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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

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for Indirect Illumination Caching***

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***R**apport  
de recherche*



## Volumetric Vector-Based Representation for Indirect Illumination Caching

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**Abstract:** This report extends the work of introduced by Pacanowski *et al.* [PRG<sup>+</sup>08, PRL<sup>+</sup>08]. It presents a volumetric representation that captures low-frequency indirect illumination, structure intended for efficient storage and manipulation of illumination caching and on graphics hardware. It is based on a 3D texture that stores a fixed set of irradiance vectors. This texture is built during a preprocessing step by using almost any existing global illumination software. Then during the rendering step, the indirect illumination within a voxel is interpolated from its associated irradiance vectors, and is used by the fragment shader as additional local light sources.

The technique can thus be considered as following the same trend as ambient occlusion or precomputed radiance transfer techniques, as it tries to include visual effects from global illumination into real-time rendering engines. But its 3D vector-based representation offers additional robustness against local variations of geometric of a scene. We also demonstrate that our technique may also be employed as an efficient and high quality caching data structure for bidirectional rendering techniques.

**Key-words:** Caching for Global Illumination, Photon Mapping, Particle Tracing

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## **Représentation volumique et vectorielle pour la mise en cache de l'éclairage indirect**

**Résumé :** Ce rapport étend les travaux introduits par Pacanowski *et al.* [PRG<sup>+</sup>08, PRL<sup>+</sup>08]. Il présente une représentation volumique pour capturer les détails de faibles fréquences induits par l'éclairage indirect. Les buts de cette structure sont une efficacité de stockage et la possibilité d'utilisation pour une mise en cache utilisable sur les cartes graphiques. Elle est basée sur une texture 3D qui stocke un nombre fixé de vecteurs d'irradiance. Cette texture est construite lors d'une étape de précalcul pouvant se baser sur la plupart des techniques d'éclairage global. Dans la phase de rendu qui suit, l'éclairage indirect dans un voxel est estimé par interpolation de ses vecteurs d'irradiance, créant ainsi une source de lumière locale additionnelle.

Cette technique peut être considérée comme de la même famille que l'occultation ambiante et que les méthodes de précalcul des transferts de radiance. En effet, elle essaie d'inclure des effets issus de l'éclairage global dans des moteurs de rendu temps-réel. Mais sa représentation basée sur des vecteurs 3D offre de plus une certaine robustesse aux variations locales de géométrie d'une scène. Nous démontrons ainsi que cette technique peut être aussi utilisée comme une structure efficace de cache de haute qualité pour du rendu bi-directionnel.

**Mots-clés :** Structure de cache pour l'éclairage global, carte de photons, tracé de particules

## 1 Motivation

Designing an appropriate representation for global illumination effects is a very difficult task since the range of possible phenomena widely varies between low spatial frequencies (diffuse reflections) to extremely high spatial frequencies (sharp shadows, specular reflections, and caustics).

The cost for accurate estimation of indirect illumination of a given 3D scene is orders of magnitude more expensive than the computation of direct illumination generated by the (usually) small number of light sources in that scene. A natural trend is thus to perform this expensive computation only at a limited number of locations in the scene during a preprocessing stage, store the results as a set of textures, and interpolate between these stored values during the final rendering step. This general principle has been used since the early years of global illumination.

According to the radiometric quantities that are stored (e.g. radiosity, radiance, irradiance) and the way these values are later used in the final rendering step, many different flavors of this basic principle have been developed during the last twenty years. In this report, we will use *indirect illumination caching* as a generic name for them. A great amount of work has been performed on that research topic to find efficient data structures and accurate mathematical representations for this caching process. The recent introduction of programmable graphics hardware, has renewed the interest on that topic, which has led to the introduction of GPU-friendly techniques such as ambient occlusion [Pha04, KL05] and precomputed radiance transfer [SKJ02].

However, while caching of indirect illumination may be envisaged for low-frequency effects, memory requirements explode when extended to high-frequency effects. For these latter ones stochastic approaches (see [DBB06] for an overview) have proved their accuracy. They can produce high-quality images but are generally time consuming.

