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### A COMBINATORIAL PROBLEM IN HAMMING GRAPHS AND ITS SOLUTION IN SCRATCHPAD

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**Janvier 1992**



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Un problème combinatoire dans les graphes de  
Hamming et sa solution en Scratchpad

A combinatorial problem in Hamming graphs  
and its solution in Scratchpad

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## Résumé

Nous présentons ici un problème combinatoire qui est apparu dans la détermination des énumérateurs de poids complets des translatés des codes linéaires. Dans la résolution de ce problème par les séries formelles exponentielles à coefficients dans un anneau de polynômes à plusieurs variables, nous tombons sur un système d'équations différentielles à coefficients dans un corps de fractions rationnelles. Grâce aux possibilités d'abstraction de Scratchpad ce système peut être résolu simplement et naturellement, ce qui ne semble pas être le cas pour d'autres systèmes de calcul formel aujourd'hui disponibles.

## Abstract

We present a combinatorial problem which arises in the determination of the complete weight coset enumerators of error-correcting codes [1]. In solving this problem by exponential power series with coefficients in a ring of multivariate polynomials, we fall on a system of differential equations with coefficients in a field of rational functions. Thanks to the abstraction capabilities of Scratchpad this differential equation may be solved simply and naturally, which seems not to be the case for the other computer algebra systems now available.

# 1 A combinatorial problem in Hamming graphs

Let  $\mathbf{F} = GF(q)$  be a finite field with  $q$  elements, let  $m = q - 1$  and fix an ordering  $\mathbf{F}^* = [a_1, \dots, a_m]$  of the nonzero elements of  $\mathbf{F}$ . For  $x$  in the vector space  $\mathbf{F}^n$  the (*Hamming*) *weight* of  $x$  is defined as  $w(x) =$  number of nonzero components of  $x$  and the *complete weight* of  $x$  as the list  $w^c(x) = [w_{a_1}(x), \dots, w_{a_m}(x)]$  where  $w_a(x) =$  number of components of  $x$  which are equal to  $a \in \mathbf{F}^*$ . The (*Hamming*) *distance* between  $x$  and  $y$  is  $d(x, y) = w(y - x)$  and the *gap* between  $x$  and  $y$  is  $g(x, y) = w^c(y - x)$ .

If  $\Omega$  is the set of weight one vectors in  $\mathbf{F}^n$ , then the *Hamming graph*  $\Gamma = \Gamma(n, q)$  is the Cayley graph  $C(\mathbf{F}^n, \Omega)$ . This means that the vertex set is  $\mathbf{F}^n$  and that  $(x, y)$  is an oriented edge (arrow) iff  $y - x \in \Omega$ . Set  $\Omega_i = \{x \in \Omega \mid \text{the only nonzero component of } x \text{ is } a_i\}$ . An arrow  $(x, y)$  in  $\Gamma$  will be called of *color*  $i$  if  $y - x \in \Omega_i$ . A *path* of length  $j$  joining  $x$  to  $y$  is a sequence  $\gamma = (x^{(0)}, x^{(1)}, \dots, x^{(j)})$  where  $x^{(0)} = x, x^{(j)} = y$  and  $x^{(i)} - x^{(i-1)} \in \Omega, i = 1, \dots, j$ . Set  $\text{Path}_j(x, y)$  to be the set of all these paths and

$$\text{Path} = \bigcup_{j \geq 0} \{\text{Path}_j(x, y) \mid x, y \in \mathbf{F}^n\}$$

We are interested in the various color distributions of the paths in  $\Gamma$ . For this it is convenient to work in the multivariate polynomial ring  $\mathbf{Z}[T_{a_1}, \dots, T_{a_m}]$ .

**Definition 1** *The weight function  $\phi : \text{Path} \rightarrow \mathbf{Z}[T_{a_1}, \dots, T_{a_m}]$  is defined as follows*

1. *if  $(x, y)$  is an arrow and if  $y - x \in \Omega_i$ , then  $\phi(x, y) = T_{a_i}$*
2. *if  $\gamma = (x^{(0)}, x^{(1)}, \dots, x^{(j)})$  is a path, then  $\phi(\gamma) = \prod_{i=1}^j \phi(x^{(i-1)}, x^{(i)})$ . This weight function  $\phi$  is extended to subsets  $U$  of  $\text{Path}$  by the formula*

$$\phi(U) = \sum \{\phi(\gamma) \mid \gamma \in U\}$$

$\phi(U)$  is called the inventory of  $U$ .

