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CALIBRATIONLESS OSCAR-BASED IMAGE RECONSTRUCTION IN COMPRESSED SENSING PARALLEL MRI

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ABSTRACT

Reducing acquisition time is a crucial issue in MRI especially in the high resolution context. Compressed sensing has faced this problem for a decade. However, to maintain a high signal-to-noise ratio (SNR), CS must be combined with parallel imaging. This leads to harder reconstruction problems that usually require the knowledge of coil sensitivity profiles. In this work, we introduce a calibrationless image reconstruction approach that no longer requires this knowledge. The originality of this work lies in using for reconstruction a group sparsity structure (called OSCAR) across channels that handles SNR inhomogeneities across receivers. We compare this reconstruction with other calibrationless approaches based on group-LASSO and its sparse variation as well as with the auto-calibrated method called ℓ_1 -ESPIRiT. We demonstrate that OSCAR outperforms its competitors and provides similar results to ℓ_1 -ESPIRiT. This suggests that the sensitivity maps are no longer required to perform combined CS and parallel imaging reconstruction.

Index Terms— Compressed Sensing, Parallel MRI, Group Sparsity, Proximal algorithm

1. INTRODUCTION

Context. Magnetic resonance imaging (MRI) is a powerful tool to non-invasively probe soft-tissues. However, MRI suffers from long acquisition time, especially for T2*-weighted high-resolution imaging where both long repetition time and echo time hold. Compressed Sensing (CS) has been proposed to shorten scan time by collecting fewer measurements in the Fourier domain or k-space [1, 2]. This theory relies on three ingredients: (i) the existence of a sparse or compressible representation of images; (ii) the ability to design incoherent sampling schemes with respect to this sparse representation and finally (iii) nonlinear reconstruction that promotes sparsity such as ℓ_1 minimization under data consistency constraints. CS has been successfully applied to MRI [3], first using Poisson-Disk sampling and then considering more efficient non-Cartesian trajectories (e.g. spirals, radial [4], Sparkling [5, 6] ...) that allow to break down the coherence barrier [7, 8] using 2D variable density sampling [9, 8]. The resulting acceleration factor (e.g. 6 to 10) is larger than those resulting from standard parallel imaging (PI) acceleration methods. However, for a given image resolution, the maximum acceleration factor depends on the input Signal-to-Noise Ratio (SNR) [10]. Therefore, to ensure a certain level of SNR, CS has been combined with multiple receiver coils (e.g. parallel imaging or PI [11]). In this context, many algorithms have been proposed to reconstruct MR images from subsampled measurements collected over

multichannel coils. Those algorithms can be split into four different categories.

Related works. The first class formulates the problem in the original space, the k-space. Most of those methods are based on the minimization of an inconsistency term between a region of Auto-Calibrating Signal (ACS region), where the signal is acquired respecting the Nyquist-Shannon criteria and the missing samples which are estimated as in Generalized Auto-calibration Partially Parallel Acquisitions GRAPPA method [12, 13]. Other recent methods impose low-rank constraints in the k-space such as LORAKS [14], they have been successfully applied to MR reconstruction. In general, k-space based reconstructions have the advantage to be more robust to motion. However, their generalization to non-Cartesian sampling schemes is more challenging and implies the use of gridding operator. However, this can be computationally demanding and degrades the image quality. The second class of methods is model-based and tries to model the spatial encoding of the channels sensitivity (SENSE) [15] to recover a single image where the channels' reception profiles have been eliminated. The CS-SENSE reconstruction methods have been introduced in [16] and further extended to non-Cartesian sampling in [17]. Those methods can include correction to off-resonance artifacts, but they require explicit knowledge of sensitivity matrices. To estimate the latter, two kinds of approaches have been proposed: first, blind methods estimate both sensitivity profiles and the unknown MR image to be reconstructed [12, 18, 19]. Second, *self-calibrating* sequential approaches first extract sensitivity profiles using a k-space region where the measurements have been fully collected or at least a heavily sampled region (k-space center) [20, 21, 22]. The third category is a hybrid version between SENSE and GRAPPA-like methods characterized by an inconsistency term between the ACS region and the explicit knowledge of sensitivity matrices (e.g. PRUNO [23] or ℓ_1 -ESPIRiT [21]). Finally, the last class of methods is *calibrationless* and characterized by the use of joint sparsity. The reconstruction problem is solved as an inverse problem [24, 25]. The latter presents the advantage of avoiding the acquisition of the ACS region and estimation of sensitivity matrices. Such methods have improved recovery guarantees compared to classical CS model as demonstrated in [26, 27, 28].

