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# Optimal Buffer Management Policies for Delay Tolerant Networks

Amir Krifa<sup>\*†</sup>, Chadi Barakat<sup>†</sup>, Thrasylvoulos Spyropoulos<sup>†‡</sup>

<sup>†</sup>Project-Team Planète, INRIA Sophia-Antipolis, France

<sup>\*</sup>National School of Computer Sciences (ENSI), Tunisia

<sup>‡</sup>Swiss Federal Institute of Technology (ETH), Zurich, Switzerland

Emails: {Amir.Krifa, Chadi.Barakat, }@sophia.inria.fr, spyropoulos@tik.ee.ethz.ch

**Abstract**—Delay Tolerant Networks are wireless networks where disconnections may occur frequently due to propagation phenomena, node mobility, and power outages. Propagation delays may also be long due to the operational environment (e.g. deep space, underwater). In order to achieve data delivery in such challenging networking environments, researchers have proposed the use of *store-carry-and-forward* protocols: there, a node may store a message in its buffer and carry it along for long periods of time, until an appropriate forwarding opportunity arises. Additionally, multiple message replicas are often propagated to increase delivery probability. This combination of long-term storage and replication imposes a high storage overhead on untethered nodes (e.g. handhelds). Thus, efficient buffer management policies are necessary to decide which messages should be discarded, when node buffers are operated close to their capacity.

In this paper, we propose efficient buffer management policies for delay tolerant networks. We show that traditional buffer management drop policies like *drop-tail* or *drop-front* fail to consider all relevant information in this context and are, thus, sub-optimal. Using the theory of encounter-based message dissemination, we propose an optimal buffer management policy based on global knowledge about the network. Our policy can be tuned either to minimize the average delivery delay or to maximize the average delivery ratio. Finally, we introduce a distributed algorithm that uses statistical learning to approximate the required global knowledge of the optimal algorithm, in practice. Using simulations based on a synthetic mobility model and real mobility traces, we show that our buffer management policy based on statistical learning successfully approximates the performance of the optimal policy in all considered scenarios. At the same time, our policies outperform existing ones in terms of both average delivery ratio and delivery delay.

## I. INTRODUCTION

The traditional view of a network as a connected graph over which end-to-end paths need to be established might not be appropriate for modeling existing and emerging wireless networks. Due to wireless propagation phenomena, node mobility, low power nodes periodically shutting down and waking up, etc, connectivity in many wireless networks is, more often than not, intermittent. Despite this limited or episodic connectivity, many emerging wireless applications could still be supported. Some examples are the low-cost Internet provision in remote or developing communities [1], [2], vehicular networks (VANETs) for dissemination of location-dependent information (e.g. local ads, traffic reports, parking information, etc) [3], *pocket-switched* wireless networks to extend and

sometimes bypass access point connectivity to the Internet [4], [5], [6], tactical networks operating in an intermittent fashion for LPI/LPD reasons (low probability of interception and low probability of detection) [7], underwater networks [8], etc.

To enable some services to operate even under these challenging conditions, researchers have proposed a new networking paradigm, often referred to as Delay Tolerant Networking (DTN [9]). To route messages in DTNs, *Store-carry-and-forward* protocols are proposed, where a node may store a message in its buffer and carry it along for long periods of time, until it can forward it further. This routing may happen randomly, be based on statistical information [10], or even other relevant information about the destination (e.g. social links, affiliation, etc.). Furthermore, due to the inherent uncertainty caused by the lack of complete (or any) information about other nodes in the network, many replicas of the same message may be propagated to increase probability of delivery. As one example, one of the first and most popular routing protocols in this context, namely *Epidemic* routing [11], disseminates a message replica to *every* node in the network.

Although a large amount of effort has been invested in the design of efficient routing algorithms for DTNs, there has not been a similar focus on buffer management policies. Yet, the combination of long-term storage and the, often extensive, message replication performed by many DTN routing protocols [11], [10] imposes a high storage overhead on wireless nodes (e.g. small handhelds, sensors, etc.). Moreover, the data units disseminated in this context, called *bundles*, are self-contained, atomic application-level data units, which can often be large [9]. It is evident that, in this context, node buffers will very likely run out of capacity and, thus, efficient buffer management policies are necessary to decide which message(s) should be discarded when a node's buffer is full.

It has been demonstrated that buffer constraints can severely affect the relative and absolute performance of DTN routing schemes and consequently applications. For example, a number of studies have clearly shown that *Epidemic* routing has minimum delivery delay under no buffer constraints (and no bandwidth constraints), but performs poorly when buffer sizes are limited [12], [13]. However, it is less clear what the right buffer management policy is, in this context. For example, the simple *drop-tail* policy, used in many networks, has been

shown to perform poorly in the DTN context [13]. Although some improvement can be achieved, for example, using other policies like *drop-front* [13], existing policies fail to take into account the intrinsic characteristics and requirements of delay tolerant networking and store-carry-and-forward routing. For example, one of our results in this paper is that the choice of the best message to drop is strongly dependent on the number of copies in the network of the different messages existing in the DTN node's buffer. None of the existing policies takes this network-wide statistics into account.

In this paper, we try to solve this problem in its foundation. We develop a theoretical framework based on *Epidemic* message dissemination [14], [15], [16] that takes into account all information that are relevant for encounter-based (or store-carry-and-forward) message delivery. Based on this theory, we first propose an optimal buffer management policy. This policy uses global information about the network either to maximize the average delivery ratio or to minimize the average delivery delay. Then, we propose a distributed (local) algorithm that uses statistical learning in order to estimate information about the global status of the network, and uses this to approximate the optimal algorithm in practice. Finally, to evaluate the performance of the optimal and our statistical learning algorithm against other buffer management policies, we have implemented a DTN framework including all policies in the network simulator NS-2 [17]. We have performed simulations for both the Random Waypoint model and two real-world mobility traces, the ZebraNet trace [18] and the San Francisco's Yellow Cab taxi trace [19]. Simulation results show that our statistical learning algorithm outperforms other policies achieving close-to-optimal performance, in all considered scenarios. As a final note, our study is conducted in the context of the *Epidemic* routing scheme [11] due to its simplicity, popularity, and high buffer overhead. Nevertheless, our policies can be applied to other multiple copy routing schemes, as well.