**Problem 1** Determine the inventories  $\phi(\text{Path}_j(x, y))$  for all  $j$ :

$$\phi(\text{Path}_j(x, y)) = \sum_{j_1 + \dots + j_m = j} S_{j_1 \dots j_m}(x, y) T_{a_1}^{j_1}, \dots, T_{a_m}^{j_m}$$

where  $S_{j_1 \dots j_m}(x, y)$  is the number of paths of length  $j = j_1 + \dots + j_m$  joining  $x$  to  $y$  with  $j_1$  arrows of color 1,  $j_2$  arrows of color 2, etc.

**Proposition 1** *If  $g(x, y) = g(x', y')$ , then  $\phi(\text{Path}_j(x, y)) = \phi(\text{Path}_j(x', y')) = \phi(\text{Path}_j(0, y - x))$ . In fact  $S_{j_1 \dots j_m}(x, y) = S_{j_1 \dots j_m}(x', y')$ .*

**Proof:** It is evident that the translation by  $-x$  establishes a bijection between  $\text{Path}_j(x, y)$  and  $\text{Path}_j(0, y - x)$  that preserves coloration. Moreover if  $g(x, y) = g(x', y') = w^c(y - x) = [i_1, \dots, i_m]$ , then take a bijection of the set of coordinate places sending the  $i_1$  places where  $y - x$  has component  $a_1$  to the corresponding  $i_1$  places in  $y' - x'$  etc. This establishes a bijection preserving coloration between  $\text{Path}_j(0, y - x)$  and  $\text{Path}_j(0, y' - x')$ .

By this proposition we may reformulate our problem as follows.

**Problem 2** *If a complete weight  $\vec{i} = [i_1, \dots, i_m]$  of some  $x \in \mathbf{F}^n$  is given, determine the inventories*

$$\begin{aligned} S_{\vec{i}, j} &= \phi(\text{Path}_j(0, x)) \\ &= \sum_{|\vec{j}|=j} S_{\vec{i}, \vec{j}} T^{\vec{j}} \end{aligned}$$

where  $T = [T_{a_1}, \dots, T_{a_m}]$ ,  $\vec{j} = [j_1, \dots, j_m]$ ,  $|\vec{j}| = j_1 + \dots + j_m$  and  $T^{\vec{j}} = T_{a_1}^{j_1} \dots T_{a_m}^{j_m}$ . The number  $S_{\vec{i}, \vec{j}}$  counts the paths of length  $|\vec{j}| = j$  and color distribution  $\vec{j}$  joining 0 to a vertex  $x$  of complete weight  $\vec{i}$ .

## 2 Analysis of the problem by exponential generating power series with coefficients in the ring $\mathbf{Z}[T_{a_1}, \dots, T_{a_m}]$ .

**Definition 2** *Let  $f_s(j_1, \dots, j_m)$  be the number of sequences in  $F^*$  containing  $j_1$  elements equal to  $a_1$ ,  $j_2$  elements equal to  $a_2$ ,  $\dots$ ,  $j_m$  elements equal to  $a_m$  and whose sum is equal to  $s \in \mathbf{F}$ . We define the power series  $f_s(X)$  by*

$$f_s(X) = \sum_{j \geq 0} \left[ \sum_{j_1 + \dots + j_m = j} f_s(j_1, \dots, j_m) T_{a_1}^{j_1} \dots T_{a_m}^{j_m} \right] X^j / j!$$

The relationship between these exponential generating power series and our problem follows from classical results on shuffle product or ‘‘composé partionnel’’ [2]. However, to be self contained, we prefer to give a direct proof which may also serve as an illustration of the ideas involved.

