



## Moving vertices to make drawings plane

Xavier Goaoc, Jan Kratochvil, Yoshio Okamoto, Chan-Su Shin, Alexander Wolff

### ► To cite this version:

Xavier Goaoc, Jan Kratochvil, Yoshio Okamoto, Chan-Su Shin, Alexander Wolff. Moving vertices to make drawings plane. 15th International Symposium on Graph Drawing, Sep 2007, Sydney, Australia. pp.101-112, 10.1007/978-3-540-77537-9\_13 . inria-00181775

**HAL Id: inria-00181775**

**<https://inria.hal.science/inria-00181775>**

Submitted on 12 Nov 2009

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Moving Vertices to Make Drawings Plane<sup>\*</sup>

Xavier Goaoc<sup>1</sup>, Jan Kratochvíl<sup>2</sup>, Yoshio Okamoto<sup>3\*\*</sup>, Chan-Su Shin<sup>4\*\*\*</sup>, and  
Alexander Wolff<sup>5</sup>

<sup>1</sup> LORIA – INRIA Lorraine, Nancy, France.

Email: [goaoc@loria.fr](mailto:goaoc@loria.fr)

<sup>2</sup> Dept. Applied Math. and Inst. Theoret. Comp. Science, Charles Univ., Czech Rep.

Email: [honza@kam.mff.cuni.cz](mailto:honza@kam.mff.cuni.cz)

<sup>3</sup> Dept. Information and Computer Sciences, Toyohashi Univ. of Technology, Japan.

Email: [okamotoy@ics.tut.ac.jp](mailto:okamotoy@ics.tut.ac.jp)

<sup>4</sup> School of Digital Inform. Eng., Hankuk Univ. of Foreign Studies, Yongin, Korea.

Email: [cssin@hufs.ac.kr](mailto:cssin@hufs.ac.kr)

<sup>5</sup> Faculteit Wiskunde en Informatica, TU Eindhoven, the Netherlands.

WWW: <http://www.win.tue.nl/~awolff>

**Abstract.** In John Tantalo’s on-line game *Planarity* the player is given a non-plane straight-line drawing of a planar graph. The aim is to make the drawing plane as quickly as possible by moving vertices. In this paper we investigate the related problem MINMOVEDVERTICES which asks for the minimum number of vertex moves. First, we show that MINMOVEDVERTICES is NP-hard and hard to approximate. Second, we establish a connection to the graph-drawing problem 1BENDPOINTSEMBEDDABILITY, which yields similar results for that problem. Third, we give bounds for the behavior of MINMOVEDVERTICES on trees and general planar graphs.

## 1 Introduction

It is somewhat surprising that many people still draw graphs by hand, usually not on a piece of paper but on a computer display. Modern technology gives us the means to edit a drawing by dragging vertices. Even when we use an automatic graph-drawing tool, we often do some manual polishing to obtain nicer drawings.

In this paper, we consider the problem of editing a given drawing to obtain another drawing that fulfills a certain criterion. We restrict ourselves to straight-line drawings of planar graphs. Our edit operation is “moving vertices.” When

---

<sup>\*</sup> This work was started on the 9th “Korean” Workshop on Computational Geometry and Geometric Networks organized by A. Wolff and X. Goaoc, July 30–August 4, 2006 in Schloß Dagstuhl, Germany. Further contributions were made at the 2nd Workshop on Graph Drawing and Computational Geometry organized by W. Didimo and G. Liotta, March 11–16, 2007 in Bertinoro, Italy.

<sup>\*\*</sup> Partially supported by Grant-in-Aid for Scientific Research from Ministry of Education, Science and Culture, Japan, and Japan Society for the Promotion of Science.

<sup>\*\*\*</sup> Supported by Research Grant 2007 of the Hankuk University of Foreign Studies.

we move a vertex  $v$  to a new position, the incident edges are redrawn so that  $v$  is again connected to its adjacent vertices by straight-line segments. Our criterion is planarity. According to the famous theorem of Wagner [12], Fáry [2], and Stein [9] every planar graph has a plane straight-line drawing. We want to obtain such an embedding from a given (usually non-plane) straight-line drawing. Our goal is to minimize the number of vertices to move. This is a natural question because the less vertices we move the better the *mental map* [7] of an observer is preserved when making a given drawing plane, e.g., in a step-by-step fashion. Note that for a given straight-line drawing the minimum number of moves can also be seen as the edit distance from the closest plane drawing.

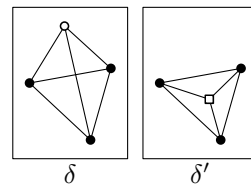
At the 5th Czech-Slovak Symposium on Combinatorics in Prague in 1998, Mamoru Watanabe asked the following question, which concerns a special case of our problem: Is it true that every polygon  $P$  with  $n$  vertices can be untangled, i.e., turned into a non-crossing polygon, by moving at most  $\varepsilon n$  of its vertices for some absolute constant  $\varepsilon < 1$ ? Pach and Tardos [8] have answered this question in the negative by showing that there must be polygons where at most  $O((n \log n)^{2/3})$  of the vertices can be kept fixed. They also gave a simple algorithm (which can be implemented in  $O(n \log n)$  time) that always keeps more than  $\sqrt{n}$  vertices. In a longer version of this paper [3] we show that their algorithm is not optimal. Pach and Tardos [8] in turn asked the following question: can any straight-line drawing of any planar graph with  $n$  vertices be made plane by vertex moves while keeping  $\Omega(n^\gamma)$  vertices fixed for some absolute constant  $\gamma > 0$ ? We still do not know the answer to this question, but we report some progress.

