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Full Key-Recovery Attacks on HMAC/NMAC-MD4 and NMAC-MD5

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Abstract. At Crypto ’06, Bellare presented new security proofs for HMAC and NMAC, under the assumption that the underlying compression function is a pseudo-random function family. Conversely, at Asiacrypt ’06, Contini and Yin used collision techniques to obtain forgery and partial key-recovery attacks on HMAC and NMAC instantiated with MD4, MD5, SHA-0 and reduced SHA-1. In this paper, we present the first full key-recovery attacks on NMAC and HMAC instantiated with a real-life hash function, namely MD4. Our main result is an attack on HMAC/NMAC-MD4 which recovers the full MAC secret key after roughly 2^{88} MAC queries and 2^{95} MD4 computations. We also extend the partial key-recovery Contini-Yin attack on NMAC-MD5 (in the related-key setting) to a full key-recovery attack. The attacks are based on generalizations of collision attacks to recover a secret IV, using new differential paths for MD4.

Key words: NMAC, HMAC, key-recovery, MD4, MD5, collisions, differential path.

1 Introduction

Hash functions are fundamental primitives used in many cryptographic schemes and protocols. In a breakthrough work, Wang *et al.* discovered devastating collision attacks [17,19,20,18] on the main hash functions from the MD4 family, namely MD4 [17], RIPE-MD [17], MD5 [19], SHA-0 [20] and SHA-1 [18]. Such attacks can find collisions in much less time than the birthday paradox. However, their impact on the security of existing hash-based cryptographic schemes is unclear, for at least two reasons: the applications of hash functions rely on various security properties which may be much weaker than collision resistance (such as pseudorandomness); Wang *et al.*’s attacks are arguably still not completely understood.

This paper deals with key-recovery attacks on HMAC and NMAC using collision attacks. HMAC and NMAC are hash-based message authentication codes proposed by Bellare, Canetti and Krawczyk [3], which are very interesting to study for at least three reasons: HMAC is standardized (by ANSI, IETF, ISO and NIST) and widely deployed (*e.g.* SSL, TLS, SSH, Ipsec); both HMAC and

NMAC have security proofs [2,3]; and both are rather simple constructions. Let H be an iterated Merkle-Damgård hash function. Its HMAC is defined by

$$\text{HMAC}_k(M) = H(\bar{k} \oplus \text{opad} || H(\bar{k} \oplus \text{ipad} || M)),$$

where M is the message, k is the secret key, \bar{k} its completion to a single block of the hash function, opad and ipad are two fixed one-block values. The security of HMAC is based on that of NMAC. Since H is assumed to be based on the Merkle-Damgård paradigm, denote by H_k the modification of H where the public IV is replaced by the secret key k . Then NMAC with secret key (k_1, k_2) is defined by:

$$\text{NMAC}_{k_1, k_2}(M) = H_{k_1}(H_{k_2}(M)).$$

Thus, HMAC_k is essentially equivalent to $\text{NMAC}_{H(k \oplus \text{opad}), H(k \oplus \text{ipad})}$ ¹. Attacks on NMAC can usually be adapted to HMAC (pending few modifications), except in the related-key setting².

HMAC/NMAC Security. The security of a MAC algorithm is usually measured by the difficulty for an attacker having access to a MAC oracle to forge new valid MAC-message pairs. More precisely, we will consider two types of attack: the existential forgery where the adversary must produce a valid MAC for *a message of its choice*, and the universal forgery where the attacker must be able to compute the MAC of *any message*.

The security of HMAC and NMAC was carefully analyzed by its designers. It was first shown in [3] that NMAC is a pseudorandom function family (PRF) under the two assumptions that (A1) the keyed compression function f_k of the hash function is a PRF, and (A2) the keyed hash function H_k is *weakly collision resistant*. The proof for NMAC was then lifted to HMAC by further assuming that (A3) the key derivation function in HMAC is a PRF. However, it was noticed that recent collision attacks [17,19,20,18] invalidate (A2) in the case of usual hash function like MD4 or MD5, because one can produce collisions for any public IV. This led Bellare [2] to present new security proofs for NMAC under (A1) only. As a result, the security of HMAC solely depends on (A1) and (A3). The security of NMAC as a PRF holds only if the adversary makes less than $2^{n/2}$ NMAC queries (where n is the MAC size), since there is a generic forgery attack using the birthday paradox with $2^{n/2}$ queries.

Since recent collision attacks cast a doubt on the validity of (A1), one may wonder if it is possible to exploit collision search breakthroughs to attack HMAC and NMAC instantiated with real-life hash functions. In particular, MD4 is a very tempting target since it is by far the weakest real-life hash function with respect to collision resistance. It is not too difficult to apply collision attacks on MD4 [17,21], to obtain distinguishing and existential forgery attacks on

¹ There is small difference in the padding: when we use $H(k||\cdot)$ instead of H_k , the length of the input of the hash function (which is included in the padding) is different.

² If we need an oracle $\text{NMAC}_{k_1, k_2 + \Delta}$, we can not emulate it with an related-key HMAC oracle.

HMAC/NMAC-MD4: for instance, this was done independently by Kim *et al.* [9] and Contini and Yin [4]. The situation is more complex with MD5, because the differential path found in the celebrated MD5 collision attack [19] is not well-suited to HMAC/NMAC since it uses two blocks: Contini and Yin [4] turned instead to the much older MD5 pseudo-collisions of de Boer and Bosselaers [7] to obtain distinguishing and existential forgery attacks on NMAC-MD5 in the related-key setting. It is the use of pseudo-collisions (rather than full collisions) which weakens the attacks to the related-key setting.

Interestingly, universal forgery attacks on HMAC and NMAC seem much more difficult to find. So far, there are only two works in that direction. In [4], Contini and Yin extended the previous attacks to *partial* key-recovery attacks on HMAC/NMAC instantiated with MD4, SHA-0, and a step-reduced SHA-1, and related-key *partial* key-recovery attacks on NMAC-MD5. In [14], Rechberger and Rijmen improved the data complexity of Kim *et al.* [9] attacks, and extended them to a partial key recovery against NMAC-SHA-1. These attacks are only partial in the sense that the NMAC attacks only recover the second key k_2 , which is not sufficient to compute new MACs of arbitrary messages; and the HMAC attacks only recover $H(k \oplus \text{ipad})$ where k is the HMAC secret key, which again is not sufficient to compute new MACs of arbitrary messages, since it does not give the value of k nor $H(k \oplus \text{opad})$. Note that recovering a single key of NMAC does not significantly improve the generic full key-recovery attack which recovers the keys one by one.

