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# Optimal integration of microalgae production with photovoltaic panels: environmental impacts and energy balance

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## Abstract

**Background:** Microalgae are 10 to 20 times more productive than the current agricultural biodiesel producing oleaginous crops. However, they require larger energy supplies, so that their environmental impacts remain uncertain, as illustrated by the contradictory results in the literature. Besides, during most of the year, solar radiation is too high relative to the photosynthetic capacity of microalgae. This leads to photosaturation, photoinhibition, overheating and eventually induces mortality. Shadowing microalgae with solar panels would therefore be a promising solution for both increasing productivity during hotter periods and producing local electricity for the process. The main objective of this study is to measure, via LCA framework, the energy performance and environmental impact of microalgae biodiesel produced in a solar greenhouse, alternating optimal microalgae species and photovoltaic panel (PV) coverage. A mathematical model is simulated to investigate the microalgae productivity in raceways under meteorological conditions in Sophia Antipolis (south of France) at variable coerture percentages (0% to 90%) of CIGS solar panels on greenhouses constructed with low-emissivity (low-E) glass.

**Results:** A trade-off must be met between electricity and biomass production, as a larger photovoltaic coverture would limit microalgae production. From an energetic point of view, the optimal configuration lies between 10% and 20% of PV coverage. Nevertheless, from an environmental point of view, the best option is 50% PV coverage. However, the difference between impact assessments obtained for 20% and 50% PV is negligible, while the NER is 48% higher for 20% PV than for 50% PV coverage. Hence, A 20% coverture of photovoltaic panels is the best scenario from an energetic and environmental point of view.

**Conclusions:** In comparison with the cultivation of microalgae without PV, the use of photovoltaic panels triggers a synergetic effect, acting both as a source of electricity and in reducing climate change impacts. Considering an economic approach, low photovoltaic panel coverage would probably be more attractive. However, even with a 10% area of photovoltaic panels, the environmental footprint would already significantly decrease. It is expected that significant improvements in microalgae productivity or more advanced production processes should rapidly enhance these performances.

**Keywords:** Biodiesel; *Chlorococcum sp.*; *Desmodesmus sp.*; Life cycle assessment; Raceway; Renewable energy.

## Background

Renewable liquid fuels are expected to play an essential role in reaching targets to replace petroleum-derived transportation fuels with a viable alternative, and to contribute to the reduction of GHG emissions. Although biodiesel from oleaginous crops and bioethanol from sugarcane are being produced in increasing amounts as renewable liquid fuels, their production cannot sustainably address the demand [1]. Hence, alternative sources of biomass are required to supply this increasing demand. Microalgae-based oil is currently being considered as a promising alternative raw material for biodiesel [2].

Microalgae are photosynthetic microorganisms that transform sunlight, water and carbon dioxide into chemical energy. This energy is stored as chemical bound energy, especially into lipids, carbohydrates and proteins. Oil extracted from microalgae species can then be converted into biodiesel [3]. In turn, biodiesel is a form of solar energy. Conventional agricultural oil crops are widely used to produce biodiesel; however, the oil fraction is very low (around 5% of total biomass basis) compared with certain species of microalgae whose oil content can exceed 60% of dry weight [1].

Microalgae has several advantages over land-based crops in terms of oil production: high biomass productivity, no competition with feed crops, possibility to uptake industrial sources of CO<sub>2</sub> and reduced competition for land [2]. Microalgae has the possibility to grow on marginal land by using brackish or seawater avoiding its competition for resources with conventional agriculture. Their simple unicellular structure and high photosynthetic efficiency allow for a potentially higher oil yield per area than the best oilseed crops [4] and its culture do not require herbicides nor pesticides [5].

Despite these advantages, microalgae-based fuels are still not widely produced, mainly due to their current cost of production [4]. Simultaneous algae biomass production and lipid accumulation is one of the main economic and technological bottlenecks [6]. Productive microalgae species and optimized culture conditions allowing for the production of strains with a simultaneously high growth rate and lipid content are necessary. The high cost and energy demand of harvesting diluted algae cells also remain a major challenge.

The use of microalgae for generating energy requires large-scale, low-cost production. This implies cheap, scalable reactor designs with high algal productivity. Many different algal cultivation systems have been developed, which can be divided into two main categories, open and closed. Closed systems, consist of containers, tubes or transparent plastic bags of various sizes closed to the atmosphere [7], while open systems consist of natural or agitated artificial ponds and containers open to the atmosphere.

To date, most commercial production have taken place in open ponds, thanks to their low cost and ease of construction and operation [7]. The most common technical design is the raceway pond: an oblong, looped pond mixed with a paddlewheel. However, some disadvantages of open systems have been detected, such as high evaporation rates, diffusion of CO<sub>2</sub> to the atmosphere, contamination with competing species and low control of solar radiation and temperature [7]. Ponds enclosed in glass houses or plastic-covered greenhouses which allow a better control of the growth environment [8]. Climate control in greenhouses contributes to maintain a better-adapted temperature for growth and therefore enhances the productivity. In addition, it reduces water losses through evaporation as well as the risk of contamination by other algal species or grazers [9].

Light and temperature influence algal biomass productivity and lipid cell content [10-12]. High irradiance and high temperature generate an increase in triglyceride synthesis, with a more saturated fatty acid composition compared to conditions at low irradiance and/or temperature [13]. Since light and temperature vary seasonally, these factors are crucial for learning the lipid composition and accumulation in outdoor cultivation systems. Microalgae species should be alternated during the year to best adapt to the season, and thus improve yearly production. Hence, the seasonal variation of lipid productivity results from several processes, which need to be accounted for in order to accurately estimate the algal oil yield

Moreover, solar radiation is, for most of the year, too high relative to the photosynthetic capacity of microalgae, thus leading to photosaturation, photoinhibition, also leading to overwarming eventually significantly increasing mortality [9]. Shadowing the microalgae with solar panels therefore turns out to be a promising solution for both increasing productivity during hotter periods and producing local electricity for the process. Jez, Fierro [14] demonstrated an increase in economic competitiveness for

microalgae biofuels when photovoltaic panels were used as a source of electricity in the facility. It is also a noteworthy option for producing algal biofuel in remote areas (typically deserts) that are long-distance or difficult access to the electric grid.

Solar photovoltaic panels (PV) provide energy security, reduce medium temperature and avoid photoinhibition in microalgae cultures [15]. However, building PV also produces greenhouse gas emissions due to energy consumption during the manufacturing processes. Investment costs on PV technology are still relatively high [16] but they are constantly decreasing due to both technology improvements and increases in production scales [17]. The most common PV technology is Crystalline silicon (single-crystalline sc-Si and multi-crystalline mc-Si), followed by Cadmium-Telluride (CdTe) and Copper Indium Gallium (di) Selenide (CIGS) [17]. Therefore, the viability of PV panels combined with biomass production strongly depends on the geographical location, on local sunlight radiation and on electricity costs.

Coupling biomass production with photovoltaic electricity represents an ideal opportunity for significantly reducing environmental impacts and electrical demands for biodiesel production systems.

Although this solution is technologically appealing, its sustainability can be questionable as there is a clear trade-off between electricity and biomass production, as a larger photovoltaic panels coverage would limit microalgae production.

The large seasonal variations in biomass production alter the value chain as well as its environmental impacts. Quantification of the environmental impacts of algal oil production is therefore necessary. Life cycle assessment (LCA) is a standardized tool that provides a quantitative and scientific analysis of the environmental impacts of products and their industrial systems [18]. The functional unit (FU) considered is 1 MJ of algal methyl ester (biodiesel), used in a conventional internal combustion automobile engine. The system boundary is defined as a set of criteria specifying which unit processes are part of a product system, while the life cycle inventory is a list of input and output components at each step of the production process [19].

The main objective of this study is to measure, via LCA framework, the energy performance and environmental impacts of microalgae-based biodiesel produced in a solar greenhouse, alternating optimal microalgae species and photovoltaic panel coverage percentages, to determine the optimal energetic environmental configuration. This prospective assessment is carried out with an eco-design

approach to tackle the main features of the system. In addition, four references cases complying with similar system boundaries and allocation approaches have been provided, only as benchmarking systems and not for purposes of comparative assertion. A mathematical model is simulated to investigate the microalgae productivity in raceways under meteorological conditions in Sophia Antipolis (south of France) at variable coerture percentages (0% to 90%) of CIGS solar panels on greenhouses. Biomass productivity and electricity production results are used as input in a process sequence of a virtual facility for biodiesel production over 145 ha, and thereafter, as input to a life cycle inventory implemented into SimaPro 8 software [20]. Three aspects of microalgae production were analyzed: potential environmental impacts, energy and carbon balance.