To get best of both worlds, state-of-the-art techniques employ an hybrid combination where view independent caching is used for low-frequency phenomena and view dependent computation is performed for high-frequency ones. Among these techniques, *Photon Mapping* [Jen01] has become very popular, thanks to its optimized data structures and its ability to deal in an integrated manner with various complex lighting effects.

Basically, two main features are desirable for caching strategies: The first one involves **photometric robustness** which means that the cached values should be able to track local variations of radiometric quantities, such as the reflectance of the surface. The second one involves **geometric robustness** as the cached values should also be able to track local variations of geometric quantities, such as the position or the normal of the surface.

As pointed out by Christensen *et al.* [CB04] and Tabellion *et al.* [TL04], the efficiency of indirect illumination caching decreases when the geometric complexity of the scene augments. Small scale geometric details, especially high-frequency variations of normal vectors, require a densely sampled cache structure in order to capture the subtle changes of illumination. Ten years ago, with low geometric complexity of 3D scenes, photometric robustness was obviously the main concern when designing cache structure, but nowadays, when even a moderately complex scene contains several hundred thousands of polygons, geometric robustness has become a major concern for caching structures.

As a partial answer to this problem, this report introduces a volumetric vector-based data structure for caching indirect illumination that is based on the irradiance vector,

introduced by Arvo [Arv94]. This caching structure, called **Irradiance Vector Grid** is only intended for low-frequency indirect illumination, as we suppose that direct illumination as well as high-frequency indirect illumination are dealt with more specific techniques (e.g. soft shadow maps, specular or glossy environment maps, view dependent ray casting, etc). This representation offers the following features:

1. Robustness against local variations of diffuse reflectance
2. Robustness against local variations of normal vectors
3. Smoothness everywhere in the 3D scene
4. Low memory requirements.

Features 1 and 2 increase the efficiency of the cache structure, as diffuse reflectance and normal vector variations are the most important elements involved in low-frequency indirect phenomena, feature 3 guarantees smooth reconstruction of the indirect illumination from the cache, and feature 4 increases scalability of the technique.

This report is organized as follows. After having recalled some related work in Section 2 we detail how to construct the irradiance vector grid in Section 3, and how to interpolate local irradiance at any point in the scene in Section 4. Finally we present and analyze some results, and conclude with potential improvements.

## 2 Existing Caching Techniques

### 2.1 With Photometric Independence

Classical caching structures [WRC88, Chr99, CB04]) store irradiance as it allows to change the diffuse albedo of materials without having to recompute the cached values. To overcome the limitation to diffuse reflectance or at best, low-frequency BRDFs imposed by irradiance caching, new schemes based on incident radiance caching have been introduced. Encoding incident radiance by spherical harmonics [KBPv06, AFO05] or wavelets [SSG<sup>+</sup>00] is more accurate than constant basis [GSHG92], but with both representations, the number of coefficients quickly explodes for high-frequency BRDFs, thus still limiting the method to moderately glossy BRDFs.

### 2.2 With Geometric Independence

While the irradiance is a geometric dependent quantity, the radiance is not. Thus the radiance caching theoretically offers geometric robustness. Unfortunately, the usual strategy [WRC88] used to place the precomputed samples depends on the underlying geometry. Therefore, radiance caching cannot be applied in the case of highly detailed surfaces, because the number of samples quickly becomes huge. While light vectors [ZSP98] are also geometrically robust, they are not photometric independent, and therefore are less interesting to our application.

### 2.3 With Continuous Reconstruction

Another issue with caching involves the interpolation scheme used during the rendering pass. Irradiance and radiance caching schemes need to store their samples in an

efficient structure (kd-tree or octree) in order to quickly retrieve them when interpolation is needed. However, due to the combined facts that these samples are not placed on specific positions and only an interpolation on local neighborhood is performed, these schemes cannot ensure a continuous reconstruction of the stored radiometric quantity. On the contrary, with volumetric representations, such as irradiance volumes [GSHG92], the continuous interpolation is easier to perform. Unfortunately as the irradiance volumes cache incident radiance, an integration is required at the rendering step.