**Proposition 2** *If  $\vec{i} = [i_1, \dots, i_m]$  is the complete weight of some  $x \in \mathbf{F}^n$  and  $j$  is a natural number, then  $S_{\vec{i}, j}$  is the coefficient of  $X^j / j!$  in the expansion of  $f_{a_1}^{i_1}(X) \dots f_{a_m}^{i_m}(X) f_0^{n - |\vec{i}|}(X)$ .*

**Proof:** Without loss of generality we may take

$$x = \underbrace{[a_1, \dots, a_1]}_{i_1}; \dots; \underbrace{[a_m, \dots, a_m]}_{i_m}; \underbrace{[0, \dots, 0]}_{n-|i|}.$$

We have to count the paths of length  $j$  joining 0 to  $x$  paying attention to the various color distributions of these paths.

In any path and for any position in  $x$ , the contribution of pertinent arrows has to sum up to the right element of  $\mathbf{F}$ :  $a_1$  if the considered position is among the first  $i_1$ ,  $a_2$  if it is among the next  $i_2$ , etc.

Consider the elements  $a_{ik}^{(l)} \in \mathbf{F}^*$  for  $l = 0, 1, \dots, m$  in the following figure:

$$\begin{array}{ccccccc} 0 & = & \underbrace{[0, \dots, 0]}_{i_1} & \dots & \underbrace{[0, \dots, 0]}_{i_l} & \dots & \underbrace{[0, \dots, 0]}_{i_m} & \dots & \underbrace{[0, \dots, 0]}_{n-|i|} \\ & & a_{11}^{(1)} & & a_{i1}^{(l)} & & & & a_{11}^{(0)} \\ & & a_{12}^{(1)} & \dots & a_{i2}^{(l)} & \dots & & & a_{12}^{(0)} \dots \\ & & \vdots & & \vdots & & & & \vdots \\ & & a_{1,j(1,1)}^{(1)} & & a_{i,j(l,i)}^{(l)} & & & & a_{1,j(0,1)}^{(0)} \\ x & = & [a_1, \dots, a_1; & \dots & \dots, a_l, \dots & \dots; & a_m, \dots, a_m; & 0, \dots, 0] \end{array}$$

Each of these  $a_{ik}^{(l)}$  defines an element of  $\Omega_l$  affecting the coordinate number  $i_1 + \dots + i_{l-1} + i$  and we must have

$$\sum_{k=1}^{j(l,i)} a_{ik}^{(l)} = a_l$$

so that  $x$  will be the endpoint of the path of length  $j = \sum_l \sum_i j(l, i)$  so defined. In the algebra  $\mathbf{Z}[T_{a_1}, \dots, T_{a_m}]$  the collective contribution to this coordinate is  $T_{a_i}^{j(l,i)}$ .

Now we can express the generating power series  $f_s(x)$  in the more convenient form

$$f_s(X) = \sum_{j \geq 0} \left[ \sum_{b_1 + \dots + b_j = s} T_{b_1} \dots T_{b_j} \right] \frac{X^j}{j!}.$$

If  $k$  is the number of coordinates of  $x$  that are equal to  $s$ , then

$$\begin{aligned} f_s^k(X) &= \sum_{j_1, \dots, j_k} \left[ \left( \sum_{a_{11}} \dots T_{a_{1j(1)}} \right) \dots \left( \sum_{a_{k1} + \dots = s} T_{a_{k1}} \dots T_{a_{kj(k)}} \right) \right] \frac{X^{j_1} \dots X^{j_k}}{j_1! \dots j_k!} \\ &= \sum_{j \geq 0} \left[ \sum T_{a_{11}} \dots T_{a_{1j(1)}} \dots T_{a_{k1}} \dots T_{a_{kj(k)}} \frac{j!}{j_1! \dots j_k!} \right] \frac{X^j}{j!} \end{aligned}$$

where in the inner sum  $a_{11} + \dots + a_{1j(1)} = s, \dots, a_{k1} + \dots + a_{kj(k)} = s$ .

This corresponds in shuffling the  $j$  arrows affecting these  $k$  different coordinates in such a way that the endpoint of the various paths so obtained is  $s$  at those  $k$  coordinates.

