

# Analyzing Automata with Presburger Arithmetic and Uninterpreted Function Symbols

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*Analyzing Automata with Presburger Arithmetic  
and Uninterpreted Function Symbols*

Vlad Rusu, IRISA/INRIA Rennes

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*Rapport  
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## Analyzing Automata with Presburger Arithmetic and Uninterpreted Function Symbols

Vlad Rusu, IRISA/INRIA Rennes

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**Abstract:** We study a class of extended automata defined by guarded commands over Presburger arithmetic with uninterpreted functions. On the theoretical side, we show that the bounded reachability problem is decidable in this model. On the practical side, the class is useful for modeling programs with potentially infinite data structures, and the reachability procedure can be used for symbolic simulation, testing, and verification.

**Key-words:** program modeling, simulation, testing, verification.

*(Résumé : tsvp)*

## Analyse d'automates étendus avec arithmétique de Presburger et fonctions non interprétées

**Résumé :** Nous étudions une classe d'automates étendus, définis par des commandes gardées exprimées en arithmétique de Presburger avec fonctions non interprétées. Nous montrons que le problème d'accessibilité bornée est décidable dans ce modèle. En pratique, ce formalisme permet de modéliser des programmes avec structures de données de taille potentiellement infinie, et la procédure d'accessibilité peut être utilisée pour la simulation symbolique, le test, et la vérification formelle.

**Mots-clé :** modélisation de programmes, simulation, test, vérification.

## 1 Introduction

Modern research in automated verification can be divided into two categories. In the first category, which includes languages and tools such as SPIN [12], SMV [3] and CADP [9], the focus is on using well-established techniques such as state enumeration or binary decision diagrams, and pushing them to the limits by optimizing the algorithms in every possible way. This has proven fruitful in terms of applications, and it is a mark of success of these techniques, which for the most part were initiated in the eighties and early nineties.

The second category of research is concerned with exploring new techniques, whose impact for practical applications, although in quantitative progress, still has to be assessed. Two representative examples for this category are Presburger arithmetic [19] and the theory of uninterpreted functions with equality [1]. Unlike finite-state methods, these relatively rich theories allow the modeling of real-life systems more accurately, without the need of abrupt simplifications. These theories are decidable (although their combination is not) and are efficiently implemented in state-of-the-art verification tools such as PVS [18] and Omega [15].

Motivated by these remarks, we investigate in this paper a class of extended automata that consist of transitions over a finite control structure, with guards and assignments in a decidable fragment of the theory of Presburger arithmetic with uninterpreted functions. The formalism is expressive (e.g., one transition can modify an unbounded number of function values). It can model quite naturally programs with unbounded data structures such as parametric-sized vectors and arrays.

We show that reachability in a bounded number of steps is decidable in this model. The result is interesting, in our opinion, because it gives a direct procedure for symbolic simulation. The procedure computes the weakest constraints on a program's input data for a given finite sequence of transitions to be executed. Thus, we obtain an automatic solution to the *test input problem* [16] from software testing: given a finite path in a program, find whether it is executable, and if this is the case, obtain input data for executing it. Then, given a coverage criterion [21] defined by a finite set of finite paths in the program (e.g., executing every instruction at least once, or checking each condition at least once), it is possible, using our procedure, to select the paths that are executable and to synthesize input data for executing them. This results in a complete coverage for the chosen coverage criterion. Finally, symbolic analysis can also be used as a semi-decision procedure for verification of safety properties: if a safety property does not hold, the procedure will detect this in a finite number of steps.

The rest of the paper is organized as follows. In Section 2 we present the theory of Presburger arithmetic with uninterpreted function symbols. In Section 3 we define a class of extended automata (called PF-automata) with guards and assignments in a decidable fragment of this theory. The initial condition may constrain an unbounded number of function values, and assignments may modify an unbounded number of such values. In Section 4 we present a decision procedure for the bounded reachability problem in PF-automata, based on symbolic analysis techniques. The procedure is implemented using the ICS decision procedure package [7] from SRI International. Section 5 presents conclusions, related work, and future work.

## 2 Background

In this section, we briefly describe the theory of Presburger arithmetic and its extension with uninterpreted function symbols.

