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Planar products of linearized polynomials

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Abstract. Let $L_1(x)$ and $L_2(x)$ be linearized polynomials over \mathbb{F}_{q^n} . We determine conditions when the product $L_1(x) \cdot L_2(x)$ is a planar mapping on \mathbb{F}_{q^n} . For a linearized polynomial L over \mathbb{F}_{q^n} , let $\mathcal{M}(L) = \{\alpha \in \mathbb{F}_{q^n} : L(x) + \alpha \cdot x \text{ is bijective on } \mathbb{F}_{q^n}\}$. We show that the planarity of the product $L_1(x) \cdot L_2(x)$ is linked with the set $\mathcal{M}(L)$ of a suitable linearized polynomial L . We use this relation to describe some families of such planar mappings and we give some nonexistence results.

Keywords: planar mapping, quadratic mapping, Dembowski-Ostrom polynomial, linearized polynomial, directions defined by linear functions

1 Introduction

Let p be an odd prime number and q a power of p . Given a mapping $f : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ and a nonzero element $a \in \mathbb{F}_{q^n}$, we call

$$D_{f,a} : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}, \quad x \mapsto f(x+a) - f(x) - f(a)$$

the difference mapping of f defined by a . A mapping $f : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ is called planar (or perfect nonlinear) if all its difference mappings are bijective. Planar mappings were introduced in [7] as a tool to construct projective spaces. In Cryptology planar mappings are called perfectly nonlinear, and they provide the optimal resistance to differential attacks [16]. In [8], [9], planar mappings are used to construct optimal constant-composition codes and signal sets. Planar mappings do not exist in finite fields of even characteristic. A mapping $f : \mathbb{F}_{2^n} \rightarrow \mathbb{F}_{2^n}$ is called almost perfect nonlinear (APN) if every difference mapping of it has an image set of the maximal possible cardinality 2^{n-1} .

The q -weight of a nonnegative integer m is the sum of the digits in its q -adic representation, i.e. if $m = \sum_i b_i q^i$ then the q -ary weight of m is $\sum_i b_i \in \mathbb{Z}$. Recall, that any mapping of \mathbb{F}_{q^n} can be represented by a polynomial over \mathbb{F}_{q^n} of degree less than q^n . Moreover, different such polynomials define different mappings. This allows us to identify the set of mappings of \mathbb{F}_{q^n} with the set of polynomials over

\mathbb{F}_{q^n} with degree less than q^n . We use the notation $F(X)$ to denote a polynomial, while $F(x)$ is used for the mapping induced by $F(X)$. The algebraic q -degree of a polynomial over \mathbb{F}_{q^n} is the maximal q -weight of the exponents in its terms. We use briefly the term algebraic degree, since q is fixed all over the paper.

The \mathbb{F}_q -linear mappings $L : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ are represented by the polynomials of algebraic degree 1 with the zero constant term, that is $L(x) = \sum_{i=0}^{n-1} c_i x^{q^i}$, $c_i \in \mathbb{F}_{q^n}$. Such polynomials are called linearized or q -polynomials. The affine mappings are given by the polynomials of algebraic degree 1.

Two mappings $F, G : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ are called extended affine equivalent (EA-equivalent), if $G = A_1 \circ F \circ A_2 + A$ for some affine permutations A_1, A_2 and an affine mapping A . EA-equivalent non-constant mappings have the same algebraic degree. It is easy to see that EA-equivalence preserves the planarity of mappings.

A mapping is called quadratic if it is represented by a polynomial of algebraic degree 2. The polynomials of algebraic degree 2 with no terms of algebraic degree 1,

$$\sum_{i,j=0}^{n-1} a_{i,j} x^{q^i+q^j}, \quad a_{i,j} \in \mathbb{F}_{q^n},$$

are called Dembowski-Ostrom polynomials in [6]. Observe that any quadratic mapping is EA-equivalent to a one represented by a Dembowski-Ostrom polynomial. Dembowski-Ostrom planar polynomials describe finite commutative semi-fields and vice-versa [7, 5].