By multiplying all these powers we obtain the result.

**Example 1** Take  $\mathbf{F} = \{0, 1, 2\}$ ,  $n = 4$ ,  $\vec{\tau} = [2, 1]$ . We seek the paths joining  $0 = [0, 0, 0, 0]$  to  $x = [1, 1, 2, 0]$ . We have

$$\begin{aligned} f_0(X) &= 1 + 2T_1T_2 \frac{X^2}{2!} + (T_1^3 + T_2^3) \frac{X^3}{3!} + \dots \\ f_1(X) &= T_1X + T_2^2 \frac{X^2}{2!} + 3T_1^2T_2 \frac{X^3}{3!} + \dots \\ f_2(X) &= T_2X + T_1^2 \frac{X^2}{2!} + 3T_1T_2^2 \frac{X^3}{3!} + \dots \\ f_1^2(X) &= T_1^2X^2 + 6T_1T_2^2 \frac{X^3}{3!} + (24T_1^3T_2 + 6T_2^4) \frac{X^4}{4!} + \dots \\ f_1^2f_2f_0 &= 6T_1^2T_2 \frac{X^3}{3!} + (12T_1^4 + 24T_1T_2^3) \frac{X^4}{4!} + \\ &\quad + (360T_1^3T_2^2 + 30T_2^5) \frac{X^5}{5!} + \dots \end{aligned}$$

In the following figures 1, 2 and 3 we give a detailed account of what is going on.

type	$T_1$	$T_1$	$T_2$	
0	0	0	0	0
path	1	0	0	0
transitions	0	1	0	0
	0	0	2	0
$x$	1	1	2	0
number of permutations	6			
coefficients of $X^3/3!$	$6T_1^2T_2$			

Figure 1: Paths of length 3



type	$T_1$	$T_1$	$T_1^2$	$T_1$	$T_2^2$	$T_2$	$T_2^2$	$T_1$	$T_2$
0	0	0	0	0	0	0	0	0	0
path transitions	1	0	0	0	1	0	0	0	0
	0	1	0	0	0	2	0	0	0
	0	0	1	0	0	2	0	0	0
	0	0	1	0	0	0	2	0	0
$x$	1	1	2	0	1	1	2	0	1
number of permutations coefficients of $X^4/4!$	12			12			12		
	$12T_1^4$			$24T_1T_2^3$					

Figure 2: Paths of length 4

type	$T_1$	$T_1$	$T_2$	$T_1T_2$	$T_1$	$T_1$	$T_1T_2^2$	$T_1$	$T_2^2$	$T_1^2$	$T_2^2$	$T_1$	$T_1^2$
0	0	0	0	0	0	0	0	0	0	0	0	0	0
path transitions	1	0	0	0	1	0	0	0	1	0	0	0	0
	0	1	0	0	0	1	0	0	0	2	0	0	0
	0	0	2	0	0	0	1	0	0	2	0	0	0
	0	0	0	1	0	0	2	0	0	0	1	0	0
	0	0	0	2	0	0	2	0	0	0	1	0	0
$x$	1	1	2	0	1	1	2	0	1	1	2	0	1
number of permutations coefficients of $X^5/5!$	120				60				30			30	
	$360T_1^3T_2^2$												

$T_1^2T_2$	$T_1$	$T_2$	$T_1$	$T_1^2T_2$	$T_2$	$T_2^2$	$T_2^2$	$T_2$
0	0	0	0	0	0	0	0	0
1	0	0	0	1	0	0	0	2
1	0	0	0	0	1	0	0	2
2	0	0	0	0	1	0	0	0
0	1	0	0	0	2	0	0	0
0	0	2	0	0	0	2	0	0
1	1	2	0	1	1	2	0	1
60			60			30		
$30T_2^5$								

Figure 3: Paths of length 5

### 3 A differential equation

To determine the inventories  $S_{r,j}$  it is sufficient, by proposition 2, to calculate the exponential generating power series  $f_0(X), f_{a_1}(X), \dots, f_{a_m}(X)$ . This will be done by solving a system of differential equations with coefficients in the field of rational functions in  $m$  variables  $T_{a_1}, \dots, T_{a_m}$  with rational coefficients.