There is a popular on-line game that is related to the problem of Pach and Tardos. In John Tantaló's game *Planarity* [10] the player is given a non-plane straight-line drawing of a planar graph. The player can move vertices, which always keep straight-line connections to their neighbors. The aim is to make the drawing plane as quickly as possible. We study the game from three view points: (a) algorithms, (b) mathematics (upper-bound constructions), and (c) complexity. Our complexity results (detailed below) made us understand why it is so hard to play the game well.

*Formalization.* In this paper, a *drawing* of a graph  $G = (V, E)$  will always mean a straight-line embedding of  $G$  in the plane  $\mathbb{R}^2$ . Since such an embedding is completely defined by the position of the vertices, it corresponds to an injective map  $\delta: V \rightarrow \mathbb{R}^2$ . A drawing is *plane* if no two edges cross, i.e., they are only allowed to intersect in a common endpoint. A graph is planar if it admits a plane drawing; trivially not every drawing of a planar graph is plane.

The *vertex-moving distance*  $d$  between two drawings  $\delta$  and  $\delta'$  of a graph  $G$  is defined as the number of vertices of  $G$  whose images under  $\delta$  and  $\delta'$  differ:

$$d(\delta, \delta') = |\{v \in V \mid \delta(v) \neq \delta'(v)\}|.$$



**Fig. 1:** Two drawings of  $K_4$ :  $\delta$  is not plane,  $\delta'$  is plane;  $d(\delta, \delta') = 1$ .

This distance can easily be computed. Given our edit operation,  $d$  represents the edit distance for straight-line drawings of graphs. Figure 1 shows an example. Using  $d$  we can express the central question of this paper as follows.

How close is a given drawing of a planar graph to being plane with respect to the vertex-moving distance  $d$ ?

For a drawing  $\delta$  of a planar graph  $G$ , denote by  $\text{MMV}(G, \delta)$  the minimum number of vertices that need to be moved in order to make  $\delta$  plane.  $\text{MMV}$  measures distance from planarity:  $\text{MMV}(G, \delta) = \min_{\delta'} d(\delta, \delta')$ , where  $\delta'$  ranges over all plane drawings of  $G$ . This gives rise to the following computational problem.

**MINMOVEDVERTICES**( $G, \delta$ ): Given a drawing  $\delta$  of a planar graph  $G$ , find a plane drawing  $\delta'$  of  $G$  with  $d(\delta, \delta') = \text{MMV}(G, \delta)$ .

Sometimes this question is better studied from the symmetric point of view. Given a drawing  $\delta$  of a graph  $G$ , we denote by  $\text{MKV}(G, \delta)$  the maximum number of vertices that remain fixed when making  $\delta$  plane. We refer to such vertices as *fixed* vertices. Obviously it holds that  $\text{MKV}(G, \delta) = n - \text{MMV}(G, \delta)$ , where  $n$  is the number of vertices of  $G$ .  $\text{MKV}$  measures similarity with the closest plane drawing. The corresponding problem is defined as follows.

**MAXKEPTVERTICES**( $G, \delta$ ): Given a drawing  $\delta$  of a planar graph  $G$ , find a plane drawing  $\delta'$  of  $G$  with  $\text{MKV}(G, \delta)$  fixed vertices.

Let  $\text{MKV}(G) = \min_{\delta \text{ drawing of } G} \text{MKV}(G, \delta)$  denote the maximum number of vertices of  $G$  that can be kept fixed when starting with the worst-possible drawing of  $G$ .

*Our results.* First, we prove that the decision versions of **MAXKEPTVERTICES** and equivalently **MINMOVEDVERTICES** are NP-hard, see Section 2. We also prove that **MINMOVEDVERTICES** is hard to approximate. Namely, for any  $\varepsilon \in (0, 1]$  there is no polynomial-time  $n^{1-\varepsilon}$ -approximation algorithm for **MINMOVEDVERTICES** unless  $\mathcal{P} = \mathcal{NP}$ .

Second, we establish a connection to a well-known graph-drawing problem, namely **1BENDPOINTSEMBEDDABILITY**. Given a planar graph  $G = (V, E)$  with  $n$  vertices we say that a graph is *k-bend (point-set) embeddable* if for any set  $S$  of  $n$  points in the plane there is a one-to-one correspondence between  $V$  and  $S$  such that  $G$  can be *k-bend (point-set) embedded on  $S$* , i.e., the edges of  $G$  can be drawn as non-crossing simple polygonal chains with at most  $k$  bends. Kaufmann and Wiese [4] showed that (a) every 4-connected planar graph is 1-bend embeddable, (b) every planar graph is 2-bend embeddable, and (c) given a planar graph  $G = (V, E)$  and set  $S$  of  $n$  points on a line, it is NP-complete to decide whether there is a correspondence between  $V$  and  $S$  that makes it possible to 1-bend embed  $G$  on  $S$ . We strengthen their result by showing that the problem remains hard even if the correspondence is given. We also show that an optimization version of the problem is hard to approximate.