### 2.1 Presburger Arithmetic

Let  $\mathbb{Z}$  denote the set of integers, and  $V$  be a set of integer variables. A *term* is a finite, affine combination on the variables. An *inequality* is a comparison ( $<$ ,  $>$ ,  $\leq$ ,  $\geq$ ,  $=$ ) between terms. A *ground Presburger formula* is a finite Boolean combination of inequalities. A *Presburger formula* is a finite Boolean combination of inequalities, in which some variables can be quantified. Thus, if  $x, y, z, u$  are variables, then  $x + 2y + 1$  is a term,  $x \leq y \wedge x + 2y + 1 > 0$  is a ground Presburger formula, and  $\forall z. x \leq y + z \wedge \exists u. x + 2y + u > y$  is a Presburger formula. Satisfiability in Presburger arithmetic is decidable [19], with time complexity triple exponential in the size of the formula [17] (but simple exponential in the ground fragment [2]).

### 2.2 Presburger Arithmetic with Uninterpreted Function Symbols

Let again  $V$  be a set of integer variables, and  $F$  be a set of function symbols. For each function  $f \in F$ , we only know its *arity* (a natural number  $n$ ), and the fact that it is a function from  $\mathbb{Z}^n$  to  $\mathbb{Z}$ . A *term with function symbols* is either a variable, or a function application to a term, or an affine combination of those. *Inequalities* (resp. *ground Presburger formulas*, resp. *Presburger formulas*) *with function symbols* are defined as in Section 2.1, except that they are built over terms with function symbols. Quantification over function symbols is not allowed. Thus, if  $x, y, z, u$  are variables and  $f, g$  are functions of arity one,  $x + 2y + f(g(z))$  is a term with function symbols,  $x \leq y \wedge x + 2y + f(g(z)) > 0$  is a ground Presburger formula with function symbols,

and  $\forall x.x \leq y + f(g(z)) \wedge \exists u.x + 2y + f(z) > g(u)$  is a Presburger formula with function symbols. In the sequel, we denote by PF the theory of Presburger arithmetic with function symbols. Satisfiability in PF is  $\Sigma_1^1$ -complete [13], but decidable in the ground fragment [22]. As we shall make use of the latter result, it is important to understand why it holds.

### 2.2.1 Decidability of the ground and existential fragments.

Shostak [22] made the following simple observation. In a ground formula with uninterpreted function symbols, the only relevant property about functions is that they map equals to equals, and, by instantiating this property to finitely many terms, it is possible to obtain an equivalent Presburger arithmetic formula. Let  $\varphi$  be a formula of the ground fragment of PF. For simplicity, we suppose that in  $\varphi$  there is only one unary function symbol  $f$ , which is only applied to two terms,  $t_1$  and  $t_2$ . Then,  $\varphi$  is satisfiable if and only if the following Presburger formula is satisfiable:

$$\tilde{\varphi} : \quad \varphi[f(t_1)/f_1, f(t_2)/f_2] \wedge (t_1 = t_2 \supset f_1 = f_2) \quad (1)$$

That is, in  $\tilde{\varphi}$ , the function applications  $f(t_i)$  from  $\varphi$  are replaced by new integer variables  $f_i$ , and the general property that function  $f$  maps equals to equals is instantiated to “equality of the terms  $t_i$  implies equality of the variables  $f_i$ ”. There is a one-to-one correspondence between the models of  $\varphi$  and those of  $\tilde{\varphi}$ .

Consider now the existential fragment of PF (i.e., only existential quantifiers are allowed, and under the scope of an even number of negations). Modulo a renaming of variables, it is possible to move all quantifiers to the outermost level. Then, a formula  $\exists x.\varphi$  in this fragment has a model if and only if  $\varphi$  also has one. Indeed, if there exists values of the functions and free variables that satisfy  $\varphi$ , then the same values satisfy  $\exists x.\varphi$ , and if there exists a model for  $\exists x.\varphi$ , then this model, augmented with the “witness” value of  $x$  for the existential quantifier, is a model for  $\varphi$ . Thus, the existential fragment of PF is decidable. This reasoning can be pushed even further: a PF formula  $\varphi$  is satisfiable if and only if the formula  $\exists f.\varphi$ , where  $f$  is a function, is satisfiable. Note that the latter formula is not in PF. We will use this result in Section 4.

