartite IRIs.

For most practical purposes, it is acceptable to restrict attention to **IRI**-compliant signatures. For example, DOL (in accordance with many other languages) strongly recommends using bipartite IRIs.

Note that in an **IRI**-compliant signature Σ, the symbols in $|\Sigma|$ may have different namespaces. For example, in DOL, namespaces M serve as the identifiers of basic specifications Σ, and then symbols in $|\Sigma|$ are of the form M/sym.

[10] In some languages, `#` is used instead of /. But this has the disadvantage that, when used as an IRL, the fragment following the `#` is not transmitted to servers.

But when a specification N imports M, (see Sect. 2.2), the namespace M of the imported symbols is retained and only new symbols declared in N use the namespace N.

The main advantage of using IRIs is that specifications (and thus the symbols in them) have globally unique names [9]. That makes name clashes much less common:

Proposition 4. *Consider a set of basic signatures with pairwise different namespaces. Then diagrams generated by networks consisting only of* **IRI***-compliant basic specifications and imports are fully-sharing.*

Proof. Because basic specifications have unique identifiers, the result follows immediately from Prop. 2. □

In practice, the assumptions of Prop. 4 quite often hold, because networks to be combined often consist of import links only.

Proposition 5. *Consider* $\mathbb{S}et$ *with standard inclusions and the identity symbol functor. The selection constructed in Thm. 1 can be modified to a selection that additionally preserves* **IRI***-compliance.*

Proof. We just need to ensure that new symbols in the colimit are of the form N/sym for some fresh namespace N. □

However, generating fresh namespaces interacts poorly with coherence.

5.2 Structured Symbol Names

There are essentially two problems when trying to select colimits canonically: name clashes and ambiguous names. Intuitively, name clashes arise if we have one name for multiple symbols. And ambiguity arises if we have multiple names for one symbol. If neither is the case, named specifications are usually sufficient to obtain canonical colimits.

Our goal now is to handle name clashes and ambiguity. We introduce a subcategory **Vocab** of $\mathbb{S}et$ and focus on **Vocab**-compliance-preserving colimits. We want to pick **Vocab** in such a way that we can select canonical colimits elegantly.

To motivate the following definition of **Vocab**, let us look again at the causes behind name clashes and ambiguity. Name clashes arise if the same node name occurs multiple times in a diagram. For example, consider two nodes i and j (without any arrows) and $|D(i)| = \{a\}$ and $|D(j)| = \{a\}$. (This occurs, for example, when taking the disjoint union of the set $\{a\}$ with itself.) Because this diagram is not name-clash-free, we cannot have natural names in the colimit. Our solution below introduces qualifiers that create two copies p/a and q/a of the clashing name a.

Ambiguity arises if a diagram contains a non-inclusion arrow. For example, consider $m : i \to j$, and $|D(i)| = \{a\}$ and $|D(j)| = \{b\}$ and $|D(m)|(a) = b$.

$\sim_D$ has one equivalence class, which contains (i, a) and (j, b). In Section 3.2, we focused on choosing either a or b as a natural name in the colimit. Our solution here retains both names and chooses the set $\{a, b\}$ as a symbol in the colimit.

Because colimits can be iterated, **Vocab** must allow for any combination of those two constructions. That yields the following definition:

Definition 18 (Structured Symbols). *We assume a fixed set* **Name** *of strings (which we call* ***names****).*

We write **QualName** *for the set of lists of names (which we call* ***qualified names****). We assume $Name \subset QualName$, and we write* **nil** *for the empty list and p/q for the concatenation of lists.*

A ***structured symbol*** *is a set of qualified names.*

A ***vocabulary*** *V is a set of pairwise disjoint structured symbols. We write $\overline{V}$ for $\bigcup_{S \in V} S$, and for every $s \in \overline{V}$ we write $[s]$ for the unique $S \in V$ such that $s \in S$.*

We write **Vocab** *for the full subcategory of $\mathbb{S}et$ containing only the vocabularies.*

The operation $[s]$ is crucial: It allows us to use any $s \in S \in V$ as a representative for S. Thus, in order to use structured symbols, we do not have to change our external (human-facing) syntax: Users can still write and read s. We only have to change our internal (machine-facing) syntax by maintaining the set S.

Vocab is an inclusive category with symbols (using the same symbol functor as for $\mathbb{S}et$). As we see below, it allows for good colimit selections. But the symbols used in the symbol functor cannot be strings anymore: they are sets of lists of strings.