Third, we give bounds on  $\text{MKV}(G)$  for trees and general planar graphs, see Sections 3 and 4, respectively. Table 1 summarizes the best known results. A

graph class	where	lower bound	upper bound
cycles	Pach & Tardos [8]	$\lceil \sqrt{n} \rceil$	$O((n \log n)^{2/3})$
trees	Section 3	$\lceil \sqrt{n/3} \rceil$	$\lceil n/3 \rceil + 4$ ???
planar graphs	Section 4	3	$2\sqrt{n}$

**Table 1:** Known bounds for  $\text{MKV}(G)$ , where  $n$  is the number of vertices of  $G$ .

lower bound of  $k$  means that we can make any drawing of any graph  $G$  in the given graph class plane while keeping at least  $k$  vertices fixed. An upper bound of  $k$  means that there is an arbitrarily large graph  $G$  in the given graph class and a drawing  $\delta$  of  $G$  such that at most  $k$  vertices can stay fixed when making  $\delta$  plane.

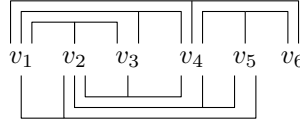
Independently, Verbitsky [11] recently achieved the following results. He considers the function  $\text{MMV}(G) = \max_{\delta \text{ plane drawing of } G} \text{MMV}(G, \delta)$ , to which he refers as the *shift complexity* of a graph. He, too, observes that  $\text{MMV}(G) \leq n - 3$  (i.e.,  $\text{MKV}(G) \geq 3$ ) for planar graphs with  $n \geq 3$  vertices. Further he gives two linear upper bounds on  $\text{MMV}(G)$  depending on the connectivity of  $G$ . By reduction from independent set in line-segment intersection graphs he shows that computing  $\text{MMV}(G, \delta)$  is NP-hard.

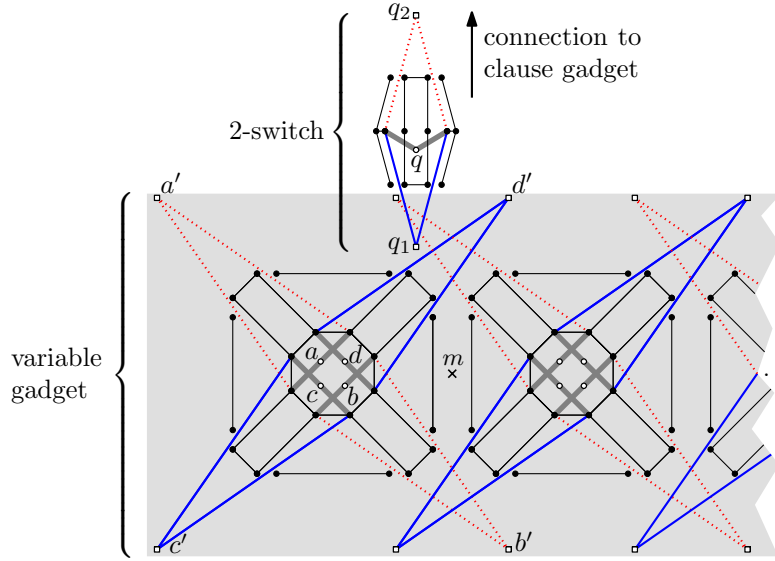
## 2 Complexity

In this section, we investigate the complexity of `MINMOVEVERTICES` and of `1BENDPOINTSEMBEDDABILITY` with given vertex–point correspondence.

**Theorem 1.** *Given a planar graph  $G$ , a drawing  $\delta$  of  $G$ , and an integer  $K > 0$ , it is NP-hard to decide whether  $\text{MMV}(G, \delta) \leq K$ .*

*Proof.* Our proof is by reduction from `PLANAR3SAT`, which is known to be NP-hard [6]. An instance of `PLANAR3SAT` is a 3SAT formula  $\varphi$  whose variable–clause graph is planar. Note that that graph can be laid out (in polynomial time) such that variables correspond to points on the  $x$ -axis and clauses correspond to non-crossing three-legged “combs” above or below the  $x$ -axis [5], see Fig. 2. Let  $v$  and  $c$  be the numbers of variables and clauses of  $\varphi$ , respectively. We now construct a graph  $G_\varphi$  with a straight-line drawing  $\delta_\varphi$  such that the following holds:  $\delta_\varphi$  can be made plane by moving at most  $K$  vertices if and only if  $\varphi$  is satisfiable. We fix  $K$  later.

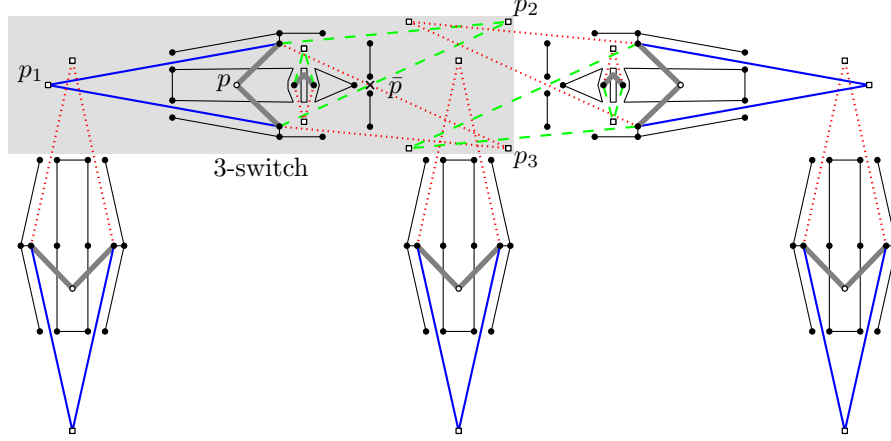
**Fig. 2:** Embedding of a planar 3-SAT formula.