The rest of this paper is organized as follow. Section II describes the framework for our study which includes a general forwarding strategy for which we apply our drop policies and a set of other buffer management policies already proposed in previous works [10] [20], against which we compare ours. In Section III, we establish theoretically an optimal, "reference" buffer management policy that uses global knowledge about the network. Then, we present in Section IV a learning process that enables us to approximate the global network state required by the reference policy. Section V describes the experimental setup and the results of our performance evaluation. Finally, we summarize our conclusions and discuss future work in Section VI.

## II. DELAY-TOLERANT ROUTING AND BUFFER MANAGEMENT POLICIES

In this section, we briefly introduce the basic DTN routing mechanism that we will use throughout the paper, we describe some existing drop policies that we'll compare our policies to, and go over some related work.

### A. DTN routing

In the DTN context, when nodes encounter each other they perform pair-wise exchanges of messages with the goal that each message will *eventually* be delivered to its destination. An index of all messages carried by a node, called *summary vector*, is kept by each node, and when two nodes meet, they exchange summary vectors [11]. After this exchange, each node can determine if the peer node has any messages other than the ones stored locally, and based on this it can decide which messages among them to forward to (or to request from) its peer. When no other node is currently within communication range, messages are buffered.

One of the simplest routing protocols that one could implement based on the above mechanism is the *Epidemic* routing protocol proposed in [11]. This protocol relies on the theory of *Epidemic* algorithms, where two nodes always exchange all messages they don't have in common when they encounter each other. Thus, if there is enough buffer space, messages will spread like an "epidemic" through the network, with every node eventually receiving (a copy of) the message<sup>1</sup>. As a result, *Epidemic* routing uses the maximum amount of resources and causes the highest amount of congestion.

More recently, a number of routing protocols have been proposed, e.g. Spray and Wait [21], Prophet [10], and others, that aim at reducing the overhead of Epidemic routing. We have chosen here to base our study on *Epidemic* routing due to its simplicity, its high buffer requirements, and the fact that it achieves minimum delay if storage is infinite. However, our policies described in Sections III and IV apply to other multiple copy schemes, as well.

### B. Buffer management policies

A buffer management policy defines which message to drop if the buffer of a DTN node is full when a new message has to be accommodated. Each message  $i$  in the buffer ( $B$  messages in total) has a set of information stored with it,  $S_i$ : the source id, the time since the message was generated, the Time-To-Live (*TTL*), etc. In the DTN architecture [22], the *TTL* value is a timeout value, which specifies when a message is no longer useful and should be deleted. Let now a new message arrive at a buffer that is full. Then, usually, a buffer management policy is a function  $f(S_1, S_2, \dots, S_B, S_{new}) = j \in \{[1, B] \cup \{new\}\}$ . That is, based on the information of all messages in the buffer, it decides on a message to drop among the ones already in the buffer and the new one.

In order to evaluate the performance of our proposed buffer management policies, we have chosen to compare them with a set of existing policies that have been used in related work [23]. This set includes: (i) *DL-Drop Last* (or "Droptail"), the most common used among the set, it simply removes the new received message, (ii) *DF-Drop Front*, it handles the

<sup>1</sup>Finite bandwidth and unexpected interruptions may not allow a node to transmit all the messages it would like to forward. In such cases, the order in which messages are transmitted is important. In this work, we will assume that enough bandwidth is available for each contact, and thus buffer space is the only constrain on performance.

queue in a FIFO order. The message that was first entered into the queue is the first message to be dropped when the buffer is full, (iii) *DO-Drop Oldest*, the message with the shortest remaining life time (closest to TTL expiring) is the first to be dropped, and (iv) *DY-Drop Youngest*, the message with the largest remaining life time is the first to be dropped.

As an additional optimization, we assume that in order to make space for the new received messages a node should not discard one of its own messages (source messages). If all buffered messages are source ones, and the arriving message is also a source message, then we choose to delete the oldest one. Relay messages arriving to a buffer full of source messages are not accepted. The idea of giving priority to source messages has been proposed in [20] and was shown to improve the average delivery ratio.

### C. Related work

Several solutions have been proposed to handle routing in DTN. Yet, an important issue that has been largely disregarded by the DTN community is the impact of buffer management policies on the performance of the system. In [20], Zhang et al. present an analysis of buffer-constrained *Epidemic* routing, and evaluate some of the simple drop policies previously described. The authors conclude that DF outperforms DL in terms of both delivery delay and delivery ratio. Additionally, giving priority to source messages improves the delivery ratio further, but makes messages spread slower, increasing their delay. In [23], Lindgren et al. evaluate a somewhat more extensive set of combinations of existing buffer management policies and routing protocols for DTNs. They show that Probabilistic routing [10] together with the the right buffer management policy can result in performance improvements in terms of message delivery, overhead, and end-to-end delay. Specifically, in the context of *Epidemic* routing, the authors found that DF (with priority to source messages) gives the highest delivery ratio, while DO gives the smallest end-to-end delay. Our own results supports these findings.

Nevertheless, all the drop policies discussed thus far fail to consider some important information that significantly affects DTN performance, such as the number of replicas already created for a message. To our best knowledge, this is the first work to propose an optimal buffer management policy based on global knowledge about the network, as well as a distributed algorithm that uses statistical learning to approximate the optimal policy.