We first observe the recurrence

$$f_s(j_1, \dots, j_m) = \sum_{k=1}^m f_{s-a_k}(j_1, \dots, j_k - 1, \dots, j_m)$$

for all  $s \in \mathbf{F}$ .

This is because we obtain a sequence  $\sigma$  summing to  $s$  containing  $j_1$  times  $a_1, \dots, j_m$  times  $a_m$  from a sequence summing to  $s - a_k$  containing  $j_1$  times  $a_1, \dots, (j_k - 1)$  times  $a_k, \dots$  just by adding an  $a_k$ . Moreover all sequences  $\sigma$  are obtained in this fashion.

In differential terms this gives

$$Df_s(X) = \sum_{k=1}^m T_{a_k} f_{s-a_k}(X), s \in \mathbf{F}$$

because the derivative  $Df_s(X)$  of the series of definition 2, defined formally as usual, gives here

$$\begin{aligned} Df_s(X) &= \sum_{j \geq 1} \left[ \sum_{j_1 + \dots + j_m = j} f_s(j_1, \dots, j_m) T_{a_1}^{j_1} \dots T_{a_m}^{j_m} \right] \frac{X^{j-1}}{(j-1)!} \\ &= \sum_{j \geq 1} \left[ \sum_{j_1 + \dots + j_m = j} \sum_{k=1}^m f_{s-a_k}(j_1, \dots, j_k - 1, \dots, j_m) T_{a_1}^{j_1} \dots T_{a_m}^{j_m} \right] \frac{X^{j-1}}{(j-1)!} \\ &= \sum_{j \geq 1} \left[ \sum_{k=1}^m T_{a_k} \sum_{j_1 + \dots + j_m = j} f_{s-a_k}(j_1, \dots, j_k - 1, \dots, j_m) T_{a_1}^{j_1} \dots T_{a_k}^{j_k - 1} \dots T_{a_m}^{j_m} \right] \frac{X^{j-1}}{(j-1)!} \\ &= \sum_{k=1}^m T_{a_k} \sum_{j \geq 0} \left[ \sum_{j_1 + \dots + j_m = j} f_{s-a_k}(j_1, \dots, j_m) T_{a_1}^{j_1} \dots T_{a_m}^{j_m} \right] \frac{X^j}{j!} \end{aligned}$$

This proves the following result.

**Proposition 3** *The vector  $[f_0(X), f_{a_1}(X), \dots, f_{a_m}(X)]$  consisting of the exponential generating power series of definition 2.1 is the unique solution of the linear system*

$$** \quad Df_s = \sum_{k=1}^m T_{a_k} f_{s-a_k}, \quad s \in \mathbf{F} \quad (1)$$

with initial condition vector  $[1, 0, \dots, 0]$ .

**Remark 1** We may consider this differential equation as having coefficients in the field  $K = \mathbf{Q}(T_{a_1}, \dots, T_{a_m})$  and the solution we seek has components in the differential ring  $K[[X]]$ . Thanks to its abstraction capabilities, Scratchpad is able to solve easily and naturally such a problem whereas other computer algebra systems available nowadays seem not.

As an illustration, we give in the next two sections a manipulation by hand and a short Scratchpad session yielding the solution of our problem in the particular case where the alphabet is  $\mathbf{F} = \mathbf{Z}/3\mathbf{Z}$ .

## 4 A partial solution by hand in the ternary case $F = \{0, 1, 2\}$

Only the differential equation \*\* may be handled in conventional manner, the use of proposition 2 implying too much computations.

In the particular case under investigation the differential equation \*\* is

$$\begin{cases} Df_0 = T_2f_1 + T_1f_2 & (2) \\ Df_1 = T_1f_0 + T_2f_2 & (3) \\ Df_2 = T_2f_0 + T_1f_1 & (4) \end{cases}$$

with initial condition vector  $[1, 0, 0]$ .

