



# Conditional Concurrent Rewriting

Iliès Alouini, Claude Kirchner

► **To cite this version:**

Iliès Alouini, Claude Kirchner. Conditional Concurrent Rewriting. [Research Report] RR-2777, INRIA. 1996, pp.24. <inria-00073915>

**HAL Id: inria-00073915**

**<https://hal.inria.fr/inria-00073915>**

Submitted on 24 May 2006

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# *Conditional Concurrent Rewriting*

Iliès ALOUINI , Claude KIRCHNER

N° 2777

Mars 1996

————— THÈME 2 —————



*R*apport  
*de recherche*



## Conditional Concurrent Rewriting

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Thème 2 — Génie logiciel  
et calcul symbolique  
Projet PROTHEO

Rapport de recherche n° 2777 — Mars 1996 — 24 pages

**Abstract:** For implementing conditional concurrent rewriting on distributed memory machines, we have designed a transformation of conditional term rewriting systems to unconditional rewriting systems. The transformation provides a terminating rewriting system that simulates in a correct and complete way the application of the initial conditional rewrite system, which is assumed to be decreasing and confluent. Furthermore, this allows to define a model for the implementation of conditional concurrent rewriting using a fine-grained parallelism on terms and based on term hypergraph rewriting. Finally, we present first experimental results based on our implementation RECO of conditional concurrent rewriting and show that significant speed-ups can be obtained when computing normal forms of terms.

**Key-words:** Concurrent rewriting, Rewrite derivations, Conditional rewriting, MIMD architecture, Parallel algorithms.

*(Résumé : tsvp)*

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## La Réécriture Conditionnelle Concurrente

**Résumé :** Pour implémenter la réécriture conditionnelle concurrente sur des machines à mémoires distribuées, nous avons conçu une transformation de systèmes de réécriture conditionnelles en des systèmes de réécriture non conditionnelles. La transformation donne un système de réécriture terminant qui simule d'une manière correcte et complète l'application du système de réécriture conditionnel initial en supposant ce dernier décroissant et confluent. De plus, ceci permet de définir un modèle pour l'implémentation de la réécriture conditionnelle concurrente utilisant un parallélisme de grains fins sur les termes. Finalement, nous présentons les premiers résultats expérimentaux basés sur notre implémentation de la réécriture conditionnelle concurrente **RECO** et nous montrons que des facteurs d'accélération sont possibles lors du calcul de formes normales de termes.

**Mots-clé :** Réécriture concurrente, Dérivations de réécriture, Réécriture conditionnelle, Architecture MIMD, Algorithmes parallèles.

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## 1 Introduction

The fundamental interest of using term rewriting as an executable specification tool was shown in the last two decades, through many theoretical works but also many implementations of the concept, like (without exhaustivity) OBJ, PLUSS, LPG, ASF+SDF. More recently the usefulness of the rewriting logic as a logical framework [MOM93] and the resulting implementation of the ELAN [KKV95] and MAUDE [Mes93] languages have shown the importance of having efficient implementations of conditional term rewriting, in particular in parallel.

Initiated in the mid eighties, the idea of using concurrent term rewriting as a model of computation [GKM87] has drawn lot of attention, either when designing specific hardware for implementing the concepts [GKL<sup>+</sup>86], or for the theoretical interest of conditional rewriting as a model of fine grain concurrency [Mes92, Vir94, DL95], or when implementing rewriting on distributed memory machines [KV92, Vir92]. This last work leads to the development in our research group of RECO, an implementation of concurrent rewriting on distributed memory machines, and the goal of this paper is to show how *conditional* concurrent term rewriting can be implemented on distributed memory machines (or a network of machines). This is achieved by providing a specially designed reduction of conditional concurrent rewriting to unconditional concurrent rewriting.

Implementing concurrent rewriting is not an easy task since one has to first design the right implementation model and then to design and implement the right environment, including in particular garbage collection. In our case we have shown that it is correct and complete to use the notion of jungle [HP88] in order to provide the basis for the implementation [KV92] and that in this context a concurrent GC can be designed and implemented [Alo95]. In this context it appears of course much more interesting to use the model and implementation of unconditional concurrent term rewriting (which we abbreviate by CcRw) in order to design and implement conditional concurrent term rewriting (CdCcRw). This has as least two advantages: the first is to allow a modular and thus easier to understand design and implementation of each part of the model, and the second is to reuse the existing implementation. Several transformations of conditional term rewriting system into unconditional term rewriting systems already existed as surveyed in [Hin94]. So our first idea was simply to reuse one of them in order to do the job. Unfortunately none of them is able to take into account the following two main specificities of the model. First, it is fundamental to preserve as much as possible the inherent concurrency present in the initial conditional system, and the existing transformations are either unnecessarily blocking some redexes for concurrent evaluation or fixing a reduction strategy. Second, specific properties of the implementation model could be used in order to ease the transformation. This is typically the case of the normalisation information which is already present in the unconditional implementation due to its role in the detection of termination of the concurrent reduction process.

Thus the results described in this paper concern the implementation model of concurrent decreasing conditional term rewriting systems in the class of confluent normal joined TRS [DO90]. We first present a transformation from CdCcRw into CcRw and the proof that this transformation provides a terminating rewrite system that simulates in a correct and complete way the application of the initial conditional rewrite rules, provided that the unconditional rewrite system is applied in a fair way. Second we show how the transformation is plugged-into the current implementation model and we give the first account of the results we have obtained for the parallel evaluation of conditional term rewriting using RECO.

Let us outline how the transformation we are proposing works. First, all the terms and subterms, both in the transformed rewrite system and in the term to be reduced, are once and for all decorated with two pieces of information: a normal form flag and a natural number for counting the number of conditional rules unsuccessfully applied to that term.

Second, in the spirit of other transformations [AGM90], the application of a conditional rewrite rule (for example  $f(s_1, s_2) \rightarrow d$  if  $c$ ), at some occurrence, is realised in the following way. We first made the condition and the right hand side of this rule, part of the term to be evaluated by using a new function symbol. For example if the term to be deduced is  $g(f(t_1, t_2))$ , we introduce  $g(f_{new}(t_1, t_2, \sigma(c), \sigma(d)))$  where  $\sigma$  is the matching substitution from  $f(s_1, s_2)$  to  $f(t_1, t_2)$ . Then this term is reduced using the rewrite system up to the state where the subterm representing the condition is evaluated to true or false. In which case either we step back to the (possibly reduced) initial term when the condition is false (e.g.  $g(f_{new}(t'_1, t'_2, false, \sigma(d))) \rightarrow g(f(t'_1, t'_2))$ ), or we replace the redex by the stored right hand side (e.g.  $g(f_{new}(t'_1, t'_2, true, \sigma(d))) \rightarrow g(\sigma(d))$ ). This is quite simple, but not terminating of course, in particular when the condition reduces to false! This is why we need the additional information stored in the term and to assume that the transformed rewrite rules are applied in a fair and ordered way.

The paper is organised as follows. After introducing the necessary preliminaries in section 2 we describe the transformation in detail in section 3. Then in section 4, we show how we simulate each rewrite derivation in the conditional system with a derivation in the transformed system and vice-versa. In section 5, we describe the way we have chosen to implement the transformation based on the existing concurrent rewriting model, and we also present first experimental results. Finally, we summarize our work in section 6.

## 2 Preliminaries

We assume the reader to be familiar with conditional term rewriting, and we refer to the surveys [DO90, DJ90] for the basic notions used in this paper.

We consider a set  $\mathcal{F}$  of ranked function symbols where  $\mathcal{F}_n$  is the subset of functions of arity  $n$ , a set  $\mathcal{X}$  of variables and the set of first-order terms  $\mathcal{T}(\mathcal{F}, \mathcal{X})$  built on  $\mathcal{F}$  using variables in  $\mathcal{X}$ . The set of variables of a term  $t$  is denoted  $\text{Var}(t)$ . The set of ground terms (i.e. terms without variables) is denoted  $\mathcal{T}(\mathcal{F})$ . We use the following conventions for notation:  $u, v, w, s, t$  will denote terms on a given  $\mathcal{T}(\mathcal{F}, \mathcal{X})$  and  $p, q, r$  denote positions which are sequences of natural numbers. By  $\text{Pos}(t)$  we denote the set of positions in  $t$ . We use the usual partial order on terms positions  $p \geq q$  iff  $p = q.r$ .

Let  $\mathcal{R}$  be a conditional rewrite system. Following [DO90], the rules of  $\mathcal{R}$  are assumed to be of the form  $(u \rightarrow v \text{ if } s \bowtie s')$  where  $u, v, s, s' \in \mathcal{T}(\mathcal{F}, \mathcal{X})$ ,  $\text{Var}(v) \cup \text{Var}(s) \cup \text{Var}(s') \subseteq \text{Var}(u)$  and  $\bowtie$  is one of the relations:

- 1-  $\xleftarrow{*}_R$ , for *natural* conditional rewriting,
- 2-  $\downarrow^!_R$ , for *normal-joined* conditional rewriting,
- 3-  $\xrightarrow{*}_R$ , for *normal* conditional rewriting.

We restrict ourselves in this work to normal-joined conditional rewriting. A conditional rewrite system  $\mathcal{R}$  is called *decreasing*, if there is a well-founded extension  $>$  of the proper subterm ordering such that  $>$  contains the reduction ordering  $>_R$  (the irreflexive transitive closure of the rewrite relation  $\rightarrow_R$ ), and satisfies for each rule  $u \rightarrow v$  if  $\downarrow^!_R(s, s')$  in  $\mathcal{R}$  and for all substitution  $\sigma$ :  $\sigma(u) > \sigma(s), \sigma(s')$ . We denote  $\mathcal{R} = \bigcup_{f \in \mathcal{F}} \mathcal{R}_f$ , where  $\mathcal{R}_f$  is the set of rules in  $\mathcal{R}$  having  $f$  as the top symbol of the left-hand side ( $\mathcal{R}_f = \emptyset$  if no rule of  $\mathcal{R}$  has  $f$  as a top symbol of a left-hand side).  $i_f$  denotes the number of rules in  $\mathcal{R}_f$ .  $i_{\mathcal{R}}$  denotes the number of symbols  $f$  in  $\mathcal{F}$  having at least one rule in  $\mathcal{R}_f$ .  $i_{\mathcal{F}}$  is the total number of symbols in  $\mathcal{F}$ . To ease the description of the transformation, we use labels denoted  $\ell_{i,k}^j$  to name and distinguish the rules. Thus the set of rules  $\mathcal{R}_f$  is assumed to be of the



$$\text{form: } \mathcal{R}_f = \begin{cases} \ell_{1,k}^j : f(t_1^1, \dots, t_n^1) & \rightarrow r_1 & \text{if } c_1 \\ \vdots & & \\ \ell_{i_f,k}^j : f(t_1^{i_f}, \dots, t_n^{i_f}) & \rightarrow r_{i_f} & \text{if } c_{i_f} \end{cases}$$

where  $i \in \{1, \dots, i_f\}$ ,  $j$  a natural number strictly greater than 1,  $k \in \{1, \dots, i_{\mathcal{R}}\}$ .  $i$  is used for enumerating the rules of  $\mathcal{R}_f$ . Each symbol  $f$  in  $\mathcal{F}$  has a unique indice  $k$ . A detailed explanation of the use of  $j$  (which may be omitted when convenient) will become clear in the presentation of the transformation given in section 3.