Regarding those three issues, we propose an alternative volumetric data structure based on irradiance vectors that offers improved geometric robustness and similar photometric robustness as other comparable methods. To provide a smooth reconstruction of indirect illumination, we use a continuous interpolation scheme which does not depend on surface geometry. Furthermore, our representation is easy to adapt on GPU and has low memory consumption.

### 3 Building the Irradiance Vector Grid

#### 3.1 Grid of Irradiance Vectors

Our structure is based on an axis-aligned uniform rectangular 3D grid, divided into  $N_i \times N_j \times N_k$  voxels. At each vertex  $\mathbf{v}^{ijk}$  of the grid (where  $i \in [0, N_i]$ ,  $j \in [0, N_j]$ ,  $k \in [0, N_k]$ ), six irradiance vectors are stored, one for each main direction  $(\pm\mathbf{x} | \pm\mathbf{y} | \pm\mathbf{z})$ . Note that we actually store an irradiance matrix, as one vector is used for each color channel. In the remaining of this paper, we will note  $\mathbf{I}_\delta^{ijk}$ , the irradiance vector stored at vertex  $\mathbf{v}^{ijk}$  in the direction  $\delta$  where  $\delta = \pm\mathbf{x} | \pm\mathbf{y} | \pm\mathbf{z}$ .

#### 3.2 Irradiance Vector

For a given wavelength, the *irradiance vector*  $\mathbf{I}_n(\mathbf{p})$ , as introduced by Arvo [Arv94], is defined for a point  $\mathbf{p}$  with normal  $\mathbf{n}$  as

$$\mathbf{I}_n(\mathbf{p}) = \int_{\Omega_n} L(\mathbf{p} \leftarrow \omega_i) \omega_i d\omega_i$$

where  $L(\mathbf{p} \leftarrow \omega_i)$  represents the incident radiance at  $\mathbf{p}$  from direction  $\omega_i$ ,  $d\omega_i$  the differential solid angle sustained by  $\omega_i$  and  $\Omega_n$  the hemisphere centered at  $\mathbf{p}$  oriented toward  $\mathbf{n}$ . The irradiance vector stores a radiometric and geometric information and is directly related to the diffusely reflected radiance:

$$L_r(\mathbf{p} \rightarrow \omega_o) = \frac{\rho_D(\mathbf{p})}{\pi} \langle \mathbf{I}_n(\mathbf{p}), \mathbf{n} \rangle \quad (1)$$

where  $\rho_D$  is the diffuse BRDF and  $\langle \cdot, \cdot \rangle$  denotes a dot product. The main benefits of irradiance vectors compared to irradiance is that for a local variation of the normal, the reflected radiance can be adjusted, making this representation more geometrically robust.

#### 3.3 Estimating the Irradiance Vector

Any global illumination technique may be used to estimate the irradiance vectors stored in the grid. In our implementation, we use Photon Tracing by propagating photons