Goals and contributions. In this work, we will focus on the last class and we will compare three different regularization terms that promote joint sparsity: the group-LASSO [24], sparse group-LASSO [29], and Octagonal Shrinkage and Clustering Algorithm for Regression (OSCAR) [30]. To the best of our knowledge, it is the first time that OSCAR penalization has been applied in to a reconstruction problem. Moreover, we rely on a generic primal-

dual optimization algorithm that solves all penalizations in a unified framework. Our results on ex vivo baboon brain data collected at 7 Tesla demonstrate that calibration-less reconstruction methods may achieve the same image quality as (or slightly better than) auto-calibrated ones.

2. PROBLEM STATEMENT

Variational formulation. Let N be the resolution of the recovered image per channel, let L be the number of channels used to acquire the NMR signal, and let M be the number of measurements in the Fourier domain with $M < N$. We denote by $\mathbf{y} \in \mathbb{C}^{M \times L}$ the acquired NMR signal and by $\mathbf{y}_\ell \in \mathbb{C}^M$ the ℓ^{th} channel-specific dataset. Let $\mathbf{x} = [\mathbf{x}_1, \dots, \mathbf{x}_L] \in \mathbb{C}^{N \times L}$ be the reconstructed MR images such that $\mathbf{x}_\ell \in \mathbb{C}^N$ is associated with the ℓ^{th} channel. The image reconstruction problem reads as follows:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x} \in \mathbb{C}^{N \times L}} \left\{ \frac{1}{2} \sum_{\ell=1}^L \sigma_\ell^{-2} \|f_\Omega(\mathbf{x}_\ell) - \mathbf{y}_\ell\|_2^2 + g(\mathbf{T}\mathbf{x}) \right\}, \quad (1)$$

where f_Ω is the forward under-sampling Fourier operator. In the case of Cartesian sampling, $f_\Omega(\mathbf{x}_\ell) = \mathbf{\Omega}\mathbf{F}\mathbf{x}_\ell$ with \mathbf{F} the 2D fast Fourier transform (FFT) and $\mathbf{\Omega}$ the binary under-sampling mask defined over the discrete grid. In non-Cartesian settings, f_Ω refers to nonequispaced or nonuniform FFT [31, 32] and Ω stands for the continuous support of the measurements in k-space. $\mathbf{T} \in \mathbb{C}^{N_\Psi \times N}$ is a linear operator related to a multiscale decomposition Ψ with output size N_Ψ (e.g., wavelet transform). Let $\Gamma_0(\mathbb{C}^{N_\Psi \times L})$ be the set of convex, proper, lower semi-continuous functions on $\mathbb{C}^{N_\Psi \times L}$ that take values in $\mathbb{R} \cup \{+\infty\}$. Function $g \in \Gamma_0(\mathbb{C}^{N_\Psi \times L})$ is the regularization term that promotes sparsity of the wavelet coefficients across channels. This formulation enables the use of over-complete dictionaries as will be done in this work. In this work, we will rely on a proximal minimization method and investigate the most suitable choice for g . Thus we mainly focus on convex *proximal-friendly* penalty terms that can be handled using the same generic optimization algorithm.

