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# Computing Perfect Heuristics in Polynomial Time: On Bisimulation and Merge-and-Shrink Abstraction in Optimal Planning

Raz Nissim

Ben-Gurion University  
Beer-Sheva, Israel  
raznis@cs.bgu.ac.il

Jörg Hoffmann

INRIA  
Nancy, France  
joerg.hoffmann@inria.fr

Malte Helmert

University of Freiburg  
Freiburg, Germany  
helmert@informatik.uni-freiburg.de

## Abstract

A\* with admissible heuristics is a very successful approach to optimal planning. But how to derive such heuristics automatically? Merge-and-shrink abstraction (M&S) is a general approach to heuristic design whose key advantage is its capability to make very fine-grained choices in defining abstractions. However, little is known about how to actually make these choices. We address this via the well-known notion of *bisimulation*. When aggregating only bisimilar states, M&S yields a perfect heuristic. Alas, bisimulations are exponentially large even in trivial domains. We show how to apply *label reduction* – not distinguishing between certain groups of operators – without incurring any information loss, while potentially reducing bisimulation size exponentially. In several benchmark domains, the resulting algorithm computes perfect heuristics in polynomial time. Empirically, we show that approximating variants of this algorithm improve the state of the art in M&S heuristics. In particular, a simple hybrid of two such variants is competitive with the leading heuristic LM-cut.

## 1 Introduction

Many optimal planning systems are based on state-space search with A\* and admissible heuristics. The research question is how to derive the heuristic automatically. Merge-and-shrink abstraction [Dräger *et al.*, 2006; Helmert *et al.*, 2007], in short M&S, uses solution distance in a smaller, *abstract* state space to yield a consistent and admissible heuristic.

The abstract state space is built in an incremental fashion, starting with a set of atomic abstractions corresponding to individual variables, then iteratively *merging* two abstractions – replacing them with their synchronized product – and *shrinking* them – aggregating pairs of states into one. Thus, despite the exponential size of the state space, M&S allows to select individual pairs of states to aggregate. This freedom in abstraction design comes with significant advantages. M&S dominates most other known frameworks for computing admissible planning heuristics: for any given state, it can with polynomial overhead compute a larger lower bound [Helmert and Domshlak, 2009]. Further, in difference to

most other known frameworks, M&S is able to compute, in polynomial time, perfect heuristics for some benchmark domains where optimal planning is easy [Helmert *et al.*, 2007; Helmert and Mattmüller, 2008].

M&S currently does not live up to its promises: (A) in the international planning competition (IPC) benchmarks, it gives worse empirical performance than the currently leading heuristics, in particular LM-cut [Helmert and Domshlak, 2009]; (B) it does not deliver perfect heuristics in the benchmarks where it could. The theoretical power of M&S hinges on the ability to take perfect decisions as to which pairs of states to aggregate. Little is known about how to take such decisions in practice. We herein address this issue, largely solving (B), and making headway towards solving (A).

Our investigation is based on the notion of *bisimulation*, a well-known criterion under which an abstract state space “exhibits the same observable behavior” as the original state space [Milner, 1990]. Two states  $s, t$  are bisimilar if: (1) they agree on whether or not the goal is true; and (2) every transition label, i.e., every planning operator, leads into the same abstract state from both  $s$  and  $t$ . If we aggregate only bisimilar states during M&S, then the heuristic is guaranteed to be perfect. A coarsest bisimulation can be efficiently constructed, and thus this offers a practical strategy for selecting the states to aggregate. Indeed, this was observed already by Dräger *et al.* [2006] (in a model checking context). However, bisimulations are exponentially big even in trivial examples, including the aforementioned benchmarks (B). Our key observation is that, for the purpose of computing a heuristic, we can relax bisimulation significantly without losing any information. Namely, we do not need to distinguish the transition labels. Such a *fully label-reduced* bisimulation still preserves solution distance, while often being exponentially smaller.

Unfortunately, while full label reduction does not affect solution distances per se, its application within the M&S framework is problematic. The merging step, in order to synchronize transitions, needs to know which ones share the same label, i.e., correspond to the same operator. We tackle this by using *partial* label reductions, ignoring the difference between two labels only if they are equivalent for “the rest” of the M&S construction. We thus obtain, again, a strategy that guarantees to deliver a perfect heuristic. This method largely solves challenge (B), in that its runtime is polynomially bounded in most of the relevant domains.

Even label-reduced bisimulations are often prohibitively big, thus for practicality one needs a strategy to approximate further if required. We experiment with a variety of such strategies, and examine their performance empirically. Each single strategy still is inferior to LM-cut. However, the different strategies exhibit complementary strengths, i.e., they work well in different domains. We thus experiment with simple hybrid planners running two of the strategies sequentially. We find that, addressing challenge (A), one of these hybrids is competitive with LM-cut in the IPC benchmarks, and even outperforms it when ignoring the Miconic domain.

For space reasons, we omit many details and only outline proofs. Full details are available from the authors on request.

## 2 Background

We consider optimal sequential planning with finite-domain variables. A **planning task** is a 4-tuple  $(\mathcal{V}, \mathcal{O}, s_0, s_*)$ .  $\mathcal{V}$  is a finite set of **variables**, where each  $v \in \mathcal{V}$  is associated with a finite domain  $\mathcal{D}_v$ . A **partial state** over  $\mathcal{V}$  is a function  $s$  on a subset  $\mathcal{V}_s$  of  $\mathcal{V}$ , so that  $s(v) \in \mathcal{D}_v$  for all  $v \in \mathcal{V}_s$ ;  $s$  is a **state** if  $\mathcal{V}_s = \mathcal{V}$ . The **initial state**  $s_0$  is a state. The **goal**  $s_*$  is a partial state.  $\mathcal{O}$  is a finite set of **operators**, each being a pair  $(pre, eff)$  of partial states, called its **precondition** and **effect**.

The semantics of planning tasks are, as usual, defined via their **state spaces**, which are (labeled) **transition systems**. Such a system is a 5-tuple  $\Theta = (S, L, T, s_0, S_*)$  where  $S$  is a finite set of **states**,  $L$  is a finite set of **transition labels**,  $T \subseteq S \times L \times S$  is a set of **labeled transitions**,  $s_0 \in S$  is the **start state**, and  $S_* \subseteq S$  is the set of **solution states**. In the state space of a planning task,  $S$  is the set of all states;  $s_0$  is identical with the initial state of the task;  $s \in S_*$  if  $s_* \subseteq s$ ; the transition labels  $L$  are the operators  $\mathcal{O}$ ; and  $(s, (pre, eff), s') \in T$  if  $s$  complies with  $pre$ ,  $s'(v) = eff(v)$  for  $v \in \mathcal{V}_{eff}$ , and  $s'(v) = s(v)$  for  $v \in \mathcal{V} \setminus \mathcal{V}_{eff}$ . A **plan** is a path from  $s_0$  to any  $s_* \in S_*$ . The plan is **optimal** iff its length is equal to  $sd(s_0)$ , where  $sd : S \rightarrow \mathbb{N}_0$  assigns to  $s$  the length of a shortest path from  $s$  to any  $s_* \in S_*$ , or  $sd(s) = \infty$  if there is no such path.

A **heuristic** is a function  $h : S \rightarrow \mathbb{N}_0 \cup \{\infty\}$ . The heuristic is **admissible** iff, for every  $s \in S$ ,  $h(s) \leq sd(s)$ ; it is **consistent** iff, for every  $(s, l, s') \in T$ ,  $h(s) \leq h(s') + 1$ . As is well known,  $A^*$  with an admissible heuristic returns an optimal solution, and does not need to re-open any nodes if the heuristic is consistent. We will also consider **perfect** heuristics, that coincide with  $sd$ . If we know that  $h$  is perfect, then we can extract an optimal plan without any search.