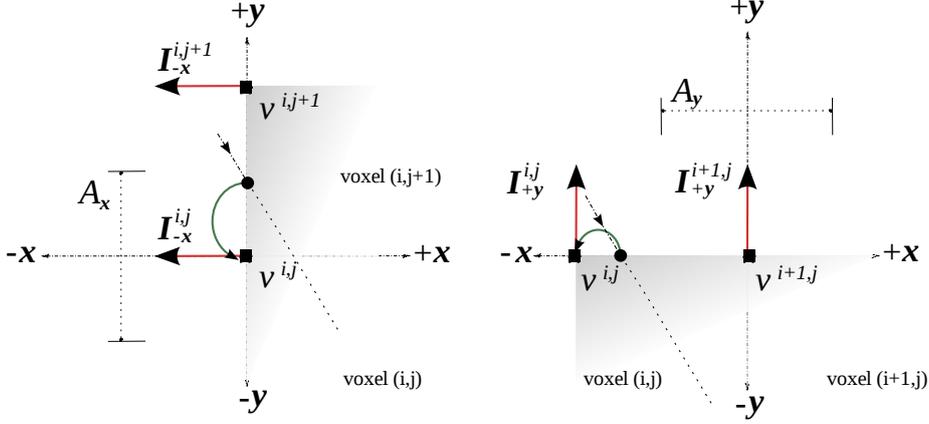


Figure 1: Irradiance Vector computation in 2D. When a photon hits a voxel’s face, its contribution is added to the irradiance vector associated with the nearest vertex. Photon contribution added to  $I_{-x}^{i,j}$  (Left) and  $I_{+y}^{i,j+1}$  (Right).

from the light sources through the grid. Every time a photon traverses a voxel face, its contribution is added to the irradiance vector  $I_{\delta}^{ijk}$  associated with the nearest vertex  $\mathbf{v}^{ijk}$  and the direction  $\delta$  provided by the normal of the face (see Figure 1). When a photon hits some scene geometry, a classical stochastic reflection is applied according to the local BRDF.

The photon propagation is accomplished in two steps. First, using a ray tracing acceleration structure, we find the closest intersection with the scene. Then, we propagate the photon into the Irradiance Vector Grid without any intersection test. Once all photons have been treated, a normalization step is performed on the irradiance vectors:

$$I_{\delta}^{ijk} = \frac{1}{A_{\delta}^{ijk}} \sum_{n=1}^{N_{\delta}^{ijk}} \phi_n \omega_n$$

where  $N_{\delta}^{ijk}$  is the number of photons that have contributed to the irradiance vector at vertex  $\mathbf{v}^{ijk}$  in direction  $\delta$ , and  $A_{\delta}^{ijk}$  is the area of the rectangular cell centered at  $\mathbf{v}^{ijk}$  in direction  $\delta$ . As we are using a uniform rectangular 3D grid, the area of such cell is simply the area of the voxel face oriented in the same direction, except for grid boundary vertices, where the area is divided by two, and for grid corner vertices, where it is divided by four.

Note that our approach does not suffer from the classical boundary bias of Photon Mapping (where spheres with large radii are used to collect photons, such as in room corners and along contours of flat surfaces) as our density estimation correctly accounts for the intersection of the photons with the grid. Unlike the strategy of Havran *et al.* [HBHS05], our approach does not need to store all the rays generated from the photon propagation.

## 4 Using the Irradiance Vertex Grid

### 4.1 Interpolation of Irradiance Vectors

In order to compute smooth indirect illumination, we interpolate an irradiance vector for each point  $\mathbf{p}$  with normal  $\mathbf{n}$  that needs to be shaded. This interpolation is performed in two successive steps: a spatial interpolation according to  $\mathbf{p}$  and then a directional interpolation according to  $\mathbf{n}$ .

In the first step, the irradiance vector  $\mathbf{I}_\delta(\mathbf{p})$  is obtained by spatial interpolation of the irradiance vectors  $\mathbf{I}_\delta^{ijk}$  stored at the grid vertices surrounding point  $\mathbf{p}$ . The interpolation is only done for three out of the six possible directions of  $\delta$ . The choice between  $\pm\mathbf{x}$  (resp.  $\pm\mathbf{y}$  and  $\pm\mathbf{z}$ ) is done according to the sign of  $n_x$  (resp.  $n_y$  and  $n_z$ ). Trilinear or tricubic interpolation approximate satisfactory smooth results for spatial interpolation. In the second step, the final interpolated irradiance vector  $\mathbf{I}_\mathbf{n}(\mathbf{p})$  is obtained by remapping the three spatially interpolated irradiance vectors according to the normal direction  $\mathbf{n}$  at point  $\mathbf{p}$ :

$$\mathbf{I}_\mathbf{n}(\mathbf{p}) = \mathbf{I}_\mathbf{x}(\mathbf{p})n_x^2 + \mathbf{I}_\mathbf{y}(\mathbf{p})n_y^2 + \mathbf{I}_\mathbf{z}(\mathbf{p})n_z^2.$$