## III. OPTIMAL BUFFER MANAGEMENT POLICY

In this section, we formalize the problem of choosing which message to discard when a node's buffer is full. We first make some assumptions regarding the routing protocol in hand and some generic mobility characteristics of the nodes. Then, we embark on finding theoretically the optimal buffer management policy, *GBD* (*Global Knowledge based Drop*), based on global knowledge about the network state. As global knowledge is required, *GBD* is difficult to be implemented, thus, it will serve as a point of reference. In Section IV, we

will show how to design a *local* buffer management policy that uses learning methods to estimate the global information about the network assumed by *GBD*, and can approximate the performance of the optimal policy in practice.

### A. Problem description (assumptions)

We will assume there are  $L$  total nodes in the network. Each of these nodes has a buffer, in which it can store up to  $B$  messages in transit, either messages belonging to other nodes or messages generated by itself. Each message is destined to one of the nodes in the network, and has a Time-To-Live (*TTL*) value. After this timer expires the message is no more useful to the application and should just be dropped by its source and all intermediate nodes.

In the context of DTNs, message transmissions occur only when nodes encounter each other. The minimum time a node has to wait until it can forward a message further, is the time until it encounters another node which can act as a relay. Thus, *the time elapsed between node meetings is the basic delay component*. This also implies that changes in the nodes' buffers also occur only during node encounters<sup>2</sup>. The inter-encounter time between nodes depends on the value of a particular property of the mobility model assumed, namely *the meeting time* [24], [25]<sup>3</sup>.

**Definition III.1. Meeting Time:** Let nodes  $i$  and  $j$  move according to some mobility process and let them start from their stationary distribution at time 0. Let further  $X_i(t)$  and  $X_j(t)$  describe the mobility process (position) of nodes  $i$  and  $j$ , respectively, at time  $t$ . The meeting time ( $U$ ) between the two nodes is defined as the time it takes them to first come within transmission range ( $\beta$ ) of each other, that is  $U = \min_t \{t : \|X_i(t) - X_j(t)\| \leq \beta\}$ .

To formulate the optimal buffer management policy problem, we do not make any assumption about a specific mobility model used. Our only requirement is that *the meeting time of the mobility model is exponentially distributed or has at least an exponential tail, with parameter  $\lambda = \frac{1}{E[U]}$* , where  $E[X]$  denotes the expectation of a random variable  $X$ .

It has been shown that many popular mobility models like Random Walk [24], Random Waypoint and Random Direction [16], [15], as well as other more sophisticate synthetic models like the community model in [16] have such a property. In practice, there exist some recent studies based on traces collected from real-life mobility [26] that argue that inter-contact and contact duration times may follow a power-law distribution, instead. Yet, the authors in [27] show that even these traces in fact exhibit exponential tails after a cutoff point, and argue that for most mobility models that can be seen as a

<sup>2</sup>One exception is when a message *TTL* expires while in transit, in which case it is deleted. Nevertheless, this does not affect our model in any part, and so we choose to look at the time instants of encounters only, without loss of generality.

<sup>3</sup>If some of the nodes in the network are static, then one needs to use the *hitting* time between a mobile node and a static node, instead. For simplicity, we assume here that all nodes are mobile and we refer only to meeting times thereafter. Our theory can be easily modified to account for static nodes.

random walk on a graph, meeting times have an exponential tail. For this reason, we choose to stick with the exponential meeting time assumption, which makes our analysis tractable. Our trace-based evaluation further supports this assumption.

TABLE I  
NOTATION

Variable	Description
$L$	Number of nodes in the network
$K(t)$	Number of distinct messages in the network at time $t$
$TTL_i$	Time To Live for message $i$
$R_i$	Remaining Time To Live for message $i$
$T_i = TTL_i - R_i$	Elapsed Time for message $i$ . It measures the time since this message was generated by its source
$n_i(T_i)$	Number of copies of message $i$ in the network after elapsed time $T_i$
$m_i(T_i)$	Number of nodes (excluding source) that have <i>seen</i> message $i$ since its creation until elapsed time $T_i$
$\lambda$	Meeting <i>rate</i> between two nodes under the given mobility model; $\lambda = \frac{1}{E[U]}$ where $E[U]$ is the average meeting time

Given the above problem setting, a key question to answer is the following: if a node is congested, which message should be dropped so as to optimize a specific routing metric? Our optimal buffer management policy derives a per-message *utility*, and then drops the message with the smallest utility value. This utility captures the *marginal value* of a given message copy for the overall routing process, and with respect to the chosen optimization metric. We derive here such a *utility* for two popular metrics: maximizing the average delivery ratio, and minimizing the average delivery delay.

In Table I, we summarize the various quantities and notations we use throughout the paper.

### B. Maximizing the average delivery ratio

We will first look into the following scenario. We assume that a number of messages are propagated in the network using replication (e.g. *Epidemic*), each of which has a *finite TTL* value. The source of the message keeps a copy of it during the whole *TTL* duration, while intermediate nodes are not obliged to do so. We consider a time instant where the network is *congested* and a new message copy arrives to a new node during an encounter, to find its buffer full. Assuming now that we know all messages in the network and the number of copies for each message at that time, the problem we would like to solve is: *what is the best message to be dropped (locally), among the ones already in the buffer of the given node and the newly arrived one, in order to maximize the average delivery ratio among all messages in the network (globally)?* The answer is given in the following theorem.

