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▶ To cite this version:

Wilfried Meidl, Alev Topuzoglu. Quadratic functions with prescribed spectra. WCC 2011 - Workshop on coding and cryptography, Apr 2011, Paris, France. pp.371-378. inria-00614440

HAL Id: inria-00614440 https://inria.hal.science/inria-00614440

Submitted on 11 Aug2011

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Quadratic functions with prescribed spectra

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Abstract. We study quadratic Boolean functions f from \mathbb{F}_{2^n} to \mathbb{F}_2 , which are well-known to have plateaued Fourier spectrum $\mathcal{F}_{s,f}$, i.e., their Fourier coefficients are in the set $\{0, \pm 2^{(n+s)/2}\}$ for some integer $0 \le s \le$ n-1. For various types of integers n, we determine possible values of s, construct f with $\mathcal{F}_{s,f}$ for a prescribed s, and present enumeration results in case n is a power of 2.

Our work generalizes some of the earlier results of Khoo et. al. ([5]) on near-bent functions and provides a simple proof of a result of Fitzgerald ([2]) on degenerate quadratic forms.

Keywords: Quadratic Boolean functions, *s*-plateaued functions, nearbent functions, self-reciprocal polynomials, linear complexity

1 Introduction

We study quadratic functions

$$f(x) = \operatorname{Tr}_{\mathbf{n}} \left(\sum_{i=0}^{\lfloor (n-1)/2 \rfloor} a_i x^{2^i + 1} \right)$$
(1)

from \mathbb{F}_{2^n} to \mathbb{F}_2 , with coefficients in \mathbb{F}_2 .

It is well known that any quadratic function is *plateaued* i.e., it has (plateaued) Fourier spectrum

 $\mathcal{F}_{s,f}$

, in other words, its Fourier coefficients lie in $\{0, \pm 2^{(n+s)/2}\}$ for some integer $0 \le s \le n-1$. In this case we call f s-plateaued. 1-plateaued functions have been widely studied, and are called *near-bent* or *semi-bent* (when n is odd), see for instance [1, 6].

One of the problems, that [5] focuses on, is to characterize integers n, for which all f from \mathbb{F}_{2^n} to \mathbb{F}_2 of the form (1) are near-bent.

The more general question we address here is the following: Given an integer n, characterize those integers s, for which s-plateaued functions from \mathbb{F}_{2^n} to \mathbb{F}_2 of the form (1) exist. We obtain the characterization when n is a square-free integer or is a power of 2. For these classes of integers n, we give methods

for constructing s-plateaued functions for all possible s. We also enumerate the s-plateaued functions in case $n = 2^m$, $m \ge 1$.

Using standard Welch-squaring techniques one can see that the integer s is the dimension over \mathbb{F}_2 of the kernel of the linear transformation defined on \mathbb{F}_{2^n} by

$$L(x) = \sum_{i=0}^{\lfloor (n-1)/2 \rfloor} \left(a_i x^{2^i} + a_i^{2^{n-i}} x^{2^{n-i}} \right),$$

i.e., $gcd(x^{2^n} + x, L(x))$ has degree 2^s . Equivalently ker(L) has dimension s if and only if the associates A(x) and $x^n + 1$ of L(x) and $x^{2^n} + x$, respectively, satisfy (see [7, p.118])

$$\deg(\gcd(A(x), x^n + 1)) = s.$$

The associate A(x) corresponding to f in (1) is

$$A(x) = \sum_{i=0}^{\lfloor (n-1)/2 \rfloor} a_i x^i + a_i x^{n-i} = x^{i_0} g(x),$$
(2)

where i_0 is the smallest integer such that $a_{i_0} \neq 0$, and $g(x) \in \mathbb{F}_2[x]$ is the self-reciprocal polynomial

$$g(x) = \sum_{i=i_0}^{\lfloor (n-1)/2 \rfloor} a_i (x^{i-i_0} + x^{n-i_0-i})$$

of degree $n - 2i_0$.

Note that $gcd(\sum_{i=0}^{\lfloor (n-1)/2 \rfloor} a_i x^i + a_i x^{n-i}, x^n + 1) = gcd((\sum_{i=1}^{\lfloor (n-1)/2 \rfloor} a_i x^i + a_i x^{n-i}) + a_0(x^n + 1), x^n + 1)$, i.e., a_0 does not effect the value of s. Hence we can suppose that the degree of A(x) is at most n-1.