## Methods

### System description

From a ‘pond to wheel’ point of view, the scope of the system encompasses the production of biomass, process conversion and its combustion in a middle-sized car. The construction, dismantling and final disposal of the infrastructure and machinery were also included, as well as the production of chemicals and their transport. The process is divided into six main areas, also called sub-systems.

**Figure 1** illustrates the general schematic of the system boundaries and subsystems.

Subsystem 1 considers raceway systems for microalgae biomass production coupled with upstream inoculum production operations. Subsystem 2 includes harvesting and dewatering steps, which help to increase the biomass solid content for processing through subsequent conversion operations to obtain biodiesel: oil extraction (Subsystem 3) and oil conversion (Subsystem 4). The design also includes the combustion of microalgae biodiesel (Subsystem 6) and photovoltaic electricity production (Subsystem 5). The infrastructure construction and machinery production and dismantling are also considered.

**Figure 1** around here

The size of the facility is assessed for a total production area of 145 ha (including inoculum ponds and downstream processes). The overall site layout assumes that ponds are grouped into unit “modules” of about 5 ha (50 868 m<sup>2</sup>) each. Each module represents a standard greenhouse, constructed with low-emissivity (low E) glass (KGlass™ from Pilkington: thickness=4 mm, transmittance=82%, density=10 kg·m<sup>-2</sup>, lifespan= 30 years) [21] for walls and roof, supported by a steel frame. Low E is an essential contributor to energy conservation, since it reflects energy back into the greenhouse, achieving much lower heat loss than ordinary glass [21], and eventually extending the production period. The greenhouse structure also includes a climate control system through ventilation. It allows for medium temperatures to be maintained close to the optimal growth temperature of the microalgae. The ventilation system consists in favoring air flow by opening and closing the windows (flow rates fixed to 50 m<sup>3</sup>·s<sup>-1</sup>·greenhouse<sup>-1</sup> and 500 m<sup>3</sup>·s<sup>-1</sup>·greenhouse<sup>-1</sup>, windows are closed and open, respectively).

The layout of the greenhouses within the overall facility footprint along with the pipelines and roads required for on-site circulation and transport of materials is detailed in the **Additional material 2.1**. The full facility contains 122 ha of biomass production raceways grouped into 24 individual greenhouses (including 2 for inoculum ponds) connected via a network of pipelines and roadways. The greenhouses form a uniform grid of four columns by six rows. The rows comprise the raceway pond modules as well as the inoculum ponds. The facility also includes a dewatering section, a nutrient and freshwater storage section, and algal biomass conversion sections. Roads with access to all modules are 2 m wide between columns and 2 m wide between rows. The module dimensions include spacing for piping, electricity and roads on the border for access to the ponds. The nutrient and freshwater storage section provides bulk storage for water and nutrient inputs, while biodiesel is stored in the esterification section.

The production facility is located in Southern Europe (Sophia Antipolis - France, 43°36'56"N, 7°03'18"E), close enough to the Mediterranean coast to allow access to seawater. The geographic location of facility has the highest impact on biomass productivity. The climatic conditions of the chosen location should allow for high biomass productivity throughout the year. The main factors affecting biomass productivity are the average annual irradiance level and temperature. Ideally, the



temperature should be around 25°C with minimum diurnal and seasonal variations [8]. Other considerations also have to be taken into account, such as humidity and rainfall, the possibility of storms and flood events and the presence of dust and other atmospheric pollutants [8]. Meteorological data were collected at INRA PACA, Sophia Antipolis in 2015. These data were used to simulate the dynamics of temperature and light in the cultivation medium, for the various tested designs. Access to carbon dioxide and water of suitable quality are important. The algae culture and its transformation should both take place at the same site. The facility is assumed to be established on an initially shrub land and is modelled as an industrial area with vegetation.

## Co-product consideration in the assessment

If more than one product is delivered from the system processes, all system flows must be weighted and divided proportionally to the energy content of the products, and to the mass or market value. This division is called allocation. Another approach consists in substitution, which takes into account all products that can be replaced by the co-products; the system therefore receives credits for having cut down on the use of the initial product. The choice of performing co-product management approaches is a fundamental step in LCA and can lead to completely different results [22]. Several co-products can be generated in the system during three steps: i) oil extraction, ii) transesterification and iii) photovoltaic shading. The oil extraction process produces high value lipids (algal oil) and residual dry biomass (oilcake). Transesterification yields glycerine as a co-product while photovoltaic panels obviously produce electricity.

The impacts of co-products are based on an allocation approach according to their energy content [23], which is measured by their lower heating values (LHV). The co-products include surplus electricity, extraction residue (oilcake) and glycerine. Oilcake and glycerine have an energetic content (**Table 1**) and can be valorised as a source of energy, animal feed for oilcake and as heat source for glycerine [9]. Crude oil and oil cake differ in their carbon and energetic content, similarly to glycerine and biodiesel.

**Table 1.** Lower heating value (LHV) for co-products

Compound	Heating value (MJ/kg)	Ref.
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Biodiesel	37.2	[9]
Algal oil	38.3	[3]
Oil cake	0.77*	[9]
Glycerine	18.1	[9]

\* Composed by 95% water, 5% biomass (content around 70% carbohydrates and 30% protein), LHV based in composition.

A three-stage allocation scheme is carried out: First the impacts on electricity production, from a photovoltaic system (Subsystem-5) to electricity injected into the facility and exported electricity (surplus electricity). Secondly, the impacts incurred due to the production of oilcake and algae oil in the oil extraction subsystem (Subsystem-3) and thirdly the apportioned impacts of glycerine production in the oil conversion subsystem (Subsystem-4). **Table 2** presents the average annual allocations for different photovoltaic coverage ratios and consumption/production of electricity (see seasonal variations in the **Additional file 8**).

**Table 2.** Allocation factors used for biodiesel and co-products

		Percentage of coverage of photovoltaic panels									
		0%	10%	20%	30%	40%	50%	60%	70%	80%	90%
<b>Allocation S5</b>	Electricity from PV panels into facility	0%	84%	55%	36%	26%	20%	17%	14%	11%	9%
	Electricity exported (surplus)	0%	16%	45%	64%	74%	80%	83%	86%	89%	91%
<b>Allocation S3</b>	Algal oil	65%	65%	64%	64%	64%	63%	63%	63%	63%	63%
	Oilcake	35%	35%	36%	36%	36%	37%	37%	37%	37%	37%
<b>Allocation S4</b>	Biodiesel	91%	91%	91%	91%	91%	91%	91%	91%	91%	91%
	Glycerine	9%	9%	9%	9%	9%	9%	9%	9%	9%	9%

Substitution is also proposed as an alternative allocation method. Produced oilcake can be employed as animal feed in the same manner as soymeal can be used as a co-product from biodiesel. The protein content of soymeal is 48% [24], while it is around 30% in oilcake. Thus, 1 kg oilcake from algae replaces 0.6 kg of soybean for animal feed. The credits for not having to produce 0.6 kg soymeal for every kg algae oilcake produced are subtracted from the total upstream processes and emissions associated with the algal biodiesel production. **Algal oilcake co-product replaces the soymeal production from a soybean crude oil production plant located in United States.** Glycerine and surplus electricity co-products are respectively assumed to replace petroleum glycerine from an epichlorohydrine European plant and electricity production from a European mix, respectively.

## Microalgae specification

The analysis considers *Chlorococcum* sp. and *Desmodesmus* sp, since both species can achieve efficient trade-off between growth rate, lipid accumulation and ease of cultivation [25, 26]. Data are not consistent enough in the literature to accurately describe the variations in lipid profiles due to seasonal light and temperature variations. As a consequence, a constant TAG rate for each species is assumed according to nitrogen starvation conditions [27]. **Additional file 1** provides general information on the biomass as well as compositional details. The analysis considers a 47% and 53.8% lipid content (of dry basis content biomass), for *Chlorococcum* sp. and *Desmodesmus* sp., respectively.

## **Cultivation**

Microalgae cultivation in a module consists of 5 raceways of 8348 m<sup>2</sup> (2504.5 m<sup>3</sup> total volume) mixed with a paddlewheel (more information in **Additional file 2.2**). The 5 raceways are grouped into 1 greenhouse; each greenhouse contains feed and harvest pipes between individual raceways and common headers, with the harvest lines drawn off raceways controlled by slide gates and valves and delivered to primary de-watering (in –ground gravity settlers). Paddlewheel mixing is considered in each raceway, which may be viewed as a standard basis for commercial scale facilities [28] (more information in **Additional file 2.3**). The inoculum generally represents around 10% of the operating volume of the raceway. The inoculum grows in the same medium as the production raceway (see more information in **Additional file 2.4**). It is produced after an exponential phase prior to inoculation, within a small-sized raceway [29].