How to automatically compute a heuristic, given a planning task as input? Our approach is based on designing an **abstraction**. This is a function  $\alpha$  mapping  $S$  to a set of **abstract states**  $S^\alpha$ . The **abstract state space**  $\Theta^\alpha$  is defined as  $(S^\alpha, L, T^\alpha, s_0^\alpha, S_*^\alpha)$ , where  $T^\alpha := \{(\alpha(s), l, \alpha(s')) \mid (s, l, s') \in T\}$ ,  $s_0^\alpha := \alpha(s_0)$ , and  $S_*^\alpha := \{\alpha(s_*) \mid s_* \in S_*\}$ . The **abstraction heuristic**  $h^\alpha$  maps each  $s \in S$  to the solution distance of  $\alpha(s)$  in  $\Theta^\alpha$ ;  $h^\alpha$  is admissible and consistent. We will sometimes consider the **induced equivalence relation**  $\sim^\alpha$ , defined by setting  $s \sim^\alpha t$  iff  $\alpha(s) = \alpha(t)$ .

To illustrate abstractions, Figure 1 gives an example. In the Gripper benchmark, one needs to transport  $n$  objects from a room  $A$  into a room  $B$ , with a robot  $R$  that can carry two

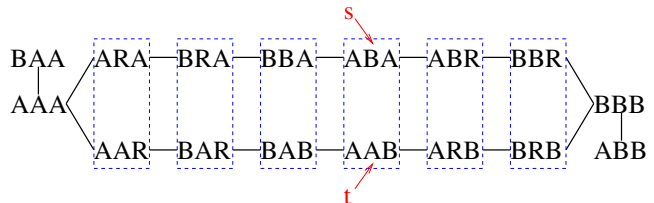


Figure 1: An abstraction of (simplified) Gripper.

objects at a time. We show here the state space for  $n = 2$ , in the simplified situation where the robot can carry only a single object. The planning task has 4 variables,  $R$  with  $\mathcal{D}_R = \{A, B\}$ ,  $F$  with  $\mathcal{D}_F = \{0, 1\}$  (hand free?),  $O_i$  with  $\mathcal{D}_{O_i} = \{A, B, R\}$  for  $i = 1, 2$ . States in Figure 1 are shown as triples giving the value of  $R$ ,  $O_1$ , and  $O_2$  in this order (omitting  $F$ , whose value is implied). For example, in the state marked “ $s$ ”, the robot is at  $A$ ,  $O_1$  is at  $B$ ,  $O_2$  is at  $A$  (and  $F = 1$ ). The abstraction  $\alpha$  is indicated by the (blue) dashed boxes. This abstraction aggregates states – assigns them to the same abstract state – iff they agree on the status of the robot and on the number of objects in each room. Thus the abstraction does not distinguish the upper solution path (transporting  $O_1$  first) from the lower one (transporting  $O_2$  first). This does not affect solution length, so  $h^\alpha$  is perfect. The same can be done for arbitrary  $n$ , yielding perfect heuristics and polynomial-sized (measured in  $|S^\alpha|$ ) abstractions.

How to choose a good  $\alpha$  in general? Pattern databases (PDBs) [Edelkamp, 2001] simplify this question by limiting  $\alpha$  to be a **projection**. Given  $V \subseteq \mathcal{V}$ , the projection  $\pi_V$  onto  $V$  is defined by setting  $\pi_V(s)$  to be the restriction of  $s$  onto  $V$ .  $\pi_V$  can be computed very efficiently, and the solution distances in  $\Theta^{\pi_V}$  can be pre-computed and stored in a table (the PDB) prior to search.

The downside of PDBs is their lack of flexibility in abstraction design. To be computationally efficient, any PDB can consider only a small subset of variables. Thus, even in trivial domains, PDBs cannot compactly represent the perfect heuristic [Helmert *et al.*, 2007]. For example, in Gripper, any polynomial-sized PDB considers only a logarithmic number of objects. The position of the other objects will be abstracted away, thus under-estimating  $sd$  to an arbitrarily large extent. For example, in Figure 1, if  $V = \{R, O_1\}$ , then  $s$  and its right neighbor are aggregated, shortening the upper solution path. Summing over “additive PDBs” does not help, since at most one such PDB may consider the robot position.

Inspired by work in the context of model checking automata networks [Dräger *et al.*, 2006], Helmert *et al.* [2007] propose M&S abstraction as an alternative allowing more fine-grained abstraction design, selecting individual pairs of states to aggregate. To make such selection feasible in exponentially large state spaces, the approach builds the abstraction in an incremental fashion, iterating between *merging* and *shrinking* steps. In detail, an abstraction  $\alpha$  is a **M&S abstraction over**  $V \subseteq \mathcal{V}$  if it can be constructed using these rules:

- (i) For  $v \in \mathcal{V}$ ,  $\pi_{\{v\}}$  is an M&S abstraction over  $\{v\}$ .
- (ii) If  $\beta$  is an M&S abstraction over  $V$  and  $\gamma$  is a function on  $S^\beta$ , then  $\gamma \circ \beta$  is an M&S abstraction over  $V$ .
- (iii) If  $\alpha_1$  and  $\alpha_2$  are M&S abstractions over disjoint sets  $V_1, V_2$ , then  $\alpha_1 \otimes \alpha_2$  is an M&S abstraction over  $V_1 \cup V_2$ .

It is important to keep these rules in mind since we will be referring back to them throughout the paper. **Rule (i)** allows to start from **atomic projections**, i.e., projections  $\pi_{\{v\}}$  onto a single variable, also written  $\pi_v$  in the rest of this paper. **Rule (ii)**, the **shrinking step**, allows to iteratively aggregate an arbitrary number of state pairs, in abstraction  $\beta$ . Formally, this simply means to apply an additional abstraction  $\gamma$  to the image of  $\beta$ . In **rule (iii)**, the **merging step**, the merged abstraction  $\alpha_1 \otimes \alpha_2$  is defined by  $(\alpha_1 \otimes \alpha_2)(s) := (\alpha_1(s), \alpha_2(s))$ . It is easy to see that this definition generalizes PDBs:<sup>1</sup>

**Proposition 2.1 (Helmert et al., 2007)** *Let  $\Theta$  be the state space of a planning task with variables  $\mathcal{V}$ , and let  $\alpha$  be an M&S abstraction over  $V \subseteq \mathcal{V}$  constructed using only rules (i) and (iii). Then  $\Theta^\alpha$  is isomorphic to  $\Theta^{\pi^V}$ .*

Moreover, as Helmert et al. [2007] show, M&S *strictly* generalizes PDBs in that it can compactly represent the perfect heuristic in domains where PDBs cannot. For example, in Gripper we obtain the perfect heuristic by aggregating states as exemplified in Figure 1.