**Theorem III.1. Delivery-Ratio:** *Let us assume that there are  $K$  messages in the network, with elapsed time  $T_i$  for the message  $i$  at the moment when the drop decision by the node is to be taken. For each message  $i \in [1, K]$ , let  $m_i(T_i)$  and  $n_i(T_i)$  be the number of nodes that have “seen” the message*

*since its creation<sup>4</sup> (excluding the source) and those who have a copy of it at this instant ( $n_i(T_i) \leq m_i(T_i) + 1$ ). The local optimal buffer management policy that maximizes the average delivery rate is to drop the message  $i_{min}$  satisfying:*

$$i_{min} = \underset{i}{\operatorname{argmin}} \left[ \left(1 - \frac{m_i(T_i)}{L-1}\right) \lambda R_i \exp(-\lambda n_i(T_i) R_i) \right] \quad (1)$$

*Proof:* We know that the meeting time between nodes is exponentially distributed with parameter  $\lambda$ . The probability that a copy of a message  $i$  will not be delivered by a node is then given by the probability that the next meeting time with the destination is greater than  $R_i$ . This is equal to  $\exp(-\lambda R_i)$ .

Knowing that message  $i$  has  $n_i(T_i)$  copies in the network, and assuming that the message has not yet been delivered, we can derive the probability that the message itself will not be delivered (i.e. none of the  $n_i$  copies gets delivered):

$$P\{\text{message } i \text{ not delivered} \mid \text{not delivered yet}\} = \prod_{i=1}^{n_i(T_i)} \exp(-\lambda R_i) = \exp(-\lambda n_i(T_i) R_i). \quad (2)$$

Here, we have not taken into account that more copies of a given message may be created in the future through new node encounters (and thus even this policy is to some extent “greedy”). Predicting future encounters and the effect of further replicas created complicates the problem significantly. Nevertheless, the same assumption is performed for all messages equally and thus can justify the relative comparison between the delivery probabilities for different messages.

We should also take into consideration what has happened in the network since the message generation. Since all nodes including the destination have the same chance to see the message, the probability that a message  $i$  has been already delivered is equal to:

$$P\{\text{message } i \text{ already delivered}\} = m_i(T_i)/(L-1). \quad (3)$$

Combining (2) and (3) the probability that a message  $i$  will get delivered before its *TTL* expires:

$$\begin{aligned} P_i &= P\{\text{message } i \text{ not delivered yet}\} * (1 - \exp(-\lambda n_i(T_i) R_i)) \\ &\quad + P\{\text{message } i \text{ already delivered}\} \\ &= \left(1 - \frac{m_i(T_i)}{L-1}\right) * (1 - \exp(-\lambda n_i(T_i) R_i)) + \frac{m_i(T_i)}{L-1}. \end{aligned}$$

So, if we take at instant  $t$  a snapshot of the network, the global delivery rate for the whole network will be:

$$DR = \sum_{i=1}^{K(t)} \left[ \left(1 - \frac{m_i(T_i)}{L-1}\right) * (1 - \exp(-\lambda n_i(T_i) R_i)) + \frac{m_i(T_i)}{L-1} \right]$$

In case of congestion, a DTN node should take a drop decision, that leads to the best gain in the global delivery ratio DR. To find the local optimal decision, we differentiate DR with respect to  $n_i(T_i)$ :

<sup>4</sup>We say that a node  $A$  has “seen” a message  $i$ , when  $A$  had received a copy of message  $i$  sometime in the past, regardless of whether it still has the copy or if it has already removed it from the buffer.

$$\begin{aligned}\Delta(DR) &= \sum_{i=1}^{K(t)} \frac{\partial P_i}{\partial n_i(T_i)} * \Delta n_i(T_i) \\ &= \sum_{i=1}^{K(t)} \left[ \left(1 - \frac{m_i(T_i)}{L-1}\right) \lambda R_i \exp(-\lambda n_i(T_i) R_i) * \Delta n_i(T_i) \right]\end{aligned}$$

The best drop decision is the one that maximizes  $\Delta(DR)$ . We know that:  $\Delta n_i(T_i) = -1$  if we drop an already existing message  $i$  from the buffer,  $\Delta n_i(T_i) = 0$  if we don't drop an already existing message  $i$  from the buffer and  $\Delta n_i(T_i) = +1$  if we keep and store the new received message  $i$ . Hence, the buffer management policy that maximizes the future delivery rate is the one that drops message  $i$  having the smallest value of the following metric:

$$\left(1 - \frac{m_i(T_i)}{L-1}\right) \lambda R_i \exp(-\lambda n_i(T_i) R_i). \quad (4)$$

This metric that is minimized over all messages can be viewed as the *marginal utility value* for a copy of a message  $i$  with respect to the total delivery rate. The value of this metric is a function of the global state of the message in the network. ■

### C. Minimizing the average delivery delay

We now turn our attention to minimizing the average delivery delay. We assume that all messages generated have infinite  $TTL(s)$  or at least a  $TTL$  value large enough to ensure a delivery probability close to 1. (Note that if we assume that every source keeps a copy of its own messages indefinitely, or until it meets the destination, and that this meeting always occurs after finite time, then delivery is guaranteed unless the source buffer overflows.) In this context, we will look for a buffer management policy that minimizes the expected delivery delay over all messages in the network.