We use capital letters like  $A, B, C$  to denote rewrite derivations. An elementary derivation is denoted  $A : u \xrightarrow{\ell_i, p} v$  where  $u$  reduces to  $v$  at occurrence  $p$  using a rule  $\ell_i$ . In this notation,  $\ell_i, p$  or  $A$  may be omitted. A derivation is a sequence  $A = A_1 A_2 \dots A_n$  of elementary derivations  $A_i : u_i \rightarrow u_{i+1}$ . We use the notation  $A : u \xrightarrow{*} v$  to indicate the derivation  $A$  that starts with  $u$  and ends in  $v$ . We use 0 for the empty derivation,  $\mathcal{D}(u)$  for the set of derivations issued from  $u$ ,  $\mathcal{F}(A)$  for the final term reached by a derivation  $A$ ,  $|A|$  for the length of derivation  $A$  and  $\mathcal{R}edex(u)$  for the set of redex positions in term  $u$ . We introduce here two assumptions on rewrite derivations: the lexicographic rule priority and the rule fair rewrite derivation. The basic idea of the lexicographic rule priority is illustrated on the following example.

**Example 2.1** *Given two labelled rewrite rules:*

$$\ell_1 : f_1(b, x) \rightarrow f(a) \qquad \ell_2 : f_1(b, True) \rightarrow g(a)$$

*The following derivation  $A$  does not respect the lexicographic rule priority:*

$$A : f_1(b, True) \xrightarrow{\ell_2, \Delta} g(a)$$

*since  $\ell_1$  can be applied at the top ( $\Delta$ ) position of the term  $f_1(b, True)$ .*

**Dfinition 2.2** *A rewrite derivation  $A$  respects the lexicographic rule priority if whenever  $\ell_{i,k}^j$  is applied at position  $p$  of some term  $t$  in the derivation, then there is no  $(i', j', k') <_{lex} (i, j, k)$ , s.t.  $\ell_{i',k'}^{j'}$  could be applied at  $t$  in  $p$  instead ( $<_{lex}$  is the lexicographic extension of the ordering  $<$  on naturals).*

Standard rewriting leads to two kinds of nondeterminism. First the choice of the rule to be applied from the set of the rules that can be applied and second, the choice of the subterm to be replaced. Both choices could be made nondeterministically.

**Dfinition 2.3** [PF94] *A rewrite derivation  $A$  is rule fair when  $A$  is finite or  $A$  is infinite and every rule that can be applied infinitely often in  $A$  is effectively infinitely often applied in  $A$ .*

The lexicographic rule priority assumption is needed in order to ensure that the irreducibility flag will only be set appropriately as described in detail in section 3. The fairness hypothesis is needed in order to ensure that no rule will be delayed indefinitely.

**Example 2.4** *Let  $\mathcal{R} = \{a \rightarrow f(a), b \rightarrow c\}$ . The infinite rewrite derivation  $a \rightarrow f(a) \rightarrow f(f(a)) \rightarrow \dots$  is fair as we apply infinitely the first rule and the second one can never be applied.*

**Example 2.5** *Let  $\mathcal{R} = \{a \rightarrow f(a), a \rightarrow b\}$ . The infinite rewrite derivation  $a \rightarrow f(a) \rightarrow f(f(a)) \rightarrow f(f(f(a))) \dots$  is not rule fair as the second rule is never applied although it can be applied on every term along the rewrite derivation.*

Since we will be working on derivation equivalence, let us recall briefly the standard definitions we need in the following. For full details see [HL91, KM91].

A rewrite system is *orthogonal* if all rules are left-linear (every variable occurs only once on a left-hand-side of a rewrite rule) and if the non-overlapping condition holds. We briefly recall now the background on derivations in orthogonal rewrite systems.

**Dfinition 2.6** (*disjoints redexes*) *Two redexes  $(p_1, u_1 \rightarrow v_1)$  and  $(p_2, u_2 \rightarrow v_2)$  are disjoints if:*

- $p_1 \not\leq p_2$  and  $p_1 \not\geq p_2$  (*incomparable positions*)
- $p_2 > p_1$  and there exists a variable position  $p$  in  $u_1$  such that  $p_1.p < p_2$ , or symmetrically if  $p_2 < p_1$  (*compatible positions*)

The following notion of residuals formalises the duplication or the deletion of redexes during reduction.

**Dfinition 2.7** (*residuals [HL91]*) *Given an elementary derivation  $A : u \xrightarrow{\ell, p} v$  and  $q \in \mathcal{R}edex(u)$ , the set  $q \setminus A$  of residuals of  $q$  by  $A$  is the following subset of  $\mathcal{P}os(v)$ :*

$$q \setminus A = \begin{cases} \emptyset & \text{if } p=q \\ \{q\} & \text{if } p \text{ and } q \text{ are incomparable positions or } p > q \\ \{p.r'.q_1\} & \text{if } q = p.r.q_1 \text{ where } r, r' \text{ are positions of the same variable} \\ & \text{in the left-hand side and the right-hand side of } \ell. \end{cases}$$

For non elementary derivations  $A$ , we define  $p \setminus A$  by:

$$\begin{aligned} p \setminus 0 &= \{p\}, \\ p \setminus (AB) &= \{q \setminus B \mid q \in p \setminus A\}. \end{aligned}$$

The residual mapping is extended to sets of redex positions by defining:

$$U \setminus B = \cup \{u \setminus B \mid u \in U\}.$$

Given two derivations  $A, B \in \mathcal{D}(u)$  with  $|A| = 1$ , contracting the set  $U \subseteq \mathcal{R}edex(u)$ . We define the residual  $A \setminus B$  of  $A$  by  $B$  as the elementary derivation in  $\mathcal{D}(\mathcal{F}(B))$ , contracting the set  $U \setminus B$ .

Given two derivations  $A, B \in \mathcal{D}(u)$  with  $|B| = 1$ . We define  $A \setminus B \in \mathcal{D}(\mathcal{F}(B))$  by induction on  $|A|$ :

$$\begin{aligned} 0 \setminus B &= 0 \\ (A_1 A_2) \setminus B &= (A_1 \setminus B)(A_2 \setminus (B \setminus A_1)) \text{ with } |A_1| = 1 \end{aligned}$$

Now for  $A, B \in \mathcal{D}(u)$  of arbitrary lengths, we define  $A \setminus B \in \mathcal{D}(\mathcal{F}(B))$  by induction on  $|B|$ :

$$\begin{aligned} A \setminus 0 &= A \\ A \setminus (B_1 B_2) &= (A \setminus B_1) \setminus B_2 \text{ with } |B_1| = 1 \end{aligned}$$

**Dfinition 2.8** *Let  $A, B$  two derivations,  $A$  is equivalent to  $B$  denoted  $A \sim B$  if  $\mathcal{F}(A) = \mathcal{F}(B)$ .*

The result we will be using is the well know parallel move lemma:

**Lemma 2.9** *Let  $A$  and  $B$  be two derivations in  $\mathcal{D}(u)$ , we have  $A(B \setminus A) \sim B(A \setminus B)$ .*

### 3 The transformation

We now describe our transformation of conditional rewrite systems into a unconditional rewrite systems.

#### 3.1 Introduction of the new symbols

In order to perform the transformation, we proceed as follows:

— First we introduce for each symbol in  $\mathcal{F}$  such that  $f$  is a top symbol of the left-hand side of a rule,  $i_f$  new function symbols  $f_i, i \in [1..i_f]$  whose arity is the arity of  $f$  plus two. We introduce the set of function symbols  $\mathcal{F}^{top} = \bigcup_{f \in \mathcal{F}} \mathcal{F}^f$  where  $\mathcal{F}^f = \{f_i, i \in [1..i_f]\}$  and  $f$  is the top symbol of the left-hand side of a rule. If  $f$  is the top symbol of the left-hand side of a conditional rule  $\ell_i : f(t_1^i, \dots, t_n^i) \rightarrow r_i$  if  $s_i \downarrow_R^! s'_i$ , then the two new arguments introduced in the  $f_i$  allow us to refer first to the condition denoted  $\downarrow (s_i, s'_i)$  and second to the right-hand side of the rule. The right-hand side of the rules are introduced here only as a means to store the substitution that will be used when matching the new rule. It could be replaced if one wishes by the list of variables of the right-hand side. the following we denote by  $\bar{r}$  the terms where rewriting is forbidden in non-variables positions of  $r$  (see example 3.1).

— Second, the term to be reduced, and thus the subsequent conditions introduced, are all evaluated using the transformed system. If the first extra argument of a function symbol  $f_i$  becomes *True*, we replace the term with top symbol  $f_i$  by its corresponding right-hand side.

This transformation of the rules in  $\mathcal{R}_f$ , is formally described as follows:

$$\mathcal{R}'_f = \begin{cases} \ell_1 : & f(t_1^1, \dots, t_n^1) & \rightarrow & f_1(t_1^1, \dots, t_n^1, \downarrow (s_1, s'_1), \bar{r}_1) \\ & \vdots & & \\ \ell_{i_f} : & f(t_1^{i_f}, \dots, t_n^{i_f}) & \rightarrow & f_{i_f}(t_1^{i_f}, \dots, t_n^{i_f}, \downarrow (s_{i_f}, s'_{i_f}), \bar{r}_{i_f}) \\ \ell_{i_f+1} : & f_i(x_1, \dots, x_n, True, \bar{r}_i) & \rightarrow & r_i \\ \ell_{i_f+2} : & \downarrow (x, x) & \rightarrow & True \end{cases}$$

This example explains why memorising the substitution is necessary.