Proposition 2.1 holds even if we drop the constraint  $V_1 \cap V_2 = \emptyset$  in rule (iii). That constraint plays an important role in the *computation* of  $h^\alpha$ . Note that, a priori, this issue is separate from the *design* of  $\alpha$ . We follow Helmert et al. [2007] in that, while designing  $\alpha$ , we maintain also the abstract state space  $\Theta^\alpha$  (and are thus able to compute  $h^\alpha$ ). In a little more detail, we maintain a transition system  $\Theta_\alpha$ , in a way so that  $\Theta_\alpha$  is identical with the (mathematically defined) abstract state space  $\Theta^\alpha$  of  $\alpha$ ; note the use of  $\alpha$  as a subscript respectively superscript to distinguish these two. The correct maintenance of  $\Theta_\alpha$  is trivial for rules (i) and (ii), but is a bit tricky for rule (iii). We need to compute the abstract state space  $\Theta^{\alpha_1 \otimes \alpha_2}$  of  $\alpha_1 \otimes \alpha_2$ , based on the transition systems  $\Theta_{\alpha_1}$  and  $\Theta_{\alpha_2}$  computed for  $\alpha_1$  and  $\alpha_2$  beforehand. As an induction hypothesis, assume that  $\Theta_{\alpha_1} = \Theta^{\alpha_1}$  and  $\Theta_{\alpha_2} = \Theta^{\alpha_2}$ . We compute  $\Theta^{\alpha_1 \otimes \alpha_2}$  as the **synchronized product**  $\Theta^{\alpha_1} \otimes \Theta^{\alpha_2}$ . This is a standard operation, its state space being  $S^{\alpha_1} \times S^{\alpha_2}$ , with a transition from  $(s_1, s_2)$  to  $(s'_1, s'_2)$  via label  $l$  iff  $(s_1, l, s'_1) \in T^{\alpha_1}$  and  $(s_2, l, s'_2) \in T^{\alpha_2}$ . For this to be correct, i.e., to have  $\Theta^{\alpha_1} \otimes \Theta^{\alpha_2} = \Theta^{\alpha_1 \otimes \alpha_2}$ , the constraint  $V_1 \cap V_2 = \emptyset$  is required. Namely, this constraint ensures that  $\alpha_1$  and  $\alpha_2$  are **orthogonal** in the sense of Helmert et al. [2007], meaning basically that there exists no variable on whose value both abstractions depend. Then:

**Theorem 2.2 (Helmert et al., 2007)** *Let  $\Theta$  be the state space of a planning task, and let  $\alpha_1$  and  $\alpha_2$  be orthogonal abstractions of  $\Theta$ . Then  $\Theta^{\alpha_1} \otimes \Theta^{\alpha_2} = \Theta^{\alpha_1 \otimes \alpha_2}$ .*

In practice, we need a *merging strategy* deciding which abstractions to merge in (iii), and a *shrinking strategy* deciding which (and how many) states to aggregate in (ii). Helmert et al. do not investigate this in detail. Our main issue herein is with their shrinking strategy. This aggregates states until a size limit  $N$  – an input parameter – is reached. The strategy is based exclusively on the initial state and goal distances in the abstract state space at hand; it is *f-preserving* in that (if possible) it aggregates states only if they agree on these

<sup>1</sup>Helmert et al. do not state Proposition 2.1 (or Theorem 2.2 below) in this form, but they follow trivially from their discussion.