Very recently, Rechberger and Rijmen have proposed full key-recovery attacks against NMAC in the related-key setting in [15]. They extended the attack of [4] to a full key-recovery attack against NMAC-MD5, and introduced a full key-recovery attack against NMAC when used with SHA-1 reduced to 34 rounds.

Our Results. We present what seems to be the first universal forgery attack, *without related keys*, on HMAC and NMAC instantiated with a real-life hash function, namely MD4. Our main result is an attack on HMAC/NMAC-MD4 which recovers the full NMAC-MD4 secret key after 2^{88} MAC queries; for HMAC, we do not recover the HMAC-MD4 secret key k , instead we recover both $H(k \oplus \text{ipad})$ and $H(k \oplus \text{opad})$, which is sufficient to compute any MAC. We also obtain a full key-recovery attack on NMAC-MD5 in the related-key setting, by extending the attack of Contini and Yin [4]. This improvement was independently proposed in [14].

Our attacks have a complexity greater than the birthday paradox, so they are not covered by Bellare’s proofs. Some MAC constructions have security proof against PRF-attacks, but are vulnerable to key-recovery attacks. For instance, the envelope method with a single key was proved to be secure, but a key-recovery attack using 2^{67} known text-MAC pairs, and 2^{13} chosen texts was found by Preneel and van Oorschot [12]. However, in the case of NMAC, we can prove that the security against universal forgery cannot be less than the security of the compression function against a PRF distinguisher (see the full version of this paper for the proof). This shows that NMAC offers good resistance beyond

the birthday paradox: a universal forgery attack will have a time complexity of 2^n if there is no weakness in the compression function. Conversely, there is a generic attack against any iterated stateless MAC using a collision in the inner hash function to guess the two subkeys k_1 and k_2 independently, in the case of NMAC it requires $2^{n/2}$ queries and 2^{n+1} hash computations [11,13].

Our attacks on MD4 and MD5 are rather different from each other, although both are based on IV-recovery attacks, which allow to recover the IV when one is given access to a hash function whose IV remains secret. Such IV-recovery attacks can be exploited to attack HMAC and NMAC because the oracle can in fact be very weak: we do not need the full output of the hash function; essentially, we only need to detect if two related messages collide under the hash function. The MD5 related-key attack closely follows the Contini-Yin attack [4]: the IV-recovery attack is more or less based on message modification techniques. The MD4 IV-recovery attack is based on a new technique: we use differential paths which depend on a condition in the IV. The advantage of this technique is that it can be used to recover the outer key quite efficiently, since we only need to control the *difference* of the inputs and not the *values* themselves. This part of the attack shares some similar ideas with [15]. To make this possible *without related keys*, we need a differential path with a message difference only active in the first input words. We found such IV-dependant paths using an automated tool described in [8]. To make this attack more efficient, we also introduce a method to construct cheaply lots of message pairs with a specific hash difference.

Our results are summarized in the following table, together with previous attacks where “Data” means online queries and “Time” is offline computations:

Attacks		Data	Time	Mem	Remark
Generic	E-Forgery	$2^{n/2}$	-	-	[13] Collision based
	U-Forgery	$2^{n/2}$	2^{n+1}	-	[13] Collision based
		1	$2^{2n/3}$	$2^{2n/3}$	[1] TM tradeoff, 2^n precomputation
NMAC-MD4	E-Forgery	2^{58}	-	-	[4] Complexity is actually lower [8]
HMAC-MD4	Partial-KR	2^{63}	2^{40}	-	[4] Only for NMAC
	U-Forgery	2^{88}	2^{95}	-	New result
NMAC-MD5 <i>Related keys</i>	E-Forgery	2^{47}	-	-	[4]
	Partial-KR	2^{47}	2^{45}	-	[4]
	U-Forgery	2^{51}	2^{100}	-	New result – Same as [15]

Like [4], we stress that our results on HMAC and NMAC do not contradict any security proof; on the contrary they show that when the hypotheses over the hash function are not met, an attack can be built.

Road Map. This paper is divided in five sections. In Section 2, we give background and notations on MD4, MD5 and collision attacks based on differential cryptanalysis. In Section 3, we explain the framework of our key-recovery attacks on HMAC and NMAC, by introducing IV-recovery attacks. In Section 4, we present key-recovery attacks on HMAC/NMAC-MD4. Finally, in Section 5, we present related-key key-recovery attacks on NMAC-MD5.

2 Background and notation

Unfortunately, there does not seem to be any standard notation in the hash function literature. Here, we will use a notation similar to that of Daum [6].

2.1 MD4 and MD5

MD4 and MD5 follow the Merkle-Damgård construction. Their compression function are designed to be very efficient using 32-bit words and operations implemented in hardware in most processors:

- rotation \lll ;
- addition mod 2^{32} \boxplus ;
- bitwise boolean operations Φ_i . For MD4 and MD5, they are:
 - $\text{IF}(x, y, z) = (x \wedge y) \vee (\neg x \wedge z)$
 - $\text{MAJ}(x, y, z) = (x \wedge y) \vee (x \wedge z) \vee (y \wedge z)$
 - $\text{XOR}(x, y, z) = x \oplus y \oplus z$
 - $\text{ONX}(x, y, z) = (x \vee \neg y) \oplus z$.

MD4 uses $\text{IF}(x, y, z)$, $\text{MAJ}(x, y, z)$ and $\text{XOR}(x, y, z)$, while MD5 uses $\text{IF}(x, y, z)$, $\text{IF}(z, x, y)$, $\text{XOR}(x, y, z)$ and $\text{ONX}(x, y, z)$.