### 2.2.2 Dealing with universal formulas.

The universal fragment of PF consists of formulas in which only universal quantifiers are allowed, under an even number of negations. This fragment is highly undecid-



able<sup>1</sup>. Yet, universal formulas are useful: for example, specifying a property of *all* the elements of a parametric-sized vector requires a universal quantifier. In this section, we define a class of universal PF formulas that are satisfiable, and show how to decide satisfiability for combinations of ground and universal formulas. We use these results in the next sections.

We say formula  $\phi$  is an *assignment to a function  $f$*  if  $\phi$  is of the form  $\forall i.(e_1 \supset f'(i) = e_2)$ , where  $e_1$  is a ground PF formula over  $V \cup \{i\} \cup F$  (for some sets  $V$  of variables and  $F$  of functions such that  $f' \notin F$ ), and  $e_2$  is a term of PF over the same elements. Such formulas are satisfiable: for any values of the variables (including the quantified variable  $i$ ) and functions, if  $e_1$  holds, then  $f'(i)$  can be chosen to be equal to  $e_2$ , otherwise,  $f'(i)$  may have any value.

We say formula  $\psi$  of PF is *universally satisfiable* if the universal closure of  $\psi$  - the formula obtained from  $\psi$  by universally quantifying every variable of  $\psi$  - is satisfiable. For example, it is not hard to show that assignments to functions are universally satisfiable.

A formula of PF is *semi-universal* if it can be written as  $\varphi \wedge \psi$ , where  $\varphi$  is a ground formula, and  $\psi$  is a universally satisfiable formula of the universal fragment of PF with the property that each quantified variable is in the scope of a function symbol. (Note that this is not a syntactical definition, because the conjunct  $\psi$  is required to have a semantic property.)

The following lemma says that satisfiability of a semi-universal formula can be reduced to satisfiability of a ground formula, by instantiating universal quantifiers to finitely many terms.

**Lemma 2.1** *Satisfiability in the class of semi-universal formulas is decidable.*

*Proof.* Let  $\vartheta = \varphi \wedge \psi$  be a semi-universal formula. Without restricting the generality, we can assume that there is only one function symbol  $f$  occurring in  $\vartheta$ . Formula  $\psi$  is then modified according to the following transformations: for every term in  $\psi$  of the form  $f(t)$ , where  $t$  may be any term except a quantified variable,  $\psi$  is transformed into the equivalent formula  $\forall y.(y = t \supset \psi(t/y))$ . Since we have started with a formula where all quantified variables are in the scope of a function symbol, we obtain after

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<sup>1</sup>To see this, note that for any PF formula of the form  $\forall x_1, \dots, x_n. \exists y. \varphi$ , it is possible to skolemize  $y$  by replacing it with a new function symbol  $f$ , which gives the equivalent formula  $\forall x_1, \dots, x_n. \varphi(f(x_1, \dots, x_n))$ . Then, an arbitrary formula of PF can be translated into an equivalent universal formula by first moving all quantifiers to the outermost level, and then skolemizing the existentially quantified variables as shown above. In this way, the whole PF theory can be encoded in its universal fragment. Thus, satisfiability in the universal fragment of PF is  $\Sigma_1^1$ -complete.

all these transformations a formula  $\psi$  of the form  $\forall z_1 \dots \forall z_n. \hat{\psi}(f(z_1), \dots, f(z_n))$ , where  $\hat{\psi}$  is a ground PF formula, and  $f(z_1), \dots, f(z_n)$  are all the occurrences of  $f$  in  $\psi$ . Let  $f(t_1), \dots, f(t_m)$  be all the occurrences of  $f$  in  $\varphi$ , where  $t_1, \dots, t_m$  are terms. Then,  $\vartheta$  is equivalent to:

$$\varphi \wedge \bigwedge_{z_1, \dots, z_n \in \{t_1, \dots, t_m\}} \hat{\psi}(f(z_1), \dots, f(z_n)) \wedge \forall z_1, \dots, z_n \notin \{t_1, \dots, t_m\}. \hat{\psi}(f(z_1), \dots, f(z_n)) \quad (2)$$