Primal-dual optimization algorithm. Here we summarize the primal-dual optimization method proposed by Condat-Vũ [33, 34, 35]. We aim to find:

$$\hat{\mathbf{x}} \in \operatorname{argmin}_{\mathbf{x} \in \mathbb{C}^{N \times L}} [f(\mathbf{x}) + g(\mathbf{T}\mathbf{x})] \quad (2)$$

where: (i) f is convex, differentiable on $\mathbb{C}^{N \times L}$ and its gradient is β -Lipschitz; (ii) $g \in \Gamma_0(\mathbb{C}^{N_\Psi \times L})$ with a closed form proximity operator. We recall that this operator is defined as:

$$\operatorname{prox}_g(\mathbf{z}) = \operatorname{argmin}_{\mathbf{v} \in \mathbb{C}^{N_\Psi \times L}} \frac{1}{2} \|\mathbf{z} - \mathbf{v}\|_2^2 + g(\mathbf{v}) \quad (3)$$

According to [33, Theorem 3.1], Algorithm (1) will converge to a solution of Eq. (2) if $\frac{1}{\tau} - \kappa \|\mathbf{T}\|^2 \geq \frac{\beta}{2}$ where $\|\mathbf{T}\|$ is the spectral norm of the \mathbf{T} operator. The Lipschitz constant β is related to the norm of the linear operator f_Ω , namely $\beta = \sum_{\ell=1}^L \sigma_\ell^{-2} \|f_\Omega\|^2$. In practice for Cartesian case $\|f_\Omega\|^2 = 1$ and for non-Cartesian case, we will evaluate the norm by using the power iteration method [36]. Finally, the hyper-parameters in this algorithm are set as follows: $\tau = \frac{1}{\beta}$, $\kappa = \frac{\beta}{2\|\mathbf{T}\|^2}$. Note that when \mathbf{T} defines an orthonormal basis decomposition, we get $\|\mathbf{T}\| = 1$.

After convergence, the reconstruction algorithm delivers $\hat{\mathbf{x}}$ hence one image per channel. In the end, all coil-specific MR images

Algorithm 1: Condat-Vũ algorithm

```

1 initialize  $k = 0, \tau > 0, \kappa > 0, \mathbf{x}_0, \mathbf{z}_0$ ;
2 while  $k \leq K$  do
3    $\mathbf{x}_{k+1} := \mathbf{x}_k - \tau (\nabla f(\mathbf{x}_k) + \mathbf{T}^* \mathbf{z}_k)$ ;
4    $\mathbf{w}_{k+1} := \mathbf{z}_k + \kappa \mathbf{T} (2\mathbf{x}_{k+1} - \mathbf{x}_k)$ ;
5    $\mathbf{z}_{k+1} := \mathbf{w}_{k+1} - \kappa \operatorname{prox}_{g/\kappa} \left( \frac{\mathbf{w}_{k+1}}{\kappa} \right)$ ;
6 end

```

are combined using the square-root of the sum-of-squares (sSOS), $\hat{\mathbf{x}}_{sSOS} = \sqrt{\sum_{\ell=1}^L \|\hat{\mathbf{x}}_\ell\|_2^2}$, to form a single image as usually done in the PI setting.

3. JOINT-SPARSITY REGULARIZATIONS

Group-LASSO regularization. The group Least Absolute Shrinkage and Selection Operator or group-LASSO penalty has already been studied in parallel imaging [24]. Moreover, the authors in [26] have proved tighter recovery guarantees (i.e., inequality concentrations) using such regularization term. This means that one can perfectly reconstruct MR images in the noiseless case using a smaller number of measurements collected in parallel imaging as compared to the number required in the single channel mode.

Here, we define $\mathbf{z} = [\mathbf{z}_1, \dots, \mathbf{z}_L] \in \mathbb{C}^{N_\Psi \times L}$, with $\mathbf{z}_\ell \in \mathbb{C}^{N_\Psi}$ the wavelet coefficients of the ℓ^{th} coil such that $\mathbf{z}_\ell = \Psi \mathbf{x}_\ell$ and Ψ being a multiscale wavelet transform with C scales, S_c the number of sub-bands in scale c , P_{s_c} the number of coefficients in sub-band s_c , and $N_\Psi = \sum_{c=1}^C \sum_{s=1}^{S_c} P_{s_c}$. For $\mathbf{z} \in \mathbb{C}^{N_\Psi \times L}$, the group-LASSO regularization is given by:

$$g_{\text{GL}}(\mathbf{z}) = \|\mathbf{z}\|_{1,2} = \sum_{c=1}^C \lambda \gamma^c \sum_{s=1}^{S_c} \left(\sum_{p=1}^{P_{s_c}} \sqrt{\sum_{\ell=1}^L |z_{csp\ell}|^2} \right) \quad (4)$$

where $z_{csp\ell}$ is the p^{th} wavelet coefficient of the s^{th} sub-band in the c^{th} scale for the ℓ^{th} coil. Since the group penalty is separable across scales, sub-bands and inter-sub-band position, the proximity operator of the group-LASSO is separable too and can be treated in parallel. For given c, s, p and l , the proximity operator reads:

$$\left(\operatorname{prox}_{\lambda \gamma^c \|\cdot\|_{1,2}}(\mathbf{z}) \right)_{csp\ell} = \begin{cases} z_{csp\ell} \left(1 - \frac{\lambda \gamma^c}{\alpha_{csp}} \right) & , \text{if } \alpha_{csp} \geq \lambda \gamma^c \\ 0 & , \text{otherwise} \end{cases} \quad (5)$$

with $\alpha_{csp} = \sqrt{\sum_{\ell=1}^L |z_{csp\ell}|^2}$. Note that the hyper-parameters λ and γ are both positive and need to be set. γ typically enables a scale-dependent regularization according to a power-law behavior [37].

Sparse group-LASSO regularization. While the group sparsity regularization only promotes sparsity across groups, the sparse group-LASSO also tries to impose sparsity within each group (i.e. each scale c , sub-band s and position p). This penalization is defined as follows:

$$\forall \mathbf{z} \in \mathbb{C}^{N_\Psi \times L}, \quad g_{\text{sGL}}(\mathbf{z}) = g_{\text{GL}}(\mathbf{z}) + \mu \|\mathbf{z}\|_1 \quad (6)$$

The proximity operator is explicit and corresponds to the composition of the proximity operator of the group-LASSO given in Eq. (5) and the soft-thresholding as established in [29]. The hyper-parameters that need to be set are λ, γ and μ , all being positive.