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 $v_1, \dots, v_n :=$  an ordering of  $\mathcal{V}$ 
 $\alpha := \pi_{v_1}, \Theta_\alpha := \Theta^{\pi_{v_1}}$  /* rule (i) */
 $\sigma^1 :=$  function projecting operators onto  $\{v_2, \dots, v_n\}$ 
apply  $\sigma^1$  to transition labels in  $\Theta_\alpha$ 
for  $i := 2, \dots, n$  do
   $\alpha' := \pi_{v_i}, \Theta_{\alpha'} := \Theta^{\pi_{v_i}}$  /* rule (i) */
  apply  $\sigma^{i-1}$  to transition labels in  $\Theta_{\alpha'}$ 
   $\alpha := \alpha \otimes \alpha', \Theta_\alpha := \Theta_\alpha \otimes \Theta_{\alpha'}$  /* rule (iii) */
   $\sigma^i :=$  function projecting operators onto  $\{v_{i+1}, \dots, v_n\}$ 
  apply  $\sigma^i$  to transition labels in  $\Theta_\alpha$ 
   $\sim :=$  coarsest bisimulation for  $\Theta_\alpha$ 
  aggregate all states  $s, t$  in  $\alpha$  and  $\Theta_\alpha$  where  $s \sim t$  /* rule (ii) */
endfor
return  $\alpha$  and  $\Theta_\alpha$ 

```

Figure 2: Overview of M&S-bop algorithm.

distances. This strategy preserves distances *locally* – in the abstraction at hand – but does not take into account at all the *global* impact of aggregating states. For example, in a transportation domain, if we consider only the position of a truck, then any states  $s, t$  equally distant from the truck’s initial and target position can be aggregated: locally, the difference is irrelevant. Globally, however, there are transportable objects to which the difference in truck positions does matter, and thus aggregating  $s$  and  $t$  results in information loss. Similar situations occur in Gripper, thus the heuristic computed is *not* perfect although, theoretically, it could be.

### 3 M&S-bop Overview

Figure 2 outlines our algorithm computing perfect heuristics, **M&S-bop** (M&S with **b**isimulation and **o**perator pruning).

The variable ordering  $v_1, \dots, v_n$  will be defined by the merging strategy (a simple heuristic, cf. Section 8). Note that the merging strategy is **linear**, i.e.,  $\alpha'$  is always atomic in the application of rule (iii). Iterating over  $v_1, \dots, v_n$ , the algorithm maintains an abstraction  $\alpha$ , along with the transition system  $\Theta_\alpha$ , as described earlier. In this way, M&S-bop is a straightforward instance of the M&S framework. Its distinguishing features are (a) the label reduction functions  $\sigma^i$  that remove operator preconditions/effects pertaining to variables indexed  $\leq i$ , and (b) coarsest bisimulation over the thus label-reduced abstract state spaces. (b) defines the shrinking strategy, which is influenced by (a) because reducing labels changes the bisimulation. Reducing labels may also change the outcome of the synchronized product (rule (iii)), therefore (a) endangers the correctness of  $\Theta_\alpha$  relative to  $\Theta^\alpha$ .

In the following three sections, we fill in the formal details on (a) and (b), proving (in particular) that the abstraction heuristic  $h^\alpha$  of  $\alpha$  as returned by M&S-bop is perfect, and can be extracted from the returned transition system  $\Theta_\alpha$ . We begin by defining bisimulation, and pointing out some basic facts about its behavior in the M&S framework. We then formally define label reduction and the conditions under which it preserves the correctness of  $\Theta_\alpha$ . We finally point out that the two techniques can be combined fruitfully.

### 4 Bisimulation

Bisimulation is a well-known criterion under which abstraction does not significantly change the system behavior. Namely, let  $\Theta = (S, L, T, s_0, S_*)$  be a transition system. An



equivalence relation  $\sim$  on  $S$  is a **bisimulation** for  $\Theta$  if: (1)  $s \sim t$  implies that either  $s, t \in S_*$  or  $s, t \notin S_*$ ; (2) for every pair of states  $s, t \in S$  so that  $s \sim t$ , and for every transition label  $l \in L$ , if  $(s, l, s') \in T$  then there exists  $t'$  s.t.  $(t, l, t') \in T$  and  $s' \sim t'$ . Intuitively, (1)  $s$  and  $t$  agree on the status of the goal, and (2) whatever operator applies to  $s$  applies also to  $t$ , leading into equivalent states. An abstraction  $\alpha$  is a bisimulation iff the induced equivalence relation  $\sim^\alpha$  is.

For a comprehensive treatment of bisimulation, see the work by Milner [1990]. There always exists a unique **coarsest bisimulation**, i.e., a bisimulation that contains all other bisimulations. The coarsest bisimulation can be computed efficiently, in a bottom-up process starting with a single equivalence class containing all states, then iteratively separating non-bisimilar states. This process can be used as a shrinking strategy, and it preserves solution distance at the local level:

**Proposition 4.1** *Let  $\Theta$  be a transition system, and let  $\alpha$  be a bisimulation for  $\Theta$ . Then  $h^\alpha$  is perfect.*

This holds because *abstract solution paths are real solution paths*. Consider some state  $t$ , and an abstract solution for  $t$ . Say the abstract solution starts with the transition  $(A, l, A')$ , where  $A$  and  $A'$  are abstract states. Since  $(A, l, A')$  is a transition in  $\Theta^\alpha$ , there exist states  $s \in A$  and  $s' \in A'$  so that  $(s, l, s')$  is a transition in  $\Theta$ . By construction, we have  $\alpha(t) = A = \alpha(s)$  and thus  $s \sim^\alpha t$ . Thus, by bisimulation property (2), we have a transition  $(t, l, t')$  in  $\Theta$  where  $s' \sim^\alpha t'$ , i.e.,  $\alpha(t') = \alpha(s') = A'$ . In other words, there exists a transition from  $t$  taking us into the desired state subset  $A'$ . Iterating the argument, we can execute all transitions on the abstract solution for  $t$ . The last state  $t'$  reached this way must be a solution state because of bisimulation property (1) and the fact that  $\alpha(t')$  is a solution state in  $\Theta^\alpha$ .

Note that the argument we just made is much stronger than what is needed to prove that  $h^\alpha$  is perfect – we preserve the actual solutions, not only their length. That is exactly what we will exploit further below. First, note that Proposition 4.1 tells us nothing about what will happen if we interleave bisimulation with merging steps. This works, too:

**Corollary 4.2** *Let  $\Theta$  be the state space of a planning task with variables  $\mathcal{V}$ . Let  $\alpha$  be an M&S abstraction over  $V \subseteq \mathcal{V}$  constructed so that, in any application of rule (ii),  $\gamma$  is a bisimulation for  $\Theta^\beta$ . Then  $\alpha$  is a bisimulation for  $\Theta^{\pi_V}$ .*

This can be shown by induction over rules (i)-(iii). The claim is obvious for atomic  $\alpha$ . Induction over rule (ii) is easy by exploiting a transitivity property of bisimulations. For rule (iii), assume that  $\alpha_1$  is a bisimulation for  $\Theta^{\pi_{V_1}}$ , and  $\alpha_2$  is a bisimulation for  $\Theta^{\pi_{V_2}}$ . Since  $\Theta^{\pi_{V_1}}$  and  $\Theta^{\pi_{V_2}}$  are orthogonal, by Theorem 2.2 their synchronized product is equal to  $\Theta^{\pi_{V_1}} \otimes \Theta^{\pi_{V_2}}$  which is isomorphic with  $\Theta^{\pi_{V_1 \cup V_2}}$ . We can apply  $\alpha_1$  and  $\alpha_2$  to the states in  $\Theta^{\pi_{V_1 \cup V_2}}$  (applying their component atomic projections to partial states), and it is easy to see that the bisimulation property is preserved.<sup>2</sup>

By Corollary 4.2 and Proposition 4.1, if we always stick to bisimulation during step (ii), then we obtain a perfect heuristic.

<sup>2</sup>Dräger et al. [2006] mention a result similar to Corollary 4.2. The result is simpler in their context because, there, the overall state space is *defined* in terms of the synchronized product operation.

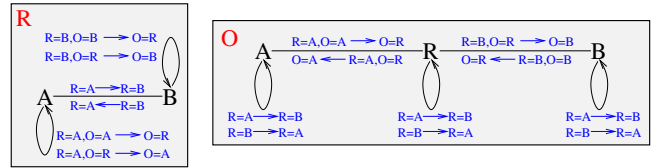