Let us denote the delivery delay for message  $i$  with random variable  $X_i$ . Let further  $D_i$  denote the *expected remaining delay* for message  $i$ :  $D_i$  is equal to 0 if the message has been delivered already, and equal to  $E[X_i | X_i > T_i]$  if not. Then, the total expected delivery delay ( $D$ ) for all messages for which copies still exist in the network (or local buffer) is given by,

$$\begin{aligned}D &= \sum_{i=1}^{K(t)} D_i \Rightarrow \\ D &= \sum_{i=1}^{K(t)} \left[ \frac{m_i(T_i)}{L-1} * 0 + \left(1 - \frac{m_i(T_i)}{L-1}\right) * E[X_i | X_i > T_i] \right]. \quad (5)\end{aligned}$$

We know that the time until the first copy of the message  $i$  reaches the destination follows an exponential distribution with mean  $1/(n_i(T_i)\lambda)$ . It follows that,

$$E[X_i | X_i > T_i] = T_i + \frac{1}{n_i(T_i)\lambda}. \quad (6)$$

Substituting (6) in (5), we get,

$$D = \sum_{i=1}^{K(t)} \left(1 - \frac{m_i(T_i)}{L-1}\right) \left(T_i + \frac{1}{n_i(T_i)\lambda}\right).$$

Thus, we differentiate  $D$  with respect to  $n_i(T_i)$  to find the policy that maximizes the improvement in  $D$ ,

$$\begin{aligned}\Delta(D) &= \sum_{i=1}^{K(t)} \frac{\partial D_i}{\partial n_i(T_i)} * \Delta n_i(T_i) \\ &= \sum_{i=1}^{K(t)} \frac{1}{n_i(T_i)^2 \lambda} \left(\frac{m_i(T_i)}{L-1} - 1\right) * \Delta n_i(T_i).\end{aligned}$$

The best drop decision will be the one that maximizes  $|\Delta(D)|$  (or  $-\Delta(D)$ ). Hence, it is best to drop the message  $i$  that minimizes the following metric,

$$\frac{1}{n_i(T_i)^2 \lambda} \left(1 - \frac{m_i(T_i)}{L-1}\right) \quad (7)$$

This per-message metric is different than the one for the delivery ratio and can be seen as the *utility* of a copy of a message  $i$  regarding the average delivery delay. Again it is a function of the global state of this message across the network.

## IV. USING LEARNING TO APPROXIMATE GLOBAL KNOWLEDGE IN PRACTICE

In order to optimize a specific routing metric using GBD, we need global information about the network and the ‘‘spread’’ of messages. In particular, for each message present in the node's buffer, we need to know the values of  $m_i(T_i)$  and  $n_i(T_i)$ , the number of nodes that have seen the message and those that have a copy of it. Unfortunately, this is not feasible in practice due to intermittent network connectivity and the long time it takes to flood buffer status information across DTN nodes, which could make such info obsolete. Our proposed solution is to find appropriate estimators for these metrics.

To this end, we have proposed and implemented a learning process which permits a DTN node to gather knowledge about the global network state by making in-band exchanges with other nodes. Each node maintains a list of encountered nodes and the state of each message carried by them, which could be 0 if the message was in the node's buffer or 1 if the message was seen but deleted due to congestion as described in Figure 1. Note that each node maintains the time of the last list update and only sends the list if it has been updated since the last exchange. To reduce the length of the list further, the node can clean the entries corresponding to delivered messages and to those who have expired.

Since the copy information gathered thus for a specific message might take a long time to propagate (as mentioned earlier) and not be enough to correctly infer the current message status, we follow a different route. Rather than looking for the current value of  $m_i(T)$  and  $n_i(T)$  for a specific message  $i$  at an elapsed time  $T$ , we look at what happens, on average, for all messages after an elapsed time  $T$ . In

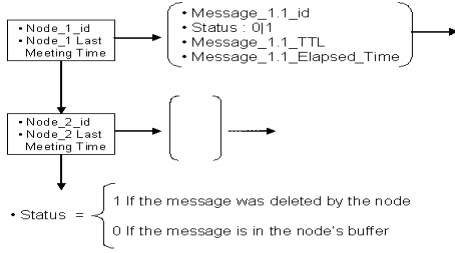


Fig. 1. List maintained by each DTN node.

other words, the  $m_i(T)$  and  $n_i(T)$  values for message  $i$  at are estimated using measurements of  $m$  and  $n$  for the same elapsed time  $T$  but measured for (and averaged over) all other older messages, denoted as  $M(T)$  and  $N(T)$ , respectively. These estimations are then used in the evaluation of the per-message utility.

Let's denote by  $\hat{n}(T)$  and  $\hat{m}(T)$  the estimators for  $n_i(T)$  and  $m_i(T)$  of message  $i$ . For the purpose of the analysis, we suppose that the variables  $m_i(T)$  and  $n_i(T)$  at elapsed time  $T$  follow the random variables  $N(T)$  and  $M(T)$ . By plugging  $\hat{n}(T)$  and  $\hat{m}(T)$  into the GBD's delivery ratio and delay metrics calculated in Section III, we get two new metrics, which could be used by a DTN node without any need for global information about messages. This results in a new buffer management policy, called *HBD (History Based Drop)*, a deployable variant of GBD that uses the new metrics based on estimates of  $m$  and  $n$ . The estimation algorithms are described in paragraphs IV-A and IV-B.

As a final note, in order to justify our motivation for the history-based learning process described above, we introduce another buffer management policy, *FBD (Flood Based Drop)*. FBD accounts only for the collected information based on messages flooding, that is, without considering past history or other messages. So, from the list (in Fig. 1), DTN nodes extract  $n_i(T_i)$  value for message  $i$  simply by looking for the number of nodes still holding it and the  $m_i(T_i)$  value by looking for the number of nodes which saw at some past time message  $i$ . These values are then plugged into the GBD's delivery ratio and delay metrics as in the case of HBD. Our results from Section V indicate that, unlike HBD, FBD approximates poorly GBD's performance for both metrics, and thus is not sufficient to infer the required information in practice.