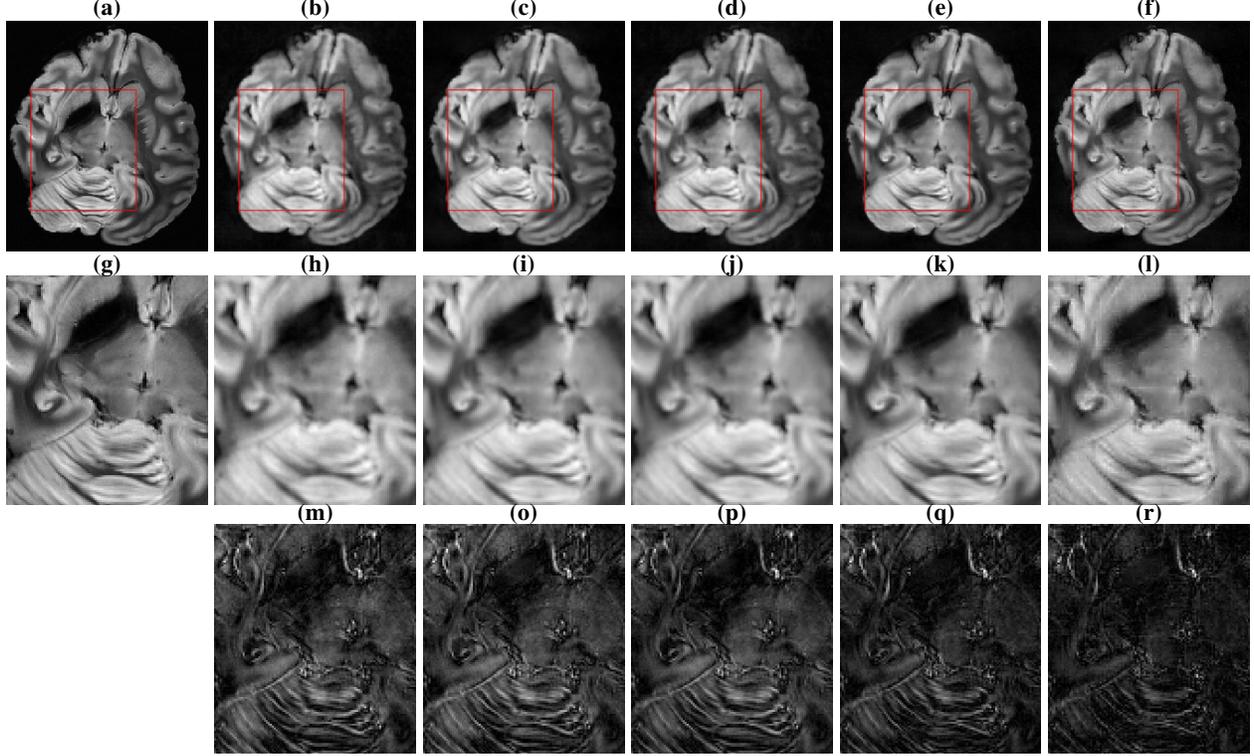


Fig. 1. (a) Cartesian reference. (b) Reconstruction with no regularization term. (c) Reconstruction based on the group-LASSO penalty. (d) Reconstruction based on the sparse group-LASSO penalty. (e) Reconstruction based on OSCAR penalty (f) reconstruction based on ℓ_1 -ESPIRiT. (g)-(l) Respective zooms in the red square, (m)-(r) zoom of the difference between the Cartesian reference and the reconstructed image.

OSCAR regularization. In order to go one step further, one may want to add some group-pairing in the regularization, however, inferring the structure is difficult without imposing an explicit prior. To avoid this problem, OSCAR regularization [30] will infer a group structure via a pairwise ℓ_∞ norm while imposing the ℓ_1 norm as a sparsity constraint. We denote by $\mathbf{z}_\downarrow \in \mathbb{C}^{N_\Psi \times L}$ the wavelet coefficients sorted in decreasing order across sub-bands and channels, i.e.: $\forall c \in \{1, \dots, C\}, s \in \{1, \dots, S_c\}, j \in \{1, \dots, P_s L\}; |z_{cs1}| \leq \dots \leq |z_{csj}| \leq \dots \leq |z_{csP_s L}|$. The OSCAR regularization term reads as follows:

$$\begin{aligned}
 g_{\text{OSCAR}}(\mathbf{z}) &= \lambda \sum_{c=1}^C \sum_{s=1}^{S_c} \left[\sum_{j=1}^{P_s L} (|z_{csj}| + \gamma \sum_{k < j} \max\{|z_{csj}|, |z_{csk}|\}) \right] \\
 &= \lambda \sum_{c=1}^C \sum_{s=1}^{S_c} \left[\sum_{j=1}^{P_s L} (\gamma(P_s L - j) + 1) |(\mathbf{z}_\downarrow)_{csj}| \right] \quad (7)
 \end{aligned}$$

with λ and γ some positive hyper-parameters that need to be set. Since OSCAR is a particular case of ordered weighted ℓ_1 norm, its proximity operator is defined in [38, Eq. (24)]. Moreover, the proximity operator is separable across scales and sub-bands, hence its parallelization is easy.

4. NUMERICAL EXPERIMENTS

Data acquisition. An ex-vivo baboon brain was scanned on a 7T system (Magnetom Siemens scanner, Erlangen, Germany) using a 32-channel (Nova Medical Inc., Washington, MA, USA) coil ($L = 32$). A modified 2D T2*-weighted GRE sequence