In this paper we continue the study of products of linearized polynomials. To our knowledge such polynomials were first considered in [2]. In [2, 12] bijectiveness of these polynomials is studied. APN products of linearized polynomials are considered in [3], where it is shown that if $X \cdot L(X)$ is APN with a linearized polynomial $L(X)$ then $L(X)$ must be a monomial. In this paper we investigate the planar products of linearized polynomials. In particular we observe that to contrary to the APN case there are planar polynomials $X \cdot L(X)$ with a non-monomial linearized polynomial $L(X)$.

2 Planar products of linearized polynomials

Proposition 1. *Let $L_1, L_2 \in \mathbb{F}_{q^n}[X]$ be linearized polynomials. If the mapping $L_1 \cdot L_2 : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ is planar, then necessarily the mappings L_1 and L_2 are bijective on \mathbb{F}_{q^n} .*

Proof. Observe that $L_1(X) \cdot L_2(X)$ is a Dembowski-Ostrom polynomial. In [14, 18] it is shown that the only zero of a planar Dembowski-Ostrom polynomial is $X = 0$, which yields the statement. \square

The next proposition shows that the study of planar polynomials of shape $L_1(X) \cdot L_2(X)$ can be reduced to the one of shape $X \cdot L(X)$.

Proposition 2. *Let $L_1, L_2 : \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ be \mathbb{F}_q -linear. Then $L_1(x) \cdot L_2(x)$ is planar on \mathbb{F}_{q^n} if and only if $x \cdot L_1(L_2^{-1}(x))$ is planar on \mathbb{F}_{q^n} , where $L_2^{-1}(x)$ is the inverse mapping of L_2 .*

Proof. The mappings $L_1(x) \cdot L_2(x)$ is EA-equivalent to $x \cdot L_1(L_2^{-1}(x))$, since

$$(L_1(x) \cdot L_2(x)) \circ L_2^{-1}(x) = L_1(L_2^{-1}(x)) \cdot L_2(L_2^{-1}(x)) = L_1(L_2^{-1}(x)) \cdot x. \quad \square$$

For any linearized polynomial $L(X)$ over \mathbb{F}_{q^n} we define the polynomial Q_L by

$$Q_L(X) := \frac{L(X)}{X}.$$

Lemma 1. *Let $L(X) \in \mathbb{F}_{q^n}[X]$ be a linearized permutation polynomial. The mapping $x \cdot L(x)$ is planar on \mathbb{F}_{q^n} if and only if, for any non-zero $\beta \in \mathbb{F}_{q^n}$, at most one of β or $-\beta$ belongs to the set $\{Q_L(x) : x \in \mathbb{F}_{q^n}^*\}$.*

Proof. Consider

$$D_a(x) = (x+a)L(x+a) - xL(x) - aL(a) = aL(x) + xL(a).$$

Since $D_a(x)$ is a linear mapping, it is bijective if and only if it has a trivial kernel. It remains to note that the condition $aL(x) + xL(a) = 0$ if and only if $x = 0$ is equivalent to

$$\frac{L(x)}{x} \neq -\frac{L(a)}{a}$$

for any non-zero $a, x \in \mathbb{F}_{q^n}$. \square

Further we show that the planarity of $X \cdot L(X)$ is linked with the set

$$\mathcal{M}(L) = \{\alpha \in \mathbb{F}_{q^n} : L(x) + \alpha \cdot x \text{ is bijective on } \mathbb{F}_{q^n}\}.$$

Theorem 1. *Let $L(X) \in \mathbb{F}_{q^n}[X]$ be linearized. The mapping $x \cdot L(x)$ is planar on \mathbb{F}_{q^n} if and only if $0 \in \mathcal{M}(L)$ and at least one of β or $-\beta$ belongs to the set $\mathcal{M}(L)$ for any non-zero $\beta \in \mathbb{F}_{q^n}$.*