**Fig. 3:** Edge positions in variable gadget: immobile (thin solid black) and mobile (very thick solid gray). The predestined positions of mobile edges either correspond to *true* (thick solid blue) or to *false* (thick dotted red).

Our graph  $G_\varphi$  consists of two types of substructures (or *gadgets*), modeling the variables and clauses of  $\varphi$ . In our gadgets, see Figs. 3 and 4, there are two types of vertices and edges; those that *may* move and those that are *meant* not to move. We refer to the two types as *mobile* and *immobile*. If  $\varphi$  has a satisfying truth assignment, *all* immobile (and a few mobile) vertices are fixed, otherwise at least one immobile vertex must move. In the figures, immobile vertices are marked by black disks, mobile vertices by circles, and their predestined positions by little squares. Immobile edges are drawn as thin solid black line segments, mobile edges as very thick solid gray line segments, and their predestined positions are drawn as thick colored line segments.

Now consider the gadget for some variable  $x$  in  $\varphi$ , see the shaded area in Fig. 3. The gadget consists of a horizontal chain of a certain number of roughly square *blocks*. Each block consists of 28 vertices and 32 edges. Each block has four mobile vertices, each incident to two very thick gray edges. In Fig. 3 the four mobile vertices of the leftmost block are labeled in clockwise order  $a$ ,  $d$ ,  $b$ , and  $c$ . Note that the gray edges incident to  $a$  and  $b$  intersect those incident to  $c$  and  $d$ . Thus either both  $a$  and  $b$  or both  $c$  and  $d$  must move to make the block plane. Each mobile vertex  $w \in \{a, b, c, d\}$  can move into exactly one position  $w'$  (up to wiggling). The resulting incident edges are drawn by thick dotted red and thick solid blue line segments, respectively. Note that neighboring blocks in the chain are placed such that the only way to make them plane simultaneously is to move *corresponding* pairs of vertices and edges. Thus either all blocks of



**Fig. 4:** A clause gadget consists of three big 2-switches and two 3-switches. Each 3-switch contains another small 2-switch. Note that not all immobile vertices are marked.

a variable gadget use the blue line segments or all use the red line segments. These two ways to make a variable gadget plane correspond to the values *true* and *false* of the variable, respectively.

For each of the  $3c$  literals in  $\varphi$  we connect the gadget of the corresponding variable to the gadget of the clause that contains the literal. Each block of each variable gadget is connected to a specific clause gadget above or below the variable gadget, thus there are  $3c$  blocks in total. Each connection is realized by a part of  $G_\varphi$  that we call a *2-switch*. A 2-switch consists of 15 vertices and 14 edges. The mobile vertex  $q$  of the 2-switch in Fig. 3 is incident to two very thick gray edges that intersect two immobile edges of the 2-switch. Thus  $q$  must move. There are (up to wiggling) two possible positions, namely  $q_1$  and  $q_2$ , see Fig. 3.

The 2-switch in Fig. 3 corresponds to a positive literal. For negated literals the switch must be mirrored either at the vertical or at the horizontal line that runs through the point  $m$ . Note that a switch can be stretched vertically in order to reach the right clause gadget. Further note that if a literal is *false*, the mobile vertex of the corresponding 2-switch must move away from the variable gadget and towards the clause gadget to which the 2-switch belongs. In that case we say that the 2-switch *transmits pressure*.

A clause gadget consists of three vertical 2-switches and two horizontal 3-switches. A 3-switch consists of 23 vertices and 18 edges plus a small “inner” 2-switch, see the shaded area in Fig. 4. Independently from the other, each of the two 3-switches can be stretched horizontally in order to reach vertically above the variable gadget to which it connects via a 2-switch. The mobile vertex  $p$  of the left 3-switch in Fig. 4 is incident to two very thick gray edges that intersect two immobile edges of the 3-switch. Thus  $p$  must move. There are (again up to wiggling) three possible positions, namely  $p_1$ ,  $p_2$ , and  $p_3$ . Note that we need the inner 2-switch, otherwise there would be a forth undesired position for moving

$p$ , namely the one labeled  $\bar{p}$  in Fig. 4. By construction a clause gadget can be made plane by only moving the mobile vertices of all switches if and only if at most two of the three big 2-switches transmit pressure, i.e., if at least one of the literals in the clause is *true*.

The graph  $G_\varphi$  that we have now constructed has  $O(c)$  vertices,  $O(c)$  edges, and  $X = 26c$  crossings;  $4.3c$  in blocks and  $2.7c$  in switches. By moving any mobile vertex to any of its predestined positions, a pair of original crossings disappears. If  $\varphi$  is satisfiable,  $G_\varphi$  can be made plane by moving  $K = X/2$  mobile vertices since no new crossings are introduced. If  $\varphi$  is not satisfiable, there is at least one pair of crossings that cannot be eliminated by moving the corresponding mobile vertex alone since all its predestined positions are blocked. Thus at least *two* vertices must be moved to eliminate that pair of crossings—and still all the other  $K - 1$  pairs of crossings must be eliminated by moving at least one vertex per pair, totaling in at least  $K + 1$  moves. Thus  $\varphi$  is satisfiable if and only if  $G_\varphi$  can be made plane by moving exactly  $K$  (mobile) vertices.