That is, formula  $\psi$  is split into two conjuncts: in the first one, the quantified variables  $z_1, \dots, z_n$  are instantiated to every possible combination of the terms  $t_1, \dots, t_m$ , and in the second one, the quantified variables  $z_1, \dots, z_n$  are required to be different from all these terms. Finally, we show that the satisfiability of Formula (2) is equivalent to the satisfiability of its first two conjuncts, which constitute a ground PF formula, whose satisfiability is decidable:

$$\varphi(f(t_1), \dots, f(t_m)) \wedge \bigwedge_{z_1, \dots, z_n \in \{t_1, \dots, t_m\}} \hat{\psi}(f(z_1), \dots, f(z_n)) \quad (3)$$

Indeed, any model of Formula (2) is also a model for (3). Conversely, suppose (3) has a model, that is, values for the function  $f$  and the free variables appearing in (3). Any such model constrains the value of  $f$  only at the positions defined by the values of  $t_1, \dots, t_m$ . Thus, for every position  $i$  different from these values, it is possible to choose the value of  $f(i)$  freely. In particular, we choose these values as defined by the value of  $f$  in some model of the universal closure of  $\psi$ . (There exists such a model because we have supposed  $\psi$  is universally satisfiable). We consider a model of Formula (3) with  $f$  chosen as above, and show it is also a model of Formula (2). By construction, the chosen values of  $f$  and of the free variables will satisfy the first two conjuncts of Formula (2). These values also satisfy the third conjunct  $\forall z_1, \dots, z_n \notin \{t_1, \dots, t_m\}. \hat{\psi}(f(z_1), \dots, f(z_n))$ : since  $\psi$  is universally satisfiable, this is also the case for the weaker formula  $\forall z_1, \dots, z_n \notin \{t_1, \dots, t_m\}. \hat{\psi}(f(z_1), \dots, f(z_n))$ . Thus, the chosen value for  $f$ , together with any values for the free variables (in particular, the ones chosen from the model of Formula (3)) will satisfy it.  $\square$

### 3 PF-automata

In this section we define the syntax and semantics of a class of extended automata with guards and assignments in a fragment of Presburger arithmetic with uninterpreted function symbols.

**Definition 3.1 (PF-automaton)** A PF-automaton is a tuple  $\langle Q, q^0, V, F, \Theta, \mathcal{T} \rangle$ :

- $Q$  is a finite set of locations,
- $q^0 \in Q$  is the initial location,
- $V$  is a finite set of integer variables,
- $F$  is a finite set of unary function symbols,
- $\Theta$  is a semi-universal PF formula, called the initial condition,
- $\mathcal{T}$  is a finite set of transitions. Each transition is a tuple  $\langle q, \gamma, \nu, \phi, q' \rangle$  where
  - $q \in Q$  is the origin of the transition,
  - $\gamma$  is a ground PF formula, called the guard of the transition,
  - $\nu$  is a finite set of variable assignments. An assignment to variable  $v \in V$  is an expression of the form  $v' = r$ , where  $r$  is a term of PF over  $V$  and  $F$ . For each variable  $v \in V$ , there is in  $\nu$  at most one assignment to  $v$ ,
  - $\phi$  is a finite set of function assignments. An assignment to function  $f \in F$  is an expression of the form  $\forall i. (e_1 \supset f'(i) = e_2)$ , where  $e_1, e_2$  are respectively a ground PF formula and a term of PF over  $V \cup \{i\} \cup F$ . For each function  $f \in F$ , there is in  $\phi$  at most one assignment to  $f$ ,
  - $q'$  is a location called the destination of the transition.

Note that the initial condition  $\Theta$  is required to be a semi-universal formula. As membership in this class is not decidable, other techniques (e.g., theorem proving) may be needed to establish that a given structure is a PF-automaton. We expect that PF-automata which model “real” programs will have rather simple initial conditions, whose satisfiability is not hard to assess.