Proof. The condition $0 \in \mathcal{M}(L)$ states that $L(x)$ is bijective on \mathbb{F}_{q^n} . Using Lemma 1, for any non-zero $\beta \in \mathbb{F}_{q^n}$ it must hold that either β or $-\beta$ is not contained in $\{Q_L(x) : x \in \mathbb{F}_{q^n}^*\}$. The proof now follows from the observation that $\beta \notin \{Q_L(x) : x \in \mathbb{F}_{q^n}^*\}$ if and only if $L(x) - \beta \cdot x$ is bijective on \mathbb{F}_{q^n} . \square

Note that the monomial planar mappings x^2 and x^{q^i+1} on \mathbb{F}_{q^n} with $n/\gcd(n, i)$ odd are covered by Theorem 1. The conditions of Theorem 1 are trivially fulfilled for x^2 . In the case $x \cdot x^{q^i}$ it is easy to see, that there is no β such that both $x^{q^i} + \beta x$ and $x^{q^i} - \beta x$ are not bijective on \mathbb{F}_{q^n} if and only if -1 is not a $(q^i - 1)$ th power in \mathbb{F}_{q^n} , or equivalently $n/\gcd(n, i)$ odd.

In [3] it is shown that if $X \cdot L(X)$ is APN with a linearized polynomial $L(X)$ then $L(X)$ must be a monomial. Does a similar result hold for planar products $X \cdot L(X)$? The answer is negative as the following observation shows.

Proposition 3. *Let $1 \leq k \leq n - 1$ with $n/\gcd(n, k)$ odd and let $u \in \mathbb{F}_{q^n}$ be not a $(q^k - 1)$ st power in \mathbb{F}_{q^n} . Then the mapping $F(x) = x \cdot (x^{q^{n-k}} - ux^{q^k})$ is planar on \mathbb{F}_{q^n} .*

Proof. We show that $F(x)$ is EA-equivalent to the planar monomial x^{q^k+1} . Indeed,

$$F(x) = x^{q^{n-k}+1} - ux^{q^k+1} = (x^{q^{n-k}} - ux) \circ x^{q^k+1},$$

and the assumptions on k and u ensure that $x^{q^{n-k}} - ux$ is bijective and x^{q^k+1} is planar.

Our next goal is to describe further families of planar products $X \cdot L(X)$ using Theorem 1. To our knowledge the only linearized polynomials L for which the sets $\mathcal{M}(L)$ are known are X , $X^{q^k} - uX$, $Tr(X) = X + X^q + \dots + X^{q^{n-1}}$ or some natural transformations of these polynomials.

Open Problem. Find new families of linearized permutation polynomials L with $|\{\beta, -\beta\} \cap \mathcal{M}(L)| \geq 1$ for any $\beta \in \mathbb{F}_{q^n}$.

The next result was proved in [10,11] by different approaches. In [10] it is shown that the mappings of Theorem 2 can be obtained from the mapping $x \mapsto x^2$ via EA-equivalence. We show that this result can be proved by using Theorem 1 as well.

Theorem 2. *Let $u \in \mathbb{F}_{q^2}$. The mapping $F(x) = x^2 + ux^{q+1}$ is planar on \mathbb{F}_{q^2} if and only if $1 - u^{q+1}$ is a square in the subfield \mathbb{F}_q .*

Proof. The statement is true for $u = 0$, so let $u \neq 0$. By Proposition 1 if $F(x)$ is planar then $x + ux^q$ is bijective on \mathbb{F}_{q^2} , which is equivalent to $u^{q+1} \neq 1$. Further we apply Theorem 1 with $L(x) = x + ux^q$. Let $\beta \in \mathbb{F}_{q^2}$ be such that both $L(x) + \beta x = ux^q + (1 + \beta)x$ and $L(x) - \beta x = ux^q + (1 - \beta)x$ are not bijective on \mathbb{F}_{q^2} . This is the case if and only if the elements $-(1 + \beta)/u$ and $-(1 - \beta)/u$ are $(q - 1)$ st powers in \mathbb{F}_{q^2} , or equivalently if it holds:

$$\left(\frac{\beta + 1}{u}\right)^{q+1} = \left(\frac{\beta - 1}{u}\right)^{q+1} = 1.$$