Since there is enough slack in our construction, it is possible to place vertices at integer coordinates whose total length is polynomial in the length  $L$  of a binary encoding of  $\varphi$ . This and the linear size of  $G_\varphi$  yield that our reduction is polynomial in  $L$ .  $\square$

We now consider the approximability of MINMOVEDVERTICES. Since  $\text{MMV}(G, \delta) = 0$  for plane drawings, we cannot use the usual definition of an approximation factor unless we slightly modify our objective function. Let  $\text{MMV}'(G, \delta) = \text{MMV}(G, \delta) + 1$  and call the resulting decision problem MINMOVEDVERTICES'. Now we can modify the above reduction to get a non-approximability result.

**Theorem 2.** *For any fixed real  $\varepsilon \in (0, 1]$  there is no polynomial-time  $n^{1-\varepsilon}$ -approximation algorithm for MINMOVEDVERTICES' unless  $\mathcal{P} = \mathcal{NP}$ .*

*Proof.* Let  $n_\varphi$  be the number of vertices of the graph  $G_\varphi$  with drawing  $\delta_\varphi$  that we constructed above. We go through all immobile vertices  $v$  of  $G_\varphi$ . Let  $N_v$  be the neighborhood of  $v$ . We replace  $v$  by a star with central vertex  $v$  adjacent to the vertices in  $N_v$  and  $n_\varphi^{(3-\varepsilon)/\varepsilon}$  additional new vertices infinitesimally close to  $v$ . Let  $G$  be the resulting graph,  $\delta$  its drawing, and  $n \leq (n_\varphi^{(3-\varepsilon)/\varepsilon} + 1) \cdot n_\varphi$  the number of vertices of  $G$ . Note that  $\varphi$  is satisfiable if and only if  $\text{MMV}'(G, \delta) = \text{MMV}'(G_\varphi, \delta_\varphi) = K + 1$ . Otherwise, additionally at least one complete star has to be moved, i.e.,  $\text{MMV}'(G, \delta) \geq K + n_\varphi^{(3-\varepsilon)/\varepsilon} + 2$ . Note that  $G$  can be constructed in polynomial time since  $\varepsilon$  is fixed.

Now suppose there was a polynomial-time  $n^{1-\varepsilon}$ -approximation algorithm  $\mathcal{A}$  for MINMOVEDVERTICES'. We can bound its approximation factor by  $n^{1-\varepsilon} \leq ((n_\varphi^{(3-\varepsilon)/\varepsilon} + 1) \cdot n_\varphi)^{1-\varepsilon} \leq (2n_\varphi^{(3-\varepsilon)/\varepsilon} \cdot n_\varphi)^{1-\varepsilon} = 2^{1-\varepsilon} n_\varphi^{(3-3\varepsilon)/\varepsilon} \leq 2n_\varphi^{(3-3\varepsilon)/\varepsilon}$ . Now let  $M$  be the number of moves that  $\mathcal{A}$  needs to make  $\delta$  plane. If  $\varphi$  is satisfiable, then  $M \leq \text{MMV}'(G, \delta) \cdot n^{1-\varepsilon} = (K + 1) \cdot n^{1-\varepsilon} \leq (n_\varphi + 1) \cdot 2n_\varphi^{(3-3\varepsilon)/\varepsilon} = 2n_\varphi^{(3-2\varepsilon)/\varepsilon} + O(n_\varphi^{(3-3\varepsilon)/\varepsilon})$ . On the other hand, if  $\varphi$  is unsatisfiable, then  $M \geq \text{MMV}'(G, \delta) \geq n_\varphi^{(3-\varepsilon)/\varepsilon}$ . Since we can assume that  $n_\varphi$  is sufficiently large, the



result of algorithm  $\mathcal{A}$  (i.e., the number  $M$ ) tells us whether  $\varphi$  is satisfiable. So either our assumption concerning the existence of  $\mathcal{A}$  is wrong, or we have shown the NP-hard problem PLANAR3SAT to lie in  $\mathcal{P}$ , which in turn would mean that  $\mathcal{P} = \mathcal{NP}$ .  $\square$

We now state a hardness result that establishes a connection between MINMOVEVERTICES and the well-known graph-drawing problem 1BEND-POINTSEMBEDDABILITY. The proof uses nearly the same gadgets as in the proof of Theorem 1: Set  $G'_\varphi$  to a copy of  $G_\varphi$  where each length-2 path  $(u, v, w)$  containing a mobile vertex  $v$  is replaced by the edge  $\{u, w\}$ . The vertices of  $G'_\varphi$  are mapped to the corresponding vertices in  $\delta_\varphi$ . Then it is not hard to see that  $G'_\varphi$  has a 1-bend drawing iff the given planar-3SAT formula  $\varphi$  is satisfiable.

**Theorem 3.** *Given a planar graph  $G = (V, E)$  with  $V \subset \mathbb{R}^2$ , it is NP-hard to decide whether  $G$  has a plane drawing with at most one bend per edge.*

Now suppose we already know that  $G$  has a plane drawing with at most one bend per edge. Then it is natural to ask for a drawing with as few bends as possible. Let  $\beta(G)$  be 1 plus the minimum number of bends over all plane one-bend drawings of  $G$ . Then we can show the following hardness-of-approximation result concerning bend minimization.