We recall that the linear complexity L(S) of an *n*-periodic sequence $S = s_0, s_1, \ldots$ over \mathbb{F}_2 is determined by

$$L(S) = n - \deg(\gcd(x^n + 1, S(x)))$$

where S(x) is the generating polynomial of S, i.e., the polynomial of degree at most n-1 given by $S(x) = s_0 + s_1 x + \cdots + s_{n-1} x^{n-1}$. Therefore the calculation of the values in the Fourier spectrum of a quadratic function (1) is equivalent to the determination of the linear complexity of an *n*-periodic sequence with generating polynomial of the form (2). More precisely s = n - L if L is the linear complexity of the corresponding *n*-periodic sequence.

2 Main Results

2.1 The case $n = 2^m$

In this subsection we will employ the well known Games-Chan algorithm (see [3]) to enumerate the functions (1) from $\mathbb{F}_{2^{2^m}}$ to \mathbb{F}_2 that yield *s*-plateaued functions. The algorithm also leads to a tool of constructing *s*-plateaued functions for a given *s*.

The following example describes how one can calculate s.

Example 1. For m = 4 consider $f(x) = \text{Tr}_n(x^2 + x^3 + x^{2^4+1} + x^{2^5+1})$, then $A(x) = 1 + x + x^4 + x^5 + x^{11} + x^{12} + x^{15} + x^{16}$. For our purpose we consider this polynomial modulo $x^{16} + 1$ and put

$$A(x) = x + x^{4} + x^{5} + x^{11} + x^{12} + x^{15}$$

and obtain the corresponding 16-periodic binary sequence

```
S = (0100110000011001)^{\infty}.
```

$$\begin{array}{cccc} 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ \hline 0 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 & 1 \\ \hline 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ \hline 1 & 0 & 0 & 0 & 0 & L & = 8 \\ \hline 0 & 1 & 0 & 0 & 0 & L & = 1 \\ L & = 8 & L & = 9 & L & = 10. \end{array}$$

As the 16-periodic sequence S corresponding to A(x) has linear complexity L = 10, the quadratic function f is s-plateaued with s = 16 - 10 = 6.

The Games-Chan algorithm motivates the definition of a mapping φ_m from $\mathbb{F}_2^{2^{m-1}}$ to $\mathbb{F}_2^{2^{m-1}}$, $m \ge 1$, as follows:

$$\varphi_m((s_0, s_1, \dots, s_{2^m - 1})) = (s_0 + s_{2^{m - 1}}, s_1 + s_{2^{m - 1} + 1}, \dots, s_{2^{m - 1} - 1} + s_{2^m - 1}).$$

In the following proposition we collect some simple observations for 2^m -periodic sequences corresponding to polynomials A(x) in (2) with $n = 2^m$. As remarked above we can assume that $a_0 = 0$, thus $\deg(A) \leq n - 1$. The strings $\mathbf{s}^{(m)} = s_0, s_1, \ldots, s_{2^m-1}$ of our interest can easily be seen to satisfy $s_0 = s_{n/2} = 0$, $s_i = s_{n-i}, i = 1, \ldots, n/2 - 1$. We will call a string satisfying these properties *antisymmetric*. Accordingly, we call the corresponding sequence antisymmetric 2^m -periodic sequence.

Proposition 1. Let $s^{(m)} = s_0, s_1, ..., s_{2^m-1}$ be a string, $m \ge 1$.

- (i) An antisymmetric string $\mathbf{s}^{(m)}$ is determined by the bits $s_1, \ldots, s_{2^{m-1}-1}$. There are $2^{2^{m-1}-1}$ distinct antisymmetric strings of length 2^m .
- (ii) If $\mathbf{s}^{(m)}$ is antisymmetric, then $\varphi_m(\mathbf{s}^{(m)})$ is also. (iii) The set of antisymmetric preimages $\varphi_m^{-1}(\mathbf{s}^{(m-1)})$ of an antisymmetric string $\mathbf{s}^{(m-1)}$ has cardinality $2^{2^{m-2}}$.
- (iv) Let $\mathbf{s}^{(m)}$ be an antisymmetric string satisfying $\varphi_m(\mathbf{s}^{(m)}) = 0, 0, \dots, 0$. Then either $s_0, s_1, \ldots, s_{2^{m-1}-1}$ is itself antisymmetric, or the string

$$s_0, s_1, \ldots, s_{2^{m-2}} + 1, \ldots, s_{2^{m-1}-1}$$

is antisymmetric.