The compression function cMD4 (resp. cMD5) of MD4 (resp. MD5) uses an internal state of four words, and updates them one by one in 48 (resp. 64) steps. Their input is 128 bits \times 512 bits, and their output is 128 bits. Here, we will assign a name to every different value of these registers, following [6]: the value changed on step i is called Q_i . Then the cMD4 compression function is defined by:

Step update: $Q_i = (Q_{i-4} \boxplus \Phi_i(Q_{i-1}, Q_{i-2}, Q_{i-3}) \boxplus m_i \boxplus k_i) \lll s_i$ Input: $Q_{-4} Q_{-1} Q_{-2} Q_{-3}$ Output: $Q_{-4} \boxplus Q_{44} Q_{-1} \boxplus Q_{47} Q_{-2} \boxplus Q_{46} Q_{-3} \boxplus Q_{45}$

And the cMD5 compression function is given by:

Step update: $Q_i = Q_{i-1} \boxplus (Q_{i-4} \boxplus \Phi_i(Q_{i-1}, Q_{i-2}, Q_{i-3}) \boxplus m_i \boxplus k_i) \lll s_i$ Input: $Q_{-4} Q_{-1} Q_{-2} Q_{-3}$ Output: $Q_{-4} \boxplus Q_{60} Q_{-1} \boxplus Q_{63} Q_{-2} \boxplus Q_{62} Q_{-3} \boxplus Q_{61}$
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The security of the compression function was based on the fact that such operations are not “compatible” and mix the properties of the input.

We will also use $x^{[k]}$ to represent the $k + 1$ -th bit of x , that is $x^{[k]} = (x \ggg k) \bmod 2$ (note that we count bits and steps starting from 0).

2.2 Collision attacks based on differential cryptanalysis

It is natural to apply differential cryptanalysis to find collisions on hash functions based on block ciphers, like MD4 and MD5 (which both follow the Davies-Meyer

construction). The main idea is to follow the differences in the internal state Q_i of the compression function, when the inputs (the IVs or the messages) have a special difference.

Our attacks on NMAC-MD5 are based on the MD5 pseudo-collision of de Boer and Bosselaers [7], like [4] (this is the only known attack against MD5 compression function’s pseudo-randomness). Let IV be a 128-bit value satisfying the so-called dBB condition: the most significant bit of the last three 32-bit words of IV are all equal. Clearly, a randomly chosen IV satisfies the dBB condition with probability $1/4$. It is shown in [7] that in such a case, a randomly chosen 512-bit message M satisfies with heuristic probability 2^{-46} :

$$\text{cMD5}(IV, M) = \text{cMD5}(IV', M),$$

where IV' is the 128-bit value derived from IV by flipping the most significant bit of each of the four 32-bit words of IV . The probability 2^{-46} is obtained by studying the most likely differences for the internal state Q_i , as is usual in differential cryptanalysis.

Our attacks on HMAC/NMAC-MD4 are based on recent collision search techniques for MD4 [17,21], which are organized as follows:

1. A precomputation phase:
 - choose a message difference Δ
 - find a differential path
 - compute a set of sufficient conditions
2. Search for a message M satisfying all the conditions for a given IV; then $\text{cMD4}(IV, M) = \text{cMD4}(IV, M \boxplus \Delta)$.

The differential path specifies how the computations of $\text{cMD4}(IV, M \boxplus \Delta)$ and $\text{cMD4}(IV, M)$ are related: it describes how the differences introduced in the message will evolve in the internal state Q_i . By choosing a special Δ with a low Hamming weight and extra properties, we can find differences in the Q_i which are very likely. Then we look at each step of the compression function, and we can express a set of sufficient conditions that will make the Q_i ’s follow the path. The conditions are on the Q_i ’s, and their values depends of the IV and the message M . For a given message M , we will have $\text{cMD4}(IV, M) = \text{cMD4}(IV, M \boxplus \Delta)$ if the conditions are satisfied; we expect this to happen with probability 2^{-c} for a random message if there are c conditions. Wang introduced some further ideas to make the search for such message more efficient, but they can’t be used in the context of NMAC because the IV is unknown.

3 Key-Recovery Attacks on HMAC and NMAC

In this section, we give a high-level overview of our key-recovery attacks on HMAC and NMAC instantiated with MD4 and MD5. Detailed attacks will be given in the next two sections: Section 4 for MD4 and Section 5 for MD5. We will assume that the attacker can request the MAC of messages of its choice, for

a fixed secret key, and the goal is to recover that secret key. In the related-key setting, we will assume like in [4] that the attacker can request the MAC of messages of its choice, for the fixed secret key as well as for other related secret keys (with a chosen relation). In fact, we will not even need the full output of MAC requests: we will only need to know if the two MACs of messages of our choice collide or not.

To simplify our exposition, we will concentrate on the NMAC case:

$$\text{NMAC}_{k_1, k_2}(M) = H_{k_1}(H_{k_2}(M)).$$

The NMAC-MD4 attack can easily be extended to HMAC-MD4, pending minor modifications. Our NMAC attack will first recover k_2 , then k_1 . We will collect NMAC collisions of a special shape, in order to disclose hash collisions with first k_2 then k_1 .

3.1 Extracting hash collisions from NMAC collisions

We will extract hash collisions from NMAC collisions, that is, pairs (M_1, M_2) of messages such that:

$$(C) \quad M_1 \neq M_2 \quad \text{and} \quad \text{NMAC}_{k_1, k_2}(M_1) = \text{NMAC}_{k_1, k_2}(M_2).$$

Our attacks are based on the elementary observation that H -collisions can leak through NMAC. More precisely, two messages M_1 and M_2 satisfy (C) if and only if they satisfy either (C1) or (C2):

- (C2) $M_1 \neq M_2$ and $H_{k_2}(M_1) = H_{k_2}(M_2)$: we have a collision in the inner hash function;
- (C1) $H_{k_2}(M_1) = N_1 \neq N_2 = H_{k_2}(M_2)$ and $H_{k_1}(N_1) = H_{k_1}(N_2)$: we have a collision in the outer hash function .

If we select M_1 and M_2 uniformly at random, then (C) holds with probability 2^{-128} if NMAC is a random function. However, if we select many pairs (M_1, M_2) in such a way that (C2) holds with a probability significantly higher than 2^{-128} , then whenever $\text{NMAC}_{k_1, k_2}(M_1) = \text{NMAC}_{k_1, k_2}(M_2)$, it will be likely that we also have (C2). More precisely, we have (since $Ci \cap C = Ci$):

$$\frac{\Pr(C2|C)}{\Pr(C1|C)} = \frac{\Pr(C2)}{\Pr(C1)}$$

and we expect that $\Pr(C2) \gg \Pr(C1) \approx 2^{-128}$.

Note that the Merkle-Damgård construction used in H leads to a simple heuristic way to distinguish both cases (without knowing the secret keys k_1 and k_2) if M_1 and M_2 have the same length, and therefore the same padding block P : if $H_{k_2}(M_1) = H_{k_2}(M_2)$, then for any M , we have $H_{k_2}(M_1||P||M) = H_{k_2}(M_2||P||M)$. In other words, the condition (C2) is preserved if we append $P||M$ to both M_1 and M_2 for a randomly chosen M , but that is unlikely for the condition (C1).