The process begins with algal biomass growth and harvesting from the raceways. Biomass is harvested at a seasonally variable culture density for processing through primary settling. The plumbing is a critical factor as it covers a large land footprint. Each pipeline is equipped with a valve for opening or closing the circulation of water, nutrients and/or inoculum in each raceway and inoculum pond. The piping and pumping systems involve five independent pipelines, detailed in the **Additional file 3.1**.

The residence time is 10 days, harvesting is performed once a day for each raceway, representing 10% of the total volume (volume extracted by raceway is 218.4 m<sup>3</sup>·d<sup>-1</sup>) [1]. The raceway is fed with fresh

medium at a specified flow rate. The feed point is typically located just before the paddlewheel. During feeding, the algal culture is either withdrawn or harvested from the raceway at a rate equal to the feed flow rate. Feeding and harvesting only occur during daylight and stop at night; otherwise the biomass could flush out the raceway overnight.

CO<sub>2</sub> is supplied from a nearby fossil fuel power plant by direct injection of flue gas. Distribution is ensured thanks to a blower system, under moderate pressure using sufficiently thick HDPE pipes. Carbon requirements depend on biomass growth rate and concentration. The efficiency of the microalgae inorganic carbon uptake was assumed to be 75% [30], while, the percentage of C in the biomass can vary according to the microalgae species (see **Additional file 6.2**).

In addition to carbon dioxide, algal growth requires nitrogen (N) and phosphorous (P) as principal nutrients [31]. Nutrient requirements for the inoculum ponds and raceways are assumed to be met using diammonium phosphate (DAP, 18% N, 20.2% P) for phosphorous requirements, and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>, 35%N) for nitrogen requirements at 20% w/w each. Percentages of N and P in biomass vary depending on the species of microalgae. In the case of N, a fraction of the element is also provided by DAP.

The fertilizer requirements in the inoculum ponds and raceways were calculated according to the species. For *Chlorococcum sp.* the nitrogen and phosphorous fertilizers are 0.0093 kg NH<sub>4</sub>NO<sub>3</sub>/kg algae biomass DW (0.026 kg N/kg algae biomass dry weight) and 0.0030 kg DAP/kg algae biomass DW (0.0053 kg P/ kg algae biomass dry weight). For *Desmodesmus sp.* 0.0066 kg NH<sub>4</sub>NO<sub>3</sub>/kg algae biomass DW (0.018 kg N/kg algae biomass dry weight) was assumed and 0.0022 kg DAP/kg algae biomass DW (0.0038 kg P/ kg algae biomass dry weight). These values (0.026 and 0.018 kg N/kg algae biomass dry weight), for *Chlorococcum sp.* and *Desmodesmus sp.*, respectively are similar to those reported by Collet, Lardon [9] for biodiesel production using *Nannochloropsis oculata* at nitrogen starvation (0.04 kg N/kg algae biomass dry weight). The areal fertilizer requirements in the raceways fluctuate according to the biomass productivity, and thus to the season (detailed in **Additional file 6.1**).

Whatever the location, the freshwater supply is insufficient to support any substantial scale production of algal fuels anywhere. The supply in brackish water is also relatively limited. Therefore, the use of

seawater and marine algae would be a convenient option for producing algal fuels. Unfortunately, the use of seawater for algae culture, does not totally eliminate the need for freshwater. Freshwater is still necessary for compensating evaporative losses and the consequent increase in culture salinity. Evaporative loss depends on the local climatic conditions, particularly on the irradiance levels, air temperature, wind velocity and absolute humidity [8]. Water is transported to the facility by pipeline from a nearby local marine water resource, while freshwater originates outside of the facility boundaries. The transport of water used in the facility has been ignored in the study. Seawater is used in the cultivation and inoculum ponds, while freshwater is used for fertilizer dilution and for compensating water losses (mainly via pond evaporation). The blowdown volume was assumed to be equal to the water requirement. For inoculum ponds, there is no blowdown; however dilution water in the fertilizer varies according to biomass productivity, while the evaporation volume is seasonally variable (see **Additional file 6.1**).

### **Pond emissions**

The volatile compounds emitted by raceways and inoculum ponds are CO<sub>2</sub>, N<sub>2</sub>O and NH<sub>3</sub>. These emissions highly depend on operating conditions, such as dissolved oxygen concentration, pH, mixing rate, gas transfer coefficient, nitrate concentrations, etc. [9]. Further experimental data are required to provide reliable emission factors. Nevertheless, due to lack of information, an average loss emission for each compound was inferred. These are correlated with other LCA studies [9].

The efficiency of the CO<sub>2</sub> injection system is low in raceways, resulting in re-emission of a large fraction of flue gas. A 25% emission of injected CO<sub>2</sub> was considered (250 g CO<sub>2</sub> kg<sup>-1</sup> CO<sub>2</sub> injected). Nitrogen emissions (N<sub>2</sub>O and NH<sub>3</sub>) to the environment have been scarcely taken into account in the literature, even though these emissions present harmful effects (causing, amongst others, acidification, eutrophication and global warming). Indeed, N<sub>2</sub>O is a greenhouse gas with a much higher GWP (Global Warming Potential) than CO<sub>2</sub> (298 kg CO<sub>2eq</sub>·kg<sup>-1</sup> at a temporal horizon of 100 years). Especially during nighttime anoxic conditions, microalgae cultures have proved to generate both direct and indirect N<sub>2</sub>O emissions. Direct N<sub>2</sub>O emissions are related to the denitrification process, which reduces nitrate (NO<sub>3</sub><sup>-</sup>) to nitrogen gas through a multistep process, with N<sub>2</sub>O as an intermediate

product [32]. Complete denitrification involves the production and consumption of  $\text{N}_2\text{O}$  which can be partially released into the atmosphere.  $\text{N}_2\text{O}$  emissions represent 0.003% of the nitrogen fertilizer applied to a fully oxic culture (raceway case) and 0.4% for a microalgae culture that is anoxic during dark periods (photobioreactor case) [32]. In the present study a 0.003% emission ( $0.0298 \text{ g N}_2\text{O} \cdot \text{kg}^{-1} \text{ N}$ ) was considered.

Indirect  $\text{N}_2\text{O}$  emissions are the long-term fate of nitrogen fertilizers [33]. Indeed, by providing substrate for microbial nitrification and denitrification after application in the soil, fertilizers indirectly generate  $\text{N}_2\text{O}$  which then volatilizes [33]. In the present study, an emission of  $1.6 \text{ g N}_2\text{O} \cdot \text{kg}^{-1} \text{ N}$  [33] and  $120 \text{ g NH}_3 \cdot \text{kg}^{-1} \text{ N}$  was considered [9].

### **Algae harvesting**

Harvesting refers to the removal of algal biomass from the pond, as well as, occasionally, to the primary concentration step. Dewatering is a secondary concentration step [28]. As algal biomass dewatering technologies are still under investigation and development, the best strategy is still difficult to assess. The present model is based on the technology analysed by NREL [28], offering an advantageous trade-off between dewatering performance (power demand, retention efficiency, etc.) and cost (capital and operating costs). Furthermore, this process avoids the addition of chemicals (i.e. flocculants or metal ions), thus maintaining biomass purity for downstream flexibility.

Biomass is harvested from the ponds and concentrated through three dewatering steps comprising gravity settlers, membranes and centrifugation to a final concentration of  $200 \text{ g} \cdot \text{L}^{-1}$ . Clarified water from each step is recycled towards the cultivation raceways, excluding a small fraction that is removed as blowdown to mitigate the build-up of salts and other inorganics.

The dewatering process begins with the primary settling ponds, for which energy demand is low since only pumps are required. The settler trenches have a trapezoidal profile with a volume of  $364.1 \text{ m}^3$  (50 m in length, 1.7 m deep, 8.5 m wide at the top and 0.34 m wide in the bottom). There are a total of 22 settler ponds with a 4 h residence time. The biomass is removed from these trenches by positive displacement pumps (assuming a negligible energy demand). The material harvested from gravity settling is transferred to membranes, while clarified effluent is redirected back towards the raceways

through feed pipes, along with additional recycled water from membranes and centrifuges through 3-inch diameter DI pipelines. The settler ponds concentrate the algal biomass from 0.5 to 10 kg·m<sup>-3</sup>, with 90% efficiency (i.e. 10% of the biomass returns to the ponds in the clarified water stream) and reduce the volume of water by a factor of 20.