#### A. Calculating estimators $\hat{n}(T)$ and $\hat{m}(T)$ for the average delivery ratio metric

When the global information is unavailable, one can calculate the average delivery ratio of a message over all possible values for  $M(T)$  and  $N(T)$ , and then try to minimize it. In the framework of the GDB, this is equivalent to choosing the estimators  $\hat{n}(T)$  and  $\hat{m}(T)$  so that the estimator of the delivery rate is unbiased.

$$E\left[\left(1 - \frac{M(T)}{L-1}\right) * (1 - \exp(-\lambda N(T)R_i)) + \frac{M(T)}{L-1}\right] = \left(1 - \frac{\hat{m}(T)}{L-1}\right) * (1 - \exp(-\lambda \hat{n}(T)R_i)) + \frac{\hat{m}(T)}{L-1}$$

By plugging in the metric in (4) any values of  $\hat{n}(T)$  and  $\hat{m}(T)$  that verify this equality, one can be sure that the obtained policy minimizes the average delivery rate. This is exactly our purpose. Suppose now that the best estimator for  $\hat{m}(T)$  is its average, i.e.,  $\hat{m}(T) = \bar{m}(T) = E[M(T)]$ . A justification for this assumption will be given in paragraph IV-C. Then, we can calculate  $\hat{n}(T)$  as follows:

$$E\left[1 - \frac{M(T)}{L-1} - \left(1 - \frac{M(T)}{L-1}\right) \exp(-\lambda N(T)R_i) + \frac{M(T)}{L-1}\right] = 1 - \frac{\bar{m}(T)}{L-1} - \left(1 - \frac{\bar{m}(T)}{L-1}\right) \exp(-\lambda \hat{n}(T)R_i) + \frac{\bar{m}(T)}{L-1}$$

From this last expression we extract:

$$\hat{n}(T) = -\frac{1}{\lambda R_i} \ln\left(\frac{E\left[\left(1 - \frac{M(T)}{L-1}\right) \exp(-\lambda N(T)R_i)\right]}{\left(1 - \frac{\bar{m}(T)}{L-1}\right)}\right) \quad (8)$$

Substituting this last expression into the GBD's delivery rate metric in (4), we get the following metric for HBD,

$$\lambda R_i E\left[\left(1 - \frac{M(T)}{L-1}\right) \exp(-\lambda R_i N(T))\right]$$

Unlike GBD's delivery ratio metric, this new metric is a function only of past history of messages and so can be calculated locally.

#### B. Calculating estimators $\hat{n}(T)$ and $\hat{m}(T)$ for the average delivery delay metric

Similar to the case of delivery ratio, we calculate the estimators  $\hat{n}(T)$  and  $\hat{m}(T)$  in such a way that the average delay estimator is unbiased.

$$E\left[\left(1 - \frac{M(T)}{L-1}\right)\left(T_i + \frac{1}{N(T)\lambda}\right)\right] = \left(1 - \frac{\hat{m}(T)}{L-1}\right)\left(T_i + \frac{1}{\hat{n}(T)\lambda}\right)$$

Again, supposing that  $\hat{m}(T) = \bar{m}(T) = E[M(T)]$  we get:

$$\frac{1}{\hat{n}(T)\lambda} - \frac{\bar{m}(T)}{\hat{n}(T)\lambda(L-1)} = E\left[\frac{1}{\lambda N(T)} - \frac{M(T)}{N(T)\lambda(L-1)}\right]$$

Simplifying this last expression further, we obtain:

$$\hat{n}(T) = \frac{L-1-\bar{m}(T)}{E\left[\frac{L-1-M(T)}{N(T)}\right]} \quad (9)$$

By substituting this value in the GBD's delivery delay metric in (7), we can find the delay metric specific to HBD,

$$\frac{E\left[\frac{L-1-M(T)}{N(T)}\right]^2}{\lambda(L-1)(L-1-\bar{m}(T))}$$

Note, that unlike GBD's delivery delay metric, this new metric is function of the locally available history of other messages.

### C. On the approximation of $\hat{m}(T)$ by $E[M(T)]$

In paragraphs IV-A and IV-B, we have supposed that  $\hat{m}(T) = E[M(T)]$ . This choice is driven by the observation we made that the histogram of the random variable  $M(T)$  can be approximated by a Gaussian distribution with good accuracy. To confirm this, we have applied the Lillie test [28], a robust version of the well known Kolmogorov-Smirnov goodness-of-fit test, to  $M(T)$  for different elapsed times ( $T = 25\%, 50\%$  and  $75\%$  of the  $TTL$ ). This test leads to acceptance for a 5% significance level. Consequently, the average of  $M(T)$  is at the same time the unbiased estimator and the most frequent value among the vector  $M(T)$ .

## V. PERFORMANCE EVALUATION

### A. Experimental setup

To evaluate our policies, we have implemented a DTN framework into the Network Simulator NS-2. This implementation include the *Epidemic* routing protocol, the VACCINE mechanism described in [20] to cleanup buffer space after message delivery, and the buffer management policies described in Section II, which will be compared to our policies. Each node uses a wireless communication channel 802.11b that has a range of 100 meters. Our simulations are based on three mobility patterns, a synthetic one, based on the Random Waypoint model and two real-world mobility traces: the first trace was collected as part of the ZebraNet wildlife tracking experiment in Kenya described in [18]. The second mobility trace tracks San Francisco's Yellow Cab taxis. Many cab companies outfit their cabs with *GPS* to aid in rapidly dispatching cabs to their costumers. The Cabspotting system [19] talks to the Yellow Cab server and stores the data in a database. We have use an API provided by the Cabspotting system in order to extract mobility traces. Note that this trace describes taxi's positions according to the *GPS* cylindrical coordinates (*Longitude*, *Latitude*) and in order to uses these traces as input for the NS-2 simulator, we have implemented a tool based in the Mercator [29] cylindrical map projection which permit us to convert traces to plane coordinates.