To illustrate our point, assume that we know a non-zero Δ such that for all keys k_2 , a randomly chosen one-block message M_1 satisfies with probability 2^{-64} the condition $H_{k_2}(M_1) = H_{k_2}(M_2)$ where $M_2 = M_1 \boxplus \Delta$. If we select 2^{64} one-block messages M_1 uniformly at random and call the NMAC oracle on each M_1 and $M_1 \boxplus \Delta$, we are likely to find a pair $(M_1, M_2 = M_1 \boxplus \Delta)$ satisfying (C). By the previous reasoning, we expect that such a pair actually satisfies (C2).

Thus, the NMAC oracle allows us to detect collisions on H_{k_2} , if we are able to select messages which have a non-negligible probability of satisfying (C2). To detect collisions in H_{k_1} , we will use the values of k_2 (recovered using collisions in H_{k_2}): then, we can compute H_{k_2} and directly check whether the NMAC collision come from (C1). We now explain how to use such collision detections to recover the secret keys k_2 and k_1 .

3.2 IV-recovery attacks

The previous subsection suggests the following scenario. Assume that a fixed key k is secret, but that one is given access to an oracle which on input M_1 and M_2 , answers whether $H_k(M_1) = H_k(M_2)$ holds or not. Can one use such an oracle to recover the secret key k ? If so, we have what we call an IV-recovery attack.

An IV-recovery attack would clearly reveal the second key k_2 of NMAC, because of (C2). But it is not clear why this would be relevant to recover the outer key k_1 . To recover k_1 thanks to (C1), we would need the following variant of the problem. Namely, one would like to retrieve a secret key k_1 when given access to an oracle which on input M_1 and M_2 , answers whether $H_{k_1}(H_{k_2}(M_1)) = H_{k_1}(H_{k_2}(M_2))$ holds or not, where k_2 is known. Since the messages are first processed through a hash function, the attacker no longer chooses the input messages of the keyed hash function, and this oracle is much harder to exploit than the previous one. We call such attacks composite IV-recovery attacks. In the attack on HMAC/NMAC-MD4, we will exploit the Merkle-Damgård structure of H_{k_2} to efficiently extend the basic IV-recovery attacks into composite IV-recovery attacks.

We will present two types of IV-recovery attacks. The first type is due to Contini and Yin [4] and uses related messages, while the second type is novel, based on IV-dependent differential paths.

Using related messages. We present the first type of IV-recovery attacks. Assume that we know a specific differential path corresponding to a message difference Δ and with total probability p much larger than 2^{-128} . In other words, a randomly chosen message M will satisfy with probability p :

$$H_k(M) = H_k(M \boxplus \Delta).$$

By making approximately $2/p$ queries to the H_k -oracle, we will obtain a message M such that $H_k(M) = H_k(M \boxplus \Delta)$. Contini and Yin [4] then make the heuristic assumption that the pair $(M, M \boxplus \Delta)$ must follow the whole differential path, and not just the first and last steps. Since they do not justify that assumption,

let us say a few words about it. The assumption requires a strong property on our specific differential path: that there are no other differential paths with better (or comparable) probability. In some sense, differential cryptanalysis on block ciphers use similar assumptions, and to see how realistic that is, one makes experiments on reduced-round versions of the block cipher. However, one might argue that there are intuitively more paths in hash functions than in block ciphers because of the following facts:

- the message length of a compression function is much bigger than the length of the round key in a block cipher.
- a step of the compression function is usually much simpler than a block-cipher step.

Also, because the paths for hash functions have a different shape from those of block ciphers, experiments on reduced-round versions may not be as conclusive.

The paper [4] shows that for usual differential paths (like those of MD4), if $(M, M \boxplus \Delta)$ satisfies the whole path, then one can build plenty of messages M^* closely related to M such that:

- If a specific internal register Q_i (during the computation of $H_k(M)$) satisfies certain conditions, then the pair $(M^*, M^* \boxplus \Delta)$ follows the whole path with probability p or larger, in which case $H_k(M^*) = H_k(M^* \boxplus \Delta)$.
- Otherwise, the pair $(M^*, M^* \boxplus \Delta)$ will drift away from the path at some position, and the probability of $H_k(M^*) = H_k(M^* \boxplus \Delta)$ is heuristically 2^{-128} .

Thus, by sending to the oracle many well-chosen pairs $(M', M' \boxplus \Delta)$, one can learn many bits of several internal register Q_i 's during the computation of $H_k(M)$. Applying exhaustive search on the remaining bits of such Q_i 's, one can guess the whole contents of four consecutive Q_i 's. By definition of cMD4 and cMD5, it is then possible to reverse the computation of $H_k(M)$, which discloses $k = (Q_{-4}, Q_{-3}, Q_{-2}, Q_{-1})$.

Using IV-dependent differential paths. We now present a new type of IV-recovery attacks, that we will apply against MD4. Assume again that we know a specific differential path corresponding to a message difference Δ and with total probability p much larger than 2^{-128} , but assume this time that the path is IV-dependent: it holds only if the IV satisfies a specific condition (SC). In other words, if k satisfies (SC), then a randomly chosen message M will satisfy with probability p :

$$H_k(M) = H_k(M \boxplus \Delta).$$

But if k does not satisfy (SC), the pair $(M, M \boxplus \Delta)$ will drift away from the differential path from the first step, leading us to assume that $H_k(M) = H_k(M \boxplus \Delta)$ will hold with probability only 2^{-128} .

This would lead to the following attack: we would submit approximately $2/p$ pairs $(M, M \boxplus \Delta)$ to the H_k -oracle, and conclude that k satisfies (SC) if and

only if $H_k(M) = H_k(M \boxplus \Delta)$ for at least one M . When sufficient information on k has been gathered, the rest of k can be guessed by exhaustive search if we have at least one collision of the form $H_k(M) = H_k(M \boxplus \Delta)$.

Notice that in some sense the differential path of the MD5 pseudo-collision [7] is an example of IV-dependent path where (SC) is the dBB condition, but it does not disclose much information about the IV. We would need to find many IV-dependent paths. Our attack on HMAC/NMAC-MD4 will use 22 such paths, which were found by an automated search.