The second dewatering process uses hollow fibre membranes. This technology was selected for its favourable performance and costs at a commercial scales, such as high reliability, direct scalability and simple thermal, mechanical and chemical management [28]. Maintenance and fouling are not problematic or costly, based on a daily cleaning protocol for the membrane modules. The hollow fibre membrane units received biomass at 10 kg·m<sup>-3</sup> from the settling ponds and concentrate the biomass to 130 kg·m<sup>-3</sup>, with an efficiency of biomass retention close to 100% (assumed here at 99.5%).

Centrifugation takes place after the hollow fibre membranes, during the final dewatering step. It leads to a high biomass concentration [28]. The centrifuge concentrates biomass between 130 kg/m<sup>3</sup> and 200 kg/m<sup>3</sup>, with a dewatering efficiency of 97% (3% of biomass is removed with the clarified water). The 99.8% of the total water inlet in the subsystem is dewatered during all three steps. **Table 3** summarizes the parameters of the selected technologies.

**Table 3.** Various parameters considered for study.

Unit process	Assumptions	Ref.
Algae cultivation	Algae strains: <i>Chlorococcum sp.</i> and <i>Desmodesmus F2 sp.</i> : 47% and 53.8% lipid content for <i>Chlorococcum sp.</i> and <i>Desmodesmus sp.</i>	[26]; [25]; [34]; [35]; [36]
Algae growth	<p><b>Velocity culture:</b> 0.3 m·s<sup>-1</sup> for raceways and 0.25 m·s<sup>-1</sup> for inoculum ponds.</p> <p><b>HRT:</b> 10 days. Raceways: 110 units of 310 m long x 30 m weight x 0.3 m height (2,184.3 m<sup>3</sup> volume medium). Inoculum ponds: 40 units of raceways of 160 m long x 15 m weight x 0.35 m height (656 m<sup>3</sup> volume medium).</p> <p><b>Facility:</b> 145 ha area. Operating time facility: 330 days ·year<sup>-1</sup> (90%).</p> <p><b>Paddlewheels:</b> 0.11 W/m<sup>2</sup>, time functioning: 12 h·d<sup>-1</sup>. One unit per raceways and inoculum pond.</p> <p><b>Blower system:</b> 22.2 Wh·kg<sup>-1</sup> CO<sub>2</sub>, time functioning: 12 h·d<sup>-1</sup>. One unit per raceways and inoculum pond. 14% v/v CO<sub>2</sub> concentration in flue gas.</p> <p><b>Water loss (evaporation):</b> daily variable (ranging between 0.01 and 0.34 cm·d<sup>-1</sup>).</p> <p><b>Inoculum input Pumping system:</b> Power: 10 kW, 22 units, time functioning: 0.8 h h·d<sup>-1</sup>. Electricity consumption: around 0.07 kWh·m<sup>-3</sup></p> <p><b>Nutrients/water loss pumping system:</b> 24 units (22 for raceways and 2 for inoculum ponds), time functioning: 12 h·d<sup>-1</sup>. Electricity consumption: negligible.</p>	
Algae Harvesting (De-	<p><b>Settlers ponds:</b> 22 units, Energy demand: negligible, Efficiency: 90%, Outlet concentration: 10 g/L. Capacity: 364.1 m<sup>3</sup>. Residence time: 4</p>	[28]; [36]

watering)	<p>hours.</p> <p><b>Membranes:</b> 22 units, Power: 2 kW, Energy demand (variable): 0.03 to 0.2 kWh·m<sup>-3</sup>, Efficiency: 99.5%, Outlet concentration: 130 g/L. Capacity: 2.3 m<sup>3</sup>·h<sup>-1</sup>, Time functioning: 12 h·d<sup>-1</sup>.</p> <p><b>Centrifuges:</b> 22 units, Power: 6 kW, Energy demand (variable): 0.9 to 5.05 kWh·m<sup>-3</sup>, Efficiency: 97%, Outlet concentration: 200 g/L. Time functioning: 12 h·d<sup>-1</sup>.</p> <p><b>Overall harvesting process:</b> 20% wt outlet concentration. Efficiency: 86.9%. Percentage of water volume reduced: 99.9%.</p> <p><b>Harvesting Pumping system:</b> 22 units, Power: 7.7 kW, Energy demand: 0.08 kWh·m<sup>-3</sup>, time functioning: 12 h/day.</p> <p><b>Recirculation pumping system:</b> 22 units, Power: 7.7 kW, Energy demand: 0.08 kWh·m<sup>-3</sup>, time functioning: 12 h/day.</p>
Oil extraction	<p><b>Sonication:</b> 2 units, Power: 16 kW, Energy demand: 0.013 kWh·kg<sup>-1</sup> algae-DW, Capacity: 12 m<sup>3</sup>·h<sup>-1</sup>, Time functioning (variable): 1.5 to 8.8 h/day. [30]</p> <p><b>Static mixer:</b> 1 unit, Power: 6 kW, Energy demand: negligible, Efficiency lipid extraction: 90%, Capacity: 12 m<sup>3</sup>·h<sup>-1</sup>, time functioning: 1.5 to 8.8 h/day. Hexane input: 10:1 mass ratio, 0.05% hexane losses.</p> <p><b>Biomass solvent separator:</b> 1 unit, Power: 6 kW, Energy demand: 0.005 kWh·kg<sup>-1</sup> algae-DW, Efficiency: 99.9%. Capacity: 5.7 m<sup>3</sup>·h<sup>-1</sup> time functioning (variable): 3 to 19 h/day.</p> <p><b>Distillation column:</b> 2 units, Energy demand (variable): 0.09 to 0.55 kWh·kg<sup>-1</sup> oil, Capacity: 15.2 m<sup>3</sup>·h<sup>-1</sup> time functioning (variable): 2.7 to 16 h/day.</p>
Oil conversion	<p><b>Transesterification reactor:</b> 1 unit, Power: 15 kW, Energy demand: 0.03 kWh·kg<sup>-1</sup> biodiesel, Time functioning (variable): 2.7 to 16 h/day. [37]</p> <p>Chemical consumption: methanol 1.1 kg·kg<sup>-1</sup> biodiesel, Sodium methoxide 0.11 kg·kg<sup>-1</sup> biodiesel, HCl 0.014 kg·kg<sup>-1</sup> biodiesel, NaOH 0.008 kg·kg<sup>-1</sup> biodiesel, Natural gas 0.063 L·kg<sup>-1</sup> biodiesel.</p>

## Algae transformation

The extraction step involves addition of hexane that dissolves the oil and strips it from the algae. The solvent recovery phase recovers the hexane from the oil. The current model is based on the oil extraction processes documented by Rogers, Rosenberg [30] for a biodiesel plant production at commercial scale. Yield extraction, hexane volume and associated heat and electricity consumptions have been adapted to match the data of this analysis. A 16 kW sonicator was used for cell disruption, processing up to 12 m<sup>3</sup>/h. The lipid extraction was then performed on the 20% wt slurry in a static mixer. The static mixer combines the solvent and algal biomass during lipid extraction. A solvent to algae-DW mass ratio of 10:1 was assumed, with an 80% extraction efficiency and without any electricity requirement. A daily solvent loss of 0.005% was assumed. In order to separate the oil cake (biomass + water) from the hexane-oil mix, the current model uses a biomass-solvent separator. This separator operates at 6 kW, processing 5.7 m<sup>3</sup>·h<sup>-1</sup>. In order to recover the solvent, a distillation column with a maximal capacity of 15.2 m<sup>3</sup>·h<sup>-1</sup> was used. The recovered hexane is re-circulated towards the



static mixer and is mixed with the new hexane flux to compensate for hexane emission losses, while the oil continues onwards to the next transesterification subsystem.

Algal oil with higher phospholipid contents are less suitable for biofuel, since phosphorous reduces the efficiency of the alkaline catalysts used in the transesterification process [37]. Phospholipids are of primary concern within the polar lipid fraction for their propensity to form gums and deactivate catalysts. For this reason, it is prudent to include a lipid clean up step to remove these impurities. The following two assumptions were made for the oil obtained from the distillation column: the phospholipid and free fatty acid contents are negligible in the algal oil [37], and the oil contains traces of water and hexane [38].