**Exemple 3.1** Consider the following CTRS

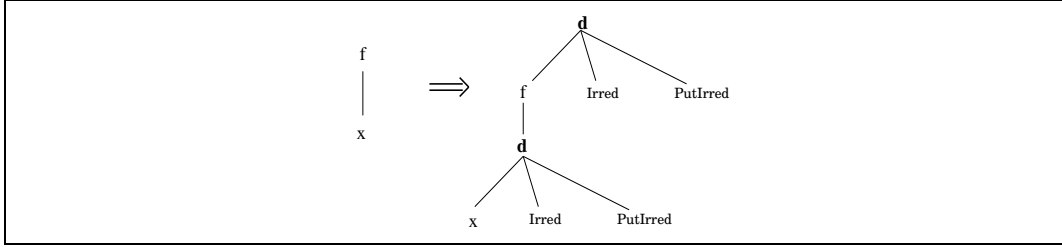
$$\mathcal{R} = \begin{cases} f(g(x)) \rightarrow p(x) \text{ if } c(x) \downarrow_{\mathcal{R}} tt \\ c(a) \rightarrow tt \end{cases}$$

The transformed system over the new signature is

$$\mathcal{R}' = \begin{cases} f(g(x)) \rightarrow f_1(g(x), \downarrow (c(x), tt), \bar{p}(x)) \\ f_1(x_1, True, \bar{p}(x_2)) \rightarrow p(x_2) \\ \downarrow (x, x) \rightarrow True \\ c(a) \rightarrow tt \end{cases}$$

The condition part becomes an additional argument of  $f_1$ , the third argument  $\bar{p}(x)$  (which can be replaced by  $x$ ) makes possible to find the right substitution when the condition is evaluated to *True*. The conditional derivation  $f(g(a)) \rightarrow p(a)$  is simulated by the unconditional one  $f(g(a)) \rightarrow f_1(g(a), \downarrow (c(a), tt), \bar{p}(a))) \rightarrow f_1(g(a), True, \bar{p}(a)) \rightarrow p(a)$

The (unconditional) rewrite system  $\mathcal{R}'_f$  resulting from the transformation of  $\mathcal{R}_f$  is not quite satisfactory since when the condition is false, then the evaluation is blocked i.e. no rule further applies. This is due to the presence of the  $f_i$  operators that do not occur *inside* any rule of the transformed system. In fact the first idea would be to perform a completion of this new rewrite system. But we prefer, in particular for efficiency reasons, to directly handle

Figure 1: The  $\Phi_{intro}$  transformation

the problem and to see how the set of derivations can be preserved by the transformation. What is needed in the situation above is to be able to return to the initial signature, to allow further reductions to occur. To this end we introduce a new set of unconditional rules  $\mathcal{R}_f''$ . These rules use an irreducibility flag in order to explicitly handle terms in normal form.

So, we add new function symbols to the signature to explicitly handle irreducibility of terms in the term structure. These new symbols can be viewed as decorations of the initial term. We will see later how this additional information in the term structure is used to prove the termination of the transformed rules. We introduce the set of symbols  $\mathcal{F}' = \mathcal{F} \cup \mathcal{F}^{top} \cup \{\downarrow, True, False, \mathbf{d}, 0, \dots, n, +\}$  where  $True, False, 0, \dots, n$  are new constants,  $\mathbf{d}$  is a new function symbol of arity 3,  $+, \downarrow$  are two new function symbols of arity 2, and  $n$  is the maximum number of rules in  $\mathcal{R}_f$  for all  $f \in \mathcal{F}$ . The set  $\{True, False, \mathbf{d}, 0, \dots, n, +\}$  is denoted by  $\mathcal{F}^{add}$  and the set  $\{\mathbf{d}, 0, \dots, n\}$  is denoted by  $\mathcal{F}^{deco}$ . We assume already defined the rewriting rules corresponding to the symbol  $+$  that add two natural numbers. To simplify the notations,  $\mathbf{d}_v^u(t)$  denotes the term  $\mathbf{d}(t, u, v)$ . All terms in  $\mathcal{T}(\mathcal{F})$  are mapped on terms in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  using the transformation  $\Phi_{intro}$  defined by the following rewrite rules.

### Introduction of decorations

$$\begin{aligned} \Phi_{intro}(f(x_1, \dots, x_n)) &\rightarrow \mathbf{d}_1^0(f(\Phi_{intro}(x_1), \dots, \Phi_{intro}(x_n))) \quad n \geq 0 \\ \Phi_{intro}(\mathbf{d}_{y'}^y(x)) &\rightarrow \mathbf{d}_{y'}^y(x) \end{aligned}$$

The  $\Phi_{intro}$  transformation is pictured in Figure 1.

Terms in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  are easily mapped back to the original term by just forgetting the  $\mathbf{d}$  symbols with its two extra arguments, i.e. those decorations are removed. The mapping disregarding the term decoration is denoted  $\Phi_{dis}$  defines as follows.

### Removing extra arguments

$$\Phi_{dis}(\mathbf{d}_1^0(f(x_1, \dots, x_n))) \rightarrow f(\Phi_{dis}(x_1), \dots, \Phi_{dis}(x_n)) \quad n \geq 0$$

The second argument of  $\mathbf{d}$  is intended to be 0 or 1, where 1 means that the corresponding first argument of  $\mathbf{d}$  is in normal form. We use the third argument of  $\mathbf{d}$  to decide when we put the irreducibility flag, i.e. this is a counter storing the number of failed conditional rules applied when all subterms of  $f$  are in  $\mathcal{R}$ -normal form. A term starting with  $d_i$  can be rewritten only by a rule with index higher than  $i$ . We define the mapping  $\Phi_{irr}$  which changes the second argument of  $\mathbf{d}$  to 1.

### Adding the irreducibility flag

$$\Phi_{irr}(f(x_1, \dots, x_n)) \rightarrow \mathbf{d}_1^1(f(\Phi_{irr}(x_1), \dots, \Phi_{irr}(x_n))) \quad n \geq 0$$

<p><b>Condition introduction when all subterms of <math>f</math> are in <math>\mathcal{R}''</math>-normal form</b></p> $\ell_{i,1}^1 : \mathbf{d}_i^0(f(\mathbf{d}_{y_1^i}^1(t_1^i), \dots, \mathbf{d}_{y_n^i}^1(t_n^i))) \rightarrow \mathbf{d}_i^0(f_i(\mathbf{d}_{y_1^i}^1(t_1^i), \dots, \mathbf{d}_{y_n^i}^1(t_n^i), \mathbf{d}_1^0(\downarrow(\Phi_{intro}(s_i))), \Phi_{intro}(s'_i)), r_i))$ <p><b>Condition introduction</b></p> $\ell_{i,1}^2 : \mathbf{d}_x^0(f(\mathbf{d}_{y_1^i}^{y_1^i}(t_1^i), \dots, \mathbf{d}_{y_n^i}^{y_n^i}(t_n^i))) \rightarrow \mathbf{d}_x^0(f_i(\mathbf{d}_{y_1^i}^{y_1^i}(t_1^i), \dots, \mathbf{d}_{y_n^i}^{y_n^i}(t_n^i), \mathbf{d}_1^0(\downarrow(\Phi_{intro}(s_i))), \Phi_{intro}(s'_i)), r_i))$ <p><b>Conditional rule application</b></p> $\ell_{i,1}^3 : \mathbf{d}_x^0(f_i(\mathbf{d}_{y_1^i}^{y_1^i}(x_1), \dots, \mathbf{d}_{y_n^i}^{y_n^i}(x_n), True, y)) \rightarrow \Phi_{intro}(y))$ <p><b>Disregarding False condition when all <math>x_i</math> of <math>f_i</math> are in <math>\mathcal{R}''</math>-normal form</b></p> $\ell_{i,1}^4 : \mathbf{d}_x^0(f_i(\mathbf{d}_{y_1^i}^1(x_1), \dots, \mathbf{d}_{y_n^i}^1(x_n), False, y)) \rightarrow \mathbf{d}_{x+1}^0(f(\mathbf{d}_{y_1}^1(x_1), \dots, \mathbf{d}_{y_n}^1(x_n)))$ <p><b>Disregarding False condition</b></p> $\ell_{i,1}^5 : \mathbf{d}_x^0(f_i(\mathbf{d}_{y_1^i}^{y_1^i}(x_1), \dots, \mathbf{d}_{y_n^i}^{y_n^i}(x_n), False, y)) \rightarrow \mathbf{d}_x^0(f(\mathbf{d}_{y_1^i}^{y_1^i}(x_1), \dots, \mathbf{d}_{y_n^i}^{y_n^i}(x_n)))$ <p><b>Set the irreducibility flag for <math>f</math> symbol</b></p> $\ell_{i,f,1}^6 : \mathbf{d}_x^0(f(\mathbf{d}_{y_1}^1(x_1), \dots, \mathbf{d}_{y_n}^1(x_n))) \rightarrow \mathbf{d}_x^1(f(\mathbf{d}_{y_1}^1(x_1), \dots, \mathbf{d}_{y_n}^1(x_n)))$ <p><b>Introduction of decorations</b></p> $\ell_{i,f,1}^7 : \Phi_{intro}(f(x_1, \dots, x_n)) \rightarrow \mathbf{d}_1^0(f(\Phi_{intro}(x_1), \dots, \Phi_{intro}(x_n)))$ $\ell_{i,f,1}^8 : \Phi_{intro}(\mathbf{d}_{y'}^y(x)) \rightarrow \mathbf{d}_{y'}^y(x)$ <hr/> <p><b>Set the irreducibility flag for other symbols</b></p> $\ell_{1,i_{\mathcal{R}+j}}^1 : \mathbf{d}_y^0(g(\mathbf{d}_{y_1}^1(x_1), \dots, \mathbf{d}_{y_n}^1(x_n))) \rightarrow \mathbf{d}_y^1(g(\mathbf{d}_{y_1}^1(x_1), \dots, \mathbf{d}_{y_n}^1(x_n)))$ <hr/> <p><b>Testing syntactic equality</b></p> $\ell_{1,i_{\mathcal{F}+1}}^1 : \mathbf{d}_1^0(\downarrow(\mathbf{d}_z^1(x), \mathbf{d}_{z'}^1(x))) \rightarrow True$ $\ell_{1,i_{\mathcal{F}+1}}^2 : \mathbf{d}_1^0(\downarrow(\mathbf{d}_z^1(x), \mathbf{d}_{z'}^1(y))) \rightarrow False$
--

Figure 2: Transformed rules

### 3.2 The transformed rules

We assume that  $\ell_{1,1} \dots \ell_{i_f,1}$  are the labels of rewrite rules in  $\mathcal{R}_f$ . Using the previous notation, each rewrite rule  $\ell_{i,1}$  in  $\mathcal{R}_f$  ( $1 \leq i \leq i_f$ ) is transformed into rewrite rules in  $\mathcal{R}_f''$  described in Figure 2.