Figure 1 is an example of PF-automaton, which models the successive insertion of all the elements of a vector  $g$  of size  $m$  into the sorted vector  $f$  of size  $n$ . The initial location is  $l_0$ , and the initial condition specifies that the vector  $f$  is sorted. Variable  $j$  is used as a counter going over the elements of vector  $g$ . On the transition from  $l_0$  to  $l_1$ , the function assignment to  $f$  specifies that all the elements of  $f$  that are greater than  $g(j)$  are shuffled one position to the right. The function assignment on the transition from  $l_1$  to  $l_2$  specifies the insertion of the new element  $g(j)$  at the correct position. Because  $f$  is sorted, this position is unique. Then, the size of  $f$  grows by one, and the next element of  $g$  is processed.

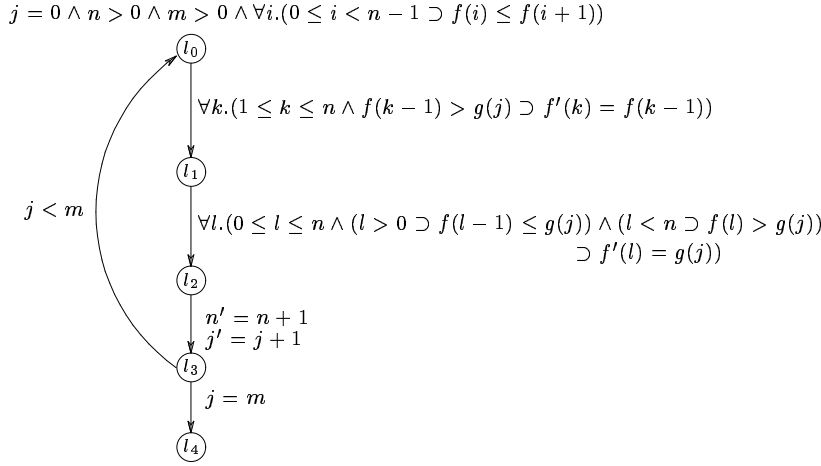


Figure 1: Example of PF-automaton: Insertion in Sorted Vector

PF-automata are useful for modeling programs with potentially unbounded data structures such as files, buffers, and arrays of parametric size. In Definition 3.1, we have assumed that the only basic type is integer, but most other types (Booleans, enumerations, records, subranges) can be encoded using integers. The restriction that there is at most one assignment for each variable and function application is useful for avoiding semantic complications (i.e., situations where a function gets two different values simultaneously). It can be dealt with in practice by introducing new transitions to sequentialize the assignments. Also, the restriction that all functions are unary is used to simplify semantic definitions.

### 3.0.3 Semantics of PF-automata.

A *valuation* is a mapping that assigns, to each free variable appearing in the automaton, a value in  $\mathbb{Z}$ , and to each function symbol, a function from  $\mathbb{Z}$  to  $\mathbb{Z}$ . We denote by  $\mathcal{V}$  the set of all valuations. A *state* is a pair  $(q, v)$  consisting of a location  $q \in Q$  and a valuation  $v \in \mathcal{V}$ . Note that, for a PF-automaton with at least one function symbol, there are uncountably many states. An *initial state* is a state of the form  $(q^0, v^0)$  such that  $v^0 \models \Theta$ , that is, the location is initial and the values of the variables and functions satisfy the initial condition  $\Theta$ . The set of states is denoted by  $\mathcal{S}$ , and the set of initial states is denoted by  $\mathcal{S}^0$ . Each transition  $\tau \in \mathcal{T}$  defines a *transition relation*  $\rho_\tau \subseteq \mathcal{S} \times \mathcal{S}$ , in the following way. Intuitively,  $s$  and  $s'$  are in the

relation  $\varrho_\tau$  if the location of  $s$  (resp. of  $s'$ ) is the origin (resp. destination) of  $\tau$ , and the variables and functions in  $s$  satisfy the guard of  $\tau$ . Moreover, the variables and functions get new values according to the assignments of  $\tau$