### 4.2 Irradiance Vertex Grid for GPU Rendering

The great benefit of using a 3D regular grid is that the data structure can be straightforwardly uploaded on GPU as a 3D texture. Then, in the same spirit as environment mapping, precomputed radiance transfer or ambient occlusion fields [KL05], this texture can be used to provide global illumination effects in real-time GPU rendering. In our case, the interpolated irradiance vectors are simply used by the fragment shader as additional light sources that are meant to encode indirect illumination. Remember that each grid vertex holds one irradiance vector  $\mathbf{I}_\lambda$  per color channel for each of the six  $\delta$  directions, i.e.,  $3 \times 3 \times 6 = 54$  floating point numbers. To reduce the number of texture fetches which may be costly in current graphics hardware, we compress the 3 irradiance vectors as one color and one direction:

$$r = \|\mathbf{I}_R\| \quad g = \|\mathbf{I}_G\| \quad b = \|\mathbf{I}_B\| \quad \mathbf{d} = \frac{\mathbf{I}_R + \mathbf{I}_G + \mathbf{I}_B}{\|\mathbf{I}_R + \mathbf{I}_G + \mathbf{I}_B\|}.$$

These two vectors are encoded in two 16 bit 3D textures, and therefore the information for the six  $\delta$  directions require 12 3D textures. To efficiently perform tricubic interpolation in hardware, we adapted the technique of Sigg and Hadwiger [SH05].

### 4.3 Irradiance Vertex Grid for Software Rendering

Even if it has been initially intended for real-time GPU rendering, the Irradiance Vertex Grid is also interesting in software global illumination environment. As it provides a smooth spatial and directional reconstruction of irradiance vectors, it can be directly used for diffuse indirect illumination, without propagating secondary rays for final gather. Direct use of cached values could be done using existing techniques [WRC88, KBPv06] but they do not guarantee a continuous reconstruction of the indirect illumination. Moreover, as they do not offer geometry robustness, these techniques require a large number of samples for highly-detailed geometry, in order to provide accurate estimation.

A final usage of our Irradiance Vector Grid is to be employed as an efficient caching structure for stochastic approaches. For instance, as done with Photon Mapping, our



Figure 2: Geometric robustness when using direct access. **(Left)** The precomputation is performed in 58s on a low detailed geometry (24K triangles) and **(Center)** and in 180s high detailed geometry (2M triangles). **(Right)** The difference color-coded RGB image (maximum pixel difference is  $\varepsilon = 1.5\%$ ).

grid may be accessed indirectly by shooting secondary rays from points being shaded. The main advantage is that high-frequency details such as indirect soft shadows are well preserved, and that reconstruction errors are masked since a diffuse or low-glossy reflection is similar to a low-pass filter [DHS<sup>+</sup>05]. So, a simple trilinear spatial interpolation provides a good compromise between speed and quality.

## 5 Results

All the results have been computed on an AMD 64bit 3500+ processor with 2GB of memory and a Nvidia 7950 GTX. Images in Figures 4 and 5 have a  $640 \times 480$  resolution.

### 5.1 Geometric Robustness

Due to its robustness against geometric details, it is possible with our approach to precompute the volumetric irradiance vectors on a low resolution version of the objects, similarly to the approach developed by Tabellion and Lamorlette [TL04]. In Figure 2, the same grid resolution ( $20 \times 22 \times 20$ ) and the same number of photons (8M) are used during the precomputation. The resulting illuminations recomputed over the two images are extremely similar, less than 1% maximum pixel difference for a precomputation time divided by three. Changing the geometry refines the curvature of the objects and therefore modifies the photon reflection, explaining the small differences located on the two objects as well as on the ceiling.