To each source node, we have associated a CBR (Constant Bit Rate) application, which chooses randomly from  $[0, TTL]$  the time to start generating messages of 1KB for a randomly chosen destination. Unless otherwise stated, we associate to each node a buffer with a capacity of 10 messages. Finally, we assume that each time two nodes meet, they have enough time (bandwidth) to exchange all data and control messages, so we take into consideration only buffer constraints.

We compare the performance of the various buffer management policies using the following two metrics: the message's average delivery ratio and the delivery delay in the case of infinite  $TTL$ . Concerning the evaluation of the HBD policy, we suppose that different nodes are already in a converged state, so we start accounting for HBD's results  $2*TTL$  seconds after simulation starts. The choice of this value will be justified in paragraph V-D. Note that the results presented here are averages from 20 simulation runs, which is enough to ensure convergence.

### B. Performance evaluation for delivery ratio

First, we compare the delivery ratio of all policies for the three scenarios shown in Table II. Figures 2, 3 and 4 show respectively the delivery rate based on the Random Waypoint model, the ZebraNet trace, and the Taxi trace.

TABLE II  
SIMULATION PARAMETERS

Mobility pattern:	RWP	Zebra's Traces	Taxi's Traces
Simulation's Duration(s):	5000	5000	36000
Simulation' Area ( $m^2$ ):	1000*1000	1500*1500	-
Number of Nodes:	30	40	40
Average Speed (Km/H):	6	-	-
$TTL$ (s):	650	650	7200
CBR Interval(s):	200	200	2100

From these plots, it can be seen that the GBD policy gives the best performance for all numbers of sources. When congestion-level decreases, so does the difference between GBD and other policies, as expected. Moreover, the HBD policy outperforms existing policies (DF, DO, DL, DY) and performs very close to GBD. For example, for 30 sources and Random Waypoint mobility, HBD's delivery ratio is 10% higher than Drop Front and only 2% worse than GBD. Similarly, for 40 sources and the ZebraNet traces, HBD delivers 12% more messages than Drop Front and 3% worse than GBD. Finally for the Taxi traces and 40 sources HBD performs 17% better than Drop Front and 3% worse than GBD.

Note that the fact that Drop Front gives a higher delivery ratio than Drop Oldest could be deduced from our delivery rate metric in (4). Specifically, at an instant  $t$ , we have  $R_{front} - R_{oldest} \gg |n_{front} - n_{oldest}|$ , which implies that our delivery rate metric gives a smaller value for the message at the front of the queue than for the oldest message. Hence, our metric predicts that dropping the message at the head of the queue will increase the delivery ratio more than dropping the oldest.

In order to justify our motivation for the learning process we also compare our HBD policy explicitly to FBD that uses only collected information per message. Figure 5 shows that when the congestion level increases the difference between FBD and GBD becomes significant, unlike the case of HBD. For example, for 30 CBR sources the difference is about 19% while HBD differs from GBD only by 2%. These results



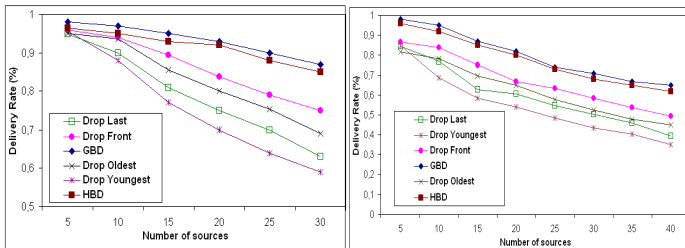


Fig. 2. Average delivery rate for Random Waypoint mobility.

Fig. 3. Average delivery rate for the ZebraNet traces.

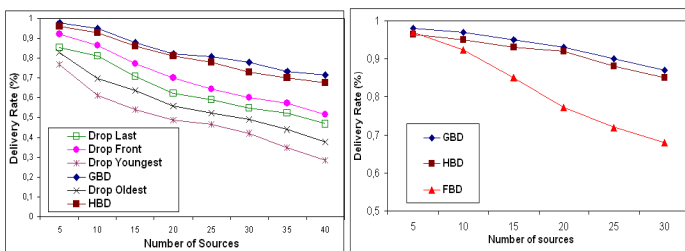


Fig. 4. Average delivery rate for the Taxi traces.

Fig. 5. FBD's average delivery rate for the Random Waypoint mobility.

further underline the importance of the history-based learning process in order to implement GBD in practice.

So far we assumed the same  $TTL$  value for all generated messages in different scenarios. In our last scenario, we fix the number of sources to 30 and arrange them in three groups of 10 nodes. Nodes in each group generate messages with  $TTL$  values equal to 250, 450 and 650 seconds, respectively. We range here the buffer size from 10 to 35 messages. (Note that in this scenario we start accounting for HBD's results  $2 * 650 = 1300$  seconds after simulations starts, for convergence.) Figure 6 shows that even for this scenario, HBD outperforms the four existing buffer management policies (DF, DO, DL and DY) and performs close to GBD. For example, for buffer size equal to 10 messages, HBD delivers 14% messages more than Drop Front and 3% messages less than GBD.

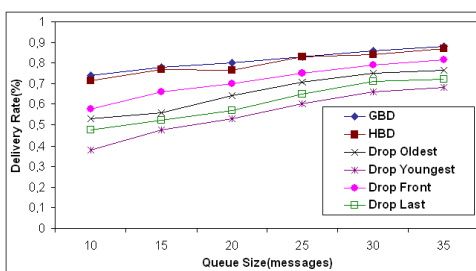


Fig. 6. Average delivery rate for Random Waypoint mobility and different  $TTL$ (s).