We note that such attacks require an assumption similar to the previous IV-recovery attack. Namely, we assume that for the same message difference Δ , there is no differential paths with better (or comparable) probability, with or without conditions on the IV. To justify this assumption for our HMAC/NMAC-MD4 attack, we have performed experiments which will be explained in Section 4.

3.3 Subtleties between the inner and outer keys

Although the recovery of the inner key k_2 and the outer key k_1 both require IV-recovery attacks, we would like to point out subtle differences between the two cases. As mentioned previously, the recovery of k_2 only requires a basic IV-recovery attack, while the recovery of k_1 requires a composite IV-recovery attack. The composite IV-recovery attacks will be explained in Section 4 for MD4, and Section 5 for MD5.

When turning a basic IV-recovery attack into a composite IV-recovery, there are two important restrictions to consider:

- We have no direct control over the input of the outer hash function, it is the result of the inner hash function. So IV-recovery attacks using message modifications will become much less efficient when turned into composite IV-recovery. We will see this in Section 5 when extending the partial key-recovery from [4] into a full key-recovery.
- Since the input of H_{k_1} is a hash, its length is only 128 bits. Any differential path using a message difference Δ with non-zero bits outside these 128 first bits will be useless. This means that the partial key-recovery attacks from [4] against MD4, SHA-0 and reduced SHA-1 can't be extended into a full key-recovery.

Using related keys one can use a differential path with a difference in the IV and no message difference – such as the one from [7] – and try a given message with both keys. However, if we want to get rid of related keys, we need a differential path with no IV difference and a difference in the beginning of the message.

3.4 Summary

To summarize, our attacks will have essentially the following structure (the MD5 attack will be slightly different because of the related-key setting):

1. Apply an IV-recovery attack to retrieve k_2 , repeating sufficiently many times:

- (a) Select many one-block messages M uniformly at random.
 - (b) Observe if $\text{NMAC}_{k_1, k_2}(M) = \text{NMAC}_{k_1, k_2}(M \boxplus \Delta_1)$ for some M and a well-chosen Δ_1 .
 - (c) Deduce information on k_2 .
2. Apply a composite IV-recovery attack to retrieve k_1 , repeating sufficiently many times:
- (a) Construct carefully many pairs (M_1, M_2) .
 - (b) Observe if $\text{NMAC}_{k_1, k_2}(M_1) = \text{NMAC}_{k_1, k_2}(M_2)$ for some pair (M_1, M_2) .
 - (c) Deduce information on k_1 .

4 Attacking HMAC/NMAC-MD4

4.1 Our IV-recovery Attack against MD4

In order to find differential paths which leak information about the key, we consider differential paths with a message difference in the first word (eg. $\delta m_0 = 1$). Then in the first steps of the compression function, we have:

$$\begin{aligned} Q_0 &= (Q_{-4} \boxplus \text{IF}(Q_{-1}, Q_{-2}, Q_{-3}) \boxplus m_0) \lll 3 \\ Q'_0 &= (Q_{-4} \boxplus \text{IF}(Q_{-1}, Q_{-2}, Q_{-3}) \boxplus m_0 \boxplus 1) \lll 3 \end{aligned}$$

So $Q_0^{[3]} \neq Q'_0^{[3]}$. Then

$$\begin{aligned} Q_1 &= (Q_{-3} \boxplus \text{IF}(Q_0, Q_{-1}, Q_{-2}) \boxplus m_1) \lll 7 \\ Q'_1 &= (Q_{-3} \boxplus \text{IF}(Q'_0, Q_{-1}, Q_{-2}) \boxplus m_1) \lll 7 \end{aligned}$$

Thus, if $Q_{-1}^{[3]} \neq Q_{-2}^{[3]}$, we will have $\text{IF}(Q_0, Q_{-1}, Q_{-2}) \neq \text{IF}(Q'_0, Q_{-1}, Q_{-2})$ and $Q_1 \neq Q'_1$. On the other hand, if $Q_{-1}^{[3]} = Q_{-2}^{[3]}$ and there is no carry when going from Q_0 to Q'_0 , then $Q_1 = Q'_1$. Therefore, collision paths where $Q_{-1}^{[3]} = Q_{-2}^{[3]}$ will be significantly different from collision paths where $Q_{-1}^{[3]} \neq Q_{-2}^{[3]}$. This suggests that the collision probability will be correlated with the condition (SC) : $Q_{-1}^{[3]} = Q_{-2}^{[3]}$, and we expect to be able to detect the bias. More precisely we believe that the case $Q_{-1}^{[3]} \neq Q_{-2}^{[3]}$ will give a much smaller collision probability, since it means that an extra difference is introduced in step 1.

To check this intuition experimentally, we ran one cMD4 round (16 steps) with a random IV on message pairs $(M, M \boxplus 1)$ where M was also picked at random, and we looked for pseudo-collisions in $Q_{12} \dots Q_{15}$ with the following properties:

- The weight of the non-adjacent form of the difference is lower or equal to 4.
- There is no difference on $Q_{12}^{[12]}$.

The second condition is required to eliminate the paths which simply keep the difference introduced in $Q_0^{[3]}$ without modifying it. We ran this with $5 \cdot 10^{11}$ random messages and IVs and found 45624 collisions out of which 45515 respected

the condition: this gives a ratio of about 420. This does not prove that we will have such a bias for collisions in the full MD4, but it is a strong evidence.

The same arguments apply when we introduce the message difference in another bit k (i.e. $\delta m_0 = 2^k$): we expect to find more collisions if $Q_{-1}^{[k \boxplus s_0]} = Q_{-2}^{[k \boxplus s_0]}$.

We ran a differential path search algorithm to find such paths, and we did find 22 paths for different values of k with $Q_{-1}^{[k \boxplus s_0]} = Q_{-2}^{[k \boxplus s_0]}$. The path for $k = 0$ is given in Appendix B, and the other paths are just a rotation of this one. The corresponding set of sufficient conditions contains 79 conditions on the internal variables Q_i , so we expect that for a random message M :

$$\begin{aligned} \Pr[\text{MD4}(M) = \text{MD4}(M + \Delta)] = p &\geq 2^{-79} && \text{if } Q_{-1}^{[k \boxplus s_0]} = Q_{-2}^{[k \boxplus s_0]} \\ &\ll p && \text{if } Q_{-1}^{[k \boxplus s_0]} \neq Q_{-2}^{[k \boxplus s_0]} \end{aligned}$$

If we try 2^{82} message pairs per path, we will find a collision for every path whose condition is fulfilled with a probability³ of more than 99%. Then we know 22 bits of the IV ($Q_{-1}^{[k \boxplus s_0]} = Q_{-2}^{[k \boxplus s_0]}$ or $Q_{-1}^{[k \boxplus s_0]} \neq Q_{-2}^{[k \boxplus s_0]}$), which leaves only 2^{106} IV candidates. To check if a given IV is the correct one, we just check whether it gives a collision on the pairs colliding with the real IV, so we expect to find the IV after computing 2^{105} pairs of hashes in an offline phase.