### 5.2 For GPU Rendering

Figure 3 shows the quality and framerates of our reconstruction when using 3D textures on the GPU (at a  $600 \times 600$  resolution with no antialiasing). We precomputed a  $16 \times 16 \times 16$  compressed grid (288 KB) without the dragon inside a color modified Cornell box. The two images show the indirect illumination (i.e., interpolated irradiance multiplied by the diffuse albedo) on the whole scene, using either a trilinear (left) or a tricubic (right) interpolation. This approach is mostly geometry independent since the



Figure 3: Indirect illumination on the GPU. The camera and the dragon can be moved at the indicated framerates. **(Left)** Trilinear interpolation at 91fps. **(Right)** Tricubic interpolation at 87 fps.



Precomputation: 201s      Precomputation: 252s  
 Rendering: 1,341s (51s)      Rendering: 6,618s (5,527s)

Figure 4: Scene with mostly direct illumination case. 16 rays per pixel were used. 5 M photons shot. **(Left)** Our technique with a  $40 \times 50 \times 40$  uncompressed grid (24.5 MB) used directly with a tricubic interpolation scheme. **(Center)** Photon Mapping with Christensen’s Cache using 50 photons of the 5 M (124 MB) stored where used to pre-compute each irradiance sample. **(Right)** Reference solution obtained by Path-Tracing with 1600 rays per pixel. The general illumination patterns are similar in all three techniques, however our much faster indirect illumination computation (51s vs. 5527s) strongly reduces the total rendering time (1341s vs. 6618s).

dragon (about 400K triangles) can be interactively moved at 90FPS, with a consistent indirect illumination. Note that the complexity is pixel dependent, since the interpolation and the lighting evaluation is performed on the fragment stage. The provided video (720x576 resolution rendered with antialiasing) shows another scene with direct and strong indirect lighting configuration.

### 5.3 For Software Rendering

To evaluate our approach, we present two test scenes with complex lighting. The first configuration (Figure 4) presents a scene mainly directly illuminated from 11 light

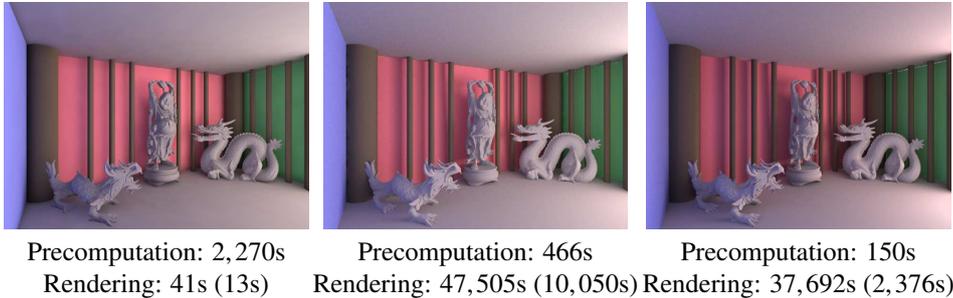


Figure 5: Scene with mostly indirect illumination. **(Left)** Our technique using directly a  $30 \times 20 \times 92$  an uncompressed grid (11.4 MB) constructed with 80 M photons. **(Center)** Christensen’s method reference image with 3200 rays to sample the hemisphere and 5 M photons stored (124 MB). **(Right)** Our technique using indirectly a  $12 \times 8 \times 20$  uncompressed grid (590 KB) with the same number of photons shot and the same number of rays to sample the hemisphere.

sources. The second one (Figure 5) is a classical two-room configuration where one room is indirectly illuminated by a light source placed in the other room. Both scenes have more than 8 million polygons due the highly detailed objects. They illustrate the geometric robustness of our approach.

We compare our technique to Photon Mapping combined with Christensen’s [Chr99] precomputed samples. Precomputation time for our technique involves shooting photons and accumulating their contribution in the grid, and for Christensen’s it involves shooting photons, balancing the kd-tree, and precomputing irradiance. For all images generated with Christensen’s method, we set the number of precomputed samples to be one fourth of the total number of photon hits, as originally suggested [Chr99].