$\ell_{1,1} : ins(x, c(y, z))$	$\rightarrow c(x, c(y, z))$	if $x \leq y \downarrow^! tt$
$\ell_{2,1} : ins(x, c(y, z))$	$\rightarrow c(y, ins(x, z))$	if $x \leq y \downarrow^! ff$
$\ell_{3,1} : ins(x, nil)$	$\rightarrow c(x, nil)$	
$\ell_{1,2} : isort(nil)$	$\rightarrow nil$	
$\ell_{2,2} : isort(c(x, y))$	$\rightarrow ins(x, isort(y))$	
$\ell_{1,3} : 0 \leq x$	$\rightarrow tt$	
$\ell_{2,3} : s(x) \leq 0$	$\rightarrow ff$	
$\ell_{3,3} : s(x) \leq s(y)$	$\rightarrow x \leq y$	

Figure 3: Rewrite specification of insertion sort  $\mathcal{R}ewSort$ 

**Hypothesis  $\mathcal{H}_{\mathcal{R}''}$ :** We assume throughout the paper that rewriting with  $\mathcal{R}''$  obeys the following conditions:

1. All rewrite derivations are rule fair,
2. The lexicographic rule priority is applied.

The first condition is needed for proving the termination of  $\mathcal{R}''_f$  and the second one to ensure the application of the rule  $\ell_{i,k}^j$  before applying an  $\ell_{i',k'}^{j'}$  at position  $p$ , for each term in a derivation  $A$  when  $(i, j, k) < (i', j', k')$ .

We will see in section 5 how these rules are used in the implementation of concurrent conditional rewriting. Before showing the properties of this transformation, let us describe now the transformed rules. The first  $\ell_{i,1}^1, \ell_{i,1}^2$  rules introduce the condition part as an extra argument of the symbol  $f_i$  and the substitution part as a second extra argument of  $f_i$ . The  $\ell_{i,1}^3$  rule applies the original condition rule  $\ell_{i,1}$  if the condition part is evaluated to *True*. When the condition associated to a term is evaluated to *False*, we distinguish two cases:

— In the case of  $\ell_{i,1}^4$  where all subterms of an  $f_i$  symbol are irreducible, we increment the counter associated to the symbol  $f_i$  to enable the possible application of the next rule having  $f$  as a left-hand-side top symbol.

— In the dual case of the  $\ell_{i,1}^5$  rule, when the irreducibility of all subterm of  $f_i$  have not been proved, we return to the initial term without changing the  $f$  decoration.

We distinguish these two cases to avoid the termination problem (see section 4) by using the irreducibility flag of the  $f_i$  subterms to decide when to set the irreducibility flag to 1 on  $f$ . This is done after testing the possible application of all the rules having  $f$  as top-left-symbol using the counter associated to  $f_i$ . This is illustrated in the example given below.

Assume  $j \in \{0, \dots, i_{\mathcal{R}} - i_{\mathcal{R}}\}$ , for any symbol  $g$  with arity  $n \geq 0$  which does not occur at the top of a left hand side in  $\mathcal{R}$ , we add the rules  $\ell_{1,i_{\mathcal{R}}+j}^1$ . The last rules  $\ell_{1,i_{\mathcal{R}}+1}^1$  and  $\ell_{1,i_{\mathcal{R}}+1}^2$  test the syntactic equality of the condition. Finally, for the unconditional rules of the initial system  $\mathcal{R}$ , we add a *True* condition part to those rules to have a uniform transformation for both conditional and unconditional rewrite rules.

**Remark:** The additional symbol  $f_i$  and the second decoration (counter) of each symbol are unnecessary for unconditional rules. In fact, it is enough to transform an initial rule  $u \rightarrow v$  to  $\Phi_{intro}(u) \rightarrow \Phi_{intro}(v)$  and to add only the rewrite rules  $\ell_{i_f,1}^6, \ell_{i_f,1}^7, \ell_{i_f,1}^8$  changing the decoration of function symbols.

The rewrite system described in Figure 3 enables us to sort a list of natural numbers. The rule  $\ell_{1,1}$  of the example is transformed into the rules described in Figure 4.

Let us illustrate the use of the above transformed rules on the derivation  $A$  in  $\mathcal{R}''_ewSort$  issued from:  $t = isort(c(s(s(s(0))), c(s(s(0), c(0, nil))))))$ . We denote  $t_i = \mathbf{d}_1^0(s(\mathbf{d}_1^0(\dots \mathbf{d}_1^0(0))))$

$$\begin{aligned}
\ell_{1,1}^1 &: \mathbf{d}_1^0(\mathit{ins}(\mathbf{d}_{y_1}^1(x)(\mathbf{d}_{y_2}^1(c(\mathbf{d}_{y_3}^1(y), \mathbf{d}_{y_4}^1(z)))))) \rightarrow \\
&\quad \mathbf{d}_1^0(\mathit{ins}_1(\mathbf{d}_{y_1}^1(x)(\mathbf{d}_{y_2}^1(c(\mathbf{d}_{y_3}^1(y), \mathbf{d}_{y_4}^1, \mathbf{d}_{y_5}^1(z))))), \\
&\quad \mathbf{d}_1^0(\downarrow (\mathbf{d}_{y_1}^1(x) \leq \mathbf{d}_{y_3}^1(y), \mathbf{d}_1^0(tt)), c(\mathbf{d}_{y_1}^1(x), c(\mathbf{d}_{y_3}^1(y), \mathbf{d}_{y_5}^1(z)))))) \\
\ell_{1,1}^2 &: \mathbf{d}_x^0(\mathit{ins}(\mathbf{d}_{y_1}^{y_1}(x)(\mathbf{d}_{y_2}^{y_2}(c(\mathbf{d}_{y_3}^{y_3}(y), \mathbf{d}_{y_4}^{y_4}(z)))))) \rightarrow \\
&\quad \mathbf{d}_x^0(\mathit{ins}_1(\mathbf{d}_{y_1}^{y_1}(x)(\mathbf{d}_{y_2}^{y_2}(c(\mathbf{d}_{y_3}^{y_3}(y), \mathbf{d}_{y_4}^{y_4}, \mathbf{d}_{y_5}^{y_5}(z))))), \\
&\quad \mathbf{d}_1^0(\downarrow (\mathbf{d}_{y_1}^{y_1}(x) \leq \mathbf{d}_{y_3}^{y_3}(y), \mathbf{d}_1^0(tt)), c(\mathbf{d}_{y_1}^{y_1}(x), c(\mathbf{d}_{y_3}^{y_3}(y), \mathbf{d}_{y_5}^{y_5}(z)))))) \\
\ell_{1,1}^3 &: \mathbf{d}_x^0(\mathit{ins}_1(\mathbf{d}_{y_1}^{y_1}(x), \mathit{True}, y)) \rightarrow \Phi_{\mathit{intro}}(y) \\
\ell_{1,1}^4 &: \mathbf{d}_x^0(\mathit{ins}_1(\mathbf{d}_{y_1}^{y_1}(x), \mathit{False}, y)) \rightarrow \mathbf{d}_{x+1}^0(\mathit{ins}(\mathbf{d}_{y_1}^1(x))) \\
\ell_{1,1}^5 &: \mathbf{d}_x^0(\mathit{ins}_1(\mathbf{d}_{y_1}^{y_1}(x), \mathit{False}, y)) \rightarrow \mathbf{d}_x^0(\mathit{ins}(\mathbf{d}_{y_1}^{y_1}(x))) \\
\ell_{3,1}^6 &: \mathbf{d}_x^0(\mathit{ins}(\mathbf{d}_{y_1}^1(x))) \rightarrow \mathbf{d}_x^1(\mathit{ins}(\mathbf{d}_{y_1}^1(x))) \\
\ell_{3,1}^7 &: \Phi_{\mathit{intro}}(\mathit{ins}(x)) \rightarrow \mathbf{d}_1^0(\mathit{ins}(\Phi_{\mathit{intro}}(x))) \\
\ell_{3,1}^8 &: \Phi_{\mathit{intro}}(\mathbf{d}_{y_1}^y(x)) \rightarrow \mathbf{d}_{y_1}^y(x) \\
\ell_{1,10}^1 &: \mathbf{d}_1^0(\downarrow (\mathbf{d}_z^1(x), \mathbf{d}_{z'}^1(x))) \rightarrow \mathit{True} \\
\ell_{1,10}^2 &: \mathbf{d}_1^0(\downarrow (\mathbf{d}_z^1(x), \mathbf{d}_{z'}^1(y))) \rightarrow \mathit{False}
\end{aligned}$$

Figure 4: Part of the insertion sort unconditional rewriting system  $\mathcal{R}'' \text{ewSort}$ 

the natural number  $i \geq 0$ . Initially, the term  $t$  is mapped to :

$$\Phi_{\mathit{intro}}(t) = \mathbf{d}_1^0(\mathit{isort}(\mathbf{d}_1^0(t_3), \mathbf{d}_1^0(c(t_2, \mathbf{d}_1^0(c(t_1, \mathbf{d}_1^0(c(t_0, \mathbf{d}_1^0(\mathit{nil}))))))))))$$

In  $\mathcal{R}ewsort$  we have respectively  $i_{\mathcal{F}} = 9$ ,  $i_{\mathcal{R}} = 3$ . It is easy to see that we can only apply the  $\ell_{1,2}^2, \ell_{2,2}^2$  rewrite rules i.e the transformed rules of the original conditional rules  $\ell_{1,2}, \ell_{2,2}$ . Once we have applied these rules, the  $\mathit{isort}$  symbol is eliminated from the term  $t$ . We obtain the term  $\mathbf{d}_1^0(\mathit{ins}(\mathbf{d}_1^0(t_3), \mathbf{d}_1^0(\mathit{ins}(t_2, \mathbf{d}_1^0(\mathit{ins}(t_1, \mathbf{d}_1^0(c(t_0, \mathbf{d}_1^0(\mathit{nil}))))))))))$ . We describe only some steps of the derivation  $A$  detailed below. The different steps of the derivation are:

(1) In this step, we apply the rule  $\ell_{1,1}^1$  whose condition part evaluates to  $\mathit{False}$  and then we return to the initial term. We can remark here that this step can not be infinitely the same since the derivation has the rule fair property.