We show in Appendix A.2 how to reduce the search space to 2^{94} keys by extracting more than one bit of information when a collision is found. This gives an IV-recovery attack against MD4 with a data complexity of 2^{88} MD4 oracle queries, and a time complexity of 2^{94} MD4 evaluations.

4.2 Deriving a Composite IV-recovery Attack against MD4

To turn this into a composite IV-recovery attack, we need to efficiently compute message pairs M, M' such that $H_{k_2}(M) = H_{k_2}(M') \boxplus \Delta$ (we will need 2^{82} such pairs). As we know k_2 (in the HMAC attack, we first recover it using the basic IV-recovery attack), we can compute $H_{k_2}(M)$ and find such pairs offline. If we do this naively using the birthday paradox, we need to hash about 2^{106} random messages to have all the pairs we need⁴. Then we can use the IV-recovery attack to get k_1 .

Actually, we can do much better: we use the birthday paradox to find one pair of one-block messages (R, R') such that $H_{k_2}(R') = H_{k_2}(R) \boxplus \Delta$, and then we extend it to a family of two-block message pairs such that $H_{k_2}(R' || Q') = H_{k_2}(R || Q) \boxplus \Delta$ with very little extra computation. In the end, the cost to generate the messages with $H_{k_2}(M) = H_{k_2}(M') \boxplus \Delta$ will be negligible and the composite IV-recovery attack is as efficient as the basic one. This is the most important part of our work: thanks to our new path, we only need a low level of control on the input of the hash function to extract the IV.

³ We have $\left(1 - (1 - 2^{-79})^{2^{82}}\right)^{22} > 0.992$

⁴ This gives 2^{210} pairs of messages, and each pair has a probability of 2^{-128} to have the correct difference.

Extending a Pair of Good Messages into a Family of Pairs. Figure 1 shows how we will create many message pairs with $H_{k_2}(M) = H_{k_2}(M') \boxplus \Delta$. We use a pair of one-block message (R, R') such that $H_{k_2}(R') = H_{k_2}(R) \boxplus \Delta$. Then we will generate a second block pair (Q, Q') such that $H_{k_2}(R' || Q') \boxminus H_{k_2}(R || Q) = \Delta$. Thanks to the Davies-Meyer construction of the compression function, all that we need is a difference path which starts with a Δ difference and ends with a zero difference in the internal state; then the feed-forward of H will keep $\delta H = \Delta$. This path can be found by a differential path search algorithm, or created by hand by slightly modifying a collision path.

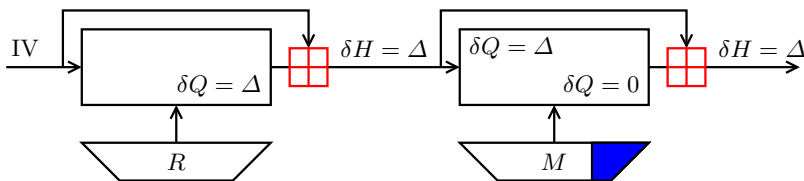


Fig. 1: Generating many pairs of message with a fixed hash difference

In this step, we also have to take care of the padding in MD4. Usually we ignore it because a collision at the end of a block is still a collision after an extra block of padding, but here we want a specific non-zero difference, and this will be broken by an extra block. So we have to adapt our collision finding algorithm to produce a block with a 55-byte message M and the last 9 bytes fixed by the MD4 padding. This can be done with nearly the same complexity as unconstrained MD4 collisions (about 4 MD4 computations per collision) using the technique of Leurent [10]. Thus, the cost of the message generation in the composite IV-recovery attack drops from 2^{106} using the birthday paradox to 2^{90} and becomes negligible in the full attack.

4.3 MD4 Attack Summary

This attack uses the same IV-recovery attack for the inner key and the outer key, with a complexity of 2^{88} online queries and 2^{94} offline computations. We manage to keep the complexity of the composite IV-recovery as low as the basic IV-recovery because we only need to control the hash differences, and we introduce a trick to generate many messages with a fixed hash difference.

In Appendix A.1 we show how to reduce a little bit the query complexity of the attack, and in the end the NMAC full key-recovery attack requires 2^{88} requests to the oracle, and 2×2^{94} offline computations.

5 Attacking NMAC-MD5

In this section, we will describe the attack of Contini and Yin [4], and we extend it to a full key recovery. This improved attack was independently found by Rechberger and Rijmen in [15].

As for MD4, the IV-recovery attack is based on a specific differential path, and assumes that when a collision is found with the given message difference, the Q_i 's follow the path. This gives some bits of the internal state already, and a kind of message modification technique to disclose more bits is proposed in [4]. We can learn bits of Q_t using related messages where we fix the first t words.

5.1 The IV-recovery Attack against MD5

The IV-recovery attack on MD5 is the same as the one presented in [4]. It uses the related-message technique with the pseudo-collision path of de Boer and Bosselaers [7]. Since the differences are in the IV and not in the message, the IV-recovery needs an oracle that answers whether $\text{MD5}_{\text{IV}}(M) = \text{MD5}_{\text{IV}'}(M)$, instead of the standard oracle that answers whether $\text{MD5}_{\text{IV}}(M) = \text{MD5}_{\text{IV}}(M')$. To apply this to an HMAC key-recovery, we will have to use the related-key model: we need an oracle for NMAC_{k_1, k_2} , $\text{NMAC}_{k'_1, k_2}$ and NMAC_{k_1, k'_2} .

The IV-recovery attack in the related-key setting requires 2^{47} queries and 2^{45} hash computations, and this translates into a partial key-recovery (we will recover k_2) against NMAC-MD5 in the related-key model with the same complexity.