In the first case $\varphi_{m-1}(s_0, s_1, \dots, s_{2^{m-1}-1}) = t_0, t_1, \dots, t_{2^{m-2}-1}$ is antisymmetric, and in the second case the string $t_0 - 1, t_1, \ldots, t_{2^{m-2}-1}$ is antisymmetric.

Theorem 1. For $n = 2^m$, let $\mathcal{N}_m(s)$ denote the number of strings

$$(a_1, a_2, \dots, a_{(n/2)-1}) \in \mathbb{F}_2^{(n/2)-1}$$

for which the quadratic function f from \mathbb{F}_{2^n} to \mathbb{F}_2 , given by

$$f(x) = \operatorname{Tr}_{n}\left(\sum_{i=1}^{(n/2)-1} a_{i} x^{2^{i}+1}\right)$$

is s-plateaued. Then

$$\mathcal{N}_m(s) = \begin{cases} 2^{2^{m-1}-1-k} & : \quad s = 2k, k = 1, \dots, 2^{m-1}-1, \\ 0 & : \quad s = 0 \text{ or } s \text{ odd.} \end{cases}$$

Proof. We use induction. One can easily see that the assertion holds for small m. Now suppose that $\mathcal{N}_{m-1}(s) = 2^{2^{m-2}-1-k}$ for $s = 2k, k = 1, \ldots, 2^{m-2}-1$, i.e., for these values of s, there are $2^{2^{m-2}-1-k}$ sequences, which are 2^{m-1} -periodic with linear complexity $2^{m-1}-s$, corresponding to antisymmetric strings. By Proposition 1 (iii), for each of these stings we have $2^{2^{m-2}}$ antisymmetric preimages giving rise to antisymmetric 2^m -periodic sequences with linear complexity $2^m - s$. Proposition 1 (ii) implies that these are all such sequences. Consequently, we get $\mathcal{N}_m(s) = 2^{2^{m-2}} 2^{2^{m-2}-1-k} = 2^{2^{m-1}-1-k}$ when $s = 2k, k = 1, \dots, 2^{m-2} - 1$. It remains to show that the formula holds for s = 2k with $k = 2^{m-2}, \ldots, 2^{m-1} - 1$. We therefore have to enumerate the antisymmetric 2^m -periodic sequences with a given linear complexity $2^m - s \leq 2^{m-1}$. First observe that these are the sequences corresponding to antisymmetric strings $\mathbf{s}^{(m)} = s_0, \ldots, s_{2^m-1}$ such that (a) $\varphi_m(\mathbf{s}^{(m)}) = 0, 0, \dots, 0, \text{ or }$

(b) the sequence corresponding to $s_0, \ldots, s_{2^{m-1}-1}$ has linear complexity $2^m - s$. By Proposition 1 (iv), (a) implies that $s_0, \ldots, s_{2^{m-1}-1}$ or $s_0, s_1, \ldots, s_{2^{m-2}}$ +

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1,..., $s_{2^{m-1}-1}$ is antisymmetric. Moreover it is easily seen that for any such string there is exactly one corresponding antisymmetric string $\mathbf{s}^{(m)}$ for which (a) holds. Having an odd number of 1's, the $2^{m-2} - 1$ strings of the second type yield 2^{m-1} -periodic sequences with linear complexity $L = 2^{m-1}$ (thus $s = 2^m - L = 2^{m-1}$). Among the $2^{m-2} - 1$ strings of the first type, by our hypothesis, precisely $2^{2^{m-2}-1-\kappa}$ yield 2^{m-1} -periodic sequences with linear complexity $L = 2^{m-1} - 2\kappa$, $\kappa = 1, \ldots, 2^{m-2} - 1$. Substituting κ by $k - 2^{m-2}$ we obtain $2^{2^{m-1}-1-k}$ for the number of antisymmetric 2^m -periodic sequences with linear complexity $L = 2^m - 2k$ (thus s = 2k) for $k = 2^{m-2} + 1, \ldots, 2^{m-1} - 1$, hence $\mathcal{N}_m(s) = 2^{2^{m-2}}2^{2^{m-2}-1-k} = 2^{2^{m-1}-1-k}$ for these values of k, and s = 2k. Note that from the above arguments we also see that $\mathcal{N}_m(s) = 0$ when s = 0and when s is odd. However one can also see directly that $\mathcal{N}_m(0) = 0$ since antisymmetric strings contain an even number of 1's, and the statement for odd s simply follows from the Fourier transform being an integer. \Box

Note that the arguments in the proof also enable the construction of s-plateaued quadratic functions from $\mathbb{F}_{2^{2^m}}$ to \mathbb{F}_2 for a prescribed value of s.