(2) We apply now the rules  $\ell_{3,1}^6$  to set the normal flag for the subterms of  $\underline{\mathit{ins}}$ .

(3) This step is identical to (1) but now with the subterms of  $\underline{\mathit{ins}}$  in normal form.

(4) We apply the rule  $\ell_{1,1}^1$  (failed applied rule) and we return to the initial term but the counter of  $\underline{\mathit{ins}}$  is now two. This enables us to apply the next rule  $\ell_{2,1}^2$  having  $\mathit{ins}$  as a top left symbol whose condition part is evaluated to  $\mathit{True}$ .

(5) We apply the rule  $\ell_{2,1}^2$  to  $\underline{\mathit{ins}}$ .

(6) We apply the rule  $\ell_{2,1}^2$  twice to finally obtain the result.

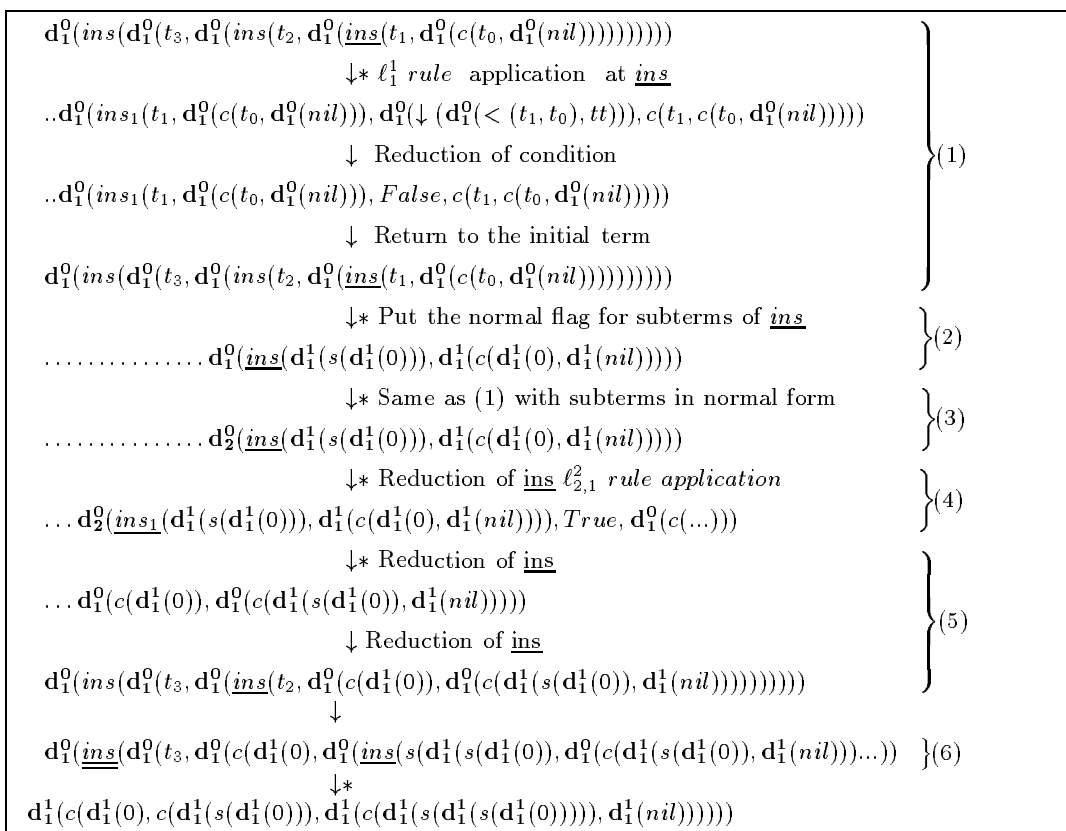
Clearly, our transformation allows the parallel reduction of  $\underline{\underline{\mathit{ins}}}$  and  $\underline{\mathit{ins}}$  (6) since the corresponding redexes are non-overlapping. Note that the different detailed steps above closely describe how the interpreter works (See section 5).

### 3.3 Termination

Except when explicitly specified, we assume in the following that the initial rewriting system satisfies:

- Hypothesis  $\mathcal{H}_{\mathcal{R}}$ :**
- 1-  $\mathcal{R}$  is a decreasing conditional rewrite system.
  - 2- The lexicographic rule priority is applied.

The purpose of this section is to show that  $\mathcal{R}''$  is terminating under hypothesis  $\mathcal{H}_{\mathcal{R}}$  and  $\mathcal{H}_{\mathcal{R}''}$ .

Figure 5: An example of derivation in  $\mathcal{R}'' \text{ewsort}$ 

**Lemma 3.2** *If we do not assume  $\mathcal{H}_{\mathcal{R}''}$ , all infinite  $\mathcal{R}''$ -derivations are cyclic derivations: i.e. for all infinite  $\mathcal{R}''$ -derivation  $t_1 \rightarrow \dots \rightarrow t_k \dots$  there exists  $i, j \geq 1$  such that  $i \neq j$  and  $t_j = t_i$  where  $t_i, t_j \in \mathcal{T}(\mathcal{F}')$ .*

**Proof:** Given a derivation  $A$  issued from  $t_1$  where  $A : t_1 \rightarrow \dots \rightarrow t_k$ ,  $\mathcal{F}(A) = t_k$  and all  $t_j, t_i$ ,  $1 \leq j, i \leq k$  are different. We prove by construction and case analysis that all derivations  $B$  issued from  $t_k$  can be finite or infinite and in the last case the derivation  $B$  is necessarily cyclic. We recall that  $\mathcal{R}''$  has the following properties:

–(1) All left hand sides of  $\mathcal{R}''$  rules are by definition terms in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{add}, \mathcal{X}) \cup \mathcal{T}(\mathcal{F}^{top} \cup \mathcal{F}^{add}, \mathcal{X})$

–(2) If the rules  $\ell_{i_f,1}^6$  or  $\ell_{j,1}^1$  are applied (rules that set the normal flag of the decoration  $\mathbf{d}$  associated to  $f$  is 1). Further we can not apply rules (there is no match with any left hand sides of rules).

We recall that given a position  $p$  in a term, we introduce an  $f_i$  symbol which disappears only by the application of rules  $\ell_{i,1}^3, \ell_{i,1}^4$  and  $\ell_{i,1}^5$  since we have (1). Furthermore conditions are evaluated recursively by the same rewrite relation and then we proceed in the same way.

We study the case where the rules  $\ell_{i,1}^4, \ell_{i,1}^5$  are applied in the derivation  $B$  from  $t_k$ . Assuming  $t_k = \mathbf{d}_y^x(f(\mathbf{d}_{y_1}^{y_1}(u_1), \dots, \mathbf{d}_{y_n}^{y_n}(u_n)))$  which is  $\in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  (the proof is similar if  $t_k \in \mathcal{T}(\mathcal{F}')$ ). We analyse now the derivation  $B$  in the case of  $\ell_{i,1}^4, \ell_{i,1}^5$  application. First, we recall that the condition associated to  $f_i$  is introduced with the rules  $\ell_{i,1}^1$  or  $\ell_{i,1}^2$ . Four cases are discussed:



- (1) If the rule  $\ell_{i,1}^3$  is applied then  $t_j < t_k$ , since  $\mathcal{R}$  is decreasing.
- (2) If the rule  $\ell_{i,1}^4$  is applied, the second decoration of  $\mathbf{d}$  (the counter) is incremented and then the derivation is finite since at each failed application rule we increment the counter and then the final term does not match any left hand-side of a rule ( $\mathbf{d}_{i_f+1}^0(f(t_k))$ ) and finally we apply the  $\ell_{i_f,1}^6$  rule to set the flag of irreducibility on  $f$ .
- (3) In the case of the application of  $\ell_{i,1}^5$  and no rules are applied in subterms of  $t_k$  before the application of  $\ell_{i,1}^5$ , we obtain the same term  $t_j$  where  $t_j = t_k$  and  $i \neq j$ , this is the only case where we get an infinite derivation s.t.  $B$  is a cyclic derivation.
- (4) In the case of the application of  $\ell_{i,1}^5$  and a rule is applied in one subterms  $u_k$  of  $t_k$ . If the condition associated to the term  $t_k$  is *True* then we have the case (2) otherwise we have the case (3).  $\square$

We state now the main lemma proving the termination of  $\mathcal{R}''$

**Lemma 3.3** *Under the hypothesis  $\mathcal{H}_{\mathcal{R}''}$  and  $\mathcal{H}_{\mathcal{R}}$ , all rewrite derivations in  $\mathcal{R}''$  are finite.*

**Proof:** Let us assume that there exists an infinite derivation  $A$ . By the previous lemma,  $A$  is cyclic and thus there exists  $i$  s.t.  $A : t_i \rightarrow \dots t_i \rightarrow \dots$  (to simplify the notation, we omit to mention the  $\mathbf{d}$ s in the term structure). Without lost of generality, let us assume that a rule is applied on the top of the term  $t_i$  and that the top symbol of  $t_i$  is  $f$ . Since  $A$  is a cyclic derivation, the first extra argument of  $f_i$  (the condition  $c$ ) is *False* (necessarily the rule  $\ell_{i,1}^5$  is applied). The cyclic derivation is then:  $A : f(u_1, \dots, u_n) \rightarrow f_i(u_1, \dots, u_n, c, r_i) \dots \rightarrow f(u_1, \dots, u_n)$  and at least one subterm of  $t$  is not in normal form (otherwise if all  $u_i$  are in normal form, the derivation  $A$  is finite). The derivation  $t_i \xrightarrow{*} \mathcal{R}'' t_i$  is cyclic but it is not fair since a rule that can be applied has been infinitely delayed.  $\square$

To conclude, we have shown the termination of  $\mathcal{R}''$  under the hypothesis  $\mathcal{H}_{\mathcal{R}''}$  and  $\mathcal{H}_{\mathcal{R}}$ :

**Corollary 3.4** *Under the hypothesis  $\mathcal{H}_{\mathcal{R}''}$  and  $\mathcal{H}_{\mathcal{R}}$ ,  $\mathcal{R}''$  is terminating.*

## 4 Correspondence of derivations

After the presentation of the transformation in the last section, we detail now a correspondence between the derivations in the original conditional rewriting system  $\mathcal{R}$  and the derivations in the transformed one  $\mathcal{R}''$ . The general idea is to map each conditional rewriting step in  $\mathcal{R}$  to a derivation in  $\mathcal{R}''$  that introduces the evaluation of the condition, evaluates the condition and finally applies the conditional rule (when the condition is evaluated to *True*). This first correspondence, which is the simplest one, is given by the completeness result (theorem 4.10). On the other hand, given a derivation in  $\mathcal{R}''$ , it is not obvious to have an equivalent one in  $\mathcal{R}$  since the evaluation of a condition can be done concurrently with other rewrite applications. Theorem 4.8 establishes a correspondence from  $\mathcal{R}''$  derivations to equivalent ones in  $\mathcal{R}$ . Thus this section shows that the proposed transformation provides a rewrite system that simulates in a correct and complete way the application of the initial conditional rules (Figure 6).