**Corollary 1.** *Given a fixed  $\varepsilon \in (0, 1]$  and a graph  $G = (V, E)$  with  $V \subset \mathbb{R}^2$  that has a plane one-bend drawing, it is NP-hard to approximate  $\beta(G)$  within a factor of  $n^{1-\varepsilon}$ .*

For the proof we slightly change the clause gadget in the proof of Theorem 1, see Figure 5. For the calculations, see the proof of Theorem 2.

### 3 Trees

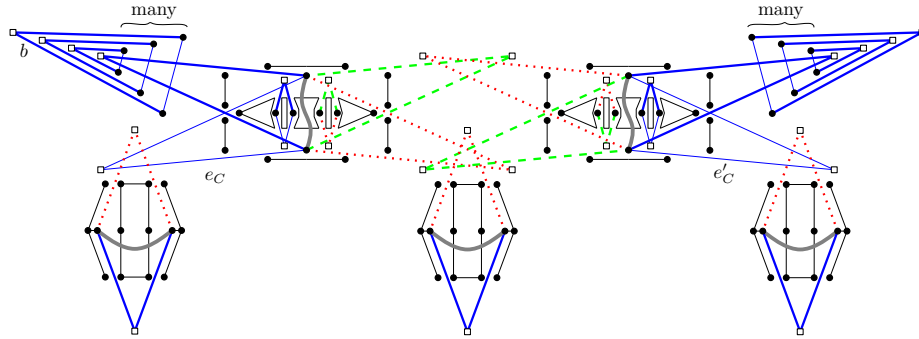
In this section we give a lower bound on MKV for trees. We use the following well-known theorem.

**Theorem 4 (Erdős and Szekeres [1]).** *Let  $A = (a_1, \dots, a_n)$  be a sequence of  $n$  different real numbers. If  $n \geq sr + 1$  then  $A$  has an increasing subsequence of  $s + 1$  terms or a decreasing subsequence of  $r + 1$  terms.*

In particular, this theorem implies that a sequence of  $n$  distinct integers always contains a monotone subsequence of length at least  $\sqrt{n-1} + 1 \geq \lceil \sqrt{n} \rceil$ .

**Theorem 5.** *For any drawing  $\delta$  of an  $n$ -vertex tree  $T$ ,  $\text{MKV}(T, \delta) \geq \lceil \sqrt{n/3} \rceil$ .*

*Proof.* We pick an arbitrary root  $r$  of  $T$ . Let  $h \geq 0$  be the height of  $T$  with respect to  $r$ . For  $i = 0, \dots, h$  let level  $\ell_i$  be the set of vertices of  $T$  that are at tree distance  $i$  from  $r$ . For  $j \in \{0, 1, 2\}$  let  $L_j$  be the union of all  $\ell_i$  with  $i \equiv j \pmod{3}$ . According to the pigeon-hole principle at least one of the three sets, say  $L_0$ , contains at least  $n/3$  vertices. We label the vertices of  $L_0$  with the integers



**Fig. 5:** Gadget of clause  $C$  for the non-approximability proof concerning the number of edges with one bend. The edges  $e_C$  and  $e'_C$  can now be drawn in *four* combinatorially different ways (thin solid blue vs. thick solid blue vs. dotted red vs. dashed green). This makes sure that there always is a drawing with at most one bend per edge. However, if the given planar 3SAT formula  $\varphi$  has no satisfying truth assignment, then for every truth assignment there is a clause that evaluates to *false*, and in the corresponding gadget a large number of edges of type  $b$  needs a bend.

from 0 to  $|L_0| - 1$  such that (i) all vertices in the same level are consecutive in alternating directions, i.e., from left to right for every even-numbered level in  $L_0$  and from right to left for every odd-numbered level in  $L_0$ , and (ii) a level closer to the root gets smaller labels, see Fig. 6.

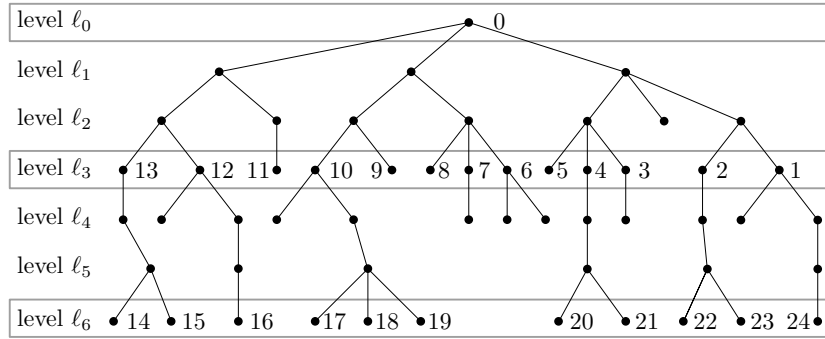
Let  $\ell$  be a line such that the projection  $\pi$  orthogonal to  $\ell$  does not map any two vertices of the given drawing  $\delta$  to the same point. The image of  $\pi$  induces an ordering of the vertices in  $L_0$ . By the Theorem 4, this ordering contains a monotone subsequence  $F_0 \subset L_0$  of at least  $\lceil \sqrt{n/3} \rceil$  vertices.