was implemented to perform prospective CS using the multi-shot Sparkling trajectories [5] that match a variable density with a polynomial decay $h(k_x, k_y) = 1/|k|^2$. Shots were generated all together and the sampling scheme was composed of 34 spokes of 3072 samples each (readout of 30.72ms per shot and $M = 104,448$ samples in total), which leads to an acceleration factor of 15 in time and an under-sampling factor of 2.5. The acquisition parameters were set as follows: FOV = 200×200 mm², TR = 550 ms (for 11 slices), TE = 30 ms, BW = 100 kHz and FA=25° with in-plane resolution of 390 μ m and 3mm slice thickness, and a fully sampled Cartesian reference was acquired using the same sequence parameters (matrix size: 512×512). The corresponding image dimension was $N = 512^2$. Since the trajectory is non-Cartesian, we used the Non-equispaced FFT [31] to compute f_Ω operator in Eq. (1). We used the Undecimated bi-Orthogonal Wavelet Transform with 4 decomposition scales for Ψ (with $C = 4$ and $S_c = 4, \forall c \in \{1, \dots, C\}$ and $P_s = N, \forall c \in \{1, \dots, C\}$ and $\forall s \in \{1, \dots, S_c\}$) as the latter has been shown to increase the image quality in CS reconstruction [39].

For each regularization, the hyper-parameters were tuned so as to maximize sSOS Structural Similarity Metric (SSIM) index [40] using a grid search procedure over a discrete set of values. Note that we did check that the best score was reached in the strict interior of the tested parameter range. All experiments were run on a computer with 128 GB of RAM and an 8-core (2.40 GHz) Intel Xeon E5-2630 v3 Processor. To have a fair comparison in terms of computing time (Table 2), we disabled the multi-threading acceleration and the number of iterations was set to $K = 200$, which appears to be sufficient to reach SSIM stability. Parallelization allowed us to speed up the reconstruction by a factor of 10 using 16 threads for the

Table 1. Image quality assessment for all regularizers.

| | SSIM | pSNR (dB) | NRMSE |
|--------------------|--------------|--------------|--------------|
| Group-LASSO | 0.864 | 26.92 | 0.254 |
| Sparse group-LASSO | 0.851 | 26.77 | 0.259 |
| OSCAR | 0.875 | 30.49 | 0.177 |
| No regularization | 0.847 | 26.5 | 0.263 |
| ℓ_1 -ESPIRiT | 0.874 | 28.32 | 0.238 |

OSCAR penalization. All the codes have been developed in Python in the open-source `PySAP` package.

Results. The solution to the ℓ_1 -ESPIRiT formulation was taken as the gold standard parallel MRI acceleration techniques. In Tab. 1, the performances of the different penalizations are compared in terms of SSIM, peak SNR (pSNR) and Normalized Root Mean Square Error (NRMSE). The corresponding images are shown in Fig. 1.

The group-LASSO g_{GL} and its sparse refinement g_{sGL} provide similar results in terms of image quality. However the sparse group-LASSO requires the setting of an extra hyper-parameter μ which eventually slows down the tuning step. As suggested in [26], the worse performance of the group-LASSO penalty may be explained in terms of error propagation in the sSOS image. The ℓ_1 -ESPIRiT solution seems to better preserve the image structure although we notice the presence of gridding artifacts as confirmed by all image quality scores. One feature of calibrationless reconstruction methods is their ability to recover coil-specific images. Fig 2 compares the SSIM scores across all channels for all penalizations. The group-LASSO and its sparse variation have a reduced dispersion in SSIM while OSCAR extends this variability. In that way, OSCAR better enhances coil-specific images associated with the highest SNR level at the expense of lower SNR channels (see images in Fig. 3).

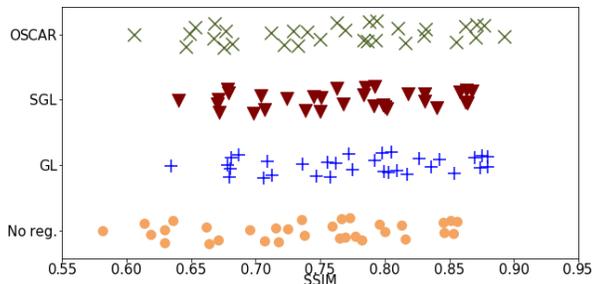


Fig. 2. Assessment of the SSIM score per channel.

Parallelization. One of the limitations of CS reconstruction is the computation time required to recover images especially in the parallel and non-Cartesian imaging paradigm. Hence, it is of critical importance to identify computational bottlenecks and ways of getting rid of them. The computation of the non-Cartesian Fourier operator f_{Ω}^1 can be parallelized using the multithreaded acceleration in the `pynfft` package.