### C. Performance evaluation for delivery delay

To evaluate the average delivery delay metric, we keep the same simulations durations and messages generation rates as those used for the delivery rate. Figures 7, 8 and 9 depict the average delivery delay for the Random Waypoint model, the ZebraNet trace, and the Taxi trace, respectively. As in the case

of delivery ratio, GBD gives the best performance for all three mobility patterns. Moreover, the HBD policy outperforms the four buffer management policies (DF, DO, DL, DY) and performs close to GBD. Specifically, for 30 sources and Random Waypoint mobility, HBD's average delivery delay is 24% better than Drop Oldest and 6% worse than GBD. For the ZebraNet traces, HBD performs 15% better than Drop Oldest and 5% worse than GBD. Finally the highest improvement was observed for the Taxi trace, where HBD performs 29% better than Drop Oldest and only 3% worse than GBD.

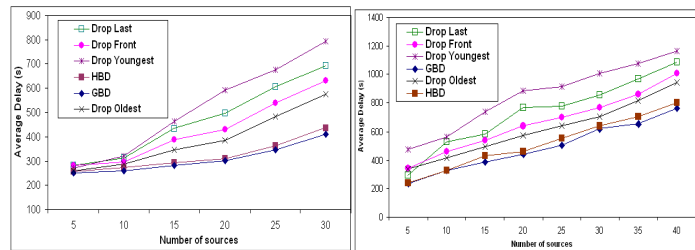


Fig. 7. average delivery delay for the Random Waypoint mobility.

Fig. 8. average delivery delay for the ZebraNet traces.

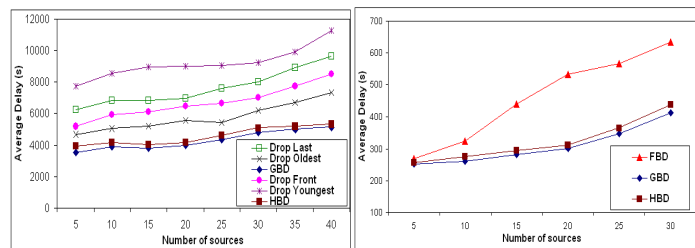


Fig. 9. average delivery delay for the Taxi traces.

Fig. 10. HBD's average delivery delay for the Random Waypoint mobility.

Once more, the fact that Drop Oldest has smaller delivery delay than Drop Front can be justified based on our delay metric in (7). Specifically, in most cases the oldest messages have a greatest number of copies, on average, than the message at the front of the queue. Thus, if we apply our delay metric to the oldest message, we will get a smaller value than for the messages in the front of the queue ( $\frac{1}{n_{oldest}^2(t)} \ll \frac{1}{n_{front}^2(t)}$ ), which explains why dropping the oldest message gives a smaller average delivery delay than dropping the message in the front of the queue.

Finally, in order to further emphasize on the importance of the learning process we compare again the HBD policy to GBD and FBD, in terms of delivery delay also. Figure 10 shows that, when congestion level increases, the difference between FBD and GBD becomes significant which is not the case of HBD. For 30 CBR sources, the difference is 30% while HBD differs from GBD is 6%.

### D. HBD's Convergence

In this last part, we look at the time taken by the learning process to converge. We consider the same simulations parameters as in Table II fixing the number of sources to 15. For the HBD policy and for different randomly chosen

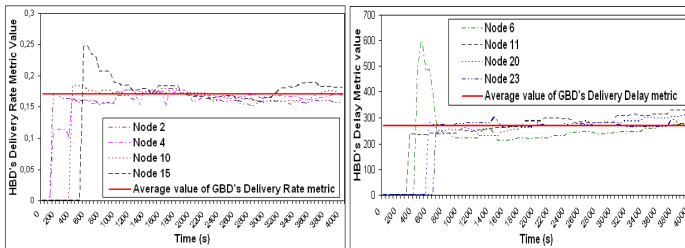


Fig. 11. HBD's delivery rate metric convergence for different randomly chosen nodes.

nodes, Figure 11 shows that as the number of measurements at elapsed time  $T = 100$  increases, the delivery ratio metric's value increases and converges to the average value of the GBD's delivery rate metric, which is equal to 0.17. From Figure 11, one can also extract the convergence times of the delivery rate metric. These values are illustrated in Table III. In Figure 12, we depict similar convergence results for delivery delay. The average delivery delay metric converges to 271, the average value of the HBD's metric. The different convergence times are described in Table IV. These results justify the choice

TABLE III  
THE TIME OF CONVERGENCE OF  
HBD'S DELIVERY RATE METRIC

Node 2	1100 seconds
Node 4	1000 seconds
Node 10	600 seconds
Node 15	1150 seconds

TABLE IV  
THE TIME OF CONVERGENCE OF  
HBD'S DELIVERY DELAY METRIC

Node 6	700 seconds
Node 11	600 seconds
Node 20	750 seconds
Node 23	700 seconds

of the time of convergence in V-A. Indeed, in the different simulations scenarios described above, the HBD's metrics take less than  $2 * TTL$  seconds to converge to the GBD's average metrics values for a fixed elapsed time.

## VI. CONCLUSION AND FUTURE WORK

In this work, we investigated the problem of buffer management in delay tolerant networks. First, we proposed an optimal buffer management policy based on global knowledge about the network state. Then, we have introduced a distributed algorithm that uses statistical learning to approximate the required global knowledge of the optimal algorithm. Using simulations based on a synthetic mobility model (Random Waypoint), and two real mobility traces (ZebraNet and San Francisco taxi traces), we showed that our buffer management policy based on statistical learning successfully approximates the performance of the optimal algorithm in all considered scenarios. Finally, both policies outperform existing policies with respect to delivery rate and delivery delay, in all considered scenarios.

Note that in this work, we considered that all messages have the same size. It would be interesting to define buffer management policies that take into account different messages sizes. For example, in case of congestion, the end-to-end delay versus message delivery trade-off could be influenced by the choice of dropping several small messages or one large message that occupies the entire node's buffer.

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