2.2 The case $n = p_1 p_2 \cdots p_r$

The results in this subsection are obtained with a different approach, namely by analysing the factorization of $x^n + 1$ into self-reciprocal polynomials. With the observation that $gcd(x^n+1, A(x))$ is again self-reciprocal if A(x) is self-reciprocal, one obtains the following general theorem, which is valid for arbitrary integers n.

Theorem 2. Let n be arbitrary.

- (i) If n is odd, then there exists an s-plateaued function of the form (1) if and only if s is odd and $x^n + 1$ has a self-reciprocal factor h(x) of degree s (in which case $x^n + 1$ is always divisible by x + 1).
- (ii) If n is even then there exists an s-plateaued function of the form (1) if and only if s is even and $x^n + 1$ has a self-reciprocal factor h(x) of degree s divisible by $(x + 1)^2$.

Note that if $n = 2^v n_1$, n_1 odd, then $x^n + 1 = (x^{n_1} + 1)^{2^v}$. Thus it is sufficient to analyse the factorization of $x^n + 1$ for odd n. Here we only consider the case of n being square-free. Our main tool for studying the factorization of $x^n + 1$ into self-reciprocals is, as expected, the use of cyclotomic cosets modulo n relative to powers of 2.

We denote the *n*-th cyclotomic polynomial by \mathcal{Q}_n , and denote the 2-adic valuation of an integer k by $\nu(k)$, i.e., $2^{\nu(k)}$ is the largest power of 2 which divides k. The following lemma describes for which squarefree integers $n = p_1 p_2 \cdots p_r$ the irreducible factors of \mathcal{Q}_n are self-reciprocal. Note that \mathcal{Q}_n has d irreducible factors where $d = \text{lcm}(d_1, \ldots, d_r) = ord_n 2$.

Lemma 1. Let $n = p_1 p_2 \cdots p_r$, $d_i = ord_{p_i} 2$ and $d = ord_n 2$. Suppose the irreducible factors of Q_n are $f_1, \ldots, f_{\varphi(n)/d}$. Then

- (i) The polynomials $f_1, \ldots, f_{\varphi(n)/d}$ are self-reciprocal if and only if $\nu(d_1) = \nu(d_2) = \cdots = \nu(d_r) > 0$. In particular, if n is a prime p, then $f_1, \ldots, f_{(p-1)/d}$ are self-reciprocal if and only if d is even.
- (ii) If $\nu(d_i) \neq \nu(d_j)$ for some $1 \leq i, j \leq \varphi(n)/d$, then none of the polynomials f_t , $1 \leq t \leq \varphi(n)/d$, is self-reciprocal, and for each $t, 1 \leq t \leq \varphi(n)/d$, there exists a unique $t' \neq t, 1 \leq t' \leq \varphi(n)/d$ such that the product $f_t f_{t'}$ is self-reciprocal.

Idea of Proof. First observe that the irreducible factors of Q_n are self-reciprocal if every cyclotomic coset modulo n relative to powers of 2 containing the element a also contains the element -a. Therefore an irreducible factor of Q_n is selfreciprocal if the cyclotomic coset of 1 also contains -1, i.e., $2^k \equiv -1 \mod n$ for some integer k. This is equivalent to $2^k \equiv -1 \mod p_1$, $i \leq i \leq r$, which holds if and only if d_i divides 2k but not k for each i. This leads to the condition $\nu(d_1) = \nu(d_2) = \cdots = \nu(d_r) > 0.$

By Lemma 1 and [7, Exercise 3.15] the polynomial $x^n + 1$ factors into self-reciprocal irreducible polynomials if and only $\nu(d_1) = \nu(d_2) = \cdots = \nu(d_r) > 0$.