We also observe that, by construction, we have

$$f_0 + f_1 + f_2 = e^{(T_1+T_2)X} \quad (5)$$

because the RHS is the generating function of all the colored sequences of elements in  $\{1, 2\}$  and the LHS corresponds to a partition of these.

Thanks to the relation (5) we shall obtain a scalar second order differential equation to determine  $f_2$ .

First by differentiating (4), multiplying (2) by  $T_2$  and adding we obtain

$$D^2f_2 = T_1Df_1 + T_1T_2f_2 + T_2^2f_1 \quad \text{or} \quad (6)$$

$$T_1Df_1 + T_2^2f_1 = D^2f_2 - T_1T_2f_2.$$

Now multiplying (4) by  $T_1$ , (3) by  $-T_2$  and adding we have

$$T_1Df_2 - T_2Df_1 = T_1^2f_1 - T_2^2f_2 \quad \text{or} \quad (7)$$

$$T_2Df_1 + T_1^2f_1 = T_1Df_2 + T_2^2f_2.$$

which together with (6) implies immediately

$$(T_2^3 - T_1^3)f_1 = T_2D^2f_2 - T_1^2Df_2 - 2T_1T_2^2f_2. \quad (8)$$

On the other hand, substituting  $f_0$  from (5) into (3), we have

$$Df_1 = T_1(e^{(T_1+T_2)X} - f_1 - f_2) + T_2f_2 \quad (9)$$

and differentiating (5) taking into account (2), we obtain

$$Df_1 + Df_2 + T_2f_1 + T_1f_2 = (T_1 + T_2)e^{(T_1+T_2)X}. \quad (10)$$

Substituting  $Df_1$  from (9) into (10) yield

$$(T_2 - T_1)f_1 = T_2e^{(T_1+T_2)X} - Df_2 - T_2f_2 \quad \text{or}$$

$$(T_2^3 - T_1^3)f_1 = (T_1^2 + T_1T_2 + T_2^2)(T_2e^{(T_1+T_2)X} - Df_2 - T_2f_2). \quad (11)$$

Finally comparing (11) with (8) we obtain

$$D^2f_2 + (T_1 + T_2)Df_2 + (T_1^2 - T_1T_2 + T_2^2)f_2 = (T_1 + T_2)e^{(T_1+T_2)X} \quad (12)$$

which is a second order differential equation to determine  $f_2$  as a power series with polynomial coefficients in the indeterminates  $T_1$  and  $T_2$ . The initial conditions are here  $f_2(0) = 0$  and  $Df_2(0) = T_2$ .

Once  $f_2$  determined, the series  $f_0$  and  $f_1$  are calculated by the relations (4) and (5).

## 5 Scratchpad solution in the ternary case $\mathbb{F} = \{0, 1, 2\}$

### 5.1 Solution of the differential equation \*\*

# Creation of the coefficient field

```
> K := QF P[T1, T2] I
```

# Specification of the solution

```
> s := List UPS(X, K)
```

```

# Specification of the right member of (1)

> (F1, F2, F3) : List UPS(X, K) -> UPS(X, K)
> F1 u == T2*u.2 + T1*u.3
> F2 u == T1*u.1 + T2*u.3
> F3 u == T2*u.1 + T1*u.2

# Call to Scratchpad command to solve (1)

> s := mpsode([1$K, 0$K, 0$K], [F1, F2, F3])

# Verification (must give zero)

> pderiv(s.0) - T2*s.1 - T1*s.2
> pderiv(s.1) - T1*s.0 - T2*s.2
> pderiv(s.2) - T2*s.0 - T1*s.1

```

## 5.2 Determination of the numbers $S_{i,j}$

```

# Input data

> vi := [i.0, i.1]
> The user or program assigns positive integer values to n and N

# Calculation of the product power series as in proposition 2

> S := s.1^i.0*s.2^i.1*s.0^(n-i.0-i.1)

# List of the numbers  $S_{vi,j}$  for  $j \leq N$ 

> S.vi := [j!*coefficient (S,j) for j in 0..N]

```

## References

- [1] Camion P., Courteau B., Montpetit A., R-partition designs relative to a weight function in Hamming spaces, in preparation.
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