Table 2. Assessment of computation time. To get the total computing time, multiply the time per iteration by $K = 200$.

| | Computing time (s) for proximity operator | Time per iteration (s) |
|--------------------|---|------------------------|
| Group-LASSO | 7.9 | 32.9 |
| Sparse group-LASSO | 10.9 | 35.9 |
| OSCAR | 30.9 | 55.9 |
| ℓ_1 -ESPIRiT | — | 18.9 |

¹Its numerical complexity is equal to $O(N(\log(N) + |\log(\epsilon)|M))$ [31], where ϵ is the desired accuracy

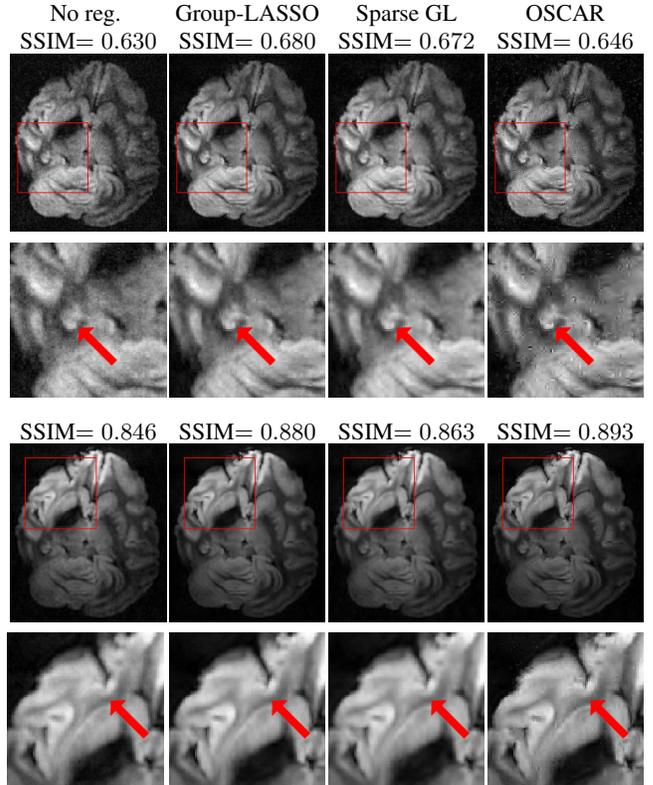


Fig. 3. From left to right, no penalization, group-LASSO solution, sparse group-LASSO and OSCAR solution for two different channels, the first row is a low SNR channel while the second is high SNR.

The different penalizations described in Section 3 have different numerical complexity regarding the calculation of their proximity operator (3): from $O(LN_{\Psi})$ for the proximity operator of g_{GL} and g_{sGL} to $O(N_{\Psi}L \log(P_s L))$ for g_{OSCAR} one. Hence, this impacts the computing time of these proximity operators as well as the whole reconstruction time as summarized in Tab. 2. In comparison, ℓ_1 -ESPIRiT seems less demanding. However, we did not take into account the time required for extracting the sensitivity maps.

5. CONCLUSION & DISCUSSION

In this work, we proposed a new parallel CS-MRI reconstruction algorithm without requiring the extraction of sensitivity maps. This approach can be formulated as a convex optimization problem relying on the OSCAR penalty term. We evaluated the image quality on ex-vivo baboon brain k-space data collected in a *prospective* and highly accelerated manner at 7T. We compared OSCAR results to the ones given by the group-LASSO and sparse group-LASSO as well as with the state-of-the-art ℓ_1 -ESPIRiT solution. We demonstrated that one can reconstruct MR images using well suited group sparsity priors without coil sensitivity information, since OSCAR outperforms its competitors. Extended reconstruction tests would be required to assess this observation. In terms of computing time, the reconstruction is more demanding using OSCAR, but a significant acceleration can be expected using multi-threading. This might have a significant impact on in-vivo dynamic MRI to mitigate the subjects' motion since an estimation of sensitivity maps is usually required for each scan of the image series.

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