In particular, it must hold $(\beta + 1)^{q+1} = (\beta - 1)^{q+1}$, which implies $\beta^q = -\beta$. Hence,

$$\left(\frac{\beta + 1}{u}\right)^{q+1} = \frac{-\beta^2 - \beta + \beta + 1}{u^{q+1}} = 1,$$

which yields

$$\beta^2 = -u^{q+1} + 1.$$

Note that $1 - u^{q+1}$ is an element in the subfield \mathbb{F}_q . Moreover $\beta \notin \mathbb{F}_q$, since $\beta = -\beta^q$. Hence, $F(x)$ is planar on \mathbb{F}_{q^2} only if $1 - u^{q+1}$ is a square in \mathbb{F}_q . Finally, we show that this condition is necessary as well. Indeed, let $1 - u^{q+1} \neq 0$

be a non-square in \mathbb{F}_q . Suppose, $\beta \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$ satisfies $\beta^2 = -u^{q+1} + 1$. Then necessarily $\beta^q = -\beta$, since β and $-\beta$ are the zeros of the irreducible polynomial $X^2 - (1 - u^{q+1})$ in \mathbb{F}_{q^2} and therefor $-\beta$ is the conjugate of β over \mathbb{F}_q . To complete the proof it remains to note that for this β it holds

$$\left(\frac{\beta+1}{u}\right)^{q+1} = \left(\frac{\beta-1}{u}\right)^{q+1} = 1.$$

□

In the remaining part of the paper we consider the case $L(X) = \text{Tr}(X)$, where $\text{Tr}(X)$ is the polynomial describing the trace mapping from \mathbb{F}_{q^n} onto \mathbb{F}_q .

Proposition 4. [1, 13] For the trace mapping Tr from \mathbb{F}_{q^n} onto \mathbb{F}_q it holds $\mathcal{M}(\text{Tr}) = \{\gamma \in \mathbb{F}_{q^n}^* : \text{Tr}(\gamma^{-1}) \neq -1\}$.

Theorem 3. Let $a \in \mathbb{F}_{q^n}^*$ with $\text{Tr}((2a)^{-1}) \neq -1$. Then $F(X) = X \cdot (\text{Tr}(X) + aX)$ is planar on \mathbb{F}_{q^n} if and only if there is no $z \in \mathbb{F}_{q^n}$ such that $\text{Tr}(z^{-1}) = -1$ and $\text{Tr}((z - 2a)^{-1}) = 1$.

Proof. By Proposition 4 it holds

$$\mathcal{M} := \mathcal{M}(\text{Tr}(x) + ax) = \{\alpha \in \mathbb{F}_{q^n} : \alpha \neq -a \text{ and } \text{Tr}((a + \alpha)^{-1}) \neq -1\}.$$

By Theorem 1, $F(x)$ is planar if and only if at least one of β or $-\beta$ belongs to \mathcal{M} for any non-zero $\beta \in \mathbb{F}_{q^n}$. First we consider the case that $\beta \in \{-a, a\}$. Note that $a \in \mathcal{M}$ as $a \neq -a$ and $\text{Tr}((2a)^{-1}) \neq -1$. Hence if $\beta \in \{-a, a\}$, then either β or $-\beta$ is contained in \mathcal{M} . It remains to show that if $\beta \in \mathbb{F}_{q^n} \setminus \{0, -a, a\}$, then either β or $-\beta$ is contained in \mathcal{M} . Assume the contrary and hence we have $\beta \in \mathbb{F}_{q^n} \setminus \{0, -a, a\}$ with $\text{Tr}((a + \beta)^{-1}) = -1$ and $\text{Tr}((a - \beta)^{-1}) = -1$. Putting $z = a + \beta$ we obtain $z \in \mathbb{F}_{q^n}$ with $a - \beta = -(z - 2a)$, $\text{Tr}(z^{-1}) = -1$ and $\text{Tr}((z - 2a)^{-1}) = -\text{Tr}((a - \beta)^{-1}) = 1$. Using the condition in the statement we complete the proof. □