**Exemple 4.1** *Consider the CTRS defined in the example 3.1 and the following derivation  $A$  in  $\mathcal{R}''$ .*

$$f(g(f(g(a))) \rightarrow f_1(g(f(g(a))), \downarrow (c(f(g(a)), tt)), \bar{p}(a)) \rightarrow \\ f_1(g(f_1(g(a), \downarrow (c(a), tt), \bar{p}(a))), \downarrow (c(f(g(a)), tt)), \bar{p}(a)))$$

*It is clear that we can introduce a condition evaluation corresponding to the term  $f(g(a))$  before ending the evaluation of the first condition  $\downarrow (c(f(g(a)), tt))$ . This is why the correctness of the derivation correspondence is not obvious.*

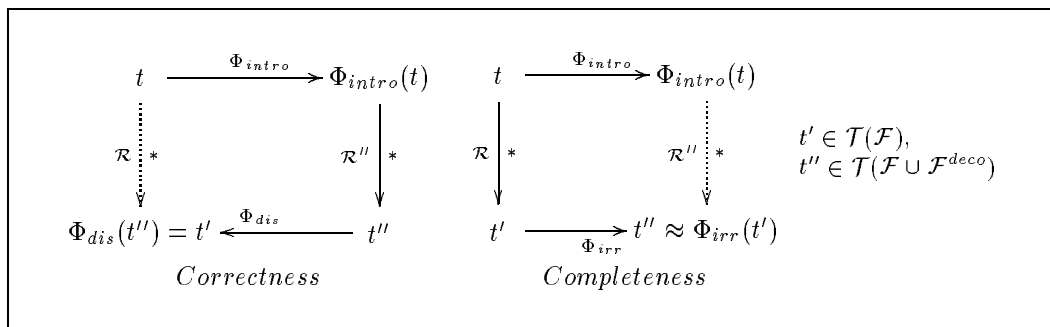


Figure 6: The derivation correspondence (correctness and completeness)

During the correctness proof, the goal is to "collect" rewriting steps of the derivation evaluating the condition. The general idea is to transform a derivation in  $\mathcal{R}''$  into an equivalent one in  $\mathcal{R}$  consisting of rewriting subsequences. Each rewriting subsequence simulates an application of a conditional rule in  $\mathcal{R}$ .

To simplify the notation, we do not explicitly represent the decoration  $\mathbf{d}$  at each term position. This does not change the proofs since the decorations are introduced only to help us to deal with the termination of the transformed rewriting system  $\mathcal{R}''$  and does not interact with the derivation correspondence. We also use the fact that the rules in  $\mathcal{R}''$  could be assumed to be left linear since all non-left linear rules could be transformed into left linear conditional rules by keeping the equality constraint of non-left linear variables in the condition. We distinguish between four types of elementary derivations in  $\mathcal{R}''$ :  $I^p$  for the  $\ell_{i,1}^1, \ell_{i,1}^2$  rule application,  $T^p$  for the  $\ell_{i,1}^3$  rules application,  $F^p$  for the  $\ell_{i,1}^4, \ell_{i,1}^5$  rules application and  $D^p$  for the  $\ell_{i_f,1}^6, \ell_{i_f,1}^7, \ell_{i_f,1}^8, \ell_{1,i_{\mathcal{R}}+j}^1$  rules application at position  $p$  for all  $1 \leq i \leq i_f$  and  $1 \leq j \leq i_{\mathcal{F}} - i_{\mathcal{R}}$ .

Remember that the right-hand side  $\bar{r}$  of rules is introduced here only as a mean to store the values gathered by the matching substitution that will be used when applying the rule. In the following we denote by  $\bar{r}$  the terms where rewriting is forbidden in non-variable positions of  $r$ . We use the following notation for specific derivations:

$$\begin{aligned}
 U_i &: f_i(u_1, \dots, u_i^1, \dots, u_n, \downarrow (v, v'), \bar{r}) \xrightarrow{p_1} \dots \xrightarrow{p_k} f_i(u_1, \dots, u_i^k, \dots, u_n, \downarrow (v, v'), \bar{r}) \\
 &\text{with } p_j \in \cup_{1 \leq j \leq k} \mathcal{POS}(u_i^j), \\
 U_i &= U_i^{p_1} \dots U_i^{p_j} \text{ and where } U_i^{p_1}, \dots, U_i^{p_j} \text{ are elementary derivations.} \\
 U &\text{ denotes the derivation } U_1 \dots U_n.
 \end{aligned}$$

$$\begin{aligned}
 R &: f_i(u_1, \dots, u_i, \dots, u_n, \downarrow (v, v'), \bar{r}_1) \xrightarrow{p_1} \dots \xrightarrow{p_k} f_i(u_1, \dots, u_i, \dots, u_n, \downarrow (v, v'), \bar{r}_k) \\
 &\text{with } p_j \in \cup_{1 \leq j \leq k} \mathcal{POS}(\bar{r}_j).
 \end{aligned}$$

$$C : f_i(u_1, \dots, u_n, v_1, \bar{r}) \xrightarrow{p_1} \dots \xrightarrow{p_j} \dots \xrightarrow{p_k} f_i(u_1, \dots, u_n, c_k, \bar{r}) \text{ with } p_j \in \cup_{1 \leq j \leq k} \mathcal{POS}(c_j).$$

$A$  denotes an  $U$ ,  $C$  or  $R$  derivation.  $D^*$  denotes a derivation composed by  $D$  elementary derivations.  $A^{>p}$  (respectively  $A^{<p}$ ) denotes a derivation where rewriting occurs in position  $q$  such that  $p > q$  (respectively  $p < q$ ). From now on, we assume that all the derivations are  $\mathcal{R}''$  derivations. If we define  $U$ ,  $C$ ,  $R$  and  $A$  as above, we obtain the following result.

**Lemma 4.2** *Each rewrite derivation  $A = I^p A' T^p$  with:*

$$A' : t[f_i(u_1, \dots, u_n, \downarrow (v, v'), \bar{r})]_p \xrightarrow{*} t[f_i(u'_1, \dots, u'_n, True, \bar{r}')]_p.$$

*is equivalent to the derivation  $B = I^p UCRT^p$ .*

**Proof:** The derivation  $A'$  can be transformed into  $A'' = UCR$  since all derivations in  $U$ ,  $C$  and  $R$  occur at disjoint positions.  $\square$

A similar lemma holds symmetrically if we replace  $T^p$  by  $F^p$  and  $True$  by  $False$ .

#### 4.1 Correctness of the transformation

Let us now show that for each rewrite derivation in  $\mathcal{R}''$  there exists an equivalent rewrite derivation in  $\mathcal{R}$  as described in Figure 6 under:

**Hypothesis  $\mathcal{H}$ :**

- $\mathcal{R}$  satisfies  $\mathcal{H}_{\mathcal{R}}$  and is confluent,
- $\mathcal{R}''$  satisfies  $\mathcal{H}_{\mathcal{R}''}$ .

#### 4.2 Correspondence of derivations in $\mathcal{R}''$

The next lemmas shows how to transform a derivation in  $\mathcal{R}''$ .

**Dfinition 4.3** *If a term  $t[v]_p$  rewrites at occurrence  $p$  with the rule  $u \rightarrow u'$  into  $t' = t[\sigma u']_p$  with  $\sigma u = v$ ,  $q$  is called a variable substitution position of  $t'$  if  $q = p.q'$  where  $q'$  is a variable position of  $u'$ .*

From now on, we assume  $I^p : t[f(u_1, \dots, u_n)]_p \rightarrow t[f_i(u_1, \dots, u_n, c_i, \overline{r_i})]_p$

**Lemma 4.4** *The derivation  $A = I^p C^{p.(n+1)} U^{>p} R^{p.q} U'^{>p} C^{p.(n+1)} T^p$  is equivalent to  $B = I^p C^{p.(n+1)} C^{p.(n+1)} T^p R^{p.q}$  where  $q$  is a variable substitution position of  $\overline{r_i}$  and  $A, B \in \mathcal{D}(t[f(u_1, \dots, u_n)]_p)$ .*

**Proof:** The elementary derivation  $I^p : t[u]_p \rightarrow t[f_i(u_1, \dots, u_n, c_i, \overline{r_i})]_p$  introduced the condition evaluation at position  $p$ . Since the condition  $c_i$  is evaluated to  $True$  (the last rule applied in the derivation  $A$  is  $\ell_{i,1}^3$ ), the derivations  $U^{>p}, U'^{>p}$  are unnecessary derivations. As a consequence the derivation  $A$  is equivalent to  $A_1 = I^p C^{p.(n+1)} R^{p.q} C^{p.(n+1)} T^p$ . As  $q$  is a variable substitution position of  $\overline{r_i}$ , we can apply the permutation lemma to the derivation  $R^{p.q} C^{p.(n+1)} T^p$  which is equivalent to  $C^{p.(n+1)} T^p R^{p.q}$ . As a consequence  $A \sim B$  where  $B = I^p C^{p.(n+1)} C^{p.(n+1)} T^p R^{p.q}$   $\square$

**Lemma 4.5** *The derivation  $A = I^p C^{p.(n+1)} U^{>p} R^{>p} U'^{>p} C^{p.(n+1)} T^{p.(n+1)} I^q$  is equivalent to  $B = I^p C^{p.(n+1)} R^{>p} I^q (C^{p.(n+1)} \setminus I^q) (T^{p.(n+1)} \setminus I^q)$  where:*

- $p, q$  are disjoint positions,
- $A, B \in \mathcal{D}(t[f(u_1, \dots, u_n)]_p)$
- $p > q$ .