Example 2. I. $n = 5 \cdot 13 = 65$, then $d_1 = 4, d_2 = 12$, hence $\nu(d_1) = \nu(d_2)$. Consequently $x^{65} + 1$ factors into self-reciprocal irreducible polynomials.

II. $n = 3 \cdot 5 \cdot 7 = 105$, then $d_1 = 2, d_2 = 4, d_3 = 3$ and $\nu(d_1) \neq \nu(d_2)$. Hence not all the irreducible factors of $x^{105} + 1$ are self-reciprocal.

A simple consequence of the above lemma is also the main result of [5]: All functions of the form (1) from \mathbb{F}_{2^n} to \mathbb{F}_2 are 1-plateaued (near-bent) only when n = p is a prime, satisfying $p \equiv 3 \mod 4$ with $ord_p 2 = (p-1)/2$, or 2 is a primitive root modulo p. Note that the self-reciprocal factors of $x^n + 1$ are exactly x + 1 and $1 + x \cdots + x^{n-1}$ only for such n.

In order to determine the possible values of s that a function of the form (1) has Fourier spectrum $\mathcal{F}_{s,f}$, we consider all cyclotomic polynomials \mathcal{Q}_m , m|n and apply Lemma 1 accordingly:

Example 2.II. continued: $n = 3 \cdot 5 \cdot 7 = 105, d_1 = 2, d_2 = 4, d_3 = 3.$ $\varphi(n) = 48, d = \text{gcd}(2, 4, 3) = 12, \text{ and } \nu(d_1) \neq \nu(d_2).$ Hence $x^{105} + 1$ has 2 self-reciprocal factors of degree 24.

 $\varphi(35) = 24$, $\gcd(d_2, d_3) = \gcd(4, 3) = 12$, and $\nu(4) \neq \nu(3)$, which yields 2 cyclotomic classes of cardinality 12, and hence one self-reciprocal factor of degree 24. $\varphi(21) = 12$, $\gcd(2,3) = 6$. There are 2 cyclotomic classes of cardinality 6 corresponding to one self-reciprocal factor of degree 12.

 $\varphi(15) = 8$, gcd(2,4) = 4, $\nu(2) \neq \nu(4)$. There are 2 cyclotomic classes of cardinality 4, giving one self-reciprocal factor of degree 8.

Similarly it is easy to see that $x^{105} + 1$ has one self-reciprocal factor of degree 6, and two irreducible self-reciprocal factors; one of degree 4, and one of degree 2.

Therefore s can be any integer less than 105 of the form $s = 24k_1 + 12k_2 + 8k_3 + 6k_4 + 4k_5 + 2k_6 + 1$, $0 \le k_1 \le 3$ and $0 \le k_i \le 1$ for $2 \le i \le 6$.

We list the possible values of s in two special cases:

Corollary 1. Let n be an odd prime with $ord_n 2 = d$.

- (i) If d is even, then there exists an s-plateaued function of the form (1) from \mathbb{F}_{2^n} to \mathbb{F}_2 if and only if s = kd + 1 for some $0 \le k \le (n-1)/d 1$.
- (ii) If d is odd, then there exists an s-plateaued function of the form (1) from \mathbb{F}_{2^n} to \mathbb{F}_2 if and only if s = 2kd + 1 for some $0 \le k \le (n-1)/(2d) 1$.

Corollary 2. Let n = pq for two odd primes p and q and let $ord_p 2 = d_p$, $ord_q 2 = d_q$. The integers s for which there exists an s-plateaued function of the form (1) from \mathbb{F}_2^n to \mathbb{F}_2 are given as follows: s < n and