Transesterification is assumed for the conversion of algal oil into biodiesel. The current model is inspired from the process proposed by Haas, McAloon [37], for a production of 37854.1 m<sup>3</sup> biodiesel·y<sup>-1</sup> (52158.8 ton·y<sup>-1</sup>). This design was based on the use of crude, degummed soybean oil with negligible phospholipid and free fatty acid content as feedstock. The process involves three processing sections: i) transesterification unit where the vegetable oil is subjected to chemical transesterification to produce fatty acid methyl esters (biodiesel) and co-product glycerol, ii) a biodiesel purification section where the methyl esters were refined to meet biodiesel specifications and iii) a glycerol recovery section. The final product obtained is biodiesel with a lower than 0.005% (v/v) water content.

## **Combustion emissions**

The emissions associated with combustion are assumed to be equivalent to rapeseed-based biodiesel emissions. The emission factors refer to a EURO-3 middle-sized vehicle. They are extracted from the Ecoinvent database [39], assuming a fuel consumption of 0.42 km per MJ of biodiesel. Conventional diesel engines are considered to have the same consumption (see combustion emissions factors in **Additional file 9**).

## **Photovoltaic system**

The core of a photovoltaic system is the solar cells converting light energy into electricity. Electricity then generates an electromotive force when the radiation reaches a semiconductor plate presenting a potential gap [40]. Copper indium gallium diselenide ( $\text{Cu(In,Ga)Se}_2$ , CIGS) is a mixed alloy of copper indium diselenide ( $\text{CuInSe}_2$ , CIS) and copper gallium diselenide ( $\text{CuGaSe}_2$ , CGS) semiconductors [41]. In comparison to traditional silicon-based technologies, CIGS is appealing because of its competitive cell efficiency and performance in diverse environments [42]. Furthermore, although current efficiencies for CIGS cells average 14%, technological advancements presently contribute to the improvement of cell efficiencies with records up to 23% [42], potentially rendering CIGS increasingly competitive compared with current silicone-based cells. This study considers a conservative efficiency of 15% and a 30-year lifespan for 1 m<sup>2</sup> area module. The PV production inventory considers mass and energy flows over the whole production process starting from material extraction to the final panel assemblage, use and end of life. The CIGS technology data from Würth Solar (Germany) was used [43]. Different layers of CIGS thin film cells are necessary. The required sequence layers are deposited in a number of subsequent production steps. The active layer consists of a specific copper-indium-selenium configuration deposited by a vaporization process directly over a large area of window glass (substrate material). It is usually airtight sealed with a second glass plate. The modules have a size of 1.2 m by 0.6 m and a weight of 12.6 kg [43]. In **Additional file 16**, the monthly electricity production is plotted as a function of the percentage coverage of photovoltaic. These data have been obtained from the Sophia Antipolis meteorological database (France).

## Energy assessment

A cradle-to-gate life cycle energy analysis was performed, including the production of raw materials and the production process of biodiesel. The Fossil Energy Ratio (FER) and Net Energy Ratio (NER) were estimated according to the input and output energy for 1 MJ of biodiesel. There are no allocations in energy balance. FER is defined as:

$$FER = \frac{\text{Renewable energy output}}{\text{fossil energy input}} = \frac{LHV}{CED}$$

The FER only included fossil (non-renewable) energy in the denominator. NER includes total energy input in the denominator, including renewable sources of energy, such as wind and solar. NER, rather than FER, is used as an indicator of energy efficiency [44].

LHV (low heating value) is the life cycle energy output (MJ), determined using the following equation:

$$LHV = EP_{biodiesel} + EP_{oilcake} + EP_{glycerin} + EP_{surplus\ electricity}$$

EP represents the Energy for each co-product (MJ), each being defined as:

$$EP_{biodiesel} = 1 \text{ (Functional unit)}$$

$$EP_{glycerine} = Mass\ glycerine \left( \frac{kg}{MJ\ biodiesel} \right) \cdot LHV_{glycerine} \left( \frac{MJ}{kg} \right)$$

$$EP_{oilcake} = \sum_i P_{oilcake,n} \cdot LHV_n$$

$$EP_{surplus\ electricity} = surplus\ electrcticity\ (exported)\ from\ photovoltaic\ panels \text{ (MJ)}$$

Where,  $P_{oilcake,n}$  is the percentage of component  $n$  in the oilcake (% , e.g. carbohydrates, lipids, proteins, etc.) and  $LHV_n$  is the lower heating value of component  $n$  (MJ/kg).

Cumulative energy demand (CED) represents the life cycle total energy consumption (in MJ), which is represented by the following equation:

$$CED = \sum_i \sum_j EE_{i,j} \cdot PE_j + \sum_i \sum_n M_{i,n} \cdot PE_n$$

Where,  $EE_{i,j}$  is the  $j^{th}$  process energy consumption during stage  $i$  (MJ),  $PE_j$  is the total energy use for process  $j$  production (MJ/MJ) (renewable and non-renewable for NER and non-renewable for FER)  $M_{i,n}$  is the  $n^{th}$  material consumption during stage  $i$  (kg).  $PE_n$  is the life cycle total (renewable and non-renewable for NER and non-renewable for FER) energy use for material  $n$  production (kg/MJ). Values of CED for material and energy used in the various processes are obtained from the CED method v1.09 (see **Additional file 7**).

## **Environmental assessment**

The standard framework of Life Cycle Assessment (LCA) described by ISO 14040:2006 was selected to assess the ecological burdens and energy balance. An attributional LCA is used in the analysis, which considers only physical relationships between each process, different to a consequential LCA where economic relations are also assessed [9]. LCA software SimaPro v8.3 [18] was used for modelling the data, by using the characterization factors from the midpoint (H) ReCiPe 2008 method v1.3 [44]. Full LCI data source are available as supplemental information (**Additional file 7**) [45]. The impact categories considered were: Climate Change (CC), Ozone Depletion (OD), Human Toxicity (HT), Photochemical Oxidation formation (POF), Particulate matter formation (PMF), Terrestrial Acidification (TA), Freshwater Eutrophication (FE), Marine Eutrophication (ME), Terrestrial Ecotoxicity (TET), Freshwater Ecotoxicity (FET), Marine Ecotoxicity (MET), Ionising radiation (IR), Natural land transformation (NLT), Urban Land Occupation (Urban LO), Agricultural Land Occupation (Agri LO), Water Depletion (WD), Metal depletion (MD) and Fossil Depletion (FD). The endpoint (H) ReCiPe 2008 method is also used to assess the system at a more aggregated level through the three areas of protection (AoP): Human Health, Ecosystems and Resources.

## **Mathematical model for predicting monthly productivities**

The model predicting temperature in the raceway ponds was based on the heat balance presented by Béchet, Shilton [46], which was initially developed for an open raceway pond and validated at a large scale [49]. In the Béchet model, a total of eight heat fluxes were considered:

- 492       - Solar radiation;
- 493       - Long-wave air radiation;
- 494       - Long-wave pond radiation; Convection with the air flowing at the pond top surface;
- 495       - Evaporation from the pond surface;
- 496       - Conduction with the soil beneath the pond;
- 497       - Heat flux associated with the water inflow; and
- 498       - Heat flux associated with rain.

499   The model developed by Béchet, Shilton [46], still needed to be significantly modified as the presence  
500   of the greenhouse significantly impacts the expression of most of these heat fluxes:

- 501       - Solar and air radiation are partly shaded by the greenhouse;
- 502       - Pond radiation is partly reflected back towards the pond by the greenhouse.
- 503       - Convection and evaporation are "natural" in a greenhouse as there is no wind to force these  
504       transfer mechanisms;
- 505       - Rain heat flux is obviously inexistent in a closed greenhouse;
- 506       - Conduction and inflow heat fluxes were, however, expressed similarly to the case of an open  
507       pond.

508   The greenhouse is assumed to be of rectangular shape and condensation on the greenhouse walls was  
509   neglected. All opaque surfaces were considered as diffuse grey, except for the greenhouse walls that  
510   were considered as partly transparent. For the reflected radiative heat fluxes, only single reflection  
511   was accounted for. Finally, the temperature and relative humidity in the greenhouse are considered  
512   homogenous.

513   The air temperatures inside and outside the greenhouse are different. As the air temperature above the  
514   pond impacts both evaporation and convection at the pond surface, the air temperature inside the  
515   greenhouse needs to be assessed in parallel to the pond temperature. A heat balance on the air in the  
516   greenhouse was therefore computed to determine the air temperature at each time step of the  
517   simulation. The greenhouse walls emit inward long-wave radiation, a fraction of each being absorbed  
518   by the pond. The temperature of the greenhouse walls was therefore evaluated at each simulation time  
519   step through a heat balance on the greenhouse walls.