Above we left open the question where the qualifiers come from that we use to disambiguate name clashes. It would not be acceptable to use the indices from I as qualifiers because they are arbitrary and not visible to the user. Instead, we assume that the user has provided qualifiers by assigning labels to some nodes in the diagram:

Definition 19 (Labeled Diagram). *A labeled* $\mathbf{C}$*-diagram (D, L) consists of a diagram $D : \mathbf{I} \to \mathbf{C}$ and a function L from* $\mathbf{I}$*-objects to* **Name** $\cup \{$**nil**$\}$.

L can be a partial function because we only need to label those nodes that are involved in name clashes. However, it is more convenient to make L a total function by assuming that all unlabeled nodes are labeled with the empty list **nil**.

Similar to Def. 4, we define the symbols of a labeled diagram:

Definition 20 (Symbols of a Labeled Diagram). *Let $(D : \mathbf{I} \to$* **Vocab**$, L)$ *be a labeled diagram over* **Vocab**. *We define:*

$$\mathbf{Sym}(D, L) = \{(i, L(i)/x) \mid i \in \mathbf{I}, x \in \overline{D(i)}\}$$

$(i, L(i)/x) \leq_{DL} (j, L(j)/y)$ *if for some $m : i \to j \in \mathbf{I}, D(m)(S) = T, x \in S, y \in T$*

$\sim_{DL}$ *is the equivalence relation on* $\mathbf{Sym}(D, L)$ *generated by* $\leq_{DL}$.

For every $X \in \mathbf{Sym}(D, L)/\sim_{DL}$, *let* $\boldsymbol{Nam}(X) = \{q \mid (i, q) \in X\}$. *We say that* (D, L) *is* name-clash-free *if the sets* $\boldsymbol{Nam}(X)$ *are pairwise disjoint.*

Every plain diagram can be seen as a labeled diagram by using $L(i) = \mathbf{nil}$ for all i. In that case, the definition of name-clash-free of Def. 20 coincides with the one from Def. 5.

We can now see the power of structured symbols by giving a selection of colimits in **Vocab**:

Theorem 7 (Colimits of Vocabularies). *Let* (D, L) *be a name-clash-free labeled diagram. Then*

- *the set* $\boldsymbol{sel}(D, L)$ *defined by* $\{\boldsymbol{Nam}(X) \mid X \in \mathbf{Sym}(D, L)/\sim_{DL}\}$ *is a vocabulary,*
- *the maps* $\mu_i : D(i) \to \boldsymbol{sel}(D, L)$ *defined by* $\mu_i([x]) = [L(i)/x]$ *are well-defined.*

Then $\boldsymbol{sel}(D, L)$ *and the* μ_i *form a colimit of* D.

sel does not exactly have the desirable properties described in Section 3.2. But it has variants of them, which is why we recommend **sel** as a good trade-off:

- **sel** is complete in the sense that labels can be added to any diagram to obtain name-clash-freeness.
- **sel** reduces to union for name-clash-free unlabeled diagrams of inclusions (and therefore satisfies interchange).
- **sel** has pushout-stable inclusions for name-clash-free unlabeled diagrams $A \overset{\alpha}{\hookleftarrow} P \hookrightarrow B$ in the sense that all qualified names of A are mapped to themselves in the selected pushout.
- **sel** has natural names in the sense that $L(i)/x$ can be used to identify the corresponding symbol in the colimit, and every symbol in the colimit is of that form.
- **sel** is coherent for all labeled diagrams in which all **sel**-colimit nodes are unlabeled.

6 Conclusion

We have provided some useful principles for colimit selection, and studied how far these principles can be actually realised. Some principles contradict each other, so they need to be prioritised. The overall goal is to give the user as much control and predictability over names as possible. This is particularly important for languages such as CASL and DOL, providing powerful constructs for both parameterisation and combination of networks, realised through colimits. We have shown that our results are stable under products and Grothendieck constructions; hence they carry over to more complex signature categories like those of many-sorted logics, HasCASL [20] (without subsorts) or even categories of heterogeneous specification (which usually are also obtained via a Grothendieck construction).

While we have worked with $\mathbb{S}et$ and $\mathbb{S}et$-like categories, future work should extend the results to more complex categories. E.g. the signature category of the subsorted CASL logic cannot be obtained from $\mathbb{S}et$ by products and indexing; instead some quotient construction is needed [11]. Another open question is whether coherence for pushouts can usefully be generalised to other types of colimit. Moreover, it also will be useful to investigate further the pros anc cons of the different selection techniques (exploitation of name-clash-freeness versus labeled diagrams and structured symbols) that we have discussed.

One important motivation for this work has been the need to obtain a better theory for the implementation of colimits in Hets. Currently, the implementation follows the majority principle only, which led to complaints from the user community, especially from the Coinvent project using colimits for conceptual blending. In the future, this will be revised according to the results of this paper.

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