Figure 4 compares the results obtained with our technique and Christensen’s for direct lighting configuration. For fair comparison, a reference solution has been computed with a high density (1600 rays per pixel) Path-Tracing algorithm. For equivalent precomputation times, our technique allows a much faster computation (51s vs. 5527s) of the indirect component of the illumination due to direct access to the cached values. In our technique, the final gathering step spends most of its time computing ray-geometry intersections and direct illumination, while Christensen’s cache requires to cast a large number of additional gathering rays, since direct access produces very objectionable illumination patterns with zones of constant irradiance similar to Voronoi diagrams. This is a well-known artefact [Chr99] preventing direct access to cached irradiance.

For the indirect lighting configuration presented at Figure 5 we have also tried a direct access to our structure but to capture finer shadows cast by the columns, a higher-resolution grid ( $30 \times 20 \times 92$ ) was built and consequently a larger number of photons needed to be shot. This resulted in a much larger precomputation time but our method with direct access is still much faster (2,311s vs. 47,971s) than Christensen’s caching technique for a similar quality. Alternatively, we have also tested our technique with an indirect access (cf. Figure 5) to the cached values by using a lower resolution grid ( $12 \times 8 \times 20$ ) traversed by the same number of photons as with Christensen’s method. Our technique reduces both precomputation time (150s vs. 466s) and reconstruction time of the indirect illumination (2,376s vs. 10,050s), while retaining similar illumination

features. Access time to our grid is constant in the number of the cached samples instead of being logarithmic as with a kd-tree [Chr99, CB04] which explains these gains.

## 5.4 Discussion

The construction time of our Irradiance Vertex Grid structure grows mainly linearly with the number of photons albeit a small overhead exists when increasing the size of the grid. Note that in Figure 5 (Left) the precomputation time may be reduced by lowering the grid size and shooting less photons. This will lose the high-frequency details but the indirect effect of the light near geometrically complex objects will still be captured. Noise due to undersampling of the illumination during the shooting pass appears mostly on larger planes and on higher frequency details such as shadows. The quality of the reconstruction directly scales with the number of photon shots, as the illumination details scale with the size of the grid. However, even if un-biased, our estimation can lead to energy leaks or under-estimation of irradiance values, depending on the relative geometric configuration with the grid. These artefacts are reduced by increasing the resolution of the grid. Some of these artefacts may also be reduced by using an adaptive volumetric structure such as an octree, but the structure would be much less efficient when uploaded on the GPU. Finally, it should be mentioned that our approach cannot reach the reconstruction quality of Ray maps [HBHS05], but as counter part less storage is required.

## 6 Conclusion

In this report, we have presented a new representation for indirect illumination, based on a 3D grid of irradiance vectors. This representation allows a smooth-everywhere reconstruction of the irradiance. Thanks to the irradiance vectors, the resulting solution is more robust to local variation of geometry, as shown through the presented results.

We have implemented this structure both as a 3D texture texture for indirect illumination on the GPU and as a caching scheme for Photon Mapping. The results show that diffuse inter-reflections are well captured. Compared to existing solutions, our approach requires fewer cached samples for higher-quality cached indirect lighting. Additionally, it does not require any photon storage. Furthermore, our irradiance cache can be directly accessed during the final gathering pass.

Our hardware implementation demonstrates its efficiency for high quality real-time display of 3D scenes under precomputed global illumination.

### Future Work

There are two main improvement directions for our approach. First, the estimation of irradiance vectors is based on a regular grid. Identifying proper resolutions for the grid and the number of photons to shoot requires a good understanding of the illumination effects. A more automatic estimate would facilitate the use of the technique. For large scenes, we would like to use a multiresolution and adaptive structure in order to reduce the construction cost. This will also allow better capture of indirect illumination near surfaces and maybe find better automatic estimates.

Second since the scheme is based on linear interpolation, some artefacts may appear for complex variations of illumination. The introduction of gradients would improve the smoothness of the reconstruction where incoming illumination varies quickly. Improved visibility estimation will also provide higher-quality reconstruction.

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