Formally, for a PF formula  $\varphi$  and a valuation  $v \in \mathcal{V}$ , let  $\varphi[v]$  be the truth value of  $\varphi$  when the free variables and the function symbols of  $\varphi$  evaluate according to  $v$ . For a term  $r$ , we denote by  $r[v]$  the integer value obtained by evaluating variables and function symbols according to  $v$ . We now define how valuations are modified by assignments. According to Definition 3.1, these are of two kinds: assignments to variables and assignments to functions. Let  $v' \in \mathcal{V}$  be the valuation obtained from  $v$  after an assignment, then,  $v'$  is obtained in the following way. If the assignment is of the form  $x' = r$ , where  $x$  is a variable, then  $v'$  is the valuation such that for all  $u \in F \cup (V \setminus \{x\})$ ,  $v'(u) = v(u)$ , and  $v'(x) = r[v]$ . Otherwise, the assignment is of the form  $\forall i.(e_1 \supset f'(i) = e_2)$ , where  $e_1$  is a ground formula and  $e_2$  is a term, both over the variables  $V \cup \{i\}$  and functions  $F$ . Then,  $v'$  is the valuation such that for all  $u \in V \cup (F \setminus \{f\})$ ,  $v'(u) = v(u)$ , and  $v'(f)$  is defined as follows: for any  $i_0 \in \mathbb{Z}$ , let  $e_1[v, i/i_0]$  (resp.  $e_2[v, i/i_0]$ ) denote the value of  $e_1$  (resp.  $e_2$ ) when the free variables  $V$  evaluate according to  $v$ , and  $i$  equals  $i_0$ . Then, for any  $i_0 \in \mathbb{Z}$  such that  $e_1[v, i/i_0]$  holds,  $v'(f)(i_0) = e_2[v, i/i_0]$ , and  $v'(f)(i_0) = v(f)(i_0)$  otherwise. Finally, for  $\tau = \langle q, \gamma, \nu, \phi, q' \rangle$  a transition, we denote by  $v[\nu, \phi]$  the valuation obtained by successively transforming  $v$  according to the assignments of  $\tau$ . (Note that the order in which this is done is not important, because there is at most one assignment per variable or function symbol). Then, the transition relation  $\varrho_\tau$  of transition  $\tau = \langle q, \gamma, \nu, \phi, q' \rangle$  is the smallest relation defined by the following rule:

$$\frac{s, s' \in \mathcal{S}, s = (q, v), s' = (q', v'), \gamma[v] = \text{true}, v' = v[\nu, \phi]}{\varrho_\tau(s, s')}$$

We denote by  $\varrho = \bigcup_{\tau \in \mathcal{T}} \varrho_\tau$  the transition relation of the PF-automaton. A *run* is a sequence of states:  $\rho : s_0, s_1 \dots, s_n$  such that  $s_0 \in \mathcal{S}^0$ , and for  $i = 0 \dots n-1$ ,  $\varrho(s_i, s_{i+1})$  holds. The *length* of run  $\rho : s_0, s_1 \dots, s_n$  is  $n$ . A state  $s \in \mathcal{S}$  is *reachable in at most  $m$  steps* if there exists a run of length  $n$  ( $n \leq m$ ) whose last state is  $s$ . The *bounded reachability problem* is: given a set of states  $\Omega$  and an integer  $m$ , is there a state  $s \in \Omega$  which is reachable in at most  $m$  steps. In Section 4 we prove that this problem is decidable for PF-automata.

## 4 Symbolic Analysis

For a transition  $\tau$  and an arbitrary predicate  $\vartheta$  on states, the predicate  $post_\tau(\vartheta)$  characterizes the states  $s'$  that can be reached by taking transition  $\tau$  from some state  $s$  satisfying  $\varphi$ :

$$post_\tau(\vartheta): \exists s. \rho_\tau(s, s') \wedge \vartheta(s).$$

For a sequence of transitions  $\sigma : \tau_1, \dots, \tau_n$  and a predicate  $\vartheta$ , the predicate  $post_\sigma(\vartheta)$  is defined as  $post_\sigma(\vartheta): post_{\tau_n}(post_{\tau_{n-1}}(\dots post_{\tau_1}(\vartheta)))$ . In the sequel, we identify sets of states with the formulas that characterize them, and let the set of states  $\Omega$  be a ground PF formula. Clearly,  $\Omega$  is reachable in at most  $m$  steps if and only if there exists a sequence  $\sigma$  of contiguous transitions, of length at most  $m$ , and starting in the initial location, such that  $post_\sigma(\mathcal{S}^0) \cap \Omega \neq \emptyset$ .