Figure 3: Atomic projections in (simplified) Gripper.

tic. Alas, in practical examples there rarely exist compact bisimulations. For example, in Gripper, the perfect abstraction of Figure 1 is *not* a bisimulation. If  $s$  and  $t$  agree on the number of objects in a room, this does not imply that they agree on the operators needed to transport them. For example,  $s$  and  $t$  as indicated in Figure 1 require to pick up  $O_2$  ( $s$ ) vs.  $O_1$  ( $t$ ). Thus the transition labels into the equivalent states (right neighbors in Figure 1) are different, violating property (2). Indeed, it is easy to see that the size of bisimulations in Gripper is exponential in the number of objects.

Motivated by the size of bisimulations, Dräger et al. [2006] propose a more approximate shrinking strategy that we will call the **DFP shrinking strategy**. When building the coarsest bisimulation, the strategy keeps separating states until the size limit  $N$  is reached. The latter may happen before a bisimulation is obtained, in which case we may lose information. The strategy prefers to separate states close to the goal, thus attempting to make errors only in more distant states where the errors will hopefully not be as relevant.

The DFP shrinking strategy is not a bad idea; some of the strategies we experiment with herein are variants of it. However, before resorting to approximations, there is a more fundamental issue we can improve. As noted in the proof of Proposition 4.1, bisimulation preserves solution paths exactly. This suits its traditional purpose in model checking. For computing a perfect heuristic, however, it suffices to preserve solution *length*. In bisimulation property (2), we can completely ignore the transition labels. This makes all the difference in Gripper. States  $s$  and  $t$  in Figure 1 *can* reach the same equivalence classes, but using different labels. Ignoring the latter,  $s$  and  $t$  are bisimilar. Indeed, the perfect abstraction of Figure 1 is such a *fully label-reduced* bisimulation.

## 5 Label Reduction

Unfortunately, full label reduction cannot be applied within the M&S framework, at least not without significant information loss during the merging step. Figure 3 shows the atomic projections of our running example from Figure 1. For simplicity, we omit  $F$  and show the two variables  $O_1$  and  $O_2$  in terms of a single generic variable  $O$ . Consider the transition label  $l = (\{R = A, O = A\}, \{O = R\})$  picking up the object in room  $A$ . This transitions  $O$  from  $A$  to  $R$  in  $\Theta^{\pi_O}$  (right hand side), but does not affect  $R$  and hence labels only a self-loop in  $\Theta^{\pi_R}$  (left hand side). Hence, in the synchronized system  $\Theta^{\pi_R} \otimes \Theta^{\pi_O}$ , as desired the robot does not move while loading the object. If we ignore the difference between  $l$  and the label  $l' = (\{R = A\}, \{R = B\})$  moving  $R$ , however, then the synchronized system may apply  $l$  and  $l'$  together, acting as if they were the result of applying the same operator. Thus we may move and pick-up at the same time – a spurious transition not present in the original task.

We now derive a way of reducing subsets of labels during M&S, so that no spurious transitions are introduced, and thus the correctness of  $\Theta_\alpha$  relative to  $\Theta^\alpha$  is preserved. We remark that a simpler version of the technique, pertaining only to linear merging strategies, was implemented already in the initial version of M&S reported by Helmert et al. [2007]; the technique has not yet been described anywhere.

Let  $\Theta = (S, L, T, s_0, S_*)$  be a transition system. A **label reduction** is a function  $\tau$  mapping  $L$  to a label set  $L^\tau$ . We associate  $\tau$  with the **reduced transition system**  $\Theta|_\tau := (S, L^\tau, T|_\tau, s_0, S_*)$  where  $T|_\tau := \{(s, \tau(l), s') \mid (s, l, s') \in T\}$ . Labels  $l^1, l^2 \in L$  are **equivalent in  $\Theta$**  if, for every pair of states  $s, s' \in S$ ,  $(s, l^1, s') \in T$  if and only if  $(s, l^2, s') \in T$ . We say that  $\tau$  is **conservative for  $\Theta$**  if, for all  $l^1, l^2 \in L$ ,  $\tau(l_1) = \tau(l_2)$  only if  $l_1$  and  $l_2$  are equivalent. Such label reduction is distributive with the synchronized product:

**Lemma 5.1** *Let  $L$  be a set of labels, let  $\Theta^1$  and  $\Theta^2$  be transition systems using  $L$ , and let  $\tau$  be a label reduction on  $L$ . If  $\tau$  is conservative for  $\Theta^2$ , then  $\Theta^1|_\tau \otimes \Theta^2|_\tau = (\Theta^1 \otimes \Theta^2)|_\tau$ .*

For illustration, consider the labels  $l = (\{R = A, O = A\}, \{O = R\})$  and  $l' = (\{R = A\}, \{R = B\})$  discussed at the start of this section. These are not equivalent in  $\Theta^{\pi \circ}$  (Figure 3 right), and if  $\tau(l) = \tau(l')$  then, as discussed,  $\Theta^{\pi R}|_\tau \otimes \Theta^{\pi O}|_\tau \neq (\Theta^{\pi R} \otimes \Theta^{\pi O})|_\tau$  in contrast to Lemma 5.1.

We apply conservative label reduction within M&S but, when considering an abstraction over variable subset  $V$ , applying a label reduction conservative for the other variables  $\mathcal{V} \setminus V$ . A key issue here is that one cannot “reduce in opposite directions”. To illustrate, say  $\Theta^1$  (variables  $V$ ) has the single label  $l^1$  and  $\Theta^2$  (variables  $\mathcal{V} \setminus V$ ) has the single label  $l^2 \neq l^1$ . Then  $\Theta^1 \otimes \Theta^2$  contains no transitions at all. However, mapping all labels to some unique symbol  $r$  is conservative for each of  $\Theta^1$  and  $\Theta^2$ . If we synchronize the systems after this reduction, we obtain a transition between every pair of states.

To avoid said difficulties, we apply label reduction upwards in a sequential ordering of the variables (given by the merging strategy). Let  $V \subseteq \mathcal{V}$ , and let  $v_1, \dots, v_n$  be an ordering of  $V$ . We say that an M&S abstraction  $\alpha$  over  $V$  **allows**  $v_1, \dots, v_n$  if  $\alpha$  is constructed so that, in any application of rule (iii), there exist  $i, j, k$  so that  $V_1 = \{v_i, \dots, v_j\}$  and  $V_2 = \{v_{j+1}, \dots, v_k\}$ . In other words, the construction of  $\alpha$  corresponds to a tree whose leaves are ordered  $v_1, \dots, v_n$ .

Let  $\sigma^n \circ \dots \circ \sigma^1$  be a chain of label reductions for  $\Theta$ . We denote  $\tau^{>i} := \sigma^i \circ \dots \circ \sigma^1$ . We say that  $\sigma^n \circ \dots \circ \sigma^1$  is **conservative for  $v_1, \dots, v_n$**  if, for each  $1 \leq i < n$ ,  $\tau^{>i}$  is conservative for each of  $\Theta^{\pi^{v_{i+1}}}, \dots, \Theta^{\pi^{v_n}}$ . Note that the last reduction  $\sigma^n$  is not restricted at all, and may thus be a full label reduction mapping all labels to the same unique symbol.

In practice, the label reductions  $\sigma^i$  we use are those from M&S-bop (cf. Figure 2), projecting operators onto  $\{v_{i+1}, \dots, v_n\}$ , i.e., removing any preconditions/effects pertaining to the variables  $v_1, \dots, v_i$  that have already been merged. This chain of label reductions is conservative:

**Proposition 5.2** *Let  $\Theta$  be the state space of a planning task with variables  $\mathcal{V}$ . Let  $V \subseteq \mathcal{V}$ , and let  $v_1, \dots, v_n$  be an ordering of  $V$ . Then operator projection is conservative for  $v_1, \dots, v_n$ , and is maximal among all chained label reductions with this property.*

For the first part of the claim, we need  $\tau^{>i} = \sigma^i$  to be conservative – map only equivalent labels  $(pre, eff), (pre', eff')$  to the same label – for each of  $\Theta^{\pi^{v_{i+1}}}, \dots, \Theta^{\pi^{v_n}}$ . This holds because  $(*)$   $(pre, eff)$  and  $(pre', eff')$  are equivalent in  $\Theta^{\pi^{v_j}}$  if and only if their projection onto  $v_j$  is identical. The second part of the claim, maximality, holds in that  $\tau^{>i}$  maps all equivalent labels to the same label. This follows from the “only if” direction in  $(*)$ .