Remark 1. If $a = 0$ in Theorem 3, then $F(x) = x \cdot (\text{Tr}(x))$ is not planar by Proposition 1, since $\text{Tr}(x)$ is not bijective. Further let $a \in \mathbb{F}_{q^n}^*$ with $\text{Tr}((2a)^{-1}) = -1$. Then $a \notin \mathcal{M}(\text{Tr}(x) + ax)$ and $-a \notin \mathcal{M}(\text{Tr}(x) + ax)$. Hence also for such an a the mapping $F(x) = x \cdot (\text{Tr}(x) + ax)$ is not planar (see Theorem 1).

Corollaries 1 and 2 show, that the conditions of Theorem 3 are satisfied for $n = 3$ and $a = -1, -2$.

Corollary 1. The mapping $F(x) = x^{q^2+1} + x^{q+1}$ is planar on \mathbb{F}_{q^3} . Equivalently, there is no $z \in \mathbb{F}_{q^3}$ satisfying $\text{Tr}\left(\frac{1}{z}\right) = -1$ and $\text{Tr}\left(\frac{1}{z+2}\right) = 1$.

Proof. The statement follows from Proposition 3 and Theorem 3 □

Corollary 2. The mapping $G(x) = x^{q^2+1} + x^{q+1} - x^2$ is planar on \mathbb{F}_{q^3} .

Proof. Note that $G(x) = x(Tr(x) - 2x)$ and hence we can use Theorem 3 with $a = -2$. Suppose there is $z \in \mathbb{F}_{q^3}$ with

$$Tr\left(\frac{1}{z}\right) = -1 \quad \text{and} \quad Tr\left(\frac{1}{z+4}\right) = 1. \quad (1)$$

Observe that then $z \notin \mathbb{F}_q$. Indeed, for $z \in \mathbb{F}_q$ condition (1)

$$Tr\left(\frac{1}{z}\right) = \frac{3}{z} = -1 \quad \text{and} \quad Tr\left(\frac{1}{z+4}\right) = \frac{3}{z+4} = 1.$$

reduces to $z = -3$ and $z = -1$, which cannot be satisfied. Let $z \in \mathbb{F}_{q^3} \setminus \mathbb{F}_q$ which satisfies (1). As in the proof of Corollary 1 already shown the minimal polynomial of z over \mathbb{F}_q is of the following shape

$$M_z(X) = X^3 + a_2X^2 + bX + b.$$

The minimal polynomial of $z+4$ is $M_{z+4}(X) = M_z(X-4)$, and hence

$$\begin{aligned} M_{z+4}(X) &= (X-4)^3 + a_2(X-4)^2 + b(X-4) + b \\ &= X^3 + (a_2 - 12)X^2 + (48 - 8a_2 + b)X - 64 + 16a_2 - 3b. \end{aligned}$$

To ensure $Tr((z+4)^{-1}) = 1$ it must hold

$$48 - 8a_2 + b = 64 - 16a_2 + 3b,$$

and hence $b = 4a_2 - 8$. Substituting this value of b in $M_z(X)$, we get

$$M_z(X) = X^3 + a_2X^2 + (4a_2 - 8)X + 4a_2 - 8,$$

a contradiction, since $X = -2$ is a zero of the latter polynomial. \square

Remark 2. Observe that the planar mappings described in Corollaries 1 and 2 are EA-equivalent to the monomial planar mapping x^{q+1} . Indeed, for $F(x)$ this follows from the proof of Proposition 3, and in the case of $G(x)$ from the following equality

$$\left(x^{q^2} + x^q - x\right)^{q+1} = \left(-x^{q^2} + x^q + x\right) \circ G(x).$$

In the following theorem we show that if n is sufficiently large, then there is no $a \in \mathbb{F}_{q^n}$ such that $F(X) = X \cdot (Tr(X) + aX)$ is planar on \mathbb{F}_{q^n} . This is a result obtained using Theorem 3 and Remark 1, which is in the opposite direction of the existence results given above.