This heat balance is relatively complex due to the high number of radiative interactions between the greenhouse and its surrounding environment. Indeed, the pond, the ground inside the greenhouse and the ground outside the greenhouse emit long-wave radiations that are partly absorbed by the greenhouse. The long-wave radiation emitted by a grey body depends on its temperature and as a result, the temperatures of the inside and outside ground surfaces were determined simultaneously through two additional heat balances. It is not straightforward to determine the ground surface temperature as it depends on the conductive properties of the soil. Indeed, ground surface temperature decreases when the ability of the soil to conduct heat in deeper ground layers increases. This conductive heat flux is a function of the soil thermal properties but also of the temperature gradient within the soil. Therefore, to determine the internal and external ground surface temperatures, the temperature profiles in the soil first need to be assessed. In summary, to determine the pond temperature in the greenhouse, a total of five different heat balances were solved simultaneously during the simulations.

## Results and discussion

Dynamic seasonal growth modeling is an important step that critically impacts results. Monthly variations in the life cycle inventory depend on the monthly biomass productivity, which in turn affects lipid and biodiesel productivity. Large differences in assumptions on the productivity potential have directly contributed to the large variance in LCA results from various studies [47]. The high lipid yields reported in literature are typically the result of speculation for future productivity potentials based on the linear scaling of laboratory data [47]. This highlights the importance in developing realistic dynamic productivity models based on experimentally validated biological models integrated with local and seasonal meteorological data [48]. **Table 4** shows the evolution of the microalgae biomass productivity, respectively, for each species, obtained from the mathematical model based on Mediterranean conditions (Sophia Antipolis, France). According to simulation results, *Chlorococcum* *sp.* was chosen for the cold months and *Desmodesmus* *sp.* for the warm months, depending on the

coverture fraction of photovoltaic panels. When the coverture is greater than 60%, only *Chlorococcum sp.* was chosen because *Desmodesmus sp.* had a very low productivity at low light ( $< 1 \text{ g} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$ ).

Ten conditions are detailed in this interpretation: absence of photovoltaic panel (0% coverture), and greenhouse roof coverage from 10% to 90%. 100% coverture was not considered since it would hinder any biological productivity.

**Table 4.** Monthly biomass productivity ( $\text{g} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$ ). *Chlorococcum* and *Desmodesmus sp.* (bold text).

% PV panel	January	February	March	April	May	Jun	July	August	September	October	November	December
0%	9.79	16.52	26.74	20.59	<b>19.69</b>	<b>22.34</b>	<b>19.40</b>	<b>20.98</b>	<b>15.19</b>	18.49	12.45	9.12
10%	8.88	15.42	24.79	26.20	<b>18.29</b>	<b>21.14</b>	<b>18.40</b>	<b>19.50</b>	<b>14.18</b>	17.18	11.65	8.26
20%	7.93	14.08	22.65	26.33	15.94	<b>19.73</b>	<b>17.23</b>	<b>17.87</b>	18.23	15.67	10.81	7.38
30%	6.83	12.40	19.99	25.11	26.35	<b>17.94</b>	<b>15.76</b>	<b>16.26</b>	18.01	13.96	9.58	6.36
40%	5.84	10.80	17.46	23.08	26.14	<b>16.16</b>	<b>14.29</b>	18.58	17.66	12.37	8.40	5.44
50%	4.81	9.12	14.86	20.42	24.21	18.35	<b>12.62</b>	17.25	18.88	10.69	7.16	4.51
60%	3.74	7.38	15.78	17.31	21.10	20.76	15.61	21.19	16.21	9.41	5.86	3.52
70%	2.59	5.52	12.53	14.73	17.21	19.02	15.77	17.81	13.25	7.94	4.50	2.54
80%	1.32	1.85	8.51	10.78	12.20	14.29	12.21	12.10	9.29	5.17	2.80	1.24
90%	1.00	1.00	3.04	4.85	7.48	8.12	6.99	5.26	4.97	2.41	1.02	1.05

## Energy flows

The use of energy for each step of the process was derived from algal productivity, dewatering, oil extraction and transesterification (see Table 3). Figure 2 illustrates the energy requirements in the different case studies. The main energy requirement is issued from water pumps used for harvesting and recirculating flows from de-watering processes, followed by paddlewheel engines (more details in Additional file 2.3, 3.2, 4.2 and 4.3). The biomass productivity decreases when the coverture fraction of photovoltaic panels increases at a variation rate below 5% and between 0% and 30% photovoltaic coverture; however, at 70% photovoltaic coverture this variation rate increases to more than 15% (reaching almost 50% less biomass productivity at 90% with a 80% photovoltaic coverture).

Figure 2 around here

The NER and FER results are depicted in **Additional file 17**. Allocation issues do not affect this evaluation, i.e. all production processes are considered as a whole. The total set of products represents an amount of energy (in terms of LHV) ranging from 1.70 MJ<sub>LHV</sub> without PV up to 9.82 MJ<sub>LHV</sub> with 90% photovoltaic coerture. The total energy investment, CED (renewable + non-renewable energy), ranges from 0.90 (without PV) up to 9.93 for 90% PV. This implies a favourable NER over the whole year, i.e. even in the absence of photovoltaic panels: 1.99 and FER: 2.92. Without PV panels, the electricity should be supplied by the European electricity matrix. In comparison with other similar LCA studies on algal biodiesel, the NER for biodiesel from microalgae using fossil fuel electricity sources are usually slightly greater than 1 [3, 49, 50], although some cases can be lesser than 1, as reported by Lardon, Hélias [3] and Yang, Xiang [51].

With photovoltaic panels, the highest NER (larger than 5.0) are obtained during the hottest months (April to September) (see **Additional file 10**). Indeed, during the summer period, the electricity production is higher (large electricity production in comparison to the facility requirements). However, despite optimal energetic performance resulting from the use of photovoltaic panels, the relevance of renewable biofuels rather becomes a matter of producing storable and renewable energy. The production of biodiesel from microalgae is an efficient way to store a fraction of renewable energy. The optimal percentage of photovoltaic panels depends on the month: i.e. during the cold months (October to March), the optimal coerture is 10%, while for hot months (April to September) the optimum is 20% coerture.

Comparison of NER and FER between the case studies, first generation biodiesel and conventional diesel, is illustrated in **Figure 3**. The reference cases are obtained from the Ecoinvent database for biodiesel [39] and conventional fossil diesel [52], complying with similar limits for the system and for the allocation of this study. The biodiesel reference scenarios are soybean diesel (US), palm tree diesel (Malaysia) and rapeseed diesel (European average) (more details about comparative cases can be found in **Additional file 15**). A 10% and 20% coerture fraction of photovoltaic panels are the most optimal configurations that obtain highest FER and NER, respectively. The presence of 10% and 20% photovoltaic panel favors a higher NER than for first generation and fossil diesel. However, FER



presents better results in the cases of soybean and palm tree biodiesel, despite the use of photovoltaic panels to improve the energy balance.

**Figure 3 around here**

## Environmental impacts

First generation biodiesels and fossil diesel are compared in **Additional file 18**, which illustrates the endpoint characterization results for the combustion of 1 MJ of biodiesel in a medium-sized car for various fractions of photovoltaic panel coerture. The lowest impact is obtained for a 50% coerture, with equivalent performances from 30% to 60%. The main subsystem contributors are the culture, followed by the photovoltaic subsystem, in the case of human health and resources, or combustion in the case of ecosystem category. Biodiesel from microalgae has the following characteristics:

- Algal biofuel leads to significant reductions in the Human Health and Ecosystem categories compared to other biodiesels, but is still higher than conventional diesel.
- Significant reductions in the Resources impact category are obtained relative to conventional diesel; however, the impact is higher than for soybean diesel and palm tree diesel.

**Additional file 19** presents the contribution of each process to climate change, accounting for production of electricity using PV panels. Results for midpoint categories are detailed in the **Additional file 12**. The data in **Table 5** make it possible to compare the impact results of algae biodiesel to those obtained by fossil diesel and first generation biodiesels. These overall results on comparisons with others scenarios are coherent with the study by Collet, Lardon [9]. It is important to note that some categories increase for a large coverage of photovoltaic panels (> 80% coerture), such as POF, PMF, TA, ME, or FET. However, the absence of photovoltaic panels either increases or reduces certain impacts, such as IR, mainly due to the electricity requirement or MD due to the production of photovoltaic panels, respectively.