We now make  $\delta$  plane while fixing the vertices in  $F_0$ . Consider the partition of  $F_0$  into subsets  $f_0, f_3, f_6, \dots$  induced by the levels in  $L_0$ . We draw  $\sqcap$ -shaped and  $\sqcup$ -shaped corridors between two consecutive subsets  $f_i$  and  $f_{i+3}$ , alternatingly above and below  $\delta$ , see Fig. 7. No two such corridors intersect since consecutive levels of  $L_0$  and thus consecutive subsets of  $F_0$  are ordered in alternating direction. It remains to move the vertices of  $T \setminus F_0$ . Vertices in  $L_1 \cup L_2$  go to positions near the bends of the corridors (see levels  $\ell_1$  and  $\ell_2$  in Fig. 7); those in  $L_0 \setminus F_0$  can easily be placed at appropriate positions.  $\square$

## 4 Planar graphs

We now give bounds for the case of general planar graphs. We start with a rather trivial lower bound.

**Theorem 6.** *If  $\delta$  is a drawing of a planar graph  $G$  with  $n \geq 3$  vertices then  $\text{MKV}(G, \delta) \geq 3$ .*



**Fig. 6:** The ordering of vertices on  $L_0$ .

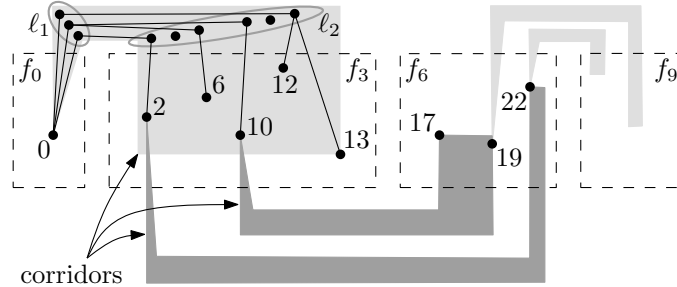
*Proof.* Any planar graph admits a plane drawing  $\delta_1$  in which no three points are collinear and a plane drawing  $\delta_2$  in which some triplet of points is collinear. If there are three vertices  $v_1, v_2$ , and  $v_3$  whose images under  $\delta$  are not collinear, we can find an affine transform  $L$  that maps  $\delta_1(v_i)$  to  $\delta(v_i)$ . Since  $L \circ \delta_1$  is a plane drawing of  $G$  that agrees with  $\delta$  on  $\{v_1, v_2, v_3\}$  it follows that  $\text{MKV}(G, \delta) \geq 3$ . If the images of all vertices are aligned under  $\delta$ , we apply the same argument with  $\delta_2$ .  $\square$

We now give an upper bound for general planar graphs that is better than the upper bound  $O((n \log n)^{2/3})$  of Pach and Tardos [8] for cycles. Our construction uses the sequence  $\sigma_q =$

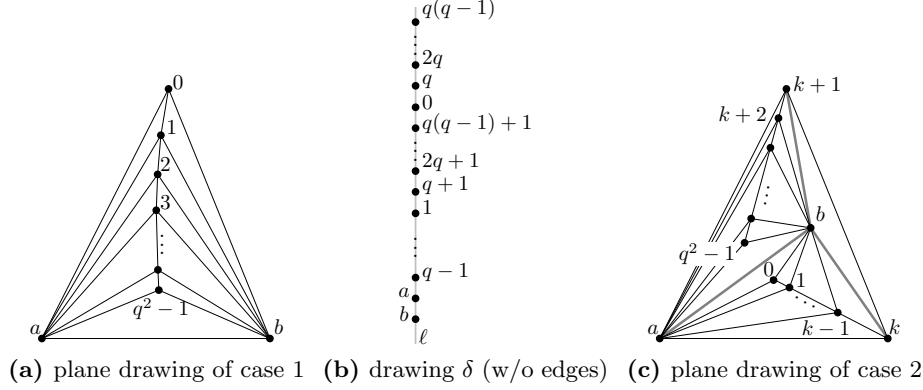
$$((q-1)q, (q-2)q, \dots, 2q, q, 0, 1+(q-1)q, \dots, 1+q, 1, \dots, q^2-1, \dots, (q-1)+q, q-1).$$

Note that  $\sigma_q$  can be written as  $(\sigma_q^0, \sigma_q^1, \dots, \sigma_q^{q-1})$ , where  $\sigma_q^i = ((q-1)q + i, (q-2)q + i, \dots, 2q + i, q + i, i)$  is a subsequence of length  $q$ . Thus  $\sigma_q$  consists of  $q^2$  distinct numbers. Note that the longest monotone subsequence of  $\sigma_q$  has length  $q$ .

**Theorem 7.** *For any integer  $n_0$  there exists a planar graph  $G$  with  $n \geq n_0$  vertices and a drawing  $\delta$  of  $G$  such that  $\text{MKV}(G, \delta) \leq 2\sqrt{n}$ .*



**Fig. 7:** Corridors to connect the vertices in  $F_0$ .



**Fig. 8:** Drawings of graph  $G_q$  (proof of Theorem 7).

*Proof.* For  $q \geq 1$  we define the graph  $G_q$  as a chain of  $q^2$  vertices all connected to the two endpoints of an edge  $\{a, b\}$ , see Fig. 8a. Let  $\delta$  be the drawing of  $G_q$  where the vertices forming the chain are placed on a vertical line  $\ell$  in the order given by  $\sigma_q$ . We place the vertices  $a$  and  $b$  below the others on  $\ell$ , see Fig. 8b. Let  $\delta'$  be a plane drawing of  $G_q$  with  $\text{MKV}(G, \delta) = n - d(\delta, \delta')$ . Since all faces of  $G_q$  are 3-cycles, the outer face in  $\delta'$  is a triangle. All faces of  $G_q$  contain  $a$  or  $b$ . This has two consequences. First,  $a$  and  $b$  must move to new positions in  $\delta'$ , otherwise all other vertices would have to move. Second, at least one of them, say  $a$ , appears on the outer face.