**Proof:** All left hand sides of  $\mathcal{R}''$  rules are by definition terms in:

$$\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{add}, \mathcal{X}) \cup \mathcal{T}(\mathcal{F}^{top} \cup \mathcal{F}^{add}, \mathcal{X}) \quad (1)$$

As a consequence the rules associated to the derivations  $I^p$  and  $I^q$  do not overlap. We apply the permutation lemma to get the result.  $\square$

In order to transform any  $\mathcal{R}''$ -derivations, the idea behind the following lemma is to "pull back" all redex residuals introduced by  $I^p$  to allow the application of the parallel move lemma.

**Lemma 4.6** *Given a derivation  $A = I^p C^{p \cdot (n+1)} U^{>p} R^{>p} I^q Q$ , then there exists derivations  $C_1, T_1, Q'$  and  $Q''$  such that:*

- $A \sim B$ ,
- $B = I^p C^{p \cdot (n+1)} R^{>p} I^q Q' (C_1 \setminus (I^q Q')) (T_1 \setminus (I^q Q')) Q''$ ,
- $p > q$ ,
- $Q \sim Q' (C_1 \setminus (I^q Q')) (T_1 \setminus (I^q Q')) Q''$ ,

where the condition introduced by  $I^p$  evaluates to *True* using the derivation  $C' = C^{p \cdot (n+1)} C_1$  and  $\mathcal{F}(A) \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$ .

**Proof:** Recall that all left hand sides of rules of  $\mathcal{R}''$  rules are in the set  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{add}) \cup \mathcal{T}(\mathcal{F}^{top} \cup \mathcal{F}^{add})$ . Since in position  $p$  we have a symbol  $f_i$  and  $\mathcal{F}(A) \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$ , the redex denoted  $R_p$  in position  $p$  must be reduced. Since condition associated to the  $f_i$  is *True*. It remains *True* for redex residuals from  $f_i$  which are reduces to the same term ( since  $\mathcal{R}$  is confluent by hypothesis) We examine now the derivation  $Q = Q_1 \dots Q_n$ .  $Q$  is decomposed by construction as follows: Assuming  $Q_i$  the elementary derivation that introduces the last redex residual issued from  $R_p$ .

$$Q = Q_1 \dots Q_i \dots Q_n$$

which is equivalent to  $Q \sim Q' (C_1 \setminus (I^q Q')) (T_1 \setminus (I^q Q')) Q''$ .

$$C_1 \setminus (I^q Q') \sim C^{p_1 \cdot (n+1)} \dots C^{p_l \cdot (n+1)} \text{ and}$$

$$T_1 \setminus (I^q Q') \sim T^{p_1 \cdot (n+1)} \dots T^{p_l \cdot (n+1)}$$

where  $p_i \in p \setminus Q'$

Finally, we ignore  $U^{>p_i}$  derivations that occurs in the first  $n$  subterms of  $f_i$  since the condition associated to  $f_i$  is *True*. The derivation  $A$  is equivalent to:

$B = I^p C^{p \cdot (n+1)} R^{>p} I^q Q' (C_1 \setminus (I^q Q')) (T_1 \setminus (I^q Q')) Q''$  with the hypothesis defined in the lemma statement.  $\square$

A pictorial explanation of the previous lemma is given in Figure 7. A similar lemma holds when the condition introduced by  $I^p$  is evaluated to *False*.

**Lemma 4.7** *Given a derivation  $A = I^p C^{p \cdot (n+1)} U^{>p} R^{>p} I^q Q$ , there exist derivations  $C_1, T_1, Q'$  and  $Q''$  such that:*

- $A \sim B$
- $B = I^p C^{p \cdot (n+1)} U^{>p} R^{>p} I^q Q' (C_1 \setminus (I^q Q')) (F_1 \setminus (I^q Q')) Q''$ ,
- $p > q$ ,
- $Q \sim Q' (C_1 \setminus (I^q Q')) (F_1 \setminus (I^q Q')) Q''$ ,

where the condition introduced by  $I^p$  evaluates to *False* using the derivation  $C' = C^{p \cdot (n+1)} C_1$  and  $\mathcal{F}(A) \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$ .

**Proof:** Same proof as lemma 4.5.  $\square$

We denote by  $e$  the transformation, described in the previous lemmas, from the derivation  $A$  to the derivation  $B$ . Given a derivation  $A$  in  $\mathcal{R}''$ , where  $\mathcal{D}(A)$  and  $\mathcal{F}(A) \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  the transformation  $\mathcal{T}$  is defined by:

$$\begin{cases} \mathcal{T}(A) & = \mathcal{T}(e(A)) \\ \mathcal{T}(I^p C^{p \cdot (n+1)} T^p B) & = I^p \mathcal{T}(C^{p \cdot (n+1)}) T^p \mathcal{T}(B) \\ \mathcal{T}(I^p C^{p \cdot (n+1)} U^{>p} R^{>p} F^p B) & = \mathcal{T}(U^{>p} B) \\ \mathcal{T}(DA) & = D\mathcal{T}(A) \end{cases}$$

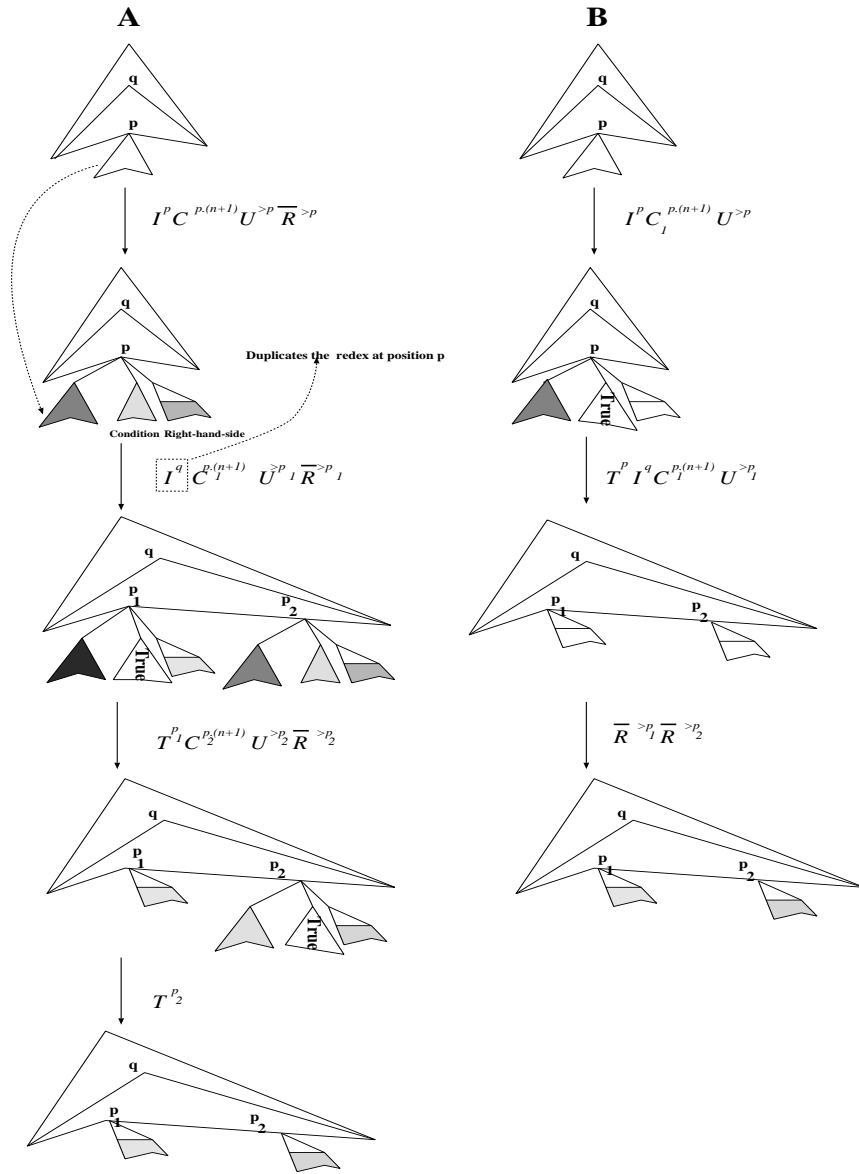


Figure 7: Pictorial illustration of Lemma 4.6

**Theorem 4.8** *Each rewrite derivation  $A$  in  $\mathcal{R}''$  is equivalent to a derivation  $B$  having the following structure,  $B = D_1^* I_1 C_1 T_1 \dots D_j^* I_j C_j T_j D_{j+1}^*$  where  $j$  is obtained after applying transformation  $\mathcal{T}$  to  $A$ .*

**Proof:** The proof is based on the application of the three previous lemmas. Since  $A$  is finite the transformation terminates (We have a finite number of  $I$  derivations in  $A$ ) Given a rewrite derivation:

$$A : \Phi_{intro}(t) \xrightarrow{*} \mathcal{R}'' t'', \text{ such that } t'' \text{ is in } \mathcal{R}'' \ t'' \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$$

We apply the transformation  $\mathcal{T}$  to the derivation  $A$  to obtain the final result.  $\square$

**Corollary 4.9** *Assuming  $\mathcal{H}$ , for each rewrite derivation  $A : \Phi_{intro}(t) \xrightarrow{*} \mathcal{R}'' t''$  such that  $t''$  is in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  there exists a rewrite derivation  $B : t \xrightarrow{*} \mathcal{R} t'$  such that  $t'$  is in  $\mathcal{R}$  and  $t' = \Phi_{dis}(t'')$ .*

This means that each rewrite derivation in  $\mathcal{R}''$  is simulated by a rewrite derivation in  $\mathcal{R}$ , where the two derivations lead to the same term using the mapping  $\Phi_{dis}$ .