- 1. if $\nu(d_p) = \nu(d_q) > 0$, then $s = k_1 \operatorname{lcm}(d_p, d_q) + k_2 d_p + k_3 d_q$, $0 \le k_1 \le (p-1)(q-1)/\operatorname{lcm}(d_p, d_q)$, $0 \le k_2 \le (p-1)/d_p$, $0 \le k_3 \le (q-1)/d_q$;
- 2. if $\nu(d_p) > 0$, $\nu(d_q) > 0$ and $\nu(d_p) \neq \nu(d_q) > 0$, then $s = 2k_1 \operatorname{lcm}(d_p, d_q) + k_2 d_p + k_3 d_q$, $0 \le k_1 \le (p-1)(q-1)/(2\operatorname{lcm}(d_p, d_q))$, $0 \le k_2 \le (p-1)/d_p$, $0 \le k_3 \le (q-1)/d_q$;
- 3. if $\nu(d_p) > 0, \nu(d_q) = 0$, then $s = 2k_1 \operatorname{lcm}(d_p, d_q) + k_2 d_p + 2k_3 d_q, 0 \le k_1 \le (p-1)(q-1)/(2\operatorname{lcm}(d_p, d_q)), 0 \le k_2 \le (p-1)/d_p, 0 \le k_3 \le (q-1)/(2d_q);$
- 4. $\nu(d_p) = \nu(d_q) = 0$, then $s = 2k_1 \operatorname{lcm}(d_p, d_q) + 2k_2 d_p + 2k_3 d_q$, $0 \le k_1 \le (p-1)(q-1)/(2\operatorname{lcm}(d_p, d_q))$, $0 \le k_2 \le (p-1)/(2d_p)$, $0 \le k_3 \le (q-1)/(2d_q)$.

Now the methods of constructing s-plateaued functions of the form (1) with prescribed s are obvious:

1. Among the self-reciprocal factors of $x^n + 1$ select some, whose degrees add up to s and form their product h(x). We remark that s must be odd if n is odd, thus x + 1 must divide h(x). If n is even then also s must be even, hence h(x)will always be divisible by $(x + 1)^g$ for some even integer $g \ge 2$.

2. Multiply h(x) with a self-reciprocal polynomial of even degree, which is relatively prime to $(x^n + 1)/h(x)$. The resulting product g(x) must be of degree at most n - 1.

3. Multiply g(x) with x^{i_0} , where i_0 is the unique integer such that $A(x) = x^{i_0}g(x)$ is of the form (2). Note that $a_0 = 0$ for any A(x), obtained this way.

4. The polynomial f(x) of the form (1) corresponding to a A(x) is then s-plateaued. Note that a_0 can be chosen as 0 or 1.

The following example leads to an easy proof of a result of [2].

Example 3. Construction of *s*-plateaued functions with maximal possible value for s:

As n + s must be even, the maximal possible value for s is s = n - 2. We have to choose a self-reciprocal divisor h(x) of $x^n + 1$ of degree n - 2. The only possible choices for h(x) are

(i) $h(x) = (x^n + 1)/(x + 1)^2$.

(ii) $h(x) = (x^n + 1)/(x^2 + x + 1).$

Now (i) implies that n is even and since then $(x + 1)^2$ must divide h(x), we need 4|n, and (ii) implies that 3|n. The step 2 in the above procedure can not be carried out, thus g(x) = h(x), and $i_0 = 1$. We then get

$$A(x) = xh(x) = x + x^3 + x^5 + \dots + x^{n-1} \text{ in case (i), and}$$

$$A(x) = xh(x) = x + x^2 + x^4 + x^5 + x^7 + x^8 + \dots + x^{n-2} + x^{n-1} \text{ in case (ii)}$$

The following corollary easily follows from the argument used in the above example.

Corollary 3. The quadratic function f of the form (1) is (n-2)-plateaued if and only if

- (i) $4|n \text{ and } f(x) = \operatorname{Tr}_n \left(\varepsilon x^2 + x^{2+1} + x^{2^3+1} + x^{2^5+1} + \dots + x^{2^{n/2-1}+1} \right), \ \varepsilon \in \{0,1\}, \ or$
- (ii) $3|n \text{ and } f(x) = \operatorname{Tr}_n\left(\varepsilon x^2 + \sum_{i=1, i \neq 0 \mod 3}^{\lfloor n-1/2 \rfloor} x^{2^i+1}\right), \ \varepsilon \in \{0, 1\}.$

Compare our Corollary 3 with Theorem 2.4 in [2].

3 Conclusion

We enumerate quadratic s-plateaued functions from $\mathbb{F}_{2^{2^m}}$ to \mathbb{F}_2 , given by (1). For squarefree integers $n = p_1 p_2 \cdots p_r$ we characterize the integers s, for which s-plateaued functions from \mathbb{F}_{2^n} to \mathbb{F}_2 of the form (1) exist. Methods for constructing such functions are also described. Our results generalize earlier work on the case s = 1, see [4, 5].

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