**Table 5.** Comparison of LCA results between algae biodiesel and conventional or first-generation biodiesels

Impact category	Algae biodiesel in comparison to:			
	Conventional fossil Diesel	Palmtree Biodiesel	Rapeseed Biodiesel	Soybean Biodiesel

Ozone depletion	-	+	-	-/+
Human Toxicity	+	+	-/+	+
Photochemical Oxidation Formation	-	-/+	-	-/+
Particulate Matter Formation	-/+	-/+	-/+	+
Terrestrial Acidification	-/+	-/+	-	+
Freshwater Eutrophication	+	+	-/+	-/+
Marine Eutrophication	-/+	-	-	-
Ionizing Radiation	-/+	+	-/+	-/+
Water Depletion	+	+	+	+
Metal Resources Depletion	+	+	-/+	+
Fossil Resources Depletion	-	+	-/+	+
Natural Land Transformation	-	-	-	-
Agricultural Land Occupation	+	-	-	-
Urban Land Occupation	-/+	-/+	-	-/+
Terrestrial Ecotoxicity	+	-	-	-
Freshwater Ecotoxicity	+	-/+	-	+
Marine Ecotoxicity	+	+	-/+	+

- Impact reduction for algae biodiesel; + Impact increase for algae biodiesel

-/+ Impact reduction or increase for algae biodiesel, depending of percentage of photovoltaic panel coerture

The overall results highlight the contribution of the culture, infrastructure production and use. This is coherent with results from contribution analyses in others studies [3, 9]. Culture (Subsystem-1) is the main contribution for most of the assessed impacts (CC, PMF, TET, TA, OD, FD, HT, Nat LO, Agri LO and Urban LO). For the remaining categories, culture is classified as a second contributor, preceded by the photovoltaic system (Subsystem-5) in the case of FET, MET, IR, FE and MD, or combustion (Subsystem-6) in POF and ME.

The infrastructure in the culture (Subsystem-1) has a significant effect in terms of CC, PMF, OD, FD, HT, Nat LO, Agri LO and Urban LO, due to the production of materials (mainly steel, PVC, HDPE, aluminium and concrete) used in the greenhouse, and to machinery and pipe productions. In addition, pond emissions from culture mainly contribute to TA and TET through volatilized ammonium and N<sub>2</sub>O. Although nitrogen fertilizer requirements are reduced (the culture system works under nitrogen-limiting conditions to improve the lipid contents in microalgae), nitrogen-based fertilizer production remains the main contributor in these categories.

The different metals and energy used to build the CIGS system highly contribute to the impacts of the photovoltaic system (Subsystem-5). Silver used for screen manufacturing contributes to MD, CC, TA, PMF and HT. This is mainly due to the impacts generated by the extraction and processing of silver, including also its high requirement in fossil energy (which strongly contributes to IR). In addition, extraction/manufacturing of stainless silver (substrate) essentially impacts OD, while water used for

washing the substrate affects WD and eutrophication categories. Other metals, such as copper, indium, gallium and selenium used in the CIGS layer and cabling contribute to eco-toxicity and eutrophication categories.

Combustion emissions mainly affect POF and ME; and in a lower extend to CC, PMF, TET and TA. The carbon burned during the biodiesel combustion is biogenic as it originates from photosynthetic fixation, i.e. zero greenhouse emissions in the form of CO<sub>2</sub> is assumed. Hence, the environmental impacts are due to other compounds and/or fossil carbons that are related to the production of chemicals, such as methanol for esterification.

The electricity required for the transformation sub-systems (de-watering, oil extraction and oil transformation) at low percentage of photovoltaic panel coerture has an important impact for most of the categories. Nevertheless, the presence of photovoltaic panels at a larger percentage of covertures turns out less important at an environmental impact level. It also becomes a secondary source of impact for some categories, such as OD, FD and Nat LO, mainly due to chemical production (used in the esterification) and transports. The considered processing system does not exist at industrial scales. Hence, this part of the analysis has the most uncertainties and can be subject to errors in the calculation of energy consumption or waste production. Nevertheless, alternative choices have already been tested individually in different studies [28, 30, 37]. This represents a reasonable projection of the processes and avoids over-optimistic or unrealistic assumptions.

One of the main objectives of this study is to scale the expected gains on microalgae biodiesel production with respect to the reduction of GHG emissions, when a renewable energy source is considered. In comparison with the cultivation of microalgae without PV, the use of photovoltaic panels triggers a synergetic effect, acting both as a source of electricity and in reducing climate change impacts (**Additional file 19**). Similarly to endpoint category results, the scenario with a 50% PV coerture points to lower impacts on climate change. From a 0% to 80% coerture, climate change emissions are lower for algae diesel in comparison to biodiesel (except for soybean biodiesel) and diesel. A 90% PV coerture leads to highest values in climate change due to the numerous photovoltaic modules and to the strong decrease in biomass productivity. **Additional file 11**

comprises monthly GHG emissions for a 50% PV coerture. From April to September, values remain

below  $0.03 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$ , while during the rest of the year, GHG emissions are higher, with values greater than  $0.07 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$  in winter (December, January). The percentage of decrease depends on the quantity of electricity produced. The higher electricity production during the summer months contributes to the strongest decrease in GHG emissions (In the case of a 50% coverture, emissions reach about 40% less than for the case without PV panels). Nonetheless, the reduction in GHG emissions is lower in winter (November to February), varying between 4% and 24% (for a 50% PV coverture) compared to the nominal case excluding PV. **Figure 4** illustrates the effect of biomass productivity on GHG emissions. The decrease in GHG emissions is directly connected to increasing microalgae productivity. Without photovoltaic panels, when biomass productivities are higher than  $20 \text{ g}_{\text{biomass}} \cdot \text{m}^{-2} \text{ d}^{-1}$ , GHG emissions remain within the range of 0.05 to  $0.045 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$ . With a 50% PV coverture, the contribution to Climate Change emissions varies around  $0.03 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$  when the productivity is higher than  $12 \text{ g}_{\text{biomass}} \cdot \text{m}^{-2} \text{ d}^{-1}$ .

**Figure 4 around here**

### **Reaching an optimal trade-off**

In addition to trying to identify processes with limited energy requirements, the combination of biomass production with PV electricity represents an ideal opportunity for significantly reducing environmental impacts by almost 50% of GHG emissions. However, there is a clear trade-off between electricity and biomass production, as a larger PV coverture would limit microalgae production. This trade-off is associated to a series of optimal process designs and operating strategies that are correlated.

Higher biomass productivity, related to higher biodiesel productivity could be achieved in the absence of PV panels. Adding photovoltaic panels can enhance productivity for the hottest months, but reduces biomass productivity on a yearly basis (each 10% PV coverage leads to a decrease of about 5% in the biomass productivity, but the decrease rate is higher for a PV coverage greater than 70%). However, at low PV coverage, consumption of electricity from the grid affects the energetic ratio (NER). A 10% coverage of PV increases NER by 48% ( $1.91 \text{ MJ/MJ}$  for 0% PV and  $2.83 \text{ MJ/MJ}$  for

10 PV), with a peak value at 20% PV coverage (For a PV coverage > 20% NER decreases due to lower biomass productivities and higher energetic demands in the infrastructure construction). Thus, from an energetic point of view, the optimal configuration lies between 10% and 20% of PV coverage. Nevertheless, from a human health, ecosystem, resources and climate change point of view, the best option is 50% PV coverage. However, the difference between impact values obtained for 20% and 50% PV is negligible (difference of 7%;  $0.044 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$  and  $0.040 \text{ kg CO}_{2\text{eq}} \cdot \text{MJ}_{\text{biodiesel}}^{-1}$  for 20% and 50% PV coverage, respectively), while the NER is 48% higher for 20% PV than for 50% PV coverage. Hence, 20% coverage of photovoltaic panels can be considered as a sound and optimal energetic environmental configuration.

In addition, two high potential species have been studied with a monthly-optimized strategy. As ventilation controls the greenhouse climate, medium temperatures are maintained close to the optimal growth temperature. The thermal properties depend upon the PV coverage, thus the succession in cultivated species can vary. The trade-off that needs to be reached is constrained by the local climate and should therefore strongly depend on the location of the plant. Even though a 20% PV coverage has been defined as the best option from an energetic and environmental point of view, the complex and dynamical optimization problems still need to be revisited for any new climate conditions, while the solutions would depend upon the targeted species, which must be chosen according to these light/temperature conditions.