### 4.3 Completeness of the transformation

Now, we show that each derivation on the conditional rewriting system is easily mapped into a rewrite derivation in  $\mathcal{R}''$ . We recall that  $\Phi_{irr}$  a mapping that given a term  $t$  in  $\mathcal{T}(\mathcal{F})$  adds to  $t$  the necessary normal flag decoration information to obtain a term in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  i.e. each term in  $\mathcal{R}$ -normal form is transformed into a term in  $\mathcal{R}''$  normal form with the mapping  $\Phi_{irr}$ . We write  $t \approx t'$  if  $t, t' \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  and  $t, t'$  are syntactically equal except on the third argument of  $\mathbf{d}$ . For example,  $\mathbf{d}_0^1(f(t)) \approx \mathbf{d}_2^1(f(t))$ .

**Theorem 4.10** *Assuming  $\mathcal{H}$ , for each rewrite derivation  $t \xrightarrow{*} \mathcal{R} t'$  there exists a rewrite derivation  $\Phi_{intro}(t) \xrightarrow{*} \mathcal{R}'' t''$  where  $t'' \in \mathcal{T}(\mathcal{F} \cup \mathcal{F}^{deco})$  and  $t'' \approx \Phi_{irr}(t')$ .*

**Proof:** It is clear that each application of a conditional rule is mapped into rewriting steps in  $\mathcal{R}''$  introducing the evaluation of the condition then evaluating the condition. Finally we apply the  $D$  rewrite rules at each occurrence of the term to add the necessary decorations into the structure of term.  $\square$

## 5 Our implementation of conditional concurrent rewriting

We now describe our implementation of conditional concurrent rewriting on distributed memory machines. Following [GKM87] an implementation based on term hypergraph rewriting has been defined [KV92, Alo93, Alo95] on MIMD machines using both a message passing mechanism between processors and a distributed matching algorithm [KV92]. The implementation of these ideas, RECO has been built using a fine-grained parallelism on terms.

The concurrent matching algorithm uses a bottom up approach. Its message-passing implementation avoids all the locking and synchronisation problems. We briefly describe how it works. Given a rewriting system, an automaton is precomputed from the rewriting system. Each node of the term has a matching state. Using this automaton, according to the matching state of its children we lookup to the automaton giving us the new matching state. Finally, having a matching state, we lookup to a table giving us a list of rewrite rules that can be applied. We discuss now the different ways to implement the detailed transformation.

## 5.1 Direct implementation of the transformation

The first method to implement the conditional concurrent rewriting is to use our implementation of concurrent rewriting directly since the transformed rewriting system is unconditional. By directly we mean by first generating the transformed system and then load and run the transformed system on the implementation. But, we have to verify the lexicographic rule priority and the rule fairness assumptions since the transformation is correct under the previous assumptions. We ensure the rule fairness assumption by treating nodes of the term in a round robin fashion i.e. each set of nodes in a processor is treated in a circular way. The lexicographic rule priority assumption is verified since at each term position the precompiled matching algorithm gives a list of possible rewrite rules that can be applied. The order in the list is the same order as they appear in the labelled rewriting system.

## 5.2 Indirect implementation of the transformation

The second approach, and indeed the one we have implemented, consists of loading the CdCcRw directly and to modifying slightly the unconditional concurrent rewriting implementation in order to simulate the transformation described in 3. In this way there is no need to explicitly add in the *term* structure the **d** symbol with its two extra arguments. We choose this method because the irreducibility flag is information which is already defined in the node structure i.e. the representation of this flag in the transformed rewriting system is only needed to prove the correctness and completeness of the transformation. Moreover, all left-hand sides of rules are in  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{add}) \cup \mathcal{T}(\mathcal{F}^{top} \cup \mathcal{F}^{add})$  so there is no pattern that match terms built on  $\mathcal{T}(\mathcal{F} \cup \mathcal{F}^{top})$  which allows to use the automaton built on the initial signature.

We briefly present now an outline of the basic operations needed to implement conditional concurrent graph rewriting on a simple example. Two basic operations are implemented, matching and replacement.

The term to be reduced is represented as a jungle (a special acyclic directed hyper-graph) which is distributed over the processors. Jungles are based on objects (nodes and edges) and pointers (local and distant pointers). The basic operations needed for performing a replacement are implemented using message passing. To solve the lack of global state, each node stores a data structure called photo which is a part of the graph below the node. The photo, denoted in prefix notation (we assume that we memorise the arity of each symbol of the rewriting system in a global table), is used to calculate addresses of variables substitutions and then rewriting is done with those photos which remain coherent with the matching information.

The two main characteristics of the implemented model are:

(1) No explicit parallelisation directives are to be given, the same program may run on a sequential or a parallel machine.

(2) No explicit synchronisation is needed.

RECO offers two mechanisms for right-hand side rewrite rule creation. The first, called local rewriting, creates the right side locally in a processor. Figure 8 shows an application of the rule  $\langle F(x) + F(y) \rightarrow F(x + y) \text{ if } F(x) \downarrow_R a \rangle$  when a term is distributed over three processors P1,P2 and P3. Conditional replacement (Fig 8) is done as follows:

- Phase 1 creates the condition part of the rule and stores in node  $N_1$  the  $N_1$  photo denoted  $P_{N_1}$ .
- Phase 2 computes addresses of variables substitution of the condition (using the  $N_1$  photo which is  $N_1N_2N_3N_4N_5$ ) by sending a connect messages to nodes  $N_3$  and  $N_4$ .





of conditional concurrent rewriting is totally asynchronous. All primitives for receiving messages are non-blocked calls. Processes running on each processor never wait for messages. The concurrent normalisation process is non-deterministic, this leads to different possible concurrent executions for computing a normal form of a term. The benchmarks presented below are based on the average values of several normalisations of a given term on a cluster of Solaris Sun4 WorkStations, and on a Power Challenge Array (PCA) with 8 R8000 processors.

We present benchmarks based on using the system *RewSort* sorting a list of natural numbers defined in section 3 and the rewrite system  $\mathcal{R}_1$  which presents a quite unfavourable case where the structure of terms used in the tests have a large amount of overlapping redexes. Table 1 summarises, for each concurrent normalisation process, the rewriting system we use, the size of terms to be normalised, the platform and the number of processors used, the number of rewriting steps to obtain the normal form, the real time of execution given in second, and the different speed-ups.

$$\mathcal{R}_1 = \begin{cases} \ell_1 : F(x) + F(y) \rightarrow F(x + y) \text{ if } g(x) \downarrow^! tt & \ell_6 : x + 0 \rightarrow x \\ \ell_2 : F(x) + F(y) \rightarrow F(y + x) \text{ if } g(y) \downarrow^! ff & \ell_7 : g(0) \rightarrow tt \\ \ell_3 : x + (y + z) \rightarrow (x + y) + z & \ell_8 : g(s(x)) \rightarrow tt \\ \ell_4 : F(0) \rightarrow 0 & \ell_9 : g(f(x)) \rightarrow ff \\ \ell_5 : 0 + x \rightarrow x & \ell_{10} : g(x + y) \rightarrow tt \end{cases}$$

Example	#Term	Platform	#Rew.					Real time					Speed-ups				
			1	2	3	4	5	1	2	3	4	5	1	2	3	4	5
$\mathcal{R}_1$	767	Sun4	575	612	629	689	704	6.46 s	4.81 s	4.61 s	4.63 s	4.96 s	1	1.34	1.9	2.4	3.32
$\mathcal{R}_1$	1535	Sun4	1279	1374	1386	1437	1583	13.30 s	9.5 s	6.33 s	4.29 s	3.39 s	1	1.4	2.1	3.1	3.92
$\mathcal{R}_1$	1535	PCA	1279	1389	1410	1454	1572	4.1 s	2.84 s	1.86 s	1.5 s	1.28 s	1	1.44	2.2	2.73	3.2
<i>RewSort</i>	269	Sun4	4744	6329	6487	7654	8324	10.88 s	7.88 s	5.97 s	5.13 s	3.2 s	1	1.38	1.82	2.12	3.4
<i>RewSort</i>	269	PCA	4744	6329	6487	7654	8324	3.03 s	2.86 s	2.24 s	2.1 s	2.0 s	1	1.05	1.35	1.26	1.5
<i>RewSort</i>	520	PCA	13631	15439	16543	17656	17704	6.46 s	4.81 s	4.61 s	4.63 s	4.96 s	1	1.34	1.9	2.4	3.32

Table 1

These experimental results shows that the total number of applied rules in a concurrent execution is approximately equal to those applied in a sequential execution. It is clear, that big terms have greater speed-ups (up to 3.1 for terms having 1535 nodes distributed on four processors) than smaller ones. Although, increasing the number of processors does not lead to a better speed-up if the term size is not increasing too. The experimental results are showing the importance of the interprocess communication in our CdCcRw implementation. Moreover, in the case of SUN4 experiments, the network used is based on a sequential bus (Ethernet). A higher bandwidth network is certainly needed to have better experimental results. Furthermore, we are now sharpening the current implementation.

## 6 Conclusions and further work

We have shown that a special transformation from conditional term rewriting to unconditional term rewriting can be successfully used to implement efficiently the conditional *concurrent* rewriting model on *distributed* memory machines. This transformation provides a terminating rewrite system that simulates in a correct and complete way the application of the initial conditional rewrite rules. Its main originality and usefulness is to preserve as much as possible the inherent concurrency present in the initial system. From a practical point of view, this work allows us to extend existing concurrent rewriting implementation to the conditional case. We have described our implementation of conditional concurrent rewriting on distributed memory machines. Finally, we believe that the techniques and ideas introduced in this paper are a contribution towards solving the problem of designing efficient normalisation procedures of terms in concurrent deduction. This will certainly help to develop practical parallel implementations of languages like MAUDE [Mes93] and ELAN [KKV95] based on conditional rewriting with strategies.

**Acknowledgements** This work is partially supported by the Esprit Basic Research working group 6028, CCL. We would like to thank Patrick Viry, Christopher Lynch and Christelle Scharff for their comments.

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 diteur  
INRIA, Domaine de Voluceau, Rocquencourt, BP 105, 78153 LE CHESNAY Cedex (France)  
ISSN 0249-6399