Say that in our running example (omitting  $F$ ) the variable order is  $R, O_1, O_2, \dots, O_n$ . Consider again the labels  $l = (\{R = A, O = A\}, \{O = R\})$  and  $l' = (\{R = A\}, \{R = B\})$ . For  $i = 1$ , the robot move  $l'$  is projected onto  $\tau^{>1}(l') = (\emptyset, \emptyset)$ , ignoring the information about the robot position. However,  $\tau^{>1}(l) = (\{O = A\}, \{O = R\})$ , so  $\tau^{>1}(l) \neq \tau^{>1}(l')$  as desired. On the other hand, for  $i > 1$  and every  $j \leq i$ , all labels in  $\Theta^{\pi^{O_j}}$  will be mapped to  $(\emptyset, \emptyset)$  (compare Figure 3 right), so that the differences between any “already merged” objects will effectively be ignored. For  $i = n$ , all labels are mapped to  $(\emptyset, \emptyset)$  so the label reduction is full.

With conservative label reductions, for  $\alpha$  that allows  $v_1, \dots, v_n$ , we can maintain  $\Theta_\alpha$  during M&S similarly as before. Namely, the **label-reduced transition system associated with  $\alpha$** , written  $\Theta_\alpha^\tau$ , is constructed using these rules:

- (i) If  $\alpha = \pi_{v_i}$  for a variable  $v_i \in V$ , then  $\Theta_\alpha^\tau := \Theta^{\pi^{v_i}}$  if  $i \neq 1$ , and  $\Theta_\alpha^\tau := \Theta^{\pi^{v_i}}|_{\tau^{>i}}$  if  $i = 1$ .
- (ii) If  $\alpha = \gamma \circ \beta$  where  $\beta$  is an M&S abstraction and  $\gamma$  is a function on  $S^\beta$ , then  $\Theta_\alpha^\tau := (\Theta_\beta^\tau)^\gamma$ .
- (iii) If  $\alpha = \alpha_1 \otimes \alpha_2$  where  $\alpha_1$  ( $\alpha_2$ ) is an M&S abstraction of  $\Theta$  over  $V_1 = \{v_i, \dots, v_j\}$  ( $V_2 = \{v_{j+1}, \dots, v_k\}$ ), then  $\Theta_\alpha^\tau := \Theta_{\alpha_1}^\tau \otimes \Theta_{\alpha_2}^\tau$  if  $i \neq 1$ , and  $\Theta_\alpha^\tau := (\Theta_{\alpha_1}^\tau \otimes \Theta_{\alpha_2}^\tau|_{\tau^{>j}})|_{\sigma^k \circ \dots \circ \sigma^{j+1}}$  if  $i = 1$ .

In words, we apply label reduction only if the underlying variable set  $V$  starts at the first variable  $v_1$ , and we choose the reduction pertaining to the last variable in  $V$ . This construction is correct relative to  $\Theta^\alpha$ , in the following sense:

**Theorem 5.3** *Let  $\Theta$  be the state space of a planning task with variables  $\mathcal{V}$ . Let  $V \subseteq \mathcal{V}$ , and let  $v_1, \dots, v_n$  be an ordering of  $V$ . Let  $\sigma^n \circ \dots \circ \sigma^1$  be a chained label reduction for  $\Theta$  that is conservative for  $v_1, \dots, v_n$ , and let  $\alpha$  be an M&S abstraction over  $V$  that allows  $v_1, \dots, v_n$ . Then  $\Theta_\alpha^\tau = \Theta^\alpha|_{\tau^{>n}}$ .*

Note here that “the correctness of  $\Theta_\alpha$  relative to  $\Theta^\alpha$ ” is now interpreted in a different way. The transition system  $\Theta_\alpha^\tau$  we maintain is no longer equal to the abstract state space  $\Theta^\alpha$ , but instead to the label-reduced version  $\Theta^\alpha|_{\tau^{>n}}$  of that abstract state space. Since, obviously, the labels are irrelevant for  $h^\alpha$ , the heuristic computed is the same.

The proof of Theorem 5.3 is a bit technical, but essentially simple. We prove by induction over the construction of  $\alpha$  that, for any intermediate abstraction  $\beta$  over  $\{v_i, \dots, v_j\}$ ,  $\Theta_\beta^\tau = \Theta^\beta$  if  $i \neq 1$ , and  $\Theta_\beta^\tau = \Theta^\beta|_{\tau^{>j}}$  if  $i = 1$ . The key step is induction over rule (iii) when  $i = 1$ . Since  $\tau^{>j}$  is conservative for each of  $\Theta^{\pi^{v_{j+1}}}, \dots, \Theta^{\pi^{v_k}}$ , we can easily conclude that  $\tau^{>j}$  is conservative for  $\Theta_{\alpha_2}^\tau$ . The claim then follows by applying Lemma 5.1 and Theorem 2.2.

Summing up, whenever during M&S we face an abstraction over variables  $v_1, \dots, v_j$ , we can project the operators labeling the transitions onto the remaining variables

$v_{j+1}, \dots, v_n$ . We then still obtain the correct (label-reduced) abstract state space. In the initial implementation of Helmert et al. [2007], the motivation for doing so was the reduced number of labels – planning tasks often contain many operators, so this was time- and space-critical. Here, we observe that label reduction favorably interacts with bisimulation.

## 6 Bisimulation and Label Reduction

Label reduction obviously preserves Proposition 4.1:

**Proposition 6.1** *Let  $\Theta$  be a transition system,  $\tau$  be a label reduction, and  $\alpha$  a bisimulation for  $\Theta|_\tau$ . Then  $h^\alpha$  is perfect.*

Proposition 6.1 is important because, as shown by our running example, label reduction may make a big difference:

**Proposition 6.2** *There exist families  $\mathcal{F}$  of transition systems  $\Theta$  with associated label reductions  $\tau$  so that the coarsest bisimulation for  $\Theta|_\tau$  is exponentially smaller than the coarsest bisimulation for  $\Theta$ .*

Corollary 4.2 tells us that, if the shrinking strategy sticks to bisimulation of the original (non label-reduced) abstract state spaces, then the final outcome will be a bisimulation. Does a similar result hold if we stick to bisimulation of the label-reduced abstract state spaces? Unsurprisingly, the answer is “yes”. Given variables  $v_1, \dots, v_n$  and a chained label reduction  $\sigma^n \circ \dots \circ \sigma^1$ , we say that  $\alpha$  is **constructed by label-reduced bisimulation** if it is constructed so that, in any application of rule (ii) where  $\beta$  is over the variables  $\{v_i, \dots, v_j\}$ : if  $i \neq 1$  then  $\gamma$  is a bisimulation for  $\Theta^\beta$ ; if  $i = 1$  then  $\gamma$  is a bisimulation for  $\Theta^\beta|_{\tau > j}$ . By combining the proofs of Corollary 4.2 and Theorem 5.3, we get:

**Theorem 6.3** *Let  $\Theta$  be the state space of a planning task with variables  $\mathcal{V}$ . Let  $V \subseteq \mathcal{V}$ , and let  $v_1, \dots, v_n$  be an ordering of  $V$ . Let  $\sigma^n \circ \dots \circ \sigma^1$  be a chained label reduction for  $\Theta$  that is conservative for  $v_1, \dots, v_n$ , and let  $\alpha$  be an M&S abstraction constructed by label-reduced bisimulation. Then  $\alpha$  is a bisimulation for  $\Theta^{\pi^V}|_{\tau > n}$ .*

By Theorem 6.3 and Proposition 6.1, if  $\alpha$  is constructed by label-reduced bisimulation, then  $h^\alpha$  is perfect. With Proposition 5.2, this holds for  $\alpha$  as returned by M&S-bop. By Theorem 5.3 we can maintain the suitable abstract state spaces. In particular,  $h^\alpha$  can be extracted from M&S-bop’s returned transition system  $\Theta_\alpha$ . We will see next that M&S-bop has polynomial runtime in quite a number of benchmarks.

## 7 Domain-Specific Performance Bounds

We measure the performance of M&S-bop in terms of bounds on abstraction size, i.e., the number of abstract states. Runtime is a polynomial function of abstraction size. We express the bounds as functions of domain parameters like the number of objects. Polynomial bounds on abstraction size are possible only in domains with polynomial-time optimal solution algorithms. Helmert [2006] identifies six such domains in the IPC benchmarks: Gripper, Movie, PSR, Schedule, and two variants of Promela. Helmert et al. [2007] state that for each of these except PSR there exist suitable merging and shrinking strategies, but do not provide a way to come up with such strategies automatically. We begin with an easy result:

**Proposition 7.1** *Let  $\mathcal{P} = \{\Pi_n\}$  be the family of Gripper respectively Ext-Movie planning tasks, where  $n$  is the number of objects respectively snacks. Then, for any merging strategy, abstraction size for M&S-bop in  $\mathcal{P}$  is bounded by a cubic respectively linear function in  $n$ .*

Ext-Movie is an extended version of Movie, allowing to scale the number of snacks. Both results hold because the M&S-bop shrinking strategy aggregates states that agree on the relevant object counts (number of objects in a room, number of snacks already obtained). It should be noted that label reduction is really needed here – in both domains, non-label-reduced bisimulations are exponentially large. The same holds true for all domains discussed below.

We next consider *scheduling-like* domains, where each task consists of some machines used to change the features  $f(o)$  of processable objects  $o$ . The relevant property is that, for  $o \neq o'$ ,  $f(o)$  and  $f(o')$  are mutually independent, i.e., not affected by any common operator – processing an object may affect the status of the machines but does not have immediate consequences for any other object. It is easy to see that the STRIPS variant Schedule-Strips of IPC’00 Schedule is a scheduling-like domain. We thus have:

**Proposition 7.2** *Let  $\mathcal{P} = \{\Pi_n\}$  be the family of Schedule-Strips planning tasks, where  $n$  is the number of processable objects. There exists a merging strategy so that abstraction size for M&S-bop in  $\mathcal{P}$  is bounded by a polynomial in  $n$ .*

For Promela, this investigation is difficult because M&S-bop depends directly on task syntax, and the IPC’04 Promela domains are syntactically very complicated (compiled from Promela into an expressive PDDL dialect). For a straightforward direct encoding of one of the domains (Dining Philosophers), we found that M&S-bop exhibits exponential behavior. However, a variant that we tentatively named **greedy bisimulation** gives a polynomial bound. Greedy bisimulation demands bisimulation property (2) only for transitions  $(s, l, s')$  where  $sd(s') \leq sd(s)$ . Under certain additional conditions on the planning task – which hold in Dining Philosophers – greedy bisimulation results in a perfect heuristic.

Of course, M&S-bop is not omnipotent. For example, say we extend Gripper by scaling the number of robot hands. Then, for any merging strategy, there exists a shrinking strategy so that abstraction size is polynomially bounded. However, M&S-bop does not have such a bound. Robot hands  $H$  appear as *object-variable values* (“ $O = H$ ”) in the effect of pick-up operators. So, unless all object variables were already merged, operator projection does not remove these distinctions, and states using different hands are not bisimilar.

## 8 Experiments

In most benchmark domains, coarsest bisimulations are still large even under operator projection. We thus designed a family of (mostly) more approximate shrinking strategies. The family is characterized by 3 parameters: (1) *overall scheme*, (2) *bisimulation variant*, (3) *label reduction on/off*. For (1), the options are DFP shrinking strategy with abstraction size limit  $N$  vs. coarsest bisimulation without size limit. The former will be indicated by “DFP” in the strategy’s name,



Domain	Hybrid	LM-cut	SP	N=10K				N=100K				N=200K				No bound on $N$		
				DFP-b	DFP-bop	DFP-gop	HHH	DFP-b	DFP-bop	DFP-gop	HHH	DFP-b	DFP-bop	DFP-gop	HHH	M&S-b	M&S-bop	M&S-gop
airport	22	<b>28</b>	21	23	23	23	19	15	15	15	13	11	11	11	12	1	1	22
blocks	21	<b>28</b>	21	21	21	21	18	18	18	18	19	18	18	18	19	6	6	21
depots	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	<b>7</b>	3	5	6	6	3	1	1	<b>7</b>
driverlog	13	13	13	12	13	13	12	12	12	13	13	12	12	13	<b>14</b>	4	5	12
freecell	<b>16</b>	15	<b>16</b>	15	<b>16</b>	<b>16</b>	15	4	6	6	9	4	3	3	6	3	3	<b>16</b>
grid	<b>3</b>	2	1	1	2	2	2	1	<b>3</b>	<b>3</b>	2	0	<b>3</b>	<b>3</b>	0	0	0	<b>2</b>
gripper	<b>20</b>	7	7	7	11	11	7	7	<b>20</b>	<b>20</b>	7	7	<b>20</b>	<b>20</b>	<b>7</b>	6	<b>20</b>	7
logistics00	20	20	<b>22</b>	20	20	20	16	20	20	20	21	20	20	20	<b>22</b>	10	10	16
logistics98	5	<b>6</b>	<b>6</b>	4	4	4	5	5	5	5	5	5	5	5	5	2	1	4
miconic	67	<b>141</b>	53	55	56	56	55	55	65	65	55	55	67	67	56	40	56	50
mprime	<b>23</b>	22	<b>23</b>	18	19	19	21	8	12	12	14	4	9	9	9	1	1	<b>23</b>
mystery	15	<b>16</b>	15	13	13	13	14	7	8	8	11	6	6	6	7	2	3	15
openstacks	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7	7
pathways	4	<b>5</b>	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4
pipesworld-notank	15	<b>17</b>	14	15	<b>17</b>	<b>17</b>	9	9	9	9	2	6	6	6	0	2	2	15
pipesworld-tank	<b>16</b>	11	10	13	14	14	13	7	8	8	7	4	7	7	5	2	2	<b>16</b>
psr	<b>50</b>	49	49	49	49	49	<b>50</b>	49	49	49	50	49	49	<b>50</b>	<b>50</b>	43	45	<b>50</b>
rovers	<b>8</b>	7	6	6	7	7	7	7	<b>8</b>	<b>8</b>	7	6	<b>8</b>	<b>8</b>	7	4	4	6
satellite	7	7	6	6	6	6	6	6	7	7	6	6	7	7	6	4	6	6
tpp	7	6	6	6	6	6	6	6	7	7	6	6	7	7	6	5	5	6
trucks	7	<b>10</b>	7	6	7	7	6	5	7	7	6	5	7	7	6	4	4	6
zenotravel	11	<b>13</b>	11	9	11	11	11	9	12	12	11	8	11	11	11	5	6	9
Total	364	<b>437</b>	325	317	333	333	310	268	309	310	278	248	293	295	262	156	192	320
w/o miconic	<b>297</b>	296	272	262	277	277	255	213	244	245	223	193	226	228	206	116	136	270
Total M&S built				621	736	735	699	368	518	518	493	321	461	458	441	156	192	<b>802</b>

Table 1: Comparison of solved tasks over 22 IPC benchmark domains. Best results are highlighted in bold. “Total M&S built”: total number of tasks for which computing the M&S abstraction did not exceed the available time/memory.

the latter will be indicated by “M&S-”. For (2), the options are bisimulation vs. greedy bisimulation. The strategy name is extended with “b” respectively “g”. In (3), we do vs. do not use operator projection. If we do, “op” is attached to the name. In the DFP-g options, we use greedy bisimulation only if bisimulation would break the size limit. Our merging strategy is linear, and follows Fast-Downward’s “level heuristic”. This orders variables “closest to the root of the causal graph” up front, so that, in operator projection, the most influential variables are projected away earlier on.

We experiment with: the previous M&S heuristic *HHH* [Helmert *et al.*, 2007]; *LM-cut* [Helmert and Domshlak, 2009], the currently leading heuristic for optimal planning; and *structural patterns (SP)* [Katz and Domshlak, 2009], a competitive heuristic related to ours in that it is based on (implicit) abstract state spaces. Our implementation is on top of Fast-Downward, with the same A\* implementation for all heuristics. We ran all IPC benchmarks supported by LM-cut and HHH. The experiments were performed on dual-CPU Opteron 2384 machines, running eight experiments simultaneously in order to fully utilize the available (eight-core) machines. Each planner instance ran on a single core with a time limit of 30 minutes and a memory limit of 2 GB.

Different shrinking strategies sometimes result in complementary strengths (better performance in different domains). Thus we experimented also with all hybrid planners running any two of these strategies, sequentially with a 15 minute limit for each. The best, in terms of total coverage, of these 2-option combinations runs M&S-gop, and DFP-gop with N=200K. This is simply called “Hybrid” in what follows.

Table 1 gives the coverage data. Consider first the “no bound on  $N$ ” columns on the right hand side. Comparing M&S-b with M&S-bop, we see that operator projection improves performance significantly. Recall that the heuristic in both cases is guaranteed to be perfect, so no actual search is needed in the 192 tasks where M&S-bop succeeds. The effect of using greedy bisimulation (which in general forfeits