Theorem 4. *Let $a \in \mathbb{F}_{q^n}$ and $F(X) = X \cdot (Tr(X) + aX)$. If one the following conditions hold, then $F(X)$ is not planar on \mathbb{F}_{q^n} :*

- $q \geq 23$ and $n \geq 12$,
- $q \in \{11, 13, 17, 19\}$ and $n \geq 13$,

- $q = 9$ and $n \geq 14$,
- $q = 7$ and $n \geq 15$.
- $q = 5$ and $n \geq 16$.
- $q = 3$ and $n \geq 19$.

Proof. Using Remark 1, we can assume that $a \neq 0$. Let $f_1(z)$ and $f_2(z)$ be the rational functions in $\mathbb{F}_{q^n}(z)$ given by

$$f_1(z) = \frac{1}{z} \text{ and } f_2(z) = \frac{1}{z - 2a}.$$

We use Theorem 1.1 from [4]. Let p be the characteristic of \mathbb{F}_{q^n} . Recall that the set $\{f_1(z), f_2(z)\}$ is *strongly linearly independent over \mathbb{F}_q* (cf. [4]) if there is no $a_1, a_2, \epsilon \in \mathbb{F}_{q^n}$ and $h(z) \in \mathbb{F}_{q^n}(z)$ such that $(a_1, a_2) \neq (0, 0)$ and

$$a_1 f_1(z) + a_2 f_2(z) = h(z)^p - h(z) + \epsilon.$$

We use some simple facts from the theory of algebraic function fields (cf. [17] or [15]). Let P_1 be the place of $\mathbb{F}_{q^n}(z)$ corresponding to the zero of z and let ν_{P_1} denote the normalized discrete valuation corresponding to P_1 .

Assume that $a_1 \neq 0$. Then

$$\nu_{P_1}(h(z)^p - h(z) + \epsilon) = \nu_{P_1}(a_1 f_1(z) + a_2 f_2(z)) = \nu_{P_1}(f_1(z)) = -1. \quad (2)$$

Hence $\nu_{P_1}(h(z)) < 0$, indeed otherwise, using the triangle inequality of valuations (cf. [17, Definition 1.1.9]) we get

$$\nu_{P_1}(h(z)^p - h(z) + \epsilon) \geq \min\{\nu_{P_1}(h(z)^p), \nu_{P_1}(h(z)), \nu_{P_1}(\epsilon)\} \geq 0,$$

which is a contradiction to (2). As $\nu_{P_1}(h(z)) < 0$, we have $\nu_{P_1}(\epsilon) > \nu_{P_1}(h(z)) > \nu_{P_1}(h(z)^p)$. Then using the strict triangle inequality of valuations (cf. [17, Lemma 1.1.11]) we obtain that $\nu_{P_1}(h(z)^p - h(z) + \epsilon) = p\nu_{P_1}(h(z)) = p\ell$, where $\ell = \nu_{P_1}(h(z))$ is a negative integer. This is again a contradiction to (2). Therefore we get that $a_1 = 0$. Similarly we show that $a_2 = 0$. This proves that the set $\{f_1(z), f_2(z)\}$ is strongly linearly independent over \mathbb{F}_q .

Under the notation of [4, Theorem 1.1] we have $r = 2$, $\deg f_1(z) = \deg f_2(z) = 1 = m$, $t_1 = -1$, $t_2 = 1$, $\ell = 1$. Then there exists a primitive element $\gamma \in \mathbb{F}_{q^n}$ such that $Tr(\gamma^{-1}) = -1$ and $Tr((\gamma - 2a)^{-1}) = 1$ if

$$n > 4(2 + \log_q(9.8 \cdot 1 \cdot 2 \cdot 1)), \quad (3)$$

where \log_q is the logarithm with respect to base q . It is easy to check the (3) is satisfied if one of the conditions in the statement of the theorem holds. For example if $q \geq 23$, then $4(2 + \log_q(9.8 \cdot 2)) \leq 4(2 + \log_{23}(9.8 \cdot 2)) = 11.7959 \dots$. This completes the proof. \square

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