The objectives of this study are to reduce environmental impacts, however a techno-economic analysis should also be undertaken in order to identify the trade-off from an economical point of view. Microalgal biofuel, which can be stored, has a higher value than PV electricity. It is also associated to valuable co-products that have a higher economic value. PV contributes to reduce biomass productivity at a yearly scale, and thus a trade-off at a lower PV coverage can be expected when focus is put on economic aspects. The photovoltaic greenhouse has another advantage compared to classical raceways, since it lengthens the production season by modulating the greenhouse climate, hence favoring a better return on investment.

#### Allocation method selection

The allocation methods, which are, in this case, based on energy, cover the co-products, the emissions as well as their impact on the functional unit. Allocation factors of co-products strongly reduce the impacts of biodiesel (see allocations factors in **Table 2**). Their values reflect each upstream chain phase benefit from all downstream co-products in the allocation process [53]. In this case, oil extraction (sub-system 3), oil conversion (sub-system 4) and photovoltaic covertures (sub-system 5) benefit from seed meals, glycerin and electricity, respectively. However, the energetic allocation does not highlight the actual use of co-products derived from the biodiesel production chain. The substitution method highlights the importance of co-product valorization, in which co-products are considered as amendments. The saved emissions, resulting from the substitution of conventional products by co-products are reported with a negative value since they tend to reduce the impact. Even though an energetic substitution method is accepted for biofuel sustainability certification, the results also need to be evaluated by a substitution method, while “estimates would change if co-products were accounted for using the substitution approach” [54]. To highlight the importance of considering co-products on the impact of a functional unit, the environmental performance of the substitution method was evaluated and compared with results produced by the energetic allocation method (**Additional file 20**). It is noteworthy that when co-products are taken into account, the environmental balance is reversed and results are dramatically affected. A 90% PV coverage is associated to lower environmental impacts on human health, ecosystems, resources and climate change categories. This is essentially related to the higher surplus electricity production, which reduces the electricity demand from the European electricity grid. Surplus electricity arises from the large percentage of photovoltaic panels, while electricity consumption is reduced within the facility (due to extremely low biomass productivity). Regrettably, the lower environmental impacts assessed with the substitution method, under conditions of negligible biomass productivity and high photovoltaic electricity, is not compatible with the production of microalgae biodiesel. The representation of a co-product by substitution also implies a modification of the addressed question. The allocation approach (using the energetic content as criterion for partitioning) focuses the study towards the relevance of microalgae biodiesel as an alternative fuel. However, substitution answers a much broader issue. Co-product management practice ends up with a choice between fuel and

electricity productions. Results point out that although electricity production is the main issue, it is misleading for the eco-design of an efficient alternative fuel production system.

It is crucial to manage co-products appropriately if the energy balance and environmental performance of the overall system are to be enhanced. Substantial energy is also stored as organic matter in the oilcake (obtained from oil extraction), and the energetic allocation assumes an energetic potential for the oilcake. This illustrates how complicated it can be to assess the energy balance and environmental impact in algal systems. Certain processes developed to extract this energy include anaerobic digestion and co-digestion, whose digestate can provide the necessary nutrients, thus reducing the incorporation of external fertilizers. Anaerobic digestion also contributes to recover a fraction of the energy content in oilcake [9] in the form of biogas. However, most of the studies dedicated to anaerobic digestion in microalgae point out that external energy is necessary to run the digester [55-57].

The sustainability-turn between both allocation methods highlights first the importance of considering the actual uses of co-products, and secondly how the consequences of substituting conventional products can strongly modify the sustainability assessment of biofuel. The oil yield and biomass productivity are therefore not the only parameters that must be taken into account for selecting a sustainable biodiesel production, since co-products also have a significant role. More details about substitution method results and comparison with rapeseed, palm tree, soybean and conventional diesel are described in the **Additional file 13**, **Additional file 14** and **Additional file 15**.

### **Improvement paths**

High production costs are the major limitation for the commercialization of algae-based biofuel. It is expected that the price of algal biofuels drops when the biomass and lipid productivity are improved [58]. More recent strategies to enhance biomass and lipid productivity in microalgae include genetic and metabolic engineering [59, 60], addition of phytohormones [61], and co-cultivation of microalgae with fungi [62], yeasts [63, 64] and bacteria [65]. By enhancing the performance of microalgae, which, nowadays, are still wild species, productivity should also increase. Bonnefond, Grimaud [66]

have proposed a promising strategy for improving algae efficiency with a lower sensitivity to temperature fluctuations. Their approach resulted in extending the thermal niche with an enhancement of the maximal growth rate and lipid content. In addition, the use of additional species all along the year could probably further improve the process. However, this would also involve more sophisticated logistics, as well as the capacity to simultaneously maintain the different species destined to be successively exploited.

This study focuses on classical raceway systems, even though more productive systems could be used, such as biofilm-based processes [67], which are likely to considerably reduce energy and harvesting and dewatering costs. Another strategy to optimize algal biomass and lipid production would be to combine open ponds and photobioreactors (hybrid system) [68, 69]. This hybrid system would first maximize biomass production in photobioreactors under nutrient-sufficient conditions. The biomass would then undergo nutrient-depleted conditions in open ponds to enhance lipid accumulation.

Significant PV shadowing could be very beneficial during the hottest periods, although it penalizes growth during the cold season. The combination of effective light collection for electricity production with light distribution strategies for microalgae would be an important design criterion. The adjustment of the PV panels using solar flux tracking mechanisms, are options that could dynamically adapt the shadows to the needs of the microalgae. In addition, the LCA was based on the conservative assumption of a 15% PV yield. Improvement of the PV efficiency should mechanically contribute to reduce the PV coverage for a same electricity production, and thus increase microalgae productivity.

These improvements should lead to an additional reduction in the resources and climate change impacts. Based on these same criteria, it however remains challenging to reach a better performance than soybean and palm tree biodiesel. Despite this issue, it should be emphasized that a fair comparison between the two approaches ought to be carried out under the same climate. The reference scenario is assessed for hotter climates, under which significantly higher photovoltaic and biomass productions are expected. A comparison with European rapeseed biodiesel is probably more relevant for an appropriate assessment of photovoltaic greenhouses that produce algal biofuel.

## **Conclusions**



811

812       The combination of microalgae production with photovoltaic panels offers several advantages,  
813 the main one is to utilize the excess energy from sunlight to feed the large energy demand for  
814 biodiesel microalgae. This could therefore counteract the strong external energy requirement of  
815 microalgae. Coupling biomass production with photovoltaic electricity represents an ideal opportunity  
816 for significantly reducing environmental impacts by a factor close to 50% of GHG emissions.  
817 However, there is a clear trade-off between electricity and biomass production, as a larger  
818 photovoltaic panels coverage would limit microalgae production. Thus, from an energetic point of  
819 view, the optimal configuration lies between 10% and 20% of photovoltaic panel coverage.  
820 Nevertheless, from an environmental point of view, the best option is 50% photovoltaic panel  
821 coverage. However, the difference between impact values obtained for 20% and 50% PV is  
822 negligible, while the Net Energy Ratio is 48% higher for 20% PV than for 50% PV coverage. Hence,  
823 20% coverage of photovoltaic panels is a sound and optimal energetic environmental configuration.  
824 Taking economics into account, low photovoltaic panel coverage would probably be more attractive.  
825 However, even with a 10% area of photovoltaic panels, the environmental footprint would already  
826 significantly decrease. This study was carried out with state of the art technologies, but significant  
827 improvements in microalgae productivity or more advanced production processes should rapidly  
828 enhance the performances. The challenge is now to maintain a profitable production from an  
829 economical point of view, despite the increased technicality of the processes.

830

## 831 **Declarations**

832

## 833 **Ethics approval and consent to participate**

834 Not applicable

## 835 **Consent for publication**

836 Not applicable

## 837 **Availability of data and material**

838 Not applicable

## 839 **Competing interest**

840 The authors declare that they have no competing interests

## 841 **Founding**

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## 843 **Authors` contributions**

844 All authors read and approved the final manuscript


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848

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## **Figure titles**

**Figure 1.** System boundaries for LCA of biodiesel production

**Figure 2.** Annual average net electricity input and biomass productivity depending on PV coverage.

*Note: Monthly biomass productivity average values are indicated above bars.*

**Figure 3.** NER and FER comparison *pond-to-wheels* life cycle microalgae-based biodiesel with first-generation biodiesel and conventional diesel.

1032 **Figure 4.** Climate change according to areal productivity and PV coverture.

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