this guarantee) is dramatic. Note in particular that the number of instances where the abstraction can be built completely – without any size bound – goes up to 802. Interestingly, the effect of the parameter changes is reversed when using DFP: there, operator projection has a much larger impact on performance than greedy bisimulation.

DFP-bop dominates DFP-b (solves at least as many tasks) in 65 of the 66 combinations of domain and  $N$  value. DFP-gop dominates HHH in the total, and in 50 of these combinations; Hybrid dominates HHH in 64 combinations. SP is more competitive, but is inferior in the total to Hybrid as well as to DFP-bop and DFP-gop with  $N = 10K$ . LM-cut clearly dominates the total, but this is largely due to Miconic-STRIPS, where LM-cut delivers an exceptionally high-quality heuristic. Disregarding this domain, Hybrid solves 1 more task than LM-cut. In 11 of the 22 domains, Hybrid is one of the top performers, and in 4 more domains, only one task separates it from the top performer.

Coverage is a function of the trade-off between the quality of a heuristic, and the effort needed for computing it. Due to the multitude of domains and algorithms tested, it is beyond the scope of this paper to give detailed data. We provide a summary using Richter and Helmert [2009]’s **expansions score** ( $E$ ) and **total-runtime score** ( $T$ ). Both range between 0 and 100, for each individual instance.  $E = 100$  if  $\leq 100$  expansions were made,  $E = 0$  if  $\geq 1,000,000$  expansions were made. In between,  $E$  interpolates logarithmically, so that an additive difference of 7.53 in scores corresponds to a factor 2. Similarly,  $T = 100$  for runtimes  $\leq 1$  second,  $T = 0$  for time-outs, and doubling the runtime decreases the score by about 9.25. The advantage of these scores is that they are absolute, i.e., there is no need to restrict the set of instances considered to those solved by all planners.<sup>3</sup>

<sup>3</sup>Experimenting with such summaries, we found that they often misrepresented the results. For example, on instances solved by both, M&S-gop beats LM-cut even in some domains where LM-cut



Y = DFP-b			X = DFP-bop			Y = M&S-gop			X = HHH			X = LM-cut					
			Y = DFP-gop						Y = DFP-gop			Y = M&S-gop			Y = M&S-gop		
D	E	T	D	E	T	D	E	T	D	E	T	D	E	T	D	E	T
blocks	3.7	-1.7	driverlog	1.9	1.2	mystery	9.2	33.6	gripper	40.6	25.7	pipesnota	10.2	48.2	freecell	0.5	33.6
mystery	0.4	5.2	mystery	1.2	-0.2	blocks	7.9	5.5	satellite	23.7	8.8	blocks	8.6	14.7	gripper	-0.7	5.3
log98	-0.6	0.1	psr	0.8	0.2	depots	1.6	10.4	pipesnota	21.7	28.1	mystery	3.5	27.0	psr	-1.1	4.5
openstack	-0.8	-0.4	rovers	0.5	0.7	mprime	1.1	38.2	pathways	13.7	3.9	airport	2.2	21.5	freecell	4.1	5.9
log00	-0.9	-0.9	freecell	0.5	0.4	psr	-2.0	3.0	pipestank	9.3	21.5	depots	1.6	14.1	log00	3.6	2.7
psr	-1.0	0.9	log98	0.4	0.7	freecell	-3.1	28.1	airport	8.4	0.0	freecell	-0.5	27.0	satellite	2.4	-5.8
airport	-1.0	-0.7	satellite	0.3	-0.5	airport	-6.2	22.2	grid	7.3	10.3	pipestank	-0.7	43.2	psr	1.6	1.7
rovers	-1.3	-5.4	pipestank	0.3	-0.8	log98	-8.4	-5.6	miconic	6.1	2.0	mprime	-1.0	27.7	tpp	-2.2	-9.4
depots	-1.6	0.1	pipesnota	0.2	-0.1	driverlog	-9.0	4.2	zenotravel	4.9	3.0	gripper	-2.0	0.8	pipestank	-5.6	-16.3
trucks	-4.1	-4.9	tpp	0.1	0.5	rovers	-9.5	-7.3	trucks	3.4	4.5	grid	-3.8	5.8	driverlog	-9.3	-1.8
freecell	-4.2	3.7	trucks	0.1	-0.1	pipestank	-10.0	21.7	freecell	3.1	-0.6	trucks	-6.8	0.1	grid	-9.5	9.9
tpp	-4.4	-1.9	log00	0.1	-0.2	trucks	-10.1	-4.5	rovers	1.1	1.9	zenotravel	-6.9	-6.4	rovers	-13.5	-7.7
zenotravel	-6.8	-13.9	zenotravel	0.1	-0.5	grid	-11.1	-4.0	blocks	0.2	8.7	miconic	-7.2	-3.1	zenotravel	-15.6	-1.8
driverlog	-6.9	-2.4	airport	0.0	0.7	pipesnota	-11.2	19.2	openstack	0.1	1.4	rovers	-8.9	-6.2	pathways	-15.8	-16.1
miconic	-7.7	-2.2	grid	0.0	0.6	zenotravel	-11.6	-9.9	depots	-0.3	4.1	pathways	-12.4	8.8	depots	-21.7	4.8
pipestank	-7.9	-2.3	miconic	0.0	0.1	tpp	-12.9	-3.5	trucks	-0.4	-0.1	psr	-13.0	0.6	blocks	-22.4	-16.1
pipesnota	-9.4	2.9	gripper	0.0	0.0	miconic	-13.4	-5.0	mprime	-2.1	-10.6	log98	-13.3	-8.8	mprime	-24.2	-29.3
mprime	-10.4	0.2	mprime	0.0	-0.1	log00	-21.1	-12.5	driverlog	-4.4	-3.6	tpp	-13.4	-4.2	mystery	-29.5	-31.3
pathways	-11.0	-1.7	openstack	0.0	-0.1	pathways	-26.1	4.7	mystery	-4.5	-6.9	driverlog	-15.4	-0.6	trucks	-31.9	-20.6
grid	-11.2	-23.8	pathways	0.0	-0.1	openstack	-28.5	1.8	log98	-4.9	-3.4	satellite	-17.5	-1.9	airport	-33.0	-36.8
satellite	-28.1	-10.5	depots	-0.3	0.4	satellite	-41.0	-11.2	log00	-6.8	4.2	log00	-28.1	-8.1	log98	-47.5	-24.4
gripper	-37.6	-25.7	blocks	-0.5	-0.5	gripper	-42.6	-24.9	psr	-10.3	2.2	openstack	-28.5	2.1	miconic	-66.5	-56.8
Total	-6.9	-3.6	Total	0.3	0.1	Total	-11.7	4.8	Total	5.0	4.6	Total	-7.0	9.3	Total	-12.4	-8.4
Total			Total			Total			Total			Total			Total		

Table 2: Difference  $Y - X$  between per-domain averaged expansions ( $E$ ) and total-runtime ( $T$ ) scores (as per Richter and Helmert, see text), for selected pairs of heuristics  $X, Y$ . Columns ordered by decreasing  $E(Y) - E(X)$ .  $N = 10K$  throughout.

Table 2 considers 7 pairs of heuristics  $X, Y$ . The 3 pairs in the left part illustrate the differences between the shrinking strategies proposed herein. We do not include M&S-b and M&S-bop because their expansions behavior is not interesting: they either have perfect expansions, or fail. Comparing  $X = \text{DFP-bop}$  to  $Y = \text{DFP-b}$ , we clearly see the advantage of operator projection.  $E(Y) - E(X)$  is negative for 20 of 22 domains, meaning that  $X$  has fewer expansions. The picture is not quite as clear for runtime because DFP-bop sometimes generates more overhead (taking more time to reach the size limit  $N$ ). Comparing  $X = \text{DFP-bop}$  to  $Y = \text{DFP-gop}$ , we clearly see that the performance difference is very small. By contrast,  $X = \text{DFP-bop}$  vs.  $Y = \text{M&S-gop}$  exhibits a huge variance, showing their complementary strengths. This reflects the fact that these two strategies are quite different. M&S-gop enforces bisimulation only on “ $sd(s') \leq sd(s)$ ” transitions, but on all of those; DFP-variants enforce bisimulation everywhere but drop it completely if  $N$  is exceeded. The former has the edge in heuristic quality ( $E(Y) - E(X)$  is negative for 18 domains), the latter is better in total runtime since building the abstraction generates less overhead (e.g., consider the pipesworld-notankage domain).

The middle and right parts of Table 2 show the competition with HHH respectively LM-cut. DFP-gop clearly beats HHH in expansions, and it largely keeps this advantage in runtime. M&S-gop most often produces worse heuristics than HHH, but with less overhead and thus better runtime. As for LM-cut, without any doubt this remains the most informative heuristic here – only in a few domains does DFP-gop manage to beat it. Everywhere else, the question is whether the faster heuristic calls for M&S (amortizing the cost for creating the abstraction in the first place) make up for the larger search space. For DFP-gop, this is the case only in depots. For M&S-gop, this happens in 11 of the domains, and partly to a considerable extent (e.g. freecell, blocks, depots, mprime).

actually scales better – because M&S-gop’s cheap heuristic solves the small tasks very quickly, and times out on the larger ones.

## 9 Future Work

Our immediate future work concerns greedy bisimulation. First results suggest that there are many other interesting bisimulation variants along these lines, and corresponding conditions under which they yield perfect heuristics. The guiding idea is to test conditions on the degree and form of interactions between the current variable and the remaining ones, using the outcome to fine-tune the subset of transitions for which bisimulation property (2) is demanded.

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