Since there are finitely many sequences of transitions up to a given length, it is enough to show that, for any finite sequence  $\sigma$  of contiguous transitions starting in the initial location, the formula  $post_\sigma(\mathcal{S}^0) \wedge \Omega$  is in a class where satisfiability is decidable. We show by induction on the length of  $\sigma$  that  $post_\sigma(\mathcal{S}^0)$  is of the form  $\exists x_1, \dots, x_k \exists f_1, \dots, f_l. \vartheta$ , where  $x_1, \dots, x_k$  are variables,  $f_1, \dots, f_l$  are functions, and  $\vartheta$  is a semi-universal formula (cf. Section 2.2). Thus, satisfiability of  $post_\sigma(\mathcal{S}^0)$  is reduced to satisfiability of  $\vartheta$ , which is decidable (cf. Lemma 2.1).

The base step is obvious: by Definition 3.1 of PF-automata, the initial condition  $\Theta$  is a semi-universal formula, which is a particular case of the desired form.

For the inductive step, it is enough to show that, for any transition  $\tau = \langle q, \gamma, \nu, \phi, q' \rangle$  of the PF-automaton and any formula  $\Psi$  of the form  $\Psi : \exists x_1, \dots, x_k \exists f_1, \dots, f_l. \vartheta$  with  $\vartheta$  a semi-universal formula, the formula  $post_\tau(\Psi)$  is of the same form. Without restricting the generality, we assume that the PF-automaton has only one function symbol  $f$ , and that the function assignments  $\phi$  of transition  $\tau$  consists of one element, of the form  $\forall i. (e_1 \supset f'(i) = e_2)$ . Let  $\bar{x}$  denote the variables of the PF-automaton, and  $\nu(\bar{x})$  denote the effect of the variable assignments  $\nu$  on the variables. Then,  $post_\tau(\Psi)$  can be written as the following formula over the next-state variables  $\bar{x}'$  and next-state function  $f'$ :

$$post_\tau(\Psi): \exists f. \exists \bar{x}. (\bar{x}' = \nu(x) \wedge \Psi \wedge \forall i. (e_1 \supset f'(i) = e_2) \wedge \forall i. (\neg e_1 \supset f'(i) = f(i))) \quad (4)$$

By moving all the existential quantifiers in  $\Psi$  at the outermost level of the Formula (4), we obtain that  $post_\tau(\Psi)$  is equivalent to the following formula:

$$\exists \bar{x}, x_1, \dots, x_k. \exists f, f_1, \dots, f_l. (\bar{x}' = \nu(x) \wedge \vartheta \wedge \forall i. (e_1 \supset f'(i) = e_2) \wedge \forall i. (\neg e_1 \supset f'(i) = f(i))) \quad (5)$$

What we still have to show is that the formula obtained from (5) after removing all existential quantifiers is a semi-universal formula. By induction hypothesis,  $\vartheta$  is a semi-universal formula. Thus,  $\vartheta = \varphi \wedge \psi$ , where  $\varphi$  is a ground PF formula, and  $\psi$  is a universally satisfiable formula of the universal fragment of PF (cf. Section 2.2). Hence, it is possible to write the formula obtained from (5) after removing all existential quantifiers, as the conjunction  $\varphi' \wedge \psi'$ , where  $\varphi' : \bar{x}' = \nu(x) \wedge \varphi$ , and  $\psi' : \psi \wedge \forall i. (e_1 \supset f'(i) = e_2) \wedge \forall i. (\neg e_1 \supset f'(i) = f(i))$ .

Clearly,  $\varphi'$  is a ground PF formula, and  $\psi'$  is a universal PF formula with the property that all quantified variables are in the scope of a function symbol (cf. Section 2.2). To complete the proof, we just have to show that  $\psi'$  is universally satisfiable. By induction hypothesis,  $\psi$  is universally satisfiable, thus, in particular, there exists a value of  $f$  such that, for any values of the variables  $\bar{x}$ ,  $\psi$  is satisfied. Let the value of  $f'$  be defined as follows: for all values of  $i$  and of the variables  $\bar{x}$ , if  $e_1$  holds, then the value of  $f'(i)$  equals that of  $e_2$ , otherwise the value of  $f'(i)$  equals that of  $f(i)$ . By adding the value of  $f'$  to the model of the universal closure of  $\psi$ , we obtain a model for the universal closure of  $\psi'$ . Hence,  $\psi'$  is universally satisfiable.  $\square$