5.2 Deriving a Composite IV-recovery against MD5

To extend this to a composite IV-recovery attack, we run into the problem previously mentioned; to use this attack we need to create many inputs N^* of the hash function related to one input N , but these inputs are the outputs of a first hash function, and we cannot choose them freely: $N = \text{MD5}_{k_2}(M)$. However, we know k_2 , so we can compute many $N_R = H_{k_2}(R)$ for random messages R and select those that are related to a particular N ; if we want to recover bits of Q_t we will have to choose $32(t+1)$ bits of N_R . We also run into the problem that any N_R is only 128 bits long; the last 384 bits will be fixed by the padding and there are the same for all messages. Therefore, we can only use the related-message technique to recover bits of the internal state of in the very first steps, whereas in the simple IV-recovery it is more efficient to recover the internal state of later steps (Contini and Yin used step 11 to 14). If we want to recover bits of Q_0 (due to the rotation we can only recover 25 bits of them), we need to produce 24×2^{45} messages N^* with the first 32 bits chosen; this will cost $24 \times 2^{45} \times 2^{32} \approx 2^{82}$ hash computations. Then, we know 25 bits of Q_0 , plus the most significant bit of Q_1 , Q_2 , and Q_3 ; we still have 100 bits to guess. Thus, we have a related-key composite IV-recovery attack against MD5 with $2 \times 24 \times 2^{45} \approx 2^{51}$ oracle queries and 2^{100} MD5 evaluations.

If we try to guess bits in Q_1 , we have to select at least 2^{44} hashes with 64 chosen bits; this costs about 2^{108} MD5, so it does not improve the attack.

5.3 MD5 Attack Summary

Thus, the Contini-Yin NMAC-MD5 attack can be extended into a full key-recovery attack in the related-key setting, with a query complexity of 2^{51} , a time complexity of 2^{100} MD5 operations, and success rate of 2^{-4} (due to the dBB condition for k_1 and k_2).

It is a very simple extension of the attack from Contini and Yin: we apply their technique to recover the outer key, but since we cannot choose the value of $H_{k_2}(M)$, we compute it for many random messages until we find a good one. This requires to change the step in which we extract internal bits, and the complexity become much higher.

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A Improving the MD4 IV-recovery

A.1 Reducing the Online Cost

First, we can easily lower the number of calls to the NMAC-oracle in the first phase of the IV-recovery. Instead of trying 22×2^{82} random message pairs, we will choose the messages more cleverly so that each message belongs to 22 pairs: we first choose 490 bits of the message at random and then use every possibility for the 22 remaining bits. Thus, we only need 2^{83} calls to the oracle instead of 22×2^{83} .

Note that we cannot use this trick in the composite IV-recovery attack, so the number of queries for the full key-recovery will only be halved (the queries for the basic IV-recovery for k_2 become negligible compared to the queries for the composite IV-recovery that will reveal k_2).

A.2 Reducing the Offline Cost

We may also lower the computational cost of the attack, by getting more than one bit of the IV once a collision has been found. This will require the extra assumption the colliding messages follow the differential path in step 1 (previously

we only needed step 0), but this seems quite reasonable, for the same reasons. Out of the 22 paths used to learn IV bits, let p be the number of paths for which the condition holds, and a collision is actually found. From each message that collides following the differential path, we can also extract some conditions on the internal states Q_0 and Q_1 . These states are not part of the IV, but since we know the message used, we can use these conditions to learn something on the IV. If we have a message pair that collides with $M' \boxminus M = 2^k$, we will call them $M^{(k)}$ and $M'^{(k)}$ and the condition gives us $Q_0^{[k \boxplus s_0]}(M^{(k)})$ and $Q_1^{[k \boxplus s_0]}(M^{(k)})$. The idea is the following (the symbol ‘ \blacktriangleright ’ summarizes the number of bits to guess at each step):

1. We guess Q_{-1} . Let $n = 32 - |Q_{-1}|$ be the number of 0 bits in Q_{-1} (we use $|x|$ to denote the Hamming weight of x).
2. We compute 22 bits of Q_{-2} using the conditions on the IV, and we guess the others \blacktriangleright 10 bits.
3. We guess the bits of Q_{-3} used to compute Q_0 . Since we have $Q_0 = (Q_{-4} \boxplus \text{IF}(Q_{-1}, Q_{-2}, Q_{-3}) \boxplus k_0 \boxplus m_0) \ll s_0$, we only need $Q_{-3}^{[i]}$ when $Q_{-1} = 0$ \blacktriangleright n bits.
4. We have $Q_{-4} = (Q_0 \ggg s_0) \boxminus \text{IF}(Q_{-1}, Q_{-2}, Q_{-3}) \boxminus m_0 \boxminus k_0$. If we use it with the message $M^{(0)}$ and take the equation modulo 2, it becomes: $Q_{-4}^{[0]} = Q_0^{[s_0]}(M^{(0)}) \boxminus (\text{IF}(Q_{-1}, Q_{-2}, Q_{-3}) \boxminus m_0^{(0)} \boxminus k_0) \bmod 2$, and it gives us $Q_{-4}^{[0]}$. Then, if we write the equation with $M^{(1)}$ and take it modulo 2, we will learn $Q_0^{[s_0]}(M^{(1)})$ from $Q_{-4}^{[0]}$. Since we know $(Q_0(M^{(1)})) \lll s_0 \bmod 4$ from $Q_0^{[s_0]}(M^{(1)})$ and $Q_0^{[1 \boxplus s_0]}(M^{(1)})$, we can take the equation modulo 4 to learn $Q_{-4}^{[1]}$.
By repeating this process, we learn the full Q_{-4} , but we need to guess the bit i when we don't have a message pair $M^{(i)}, M'^{(i)}$ \blacktriangleright $32 - p$ bits.
5. We apply the same process to compute the remaining bits of Q_{-3} . We already know n bits and we expect to be able to compute a ratio of $p/32$ of the missing ones. \blacktriangleright $\frac{(32-n)(32-p)}{32}$ bits.

So, for each choice of Q_{-1} in step 1, we have to try a number of choices for the other bits that depends on the Hamming weight $32 - n$ of Q_{-1} . In the end, the number of keys to try is:

$$\sum_{Q_{-1}} 2^{10+n+32-p+(32-n)(32-p)/32} = 2^{74-2p} \left(1 + 2^{p/32}\right)^{32}$$

With $p = 11$, this becomes a little less than 2^{90} , but the complexity depends on the number of conditions fulfilled by the key. If we assume that every condition has a probability of one half to hold, we can compute the average number of trials depending on the keys, and we will have to try half of them:

$$\frac{1}{\#k} \sum_k 2^{74-2p} \left(1 + 2^{p/32}\right)^{32} < 2^{93.8}$$

Hence, we have an IV-recovery attack requiring less than 2^{88} queries to the NMAC oracle, and less than 2^{94} offline hash computations. See the full version of this paper for a detailed complexity analysis.