*Case 1:* Vertex  $b$  also lies on the outer face.

Then there are just two possibilities for the embedding of  $G_q$ : as in Fig. 8a or with the indices of all vertices reversed, i.e., vertex  $i$  becomes  $q^2 - i - 1$ . Now let  $0 \leq i < j < k \leq q^2 - 1$  be three fixed vertices. By symmetry we can assume that  $j$  lies in  $\Delta(a, b, i)$ . Then  $k$  also lies in  $\Delta(a, b, i)$  since the chain connecting  $j$  to  $k$  does not intersect the sides of this triangle. Note that  $k$  cannot lie between  $i$  and  $j$  on  $\ell$  as otherwise one of the edges  $\{a, k\}$  and  $\{b, k\}$  would intersect the polygonal chain connecting  $i$  to  $j$ . Thus, each triplet of fixed vertices forms a monotone sequence along  $\ell$ . This in turn yields that *all* fixed vertices in  $\{0, \dots, q^2 - 1\}$  form a monotone sequence along  $\ell$ . Due to the construction of  $\sigma_q$  such a sequence has length at most  $q = \lfloor \sqrt{n-2} \rfloor$ .

*Case 2:* Vertex  $b$  does not lie on the outer face.

Then the outer face is of the form  $\Delta(a, k, k+1)$  with  $0 \leq k \leq q^2 - 2$ . The three edges  $\{b, a\}$ ,  $\{b, k\}$ , and  $\{b, k+1\}$  incident to  $b$  split  $\Delta(a, k, k+1)$  into the three triangles  $\Delta(a, k, b)$ ,  $\Delta(a, b, k+1)$ , and  $\Delta(b, k, k+1)$ , see Fig. 8c. Every vertex of  $\delta'$  lies in one of them. Since  $\delta'$  is plane, vertex  $k-1$  must belong to  $\Delta(a, k, b)$  and, by induction, so do all vertices  $i \leq k$ ; similarly, all vertices  $i \geq k+1$  lie in  $\Delta(a, b, k+1)$ . We can thus apply the argument of case 1 to each of the two

subgraphs contained in  $\Delta(a, b, k)$  and  $\Delta(a, b, k + 1)$ . Thus at most  $2\sqrt{n}$  vertices are fixed.

To summarize, case 2 yields a larger number of potentially fixed vertices and thus  $\text{MKV}(G_q, \delta) \leq 2\sqrt{n}$ .  $\square$

*Remark 1.* The proof of Theorem 6 actually yields a linear-time algorithm to make a drawing of a planar graph plane while keeping three vertices fixed. The drawing  $\delta$  in the proof of Theorem 7 can be slightly perturbed so that no three vertices are aligned.

## 5 Conclusion

Inspired by John Tantaló's on-line game *Planarity* we have introduced a new and apparently simple graph-drawing problem, which turned out to be rather difficult. There are many open questions. On the computational side, we showed inapproximability for `MINMOVEDVERTICES`. However, this does not imply anything for the approximability of `MAXKEPTVERTICES`, which remains open. Is either problem in  $\mathcal{NP}$ ? What about parameterized complexity?

On the combinatorial side, there are large gaps to be filled and other classes of planar graphs to be studied.

## References

1. P. Erdős and G. Szekeres. A combinatorial problem in geometry. *Compos. Math.*, 2:463–470, 1935.
2. I. Fáry. On straight-line representation of planar graphs. *Acta Sci. Math. (Szeged)*, 11:229–233, 1948.
3. X. Goaoc, J. Kratochvíl, Y. Okamoto, C.-S. Shin, and A. Wolff. Moving vertices to make drawings plane, June 2007. Available at <http://arxiv.org/abs/0706.1002>.
4. M. Kaufmann and R. Wiese. Embedding vertices at points: Few bends suffice for planar graphs. *J. Graph Algorithms Appl.*, 6(1):115–129, 2002.
5. D. E. Knuth and A. Raghunathan. The problem of compatible representatives. *SIAM J. Discr. Math.*, 5(3):422–427, 1992.
6. D. Lichtenstein. Planar formulae and their uses. *SIAM J. Comput.*, 11(2):329–343, 1982.
7. K. Misue, P. Eades, W. Lai, and K. Sugiyama. Layout adjustment and the mental map. *J. Visual Languages and Computing*, 6(2):183–210, June 1995.
8. J. Pach and G. Tardos. Untangling a polygon. *Discrete Comput. Geom.*, 28(4):585–592, 2002.
9. S. K. Stein. Convex maps. *Proc. Amer. Math. Soc.*, 2:464–466, 1951.
10. J. Tantaló. Planarity. Web site at <http://planarity.net/>, accessed May 21, 2007.
11. O. Verbitsky. On the obfuscation complexity of planar graphs, May & June 2007. Available at <http://arxiv.org/abs/0705.3748>.
12. K. Wagner. Bemerkungen zum Vierfarbenproblem. *Jahresbericht Deutsch. Math.-Verein.*, 46:26–32, 1936.