### Discussion.

The above proof shows that checking reachability in  $m$  steps involves checking satisfiability of a semi-universal formula  $\vartheta$  with  $m$  copies of each variable and function symbol. This, in turn, involves instantiating every universal quantifier from the universal part of  $\vartheta$  to all the terms in its ground part (cf. Lemma 2.1). Finally, a decision procedure for ground Presburger arithmetic with uninterpreted function symbols is used to decide the resulting ground formula. We use the ICS decision procedure package from SRI International [7].

Preliminary results with our symbolic analysis prototype are encouraging: for example, a symbolic simulation of a path of about ten thousand steps in a vector-sorting algorithm was completed in about twenty hours. This means ten thousand calls to the decision procedures for checking formulas with thousands of variables and function applications. As optimizations in both ICS and our prototype are still being developed, we expect to be able in the future to perform symbolic simulation on real-size programs and specifications.

Finally, it is worth noting that a simple extension of PF-automata which consists in letting the guards be universal PF formulas, is too expressive for symbolic simulation, as reachability even in one step becomes highly undecidable (cf. Section 2.2).

## 5 Conclusion, Related Work, and Future Work

In search of new infinite-state models for which some verification problems are still solvable, we investigate in this paper a class of extended automata, called PF-automata, with guards and assignments in a decidable fragment of Presburger arithmetic with uninterpreted function symbols. This formalism allows to model quite naturally programs with unbounded data structures such as parametric-sized vectors and arrays. The model is expressive: the initial condition may constrain an unbounded number of function values, and assignments may modify an unbounded number of such values. The latter can be seen as meta-transitions, which encode in one step the execution of an unbounded number of transitions. We present a decision procedure for the bounded reachability problem in this model. The procedure works by symbolically simulating the initial states over finite sequences of transitions of the automaton. It is implemented in `caml` and uses the ICS decision procedures from SRI International [7]. Symbolic simulation has a number of practical applications:

- it is a useful technique for understanding and debugging programs by interactive execution,
- it can be employed for performing accurate structural testing: given a coverage criterion, which is a finite set of finite paths in the program (as computed by, e.g., a commercial tool [6]), our procedure computes input data for executing those paths that are executable and discard those that are not, resulting in a complete coverage for the chosen criterion,
- finally, symbolic analysis can also be used as a semi-decision procedure for formal verification of safety properties: if a safety property does not hold, our reachability procedure detects this, otherwise, it will loop forever.

### Related Work.

The literature on the analysis of automata extended with integer variables is vast; see [4] for new results and as an entry point. Concerning automata with uninterpreted function symbols, a recent result [8] shows that simulation is decidable for certain classes of such automata. The only results we are aware of about automata extended with Presburger arithmetic *and* function symbols are reported in [15]. Here, the Omega tool is used to analyze graphs whose edges are annotated by ground formulas in this logic. A *reachable* operation computes an over-approximation of the reachable



states. The operation will work for graphs with either cycles or functions, but not both.

The other main body of related work is structural testing. Most commercial tools (e.g., [6]) can measure the coverage with respect to a given coverage criterion for input data provided by the user. Moreover, the paths in the criterion are not always executable. Research on synthesizing input data using symbolic simulation was started in the seventies [20, 5] and has received renewed attention recently [11]. However, these techniques are currently limited to programs with scalar data types (vectors and arrays are not treated). Some techniques for dealing with vectors and arrays have been proposed [14, 10]. To our knowledge, our model, which allows an unbounded number of values to be constrained by the initial condition and the assignments, is among the most expressive for which symbolic simulation techniques exist.

### **Future Work.**

The main direction of future work consists in optimizing the prototype symbolic analysis tool and adding new features to it, such as structural path computation for several coverage criteria, dependency analysis of variables, and slicing. We are also interested in using symbolic analysis techniques for obtaining coverage measures in conformance testing.

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Unité de recherche INRIA Lorraine, Technopôle de Nancy-Brabois, Campus scientifique,  
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