B IV-dependent Differential Path

Here is one of the 22 IV-dependent paths we found in MD4. The 22 paths can be deduced from this one by rotating all the bit differences and bit conditions: it works on bit positions 0, 1, 3, 4, 6-8, 12-17, 19-24, 26, 27, and 29, and fails on other positions due to carry expansions.

This path was found using an automated differential paths search algorithm described in [8].

step	s_i	δm_i	$\partial \Phi_i$	∂Q_i	Φ -conditions and \lll -conditions
0	3	$\langle \blacktriangle^{[0]} \rangle$		$\langle \blacktriangle^{[3]} \rangle$	
1	7				$Q_{-1}^{[3]} = Q_{-2}^{[3]}$
2	11				$Q_{-1}^{[3]} = 0$
3	19				$Q_{-2}^{[3]} = 1$
4	3			$\langle \blacktriangledown^{[6,7]} \rangle$	
5	7				$Q_3^{[6]} = Q_2^{[6]}, Q_3^{[7]} = Q_2^{[7]}$
6	11				$Q_5^{[6]} = 0, Q_5^{[7]} = 0$
7	19	$\langle \blacktriangle^{[7]} \rangle$	$\langle \blacktriangle^{[26]} \rangle$		$Q_6^{[6]} = 1, Q_6^{[7]} = 0$
8	3	$\langle \blacktriangledown^{[26]} \rangle$	$\langle \blacktriangle^{[9]}, \blacktriangledown^{[29]} \rangle$		$Q_5^{[26]} = 1, Q_6^{[26]} = 0$
9	7				$Q_7^{[9]} = Q_6^{[9]}, Q_8^{[26]} = 0, Q_7^{[29]} = Q_6^{[29]}$
10	11				$Q_9^{[9]} = 0, Q_9^{[26]} = 1, Q_9^{[29]} = 0$
11	19			$\langle \blacktriangle^{[13]} \rangle$	$Q_{10}^{[9]} = 1, Q_{10}^{[29]} = 1$
12	3			$\langle \blacktriangledown^{[0]}, \blacktriangle^{[12]} \rangle$	$Q_{10}^{[13]} = Q_9^{[13]}$
13	7				$Q_{11}^{[0]} = Q_{10}^{[0]}, Q_{11}^{[12]} = Q_{10}^{[12]}, Q_{11}^{[13]} = 0$
14	11	$\langle \blacktriangledown^{[0]} \rangle$	$\langle \blacktriangle \blacktriangle \blacktriangledown^{[11 \dots 13]} \rangle$		$Q_{13}^{[0]} = 1, Q_{13}^{[12]} = 0, Q_{13}^{[13]} = 1$
15	19	$\langle \blacktriangledown^{[13]} \rangle$			$Q_{14}^{[0]} = 1, Q_{13}^{[11]} = Q_{12}^{[11]}, Q_{13}^{[12]} = 0, Q_{13}^{[13]} = 1, Q_{12}^{[13]} = 0$
16	3	$\langle \blacktriangle^{[0]} \rangle$	$\langle \blacktriangle \blacktriangledown^{[12,13]} \rangle$		$Q_{15}^{[11]} = Q_{13}^{[11]}, Q_{15}^{[12]} \neq Q_{13}^{[12]}, Q_{15}^{[13]} \neq Q_{13}^{[13]}$
17	5				$Q_{16}^{[11]} = Q_{15}^{[11]}, Q_{16}^{[12]} = Q_{15}^{[12]}, Q_{16}^{[13]} = Q_{15}^{[13]}$
18	9			$\langle \blacktriangle \blacktriangle \blacktriangledown^{[20 \dots 23]} \rangle$	
19	13				$Q_{17}^{[20]} = Q_{16}^{[20]}, Q_{17}^{[21]} = Q_{16}^{[21]}, Q_{17}^{[22]} = Q_{16}^{[22]}, Q_{17}^{[23]} = Q_{16}^{[23]}$
20	3	$\langle \blacktriangledown^{[23]} \rangle$	$\langle \blacktriangledown^{[26]} \rangle$		$Q_{19}^{[20]} = Q_{17}^{[20]}, Q_{19}^{[21]} = Q_{17}^{[21]}, Q_{19}^{[22]} = Q_{17}^{[22]}, Q_{19}^{[23]} \neq Q_{17}^{[23]}$
21	5				$Q_{20}^{[20]} = Q_{19}^{[20]}, Q_{20}^{[21]} = Q_{19}^{[21]}, Q_{20}^{[22]} = Q_{19}^{[22]}, Q_{20}^{[23]} = Q_{19}^{[23]}, Q_{19}^{[26]} = Q_{18}^{[26]}$
22	9			$\langle \blacktriangledown^{[29]} \rangle$	$Q_{21}^{[26]} = Q_{19}^{[26]}$
23	13				$Q_{22}^{[26]} = Q_{21}^{[26]}, Q_{21}^{[29]} = Q_{20}^{[29]}$
24	3			$\langle \blacktriangle \blacktriangledown^{[29,30]} \rangle$	$Q_{23}^{[29]} = Q_{21}^{[29]}$
25	5				$Q_{23}^{[30]} = Q_{22}^{[30]}$
26	9	$\langle \blacktriangle^{[29]} \rangle$			$Q_{25}^{[29]} \neq Q_{23}^{[29]}, Q_{25}^{[30]} = Q_{23}^{[30]}$
27	13				$Q_{26}^{[29]} = Q_{25}^{[29]}, Q_{26}^{[30]} = Q_{25}^{[30]}$
28	3			$\langle \blacktriangledown^{[0]} \rangle$	
29	5				$Q_{27}^{[0]} = Q_{26}^{[0]}$
30	9				$Q_{29}^{[0]} = Q_{27}^{[0]}$
31	13				$Q_{30}^{[0]} = Q_{29}^{[0]}$
32	3	$\langle \blacktriangle^{[0]} \rangle$			

Path 1: A path with the message difference on the first word.